

Chapter 6: Short-lived climate forcers**Coordinating Lead Authors:**

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During the compilation of this Chapter, some text was accidentally replaced by the error message *Erreur ! Source du renvoi introuvable*.

In order to give you access to the original text, a correspondence tables has been created and is available for download from the AR6 WGI FOD Review system (file AR6 WGI FOD - Chapter 6 Corrections.pdf).

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Executive Summary

SLCFs continue to contribute the largest uncertainty to estimates and interpretations of the Earth's changing energy budget. This chapter focuses on changes in sources and abundances of SLCFs to assess how SLCFs contribute and respond to climate change. The following conclusions are drawn.

Emissions

Currently more than 50% of anthropogenic emissions of all species (including NH₃) originate from Asia (*high confidence*), as a result of the strong economic growth in Asia and declining emissions in North America and Europe due to air quality legislation and declining capacity of energy intensive industry {6.2}.

In spite of the success of environmental legislation introduced in several countries affecting the trends in specific regions: North America and recently in parts of Asia, especially China, emissions of most of the species show no signs of stabilisation or decline, except decline of SO₂ and CO (*high confidence*), and since 2011 stabilization or even decline of NO_x (*medium confidence*). {6.2.1.2}.

For SO₂, the strong growth of Asian emissions has been offset by reduction in North America and Europe and since about 2006 also Chinese emissions appear to decline strongly (*high confidence*). {6.2.1.2}

NH₃ emissions continue growing (*high confidence*) and the trends estimated in CMIP5 and CMIP6 are the same, while in absolute terms CMIP6 has somewhat higher emissions as it includes emissions from wastewater and human waste that were largely missing in CMIP5. {6.2.1.2}

Emissions of carbonaceous aerosols (BC, OC) have been steadily increasing and since 1950 about doubled (*medium confidence*). Before 1950, North America and Europe contributed about half of the global total but successful introduction of diesel particulate filters on road vehicles and declining reliance on solid fuels for heating brought in large reductions (*high confidence*) {6.2.1.2}

Since AR5, there is *medium evidence* and *high agreement* based on global modelling studies that anthropogenic LULCC, and the historical cropland expansion in particular, are the dominant drivers of isoprene emission change since the preindustrial era. Results are converging on a 10-25% loss of isoprene emission globally due to the historical cropland expansion between 1850 and the present day (*high confidence*). Isoprene emission change over the past century was driven by human LULCC and is therefore a human-induced climate forcing mechanism in addition to a climate feedback mechanism (*high confidence*) {6.2.1.2}

Bottom up global emission estimates of CH₄ for the last two decades are higher than top down assessments, primarily due to larger estimates for natural sources, but the overall trends are similar – steady growth (*high confidence*). {6.2.1.2}

It is *likely* that historical, present and future LULCC will have substantial impacts on global air quality and the SLCFs. {6.4.2.2}

Atmospheric processes and SLCF abundances

It is *very likely* that global tropospheric NO_x has increased from the pre-industrial period to the present day. Satellite observations of tropospheric NO_x indicate strong regional variations in trends over the 2005-2015 time period. It is *very likely* that NO₂ has declined over the USA and western Europe since the mid 1990s and increased over China until 2011. It is *likely* that NO₂ trends have reversed (declined) over China beginning in 2012 and *likely* that NO₂ has increased over South Asia by 50% since 2005. {6.2.2.1}.

There is *high confidence* that the global tropospheric SO₂ burden increased from 1850 to around 2005, but there are large regional differences. The sulphate aerosol concentrations in North America and Europe have

declined over the 1980 to 2015 period, with slightly stronger reductions in North America (47%) than over Europe (40%) in the 2000-2015 time period, though Europe had larger reductions in the prior decade (1990-2000). In Asia, the trends are more scattered, though there is *medium confidence* that there was a strong increase up to around 2005, followed by a steep decline in China in the concentrations of SO₂ and sulphate, while over India, SO₂ levels have doubled over the 2005 to 2015 period. {6.2.2.6}

There is *high confidence* in our estimates of modern period global total CO distribution since AR5. There is *medium confidence* that in the modern period, global CO burden is declining. {6.2.2.2}

The overall distribution of ammonia column is well understood. However, there is *medium confidence* in the attribution of recent trends in ammonia to emissions versus changes in the gas/aerosol partitioning of ammonia. {6.2.2.7}. The sensitivity of nitrate aerosols to ammonia and nitric acid is well-understood from thermodynamics. However, there is *low confidence* in the evolution of nitrate aerosols with time stemming partly from limited understanding of how aerosol pH has evolved over time. {6.2.2.7}

There is *medium evidence* and *high agreement* that the abundances of light NMHCs such as ethane and propane in the NH reached a maximum around 1970-1985, then declined until between 2005-2010, and are now increasing again due to oil and gas production. {6.2.2.3}

Global carbonaceous aerosol budget and trends remain poorly characterized due to limited observation yielding *low confidence* in our current understanding. There is increased understanding that surface warming due to BC maybe weaker than previously reported and that BC causes significant model spread in predicted precipitation compared to other climate drivers. {6.2.2.8}

Overall, observational and modeling evidence suggests that it is *about as likely as not* that global mean OH has remained constant over the past 35 years. Over longer time scales, global mean OH has remained nearly constant in response to competing influences from changes in SLCFs and climate (*low confidence*) {6.2.3}. Contradictory modeling results together with limited and uncertain observational constraints on OH impede our ability to accurately elucidate the interannual variability in OH over the 1980 to present time period. {6.2.3}. Further, it is argued that since the isotopic adjustment occurs slowly in response to OH changes, the observed rapid shift in $\delta^{13}\text{C}_{\text{CH}_4}$ is less likely to be driven by OH variations and more likely due to changes in methane sources. Given that it is *about as likely as not* that global mean OH has remained constant over the past 35 years (section 6.2.3), the role of OH in driving the renewed increase in atmospheric methane remains uncertain. {6.2.2.4}

It is *virtually certain* that atmospheric methane abundance has been increasing since 2007 after a period (1999-2006) of stable concentrations. {6.2.2.4}. There is *low confidence (low agreement and moderate evidence)* in the causes of methane increase because of uncertainties in source and sink estimates as well as limitations in observational constraints, such as $\delta^{13}\text{C}_{\text{CH}_4}$ and ethane. {6.2.2.4}.

There is robust evidence with a *medium to high* level of agreement and overall a *medium* confidence about changes in ozone at northern mid- and high latitudes from the early-20th century to the modern period {6.2.2.5}. There is also overall *medium* confidence for profiles above North America and Europe to draw conclusions about zonal mean ozone changes at the tropics and southern mid-latitudes due to sparseness of historical observations {6.2.2.5}.

There is *high confidence (high agreement and robust evidence)* for the estimated present-day global average tropospheric ozone burden based on an ensemble of models. However, there is *medium confidence (low to medium agreement and medium evidence)* among the individual models for their estimates of tropospheric ozone burden, and the related ozone budget terms. {6.2.2.5}

The near-global average (60°S-60°N) of total ozone columns in present-day remain lower than the respective quantity during the unperturbed from ODS period with the estimated preindustrial to present-day stratospheric ozone radiative forcing (RF) being similar to AR5 (high confidence). {6.2.2.5}

There is *high agreement and robust evidence* that the abundance of total chlorine from HCFCs has continued to increase in the atmosphere with decreased growth rates. There is *also high agreement and robust evidence* that total tropospheric bromine from halons and methyl bromide have continued to decrease while abundances of most currently measured HFCs are increasing in the global atmosphere at accelerating rates, consistent with expectations based on the ongoing transition away from the use of ozone-depleting substances. {6.2.2.9}

SLCF radiative forcing and impact

Emissions of CO and NMVOCs are *virtually certain* to have induced a positive radiative forcing on climate (Myhre et al., 2013) because they lead to increases in the concentrations of CO₂, CH₄, and O₃ through chemical reactions {6.1.2.1}

The models agree that the increasing emissions have resulted in the RF of $0.4 \pm 0.22 \text{ Wm}^{-2}$ by tropospheric ozone since 1850 with the confidence remaining *low to medium* as in AR5. Despite the fact that the confidence in the 20th century ozone observations has increased since AR5 there is still a knowledge gap from observations for preindustrial ozone levels and thus their estimates are based on model simulations. {6.2.2.5}

There is *high confidence* that anthropogenic aerosols lead to an increase in cloud droplet concentrations. In terms of the adjustments, it is most plausible that on average, no systematic changes in LWP occur. It is *more likely than not* that liquid-cloud fraction increases than that it decreases. There is no observational evidence at present for a significant response of ice clouds to aerosol perturbations. {6.3.2}

There is a consensus from recent modelling studies highlighting that the representation of both aerosol chemistry as well as the parameterizations for direct and indirect aerosol radiative effects in current climate models are subject to large uncertainties. These uncertainties imply that single-model studies investigating the effects of changes in SLCFs on local and remote changes in temperature and precipitation generally have *low confidence (low to medium agreement)* and limited evidence relative to a multi-model ensemble. {6.3.4}

There is *medium agreement and medium evidence* across multi-model ensembles on the response to a given local forcing with qualitatively similar temperature change patterns extending across much of the Northern Hemisphere. However, the patterns of regional climate change will depend on the balance between SLCFs and GHG forcing. {6.3.4}

There is *medium evidence and agreement* that SO₂ emissions reductions may lead to the strongest response, with an increase in surface air temperature in the northern hemisphere high latitudes, and a corresponding increase in global mean precipitation while the BC and OC emissions reductions give a much weaker forcing signal {6.3.4}

It is *virtually certain* that the cooling by aerosols in the Northern Hemisphere changes the interhemisphere temperature gradient, thereby producing an anomalous Hadley cell circulation, a northward shift of ITCZ, and an alteration to tropical precipitation patterns {6.3.4}.

Since AR5, there remains *low confidence* in even the net sign of the influence of carbonaceous aerosols from solid fuel cookstoves on global radiative effect (warming or cooling). {6.4.2.1}

There is *robust evidence and high agreement* that SLCFs from fires have global and regional radiative effects, and it is *likely* that the net global aerosol and aerosol-cloud radiative influence is cooling, despite the substantial absorption from BC {6.4.2.1}

Preindustrial to present day anthropogenic LULCC have resulted in a global warming that is equivalent to up to 45% of the net anthropogenic global warming including changes in the SLCFs from dust, fire, BVOCs, soil, CH₄ and NH₃ emissions (*low confidence*). {6.4.2.2}

There is *low confidence* in the quantitative influence of past LULCC on radiative forcing by the SLCFs due to limited historical data on concentrations and abundances of SLCFs and challenges in attributing changes in SLCFs to LULCC. {6.4.2.2}

The attribution of emissions to megacities is of *low confidence* since different methodologies are considered to define the megacity areas and since large differences remain between global emissions inventories and city-specific inventories {6.4.2.3}.

The quantification of the climate impact potential of cookstove mitigation is subject to *low confidence*. {6.4.3}

There is *high confidence* in the effects of reduced emissions of SLCFs on air quality, but *medium confidence* in the sign and magnitude of the climatic impacts of these emission reductions, except CH₄ of which reductions are *very likely* to contribute to climate mitigation. {6.5}

Impact of climate change on air quality

The response of surface ozone to future climate change induced from LLCFs remains uncertain with largest part of the uncertainty related to contribution of stratosphere-troposphere exchange. Hence there is *medium confidence (medium agreement and medium evidence)* that in unpolluted regions, higher water vapour abundances and temperatures in a warmer climate enhance ozone chemical destruction, leading to lower baseline surface ozone levels. {6.5.2}

There is now *high confidence (high agreement, but medium evidence)* on a small effect on PM global burden due to climate change. The regional effects, however, are predicted to be much higher {6.5.3}

Impacts of SLCFs on human health, agricultural production and ecosystems

There is *medium confidence* that PM impacts agriculture and ecosystems and may cause material and property damage.

There is *high confidence* that elevated levels of ambient ozone impacts human health. However, there is *medium confidence* on human health impacts from ambient concentrations of other trace gases such as NO_x, CO, and SO₂.

The outdoor and indoor human health consequences of household solid fuel combustion are substantial (*high confidence*). {6.4.2.1}

There is *limited evidence* but *high agreement* that the effects of ozone on vegetation influence the climate system by changing the land carbon storage. There is *medium evidence* and *high agreement* that ozone vegetation interactions further influence the climate system by affecting stomatal control over plant transpiration of water vapour between the leaf surface and atmosphere. {6.3.1.3}

It is certain that ozone is phytotoxic and damages photosynthesis, reduces plant growth, and limits crop yields in sensitive plants (*very high confidence*). {6.3.1.3}

There is new evidence that the SLCF effects on vegetation influences atmospheric surface ozone concentrations by altering emissions of BVOCs, surface climate, and the dry deposition rate of trace gases and particles including ozone itself. (*low confidence*). {6.3.1.3}

There is growing evidence that fire emissions influence regional air quality and human health (*medium confidence*). There is limited evidence that fire air pollution vegetation damage reduces the global and regional terrestrial productivity {6.4.1.2}

Global modelling studies agree that ozone-induced GPP losses are largest today in eastern USA, Europe and eastern China ranging from 5-20% on the regional scale (*medium confidence*). {6.3.1.3}. The combined effects of ozone and aerosol haze pollution in the present day in China have lowered regional net primary production (NPP) by 9-16%. By 2030, this current level of NPP loss will increase by 20-30% following IIASA ECLIPSE Current Legislation scenario, but will be reduced by 70% following the Maximum Technically Feasible Reduction scenario (*low confidence*). {6.3.1.3}

Ozone pollution is estimated to decrease global crop yields from about 2.2-5.5% for maize to 3.9-15% and 8.5–14% for wheat and soybean crops, respectively, where the uncertainty range depends on genotype and environmental conditions (*medium confidence*). {6.3.1.3}

Policy relevance

The IPCC Special Report on 1.5°C found that reductions in SLCFs play a critical role in achieving 1.5°C and 2°C, and limiting warming to 1.5°C implies deep reductions in emissions of SCLFs, particularly CH₄, as well as reaching net zero CO₂ emissions globally around 2050 (*high confidence*) {6.1}

There is a general consensus that measures to reduce SLCFs emissions should not reduce the pressure for an immediate action on CO₂ and other LLGHGs reduction (*high agreement, robust evidence*) {6.1.4}

Co-benefits of climate mitigation for air quality and human health to meet Nationally Determined Contributions (NDCs) and/or specific global temperature targets can dominate the costs of the climate measures (*high agreement, medium evidence*) {6.1.4}

There is *high agreement and robust evidence* that SLCFs mitigation measures act complementary to early and stringent CO₂ mitigation with important temperature effects for the near term but there is *medium agreement and medium evidence* for their contribution in the long-term. {6.6.4}

The different socioeconomic developments in the SSP storylines and the different levels of climate policies for each SSP have strong influence SLCF emissions and thus on air quality (*high confidence*). {6.6.5}

6.1 Importance of SLCFs on climate and AQ

Both natural and anthropogenic emissions of chemical species can either lead to the formation of climate forcers in the atmosphere or directly act as climate forcers altering the radiative balance of the Earth. Based on their atmospheric lifetimes (**Erreur ! Source du renvoi introuvable.**) these climate forcers are classified into two categories for long-lived greenhouse gases (LLGHGs) and short-lived climate forcers (SLCFs). LLGHGs are greenhouse gases with atmospheric lifetimes of decades to centuries much greater than the time scales of tropospheric mixing on the order of a year. As a result, LLGHGs are well-mixed and exhibit relatively homogeneous distributions in the troposphere. SLCFs, also known as short-lived climate pollutants (SLCPs), consist of radiatively active atmospheric chemicals and their precursors with atmospheric lifetimes shorter than those of LLGHGs. In contrast to the global extent and longer time scales of climatic impact from LLGHGs, the climatic impacts of SLCFs are largest at local and regional scales and in the near-term following their emissions due to their relatively short lifetimes. In AR5, this property was used to describe SLCFs as near-term climate forcers (NTCFs). In addition to altering the Earth's radiative balance, many SLCFs are also air pollutants with adverse effects on human health and the ecosystems.

Radiatively active SLCFs include methane (CH_4), tropospheric ozone (O_3), halogenated species such as hydrofluorocarbons (HFCs), and aerosols, including sulfate (SO_4^{2-}), nitrate (NO_3^-), ammonium (NH_4^+), carbonaceous aerosols (e.g., black carbon (BC), primary and secondary organic carbon (POA and SOA)), mineral dust, and sea salt. SLCFs that are not all radiatively active but their emissions affect the abundance of other radiatively active species include nitrogen oxides (NO_x), carbon monoxide (CO), non-methane volatile organic compounds (NMVOCs), sulphur dioxide (SO_2), and ammonia (NH_3). **Erreur ! Source du renvoi introuvable.** provides an overview of the temporal and spatial scales relevant for SLCF classes. A more detailed assessment of the lifetime of individual SLCFs is provided in section 6.2.2.

[START FIGURE 6.1 HERE]

Figure 6.1: Chemical lifetime versus spatial scale for SLCFs and their precursors. The precursors of SLCFs (NH_3 , NO_x and SO_2) are denoted with asterisks. The error bars denote the range of the species chemical lifetime and consequently of their spatial scale range. For example, the lifetime of tropospheric O_3 ranges from a few hours to several weeks. Apart from the certain species there are also considered families of species such as NMVOCs, HCFCs, HFCs and Halons. Specifically, for the families of species (NMVOCs, HCFCs, HFCs and Halons) the error bar in chemical lifetime reflects the range from the species of this family with the minimum lifetime to the species of the family with the maximum lifetime. The species and their chemical lifetimes for the families HCFCs, HFCs and Halons have been calculated according to Appendix A (Table A-1) of the WMO Scientific Assessment of Ozone Depletion (WMO, 2018).

[END FIGURE 6.1 HERE]

Since there is clear evidence that SLCFs are contributing significantly to climate change while also harming human health, agricultural production, and ecosystems, SLCFs are subject to regulations, in particular those targeting air quality improvement (Fiore et al., 2015; Vandyck et al., 2018; Von Schneidemesser et al., 2015).

6.1.1 Treatment of SLCF in previous assessments

Although tropospheric O_3 and aerosols have been considered in previous ARs, a real consideration of SLCFs as a specific category of climate relevant compounds only appeared in AR5 with the definition of near-term climate forcers (Myhre et al., 2013). In AR5, also the concept of air quality-climate interaction is introduced (Kirtman et al., 2013; Myhre et al., 2013).

A full list of RF for short-lived gases, aerosol, aerosol precursors and aerosol cloud interaction (ERFaci)

were provided within AR5, also with an evaluation of the confidence level of the forcing mechanisms from SAR to AR5. The evidence is also reported in AR5 that near-term climate forcers such as BC or SO₂, due to their highly inhomogeneous distribution, can - at the regional scale and over short time horizons - have a climatic effect comparable to that of CO₂ (Myhre et al., 2013).

AR5 also provided some evaluation of the air quality-climate interaction through the projected trends of O₃ and PM_{2.5}. Kirtman et al. (2013) concluded with *high confidence* that the response of air quality to climate-driven changes is more uncertain than the response to emission-driven changes, and also that locally higher surface temperatures in polluted regions will trigger regional feedbacks in chemistry and local emissions that will increase peak levels of O₃ and PM_{2.5} (*medium confidence*).

A much more detailed analysis on SLCFs is reported within the Special Report on Global Warming of 1.5 °C (SR15), published in fall 2018 (Allen et al., 2018a), where much more recent literature is analysed.

The SR15 provides evidence that an overall reduction of SLCFs can have effects of either sign on the Earth's temperature, depending on the balance between warming and cooling agents. In particular, it is evidenced that, over the next two-three decades, removal of SO₂ due to more stringent air quality legislation would result in additional warming, but that reductions in CH₄ emissions would partially compensate (*high confidence*) this warming effect. It is also evidenced that some SLCFs are co-emitted alongside CO₂, especially in the energy and transport sectors, and can therefore largely be addressed through CO₂ mitigation measures (Rogelj et al., 2018).

On the other hand, specific reductions of the warming SLCFs (CH₄ and BC) would in the short term contribute significantly to the efforts of limiting warming to 1.5°. Reductions of BC and CH₄ would have substantial co-benefits improving air quality and therefore limit detrimental effects to human health and agricultural yields. This would, in turn, enhance the institutional and socio-cultural feasibility of such actions in line with the United Nations' Sustainable Development Goals (H. de Coninck, A. Revi, M. Babiker, P. Bertoldi, M. Buckeridge, A. Cartwright, W. Dong, J. Ford, S. Fuss, J.C. Hourcade, D. Ley, R. Mechler, P. Newman, A. Revokatova, S. Schultz, L. Steg, 2018).

6.1.2 Sources & Processes

[START FIGURE 6.2 HERE]

Figure 6.2: Schematic of the sources and processes leading to tropospheric SLCF burden. Both direct emissions of SLCFs and the emission of precursors which can be transformed to SLCF through atmospheric chemistry processes or impact the lifetime of SLCF (indicated by τ_{CH_4}) are depicted. The natural emission source types include volcanoes, lightning, ocean, soil, biosphere, and wild fires. Anthropogenic emission classes illustrated are wild fires, agricultural sources, industry and transport. For a comprehensive list of SLCF emission sources see (Table 6. 1. Radiative forcing by SLCF can be net positive through interactions of SLCF with IR radiation, net negative through interactions with solar radiation, and net positive through increases of the surface albedo e.g. by black carbon deposition on snow. All natural emission source types but volcanoes can be influenced by climate change. A major air pollution influence on natural emissions is known to exist for biogenic emissions. Climate influences on anthropogenic emission types exist for wild fires and agricultural emissions. Both climate and air quality also impact atmospheric chemistry processes such as specific reaction rates, thus impacting SLCF concentration. For completeness the influence of both climate and air quality on human health is also indicated.

[END FIGURE 6.2 HERE]

As depicted in anthropogenic activities lead to either direct emission of SLCF or emission of species which through atmospheric chemistry processes influence the lifetime and concentration of LLGHGs and SLCFs (see section 6.2 for details). Atmospheric chemistry in this context is both, a source and sink of SLCFs. For instance O₃ and secondary aerosols are exclusively formed through atmospheric oxidation processes. The

OH radical, as key tropospheric oxidant, also reacts with SLCF, presenting a reactive sink for e.g. methane and thereby impacting its lifetime. Through SLCF radiative forcing (see section 6.3) key climate parameters such as temperature, hydrological cycle and weather patterns are changed, which influences the source strength of mainly natural sources of SLCF.

The lifetime of ozone in the troposphere varies considerably with season and location, ranging from a few hours in polluted urban regions up to several weeks in the upper troposphere (Monks et al., 2015b). Young et al. (2013) calculated a global mean lifetime for tropospheric ozone of 23.4 ± 2.2 days, in agreement with previous calculations by Stevenson et al. (2006). This means that tropospheric ozone has a sufficiently long lifetime to be affected by climate variability and associated changes in large-scale atmospheric circulation patterns on interannual to decadal time scales. The chemical lifetime of ozone in the stratosphere ranges also from less than a day in the upper stratosphere to several months in the lower stratosphere (Bekki and Lefevre, 2009).

The tropospheric lifetime of carbonaceous aerosol is estimated to be in the order of several days based on global model estimates. Black carbon lifetimes range from 3.2-17.1 days based on the models of the AEROCOM, ACCMIP multimodel projects and other published literature while OC lifetimes range from 3.2-6.9 days (He et al., 2016; Huang et al., 2013; Lee et al., 2013; Samset et al., 2014; Wang et al., 2014a). Tsigaridis et al., (2014) reported median lifetime of POA to be 4.8 days with individual models varying from 2.7 to 7.6 days while OA lifetime varies from 3.8-9.6 days based on the AeroCom II model evaluation. The same study reported higher lifetimes for secondary organic aerosols (SOA) with a range of 2.4-14.8 days.

For aerosols, the higher the solubility of the aerosol particles, the more efficiently precipitation can scavenge and remove the particles from the atmosphere. Sulphate and nitrate aerosol particles are both highly soluble and hence readily scavenged, while uncoated black carbon and soil dust are quite insoluble and hence less efficiently removed by precipitation. In general aerosol particles tend to have higher concentrations close to their sources, such as large urban areas, power plants or deserts, for instance. Aerosol deposition depends on particle size, where a 5-10 μm particles have a high sedimentation velocity, and particles less than 100 nm can have significant larger lifetime. For average aerosol composition and particle size, the lifetime is expected to be ZZ^1 [number To Be Determined] days.

6.1.2.1 Key sources of SLCFs

The combustion of fossil fuels and solid biomass are significant sources of anthropogenic SLCF emissions with the exceptions of NH_3 , and NMVOCs. The majority of emissions originate from agricultural sources including fertiliser application and livestock operations, while those of NMVOCs originate largely from solvent use and evaporative losses from the production, distribution, and use of fossil fuels. Even though combustion sources emit many SLCFs in conjunction with CO_2 (Table 6. 1), the fractional amounts of total emissions for a given SLCF vary dramatically between sources. For SO_2 and NO_x , most emissions arise from combustion of fossil fuels in stationary large-scale sources with significant regional contributions from transportation (NO_x) and industrial processes, e.g., non-ferrous metals smelters (SO_2). Emissions from the use of solid biomass for cooking and heating are key sources of OC, BC, and CO, and mobile sources of BC and CO are often equally important. Refrigeration and both stationary and mobile air conditioning are key sources of hydrochlorofluorocarbons (HCFCs) and hydrofluorocarbons (HFCs) (Montzka et al., 2015; Purohit and Höglund-Isaksson, 2017).

Open biomass burning by fires set in forests, savannahs, and agricultural fields is an important source of some SLCFs (Bond et al., 2013; Giglio et al., 2013; van Marle et al., 2017). Burning contributes 50%, 35%, and 20% of the global emissions of OC, CO, and BC subject to significant interannual variability. In the southern hemisphere, biomass burning is the major source of BC, OC and O_3 precursors. For other species including SO_2 , NMVOC, and NO_x , the contribution of biomass burning has been estimated at 2-10%. Large scale deforestation has been shown to have significant impacts ecosystems and human health as well as

¹ Need to insert value

climate (Scott et al., 2018). The biomass burning and biogenic emissions are subject to significant natural variability and are expected to increase in a warmer climate (Bowman et al., 2009; Lasslop and Kloster, 2017; Pechony and Shindell, 2010; Squire et al., 2014).

As depicted in natural sources also contribute to the atmospheric SLCF burden. Land ecosystems return to the atmosphere an estimated 1-2% of gross primary production in the form of biogenic volatile organic compounds (BVOCs) (Guenther et al., 2012). Upon atmospheric oxidation BVOC serve as precursors for O₃ and SOA and are involved in new particle formation. High uncertainty remains on the dependence of SOA mass formation on chemical conditions and mixtures of BVOC and NO_x. Lightning produces NO_x and since lightning NO_x is released in the upper troposphere, it has a disproportionately large impact on ozone (O₃) and thereby on hydroxyl radical (OH) and on the lifetime of methane (Gressent et al., 2015; Murray, 2016; Tost, 2017). Soil processes result in the production of dust and NO_x and could be sink or source of CO (Liu et al., 2018c). Oceans are also a significant source of sea-salt, NMVOCs (Brüggemann et al., 2018), carbon monoxide (Conte et al., 2019) and halogenated species (Simpson et al., 2015). Sulphur is also emitted to the atmosphere from natural processes, primarily by volcanic eruptions and by microbiological activities in the oceans.

The halogenated species that act as SLCFs are emitted in the atmosphere in the form of hydrochlorofluorocarbons (HCFCs), hydrofluorocarbons (HFCs), halons and others with a varying lifetime ranging from days to several years (1 to 2 decades) and act either as effective greenhouse gasses or as ozone-depleting substances (ODSs) in the stratosphere for the latter. The very short-lived halogenated substances (VSLs) include the halogenated trace gases whose local lifetimes are around 0.5 years or less. VSLs have non-uniform distribution in the troposphere and they contribute to stratospheric ozone depletion (WMO, 2018).

6.1.2.2 Key processes

The atmospheric oxidising capacity is key in the coupling between atmospheric chemical composition and climate change (see) and is characterised by the abundance of reactive oxidants, including hydroxyl radical (OH), ozone, hydrogen peroxide (H₂O₂), nitrate radical (NO₃-), and halogen radicals. OH is the primary cleansing agent in the troposphere controlling the atmospheric abundance and lifetimes of SLCFs (section 6.2.3). Better constraints on OH trends and variability are needed to balance the methane budget, as OH is the main sink of atmospheric methane. [Cross connection with Chapter 5]

Tropospheric ozone is produced photochemically by the oxidation of carbon monoxide (CO), methane (CH₄), and non-methane hydrocarbons (NMHC) in the presence of nitrogen oxides (NO_x) and sunlight. The stratosphere is another important source for tropospheric ozone with its magnitude determined by the quantity of ozone in the lowermost stratosphere and by the frequency and location of stratospheric intrusion events. The tropospheric ozone budget is governed by these production terms and sinks including deposition at the Earth's surface, and chemical loss processes such as the photolysis of O₃ to O(¹D) followed by reaction with water vapour as well as reactions with HO₂ and OH (Lelieveld and Dentener, 2000).

Both inorganic and organic aerosol species can be generated by secondary formation, with ammonium sulphate, ammonium nitrate and secondary organic aerosol (SOA) being the most abundant secondary aerosol components.

Reaction of ammonia with sulphuric acid and nitric acid produce ammonium sulphate and ammonium nitrate, a process which is favored by low aerosol acidity, low temperature, and high humidity (Weber et al., 2016). Ammonia also promotes aerosol nucleation by stabilising sulphuric acid clusters (Kirkby et al., 2011) and affects ecosystem functioning and biodiversity through deposition (Sheppard et al., 2011). SOA is produced through atmospheric oxidation reactions in which VOC are transformed to oxidised organic compounds (OVOC), generally also lowering the compound's vapor pressure.

6.1.3 Influences on Climate and Air Quality

6.1.3.1 Influence of SLCFs on climate

The sign and magnitude of the effective radiative forcing induced by SLCFs on the climate system depend on their chemical and physical characteristics. The main SLCFs that cause positive radiative forcing are CH₄, tropospheric O₃, BC, and HFCs (Myhre et al., 2013). Emissions of CO and NMVOCs are virtually certain to have induced a positive radiative forcing on climate (Myhre et al., 2013) because they lead to increases in the concentrations of CO₂, CH₄, and O₃ through chemical reactions (See Section 6.2 for more detail). NO_x is estimated to have a net negative radiative forcing through its effects on the concentrations of nitrate aerosol, CH₄, and tropospheric O₃ (Section 6.3.3). Among the major anthropogenic aerosol species in the atmosphere, SO₄²⁻, NO₃⁻, NH₄⁺, and OC induce a negative radiative forcing through aerosol–radiation and aerosol–cloud interactions (section 6.3.3), whereas BC causes a positive radiative forcing via the absorption of sunlight (section 6.3.3).

Based on the climate influences being due to SLCF interaction with radiation, the tropospheric distribution of SLCF determines their net radiative forcing. The limited atmospheric lifetime of SLCF implies that the total radiative forcing of an individual SLCF is related to its rate of emission and not its accumulation over decades as for LLGHG. As a result of their short lifetimes, SLCFs are heterogeneously distributed and hence the impacts of SLCF on climate vary on small spatial scales. Quantifying their concentrations, radiative forcings, and impacts on climate from sparse observational records of relatively short duration is challenging and have been key sources of uncertainties for understanding of climate change.

6.1.3.2 Influence of SLCFs on Air Quality

Air pollutant(s) may adversely affect human and animal health, degrade visibility, and damage agricultural systems, ecosystems, and property. These adverse impacts are incurred when pollutants in the atmospheric boundary layer interact with the object that is potentially affected. The physical and chemical measure of such pollutant(s) is defined as air quality. Air quality is strongly influenced by emissions of particular pollutant(s) in the region and prevailing meteorology that modulates pollutant accumulation, transport, transformation and removal. Air quality standards are often determined time delimited, for example daily averages of near-surface concentrations of specific pollutants

Since AR5 there has been significant research devoted to quantifying the health impacts of PM. The WHO has attributed 5.5-7 mio premature deaths due to air pollution of which about half from ambient pollution (World Health Organization, 2018). The majority of health impact studies are based on correlation and therefore do not firmly establish causal relationships. In addition, these health studies are still primarily based on mass concentration of particulate pollutants and provide very little information on size, composition or number concentration that are also important to assess health damage (Adams et al., 2015; Ferreira et al., 2016; Yang et al., 2014).

In summary, there is *high confidence* that elevated PM concentration impacts human health.

There is very limited new knowledge about PM damage to agriculture, ecosystems, and material and property damage since AR5. Some studies have documented damage to agricultural yield via solar dimming (Gu et al., 2017a; Shuai et al., 2013; Yang et al., 2013) but are limited to specific geographic regions. Few studies have examined aerosol-related damage to historical monuments mostly in Europe with little information from elsewhere (Di Turo et al., 2016; Fermo et al., 2015; Kontozova-Deutsch et al., 2011).

In summary, there is *medium confidence* on the impacts of ambient PM on agricultural yield, ecosystem and material damage.

Trace gases such as ozone, CO, NO_x, and SO₂ are important for air quality due to their impact on human health, damage to agriculture, ecosystems and materials (Hazucha et al., 2018; Shah et al., 2013). While

much of the recent research has focused on impact of ozone on human health (Berman et al., 2012; Fleming et al., 2018; Nuvolone et al., 2018), several studies have improved our knowledge on exposure to ambient NO₂, SO₂ and carbon monoxide and their associated health endpoints (Atkinson et al., 2016; Cai et al., 2014; Chen et al., 2012; Chossière et al., 2017; Franck et al., 2015; Lepeule et al., 2014; Liu et al., 2018a; Sifaki-Pistolla et al., 2017; World Health Organization, 2013; Yang et al., 2018). However, our knowledge on health impact of ambient trace gases other than ozone is less certain.

In summary, there is *high confidence* that elevated levels of ambient ozone impacts human health. However, there is *medium confidence* on human health impacts from ambient concentrations of other trace gases such as NO_x, CO, and SO₂.

The impact of ozone on agriculture and ecosystem damage has been studied more compared to limited studies of other trace gases such as sulphur dioxide and nitrogen oxides (Fuhrer et al., 2016; Lapina et al., 2016; Li et al., 2018a; Mills et al., 2018a; Wei et al., 2014). Ozone exposure impact on wheat (Bao et al., 2015; Kou et al., 2018; Mills et al., 2018b), rice (Danh et al., 2016; Sarkar et al., 2015; Yamaguchi et al., 2018) and soybean (McGrath et al., 2015; Osborne et al., 2016; Sun et al., 2014; Zhang et al., 2017b) yield are topics that are prominently reported since AR5.

In summary, there is *high confidence* that elevated levels of ambient ozone concentration damages agricultural yield and ecosystems. However, there is *low confidence* on the impacts of other trace gases such as NO_x, CO, and SO₂ on agricultural yield and ecosystems.

[START TABLE 6.1 HERE:]

Table 6.1: Sources and key emitted species of SLCFs (Table 6. 1)

Source	SLCFs (key species)	LLGHGs
Anthropogenic		
Energy (fossil fuel combustion)	NO _x ^{O,A} , SO ₂ ^A	CO ₂
Cooking and heating (fossil fuels)	SO ₂ ^A , CO ^O , NMVOCs ^{O,A} , BC ^A , OC ^A	CO ₂
Cooking and heating (biofuels)	CO ^O , NMVOCs ^{O,A} , BC ^A , OC ^A	CO ₂ ²
Refrigeration and air conditioning	HCFCs, HFCs	
Industry	NO _x ^{O,A} , CO ^O , SO ₂ ^A , NMVOCs ^{O,A} , BC ^A , OC ^A , HCFCs, HFCs	CO ₂ , N ₂ O
Fossil fuel exploration and distribution	NMVOCs ^{O,A} , CH ₄ ^O , BC ^A	
Solvents	NMVOCs ^{O,A}	
Transport	NO _x ^{O,A} , SO ₂ ^A , CO ^O , NMVOCs ^{O,A} , BC ^A , OC ^A , HCFCs, HFCs	CO ₂
Waste, incl. trash burning	CO ^O , NMVOCs ^{O,A} , BC ^A , OC ^A , CH ₄ ^O	CO ₂
Agriculture (Livestock, rice)	NH ₃ ^A , CH ₄ ^O	
Agriculture (Fertiliser application)	NH ₃ ^A , NO _x ^{O,A}	N ₂ O
....		
Biomass burning		
Forest, savannah fires, agricultural waste	NO _x ^{O,A} , CO ^O , NMVOCs ^{O,A} , BC ^A , OC ^A	CO ₂
Natural		
Vegetation	NMVOCs ^{O,A}	
Lighting	NO _x ^{O,A}	
Wetlands	CH ₄ ^O	

² In some regions biomass for cooking is harvested in a non-sustainable way (e.g., Bär et al., 2017; Mwema, 2015)

Soil	Dust ^A , CO ^O , NO _x ^{O,A}	
Ocean	Sea-salt ^A , CO ^O , NMVOCs ^{O,A} , halogenated species	CO ₂
Volcanos	SO ₂ ^A , CH ₄ ^O , Dust ^A	

Where ^O stands for ozone precursor and ^A stands for aerosol and aerosol precursor.

[END TABLE 6.1]

6.1.4 Policy relevance

Long-lived greenhouse gases (LLGHG) and short-lived climate forcers (SLCFs) affect the rate and magnitude of climate change (Myhre et al., 2013). The management of several SLCFs (BC, CH₄, tropospheric O₃, and HFCs) is considered as a fast-response, near-term measure to curb climate change, while reduction of emissions of long-lived greenhouse gases (LLGHGs) is an essential measure to mitigate long-term climate warming (Lelieveld et al., 2019; Rogelj et al., 2014; Shindell et al., 2012, 2017; Shoemaker et al., 2013; Von Schneidemesser et al., 2015). Achieving Paris Agreement goals as well as limiting warming to 1.5°C requires simultaneous and ambitious reductions of SLCFs and LLGHGs within the next decades (Rogelj et al., 2018).

Emission control measures for either SLCFs or LLGHGs often target specific sectors of anthropogenic activity, such as energy production, industry, transportation, and agricultural and residential activities (see section 6.4). Thus, co-emitted species within the targeted sector could lead to a complex mix of chemistry and climate perturbations. The understanding of the co-benefits of LLGHG and SLCF mitigation is essential for policy making. In AR5, the policy-relevance of SLCFs was not specifically addressed beyond emission metrics.

The discussion of targeted SLCF policies and their role in climate change mitigation ranges from critical assessment of the climate co-benefits (Allen et al., 2016a; Pierrehumbert, 2014; Rogelj et al., 2014; Smith and Mizrahi, 2013; Strefler et al., 2014), warning about diversion of resources from LLGHGs, especially CO₂, policies (e.g., Shoemaker et al., 2013) to seeing it as an opportunity to strengthening commitment and accelerate action on LLGHGs (Victor et al., 2015). The management of several warming SLCFs (CH₄, tropospheric O₃, BC, and HFCs) has been promoted as a fast-response, near-term measure to curb climate change, while reduction of LLGHGs is an essential measure to mitigate long-term climate warming (Shindell et al., 2012; UNEP/WMO, 2011).

Yet an agreed quantitative evaluation of the SLCFs effects on climate change is still a matter of debate and the projections span from a slowed global warming of 0.5°C over the next 25 years (Shindell et al., 2017) to an average value of 0.16°C by 2050 (Smith and Mizrahi, 2013), to a statement that even stringent air quality policies have almost no influence on medium- and long-term climate targets (Strefler et al., 2014). There is, however, a general consensus that measures to reduce SLCFs emissions should not reduce the pressure for an immediate action on CO₂ and other LLGHGs reduction (Bowerman et al., 2013; Rogelj et al., 2014; Shoemaker et al., 2013; Stohl et al., 2015) (*high agreement, robust evidence*).

Shindell et al. (2012) assessed the possibility to mitigate climate change through the control of SLCFs and the concurrent win-win benefits for human health agriculture and the cryosphere via improvements of air quality. Since then, research on air quality-climate interactions and feedbacks has brought new attention to policy communities on the possibility of win-win mitigation policies that could both improve air quality and mitigate climate change, possibly also reducing the cost of interventions (Anenberg et al., 2012; Schmale et al., 2014a, 2014b; Shindell et al., 2017). Haines et al. (2017) and Shindell et al. (2017) connect the measures to mitigate SLCFs with the achievements of some of the UN Sustainable Development Goals (SDG). Indeed, most co-benefits research to date focuses on the impacts of climate mitigation strategies on air quality and human health, in particular to meet Nationally Determined Contributions (NDCs) and/or specific global temperature targets (Chang et al., 2017; Haines et al., 2017; Li et al., 2018c; Markandya et al., 2018; Rao et al., 2016; Shindell et al., 2016, 2017; West et al., 2013; Williams et al., 2018; Xie et al., 2018; Zhang et al., 2016). Such co-benefits of climate mitigation for air quality and human health can dominate the costs of the

climate measures (Li et al., 2018c; Saari et al., 2015) (*high agreement, medium evidence*). A growing number of studies analyses the co-benefits of current and planned air quality policies on LLGHGs and global and regional climate change impacts (Akimoto et al., 2015; Lee et al., 2016; Lund et al., 2014; Maione et al., 2016; Peng et al., 2017).

It must be recognized that neither ambitious climate change policy nor air quality abatement policy will automatically yield co-benefits without integrated policies aimed at co-beneficial solutions (Melamed et al., 2016; Schmale et al., 2014b; Zusman et al., 2013), especially in the energy generation and transport sectors (Rao et al., 2013; Thompson et al., 2016).

[START BOX 6.1 HERE]

BOX 6.1: Chain connecting emission to concentration to impact

Short-lived climate forcers include methane, ozone and aerosols, and their precursors, as well as some halogenated species. They stem from multiple emission processes either natural or anthropogenic (Hoesly et al., 2018). They interact with other species in the atmosphere which may lead to chemical and physical changes. Eventually, over a time span that ranges from a few hours to a few years depending on their characteristics, they are either chemically (e.g., reaction with oxidizing agents, such as hydroxyl radical (OH), nitrate radical, ozone, reactive halogens) or physically (e.g., dry or wet deposition) removed from the atmosphere. In addition to absorb/reflect radiation and interacting with clouds, thereby affecting climate (Boucher et al., 2013), some of these compounds also have detrimental effects on human health, ecosystems and weather (Landrigan et al., 2017; Li et al., 2016a; Rogelj et al., 2014; Sarangi et al., 2018; Stohl et al., 2015; Undorf et al., 2018; Von Schneidemesser et al., 2015). They can also be deposited on ecosystems and the cryosphere affecting vegetation and agriculture (Shindell, 2016; Tai et al., 2014), or accelerating the melting of snow and ice (Qian et al., 2015).

[START BOX 6.1, FIGURE 1 HERE]

Box 6.1, Figure 1: Schematic of the sources and processes leading to tropospheric SLCF impacts

[END BOX 6.1, Figure 1 HERE]

[END BOX 6.1 HERE]

6.2 SLCF emissions and atmospheric abundance

There are significant differences in emission structure across global regions. Until 1950, majority of SLCF emissions associated with fossil fuel use (SO₂, NO_x, NMVOCs, CO) and about half of BC and OC originated from North America and Europe (Hoesly et al., 2018; Lamarque et al., 2010). The last two decades brought a dramatic change with strong economic growth in Asia and declining emissions in North America and Europe due to air quality legislation and declining capacity of energy intensive industry, resulting in more than 50% of anthropogenic emissions of all species (including NH₃) originating from Asia (Amann et al., 2013; Bond et al., 2013; Crippa et al., 2016, 2018; Fiore et al., 2015; Hoesly et al., 2018; Klimont et al., 2017a; Lamarque et al., 2010) (*high confidence*). Growing remote sensing capacity has been providing independent evaluation of estimated pollution trends in the last decade (Duncan et al., 2013; Fioletov et al., 2016; Geddes et al., 2016; Irie et al., 2016; Krotkov et al., 2016; Lamsal et al., 2015; Luo et al., 2015; Richter et al., 2005; Wen et al., 2018).

6.2.1 Global and regional temporal evolution of SLCF emissions

Recent work has led to revised global estimates of anthropogenic SLCF emissions (Crippa et al., 2016; Klimont et al., 2017a; Montzka et al., 2015; Prinn et al., 2018; Sharma et al., 2015; Turnock et al., 2016; Wang et al., 2014b, 2014c; Zheng et al., 2018b) and to the re-estimation of historical emissions of SLCFs (Lamarque et al., 2010) that were used to develop the Representative Concentration Pathways. The historical anthropogenic emissions for use in the CMIP6 are discussed in Hoesly *et al.* (2018) and for several species the revised estimates show for all species, except SO₂ and CO, a different trend than CMIP5, i.e., continued strong growth of emissions driven primarily by developments in Asia (see 6.2.1.2). Additionally, Hoesly *et al.* (2018) have extended estimates of anthropogenic emissions back to 1750 and developed an updated and new set of spatial proxies allowing for more differentiated (source sector-wise) gridding of emissions (Feng et al., 2019). Emissions from open biomass burning have been reviewed and updated for CMIP6 (van Marle et al., 2017) and at the global level show fairly constant emissions until about 1980, followed by a significant increase until about 2000. The CMIP5, based on GFED2, GICC, and RETRO (Lamarque et al., 2010), estimated gradual decline of emission from 1920 to about 1950 and then steady, and stronger than CMIP6, increase towards 2000. The differences in estimates of emissions vary across species due to the updated emission factors and contribution of forest versus savannah fires with globally higher NO_x and lower OC in CMIP6. There are more substantial differences at the regional level, especially for the United States, South America (south of Amazonas), and southern hemisphere Africa (for details see van Marle *et al.* (2017)).

6.2.1.1 Anthropogenic sources

For most of the SLCF species, the global and regional anthropogenic emission trends developed for CMIP6 for the period 1850 to 2000 are not dramatically different from those used in CMIP5. However, there are some differences in magnitudes of emissions, i.e., the revised estimates are lower, than previously assumed, in the period 1850-1950 (NO_x, BC, OC, and CH₄) and higher in the last few decades for NO_x, BC, OC, and NH₃. At the global level, the differences between CMIP5 and CMIP6 historical estimates are typically below 10% for the pre-2000 period, except for CH₄ and CO where for pre-1950 period CMIP6 shows 10-50% higher values for CO and 10-50% lower for CH₄ (Hoesly et al., 2018). For the last decades, CMIP6 dataset shows for all species, except SO₂, a different trend than CMIP5, i.e., continued strong growth of emissions driven primarily by developments in Asia (see more detailed discussion in sections 6.2.2 and 6.2.1.2).

The RCP projections were harmonised for the year 2000 (van Vuuren et al., 2011), shortly before rapid economic development of large Asian countries (China and India) started. The CMIP6 projections are harmonised to 2015 and while this is only 15 years' difference, the world, and especially Asia, lived through very significant changes of LLGHGs and SLCF emissions. The unprecedented growth of East and South Asian emissions since 2000 led to devastating air pollution episodes across the region and changed the global landscape of emissions making Asia the dominant SLCF source region. In spite of the success of environmental legislation introduced in several countries affecting the trends in specific regions: North America and Europe (Amann et al., 2013; Crippa et al., 2016; Holland et al., 2012; Jiang et al., 2018; Turnock et al., 2016), and recently in parts of Asia, especially China (Zhang et al., 2012; Zheng et al., 2018b, 2018a), emissions of most of the species show no signs of stabilisation or decline, except decline of SO₂ and CO (*high confidence*), and since 2011 stabilization of NO_x (Hoesly et al., 2018) and **Erreur ! Source du renvoi introuvable.** (*medium confidence*). This contrasts with assumptions in RCP projections for the last decade, especially for NO_x, NMVOCs, CH₄, BC and OC (Figure 2 in Hoesly *et al.* (2018), **Erreur ! Source du renvoi introuvable., Erreur ! Source du renvoi introuvable.**).

For SO₂, the strong growth of Asian emissions have been offset by reduction in North America and Europe and since about 2006 also Chinese emissions appear to decline strongly (Zheng et al., 2018b) (**Erreur ! Source du renvoi introuvable.**) (*high confidence*). The estimated reduction in China counteracts continuing strong growth of SO₂ emissions in South Asia.

Global Emissions of NO_x have been growing very fast in spite of the successful reduction of emissions in North America, Europe, OECD Asia (Crippa et al., 2016; Jiang et al., 2018; Turnock et al., 2016) (**Erreur ! Source du renvoi introuvable.**) and continuous efforts to strengthen the emission standards for road vehicles in most countries. In many regions, vehicle increase and growing demand for energy, and

consequently large number of new fossil fuel power plants, have been more than offsetting these reductions. Since about 2011, the global emissions appear to decline (*medium confidence*) and the rate of that decline might be underestimated in CMIP6 data since one of the major drivers of change are reduction in China which are rather small in CMIP6 (**Erreur ! Source du renvoi introuvable.** and (Hoesly et al., 2018)). Recent bottom up emission estimates (Zheng et al., 2018b) largely confirm what has been shown in satellite data; a strong decline of NO₂ column over Eastern China (see section 6.2.2) although bottom up estimates indicate slower decline than remote sensing.

Discovery of oil and a car marks the beginning of steep growth of NMVOC emissions; oil production-distribution and vehicles have dominated NMVOC emissions for most of the last century (Hoesly et al., 2018). Efforts to control transport emissions were largely offset by fast growth of emissions from chemical industries and solvent use as well as emissions from fossil fuel production and distribution. At a global level, anthropogenic emissions of NMVOC continue to grow (**Erreur ! Source du renvoi introuvable.**)

Emissions of carbonaceous aerosols (BC, OC) have been steadily increasing and since 1950 about doubled (Hoesly et al., 2018) (*medium confidence*). Before 1950, North America and Europe contributed about half of the global total but successful introduction of diesel particulate filters on road vehicles (Fiebig et al., 2014; Klimont et al., 2017a; Robinson et al., 2015) and declining reliance on solid fuels for heating brought in large reductions (**Erreur ! Source du renvoi introuvable.**) (*high confidence*). Currently, these emissions originate primarily from Asia and Africa (Bond et al., 2004, 2007, 2013). The recent estimates of BC and OC highlighted some ‘new’ sources, e.g., kerosene lamps and gas flaring, revised estimates for open burning of waste, regional coal consumption (e.g., China), and estimates for Russia (Conrad and Johnson, 2017; Evans et al., 2017; Huang et al., 2015; Huang and Fu, 2016; Kholod et al., 2016; Klimont et al., 2017a; Stohl et al., 2013). Consideration of these new and revised sources in emission levels led to increase of the global anthropogenic BC estimate for 2010 by over a million tons; over 15 percent higher than in the CMIP5 estimates for the first decade of 21st century. (**Erreur ! Source du renvoi introuvable.**). The estimates of emissions of carbonaceous aerosols remains, however, very uncertain.

Bottom up global emission estimates of CH₄ (Hoesly et al., 2018; Höglund-Isaksson, 2012; Janssens-Maenhout et al., 2019; Lamarque et al., 2010) for the last two decades are higher than top down assessments (Saunio et al., 2016), primarily due to larger estimates for natural sources, but the overall trends are similar – steady growth (*high confidence*). Larger discrepancies exist at the sectoral and regional level, for example for coal mining (Miller et al., 2019; Peng et al., 2016b) or oil and gas sector where higher losses were estimated (Dalsøren et al., 2018; Höglund-Isaksson et al., 2017), especially from unconventional production (Alvarez et al., 2018), i.e., up to 60% higher than previous US EPA estimates. Detailed discussion of methane sources, sinks, trends, and their evaluation is provided in Chapter 5; section 5.2.3).

Invention of Haber-Bosch process over hundred years ago coincides with a strong increase in NH₃ emissions (Erisman et al., 2008), which has been further accelerated with increasing livestock production. NH₃ emissions continue growing (*high confidence*) and the trends estimated in CMIP5 and CMIP6 are the same, while in absolute terms CMIP6 has somewhat higher emissions as it includes emissions from wastewater and human waste that were largely missing in CMIP5 (Hoesly et al., 2018). The continuing increase in NH₃ emissions in the US and Europe has not been confirmed by recently available satellite retrievals of NH₃ concentrations, however, this has been attributed to simultaneous decline in emissions of SO₂ and NO_x; see section 6.2.2.7.

[START FIGURE 6.3 HERE]

Figure 6.3: Global evolution of anthropogenic and biomass burning SLCF emissions. Past emissions based on (Hoesly et al., 2018; van Marle et al., 2017) for CMIP6 and (Lamarque et al., 2010) for CMIP5. Projections originate from the SSP database [<https://tntcat.iiasa.ac.at/SspDb/dsd>] (Gidden et al., 2018; Riahi et al., 2017; Rogelj et al., 2018) and RCP database [<https://tntcat.iiasa.ac.at/RcpDb/dsd>] (van Vuuren et al., 2011). [further discussion needed if we add few points from other studies for the future paths].

[END FIGURE 6.3 HERE]

[START FIGURE 6.4 HERE]

Figure 6.4: Regional evolution of anthropogenic and biomass burning SLCF emissions. Past emissions based on (Hoesly et al., 2018; van Marle et al., 2017) for CMIP6 and (Lamarque et al., 2010) for CMIP5 [not included yet; also CH₄ and NMVOC missing]. Projections originate from the SSP database [https://tntcat.iiasa.ac.at/SspDb/dsd] (Gidden et al., 2018; Riahi et al., 2017; Rogelj et al., 2018). [RCP ranges might be added later and we need to revisit the issue of showing here potentially only anthropogenic emissions, i.e., no BB]

[END FIGURE 6.4 HERE]

6.2.1.2 Natural sources

Over the last decades, satellite-borne and ground sensors have improved the characterisation of the global spatial and temporal distribution of lightning flashes (Cecil et al., 2014; Virts et al., 2011). Constraining the amount of NO_x produced per flash, and its vertical allocation has been more elusive (Medici et al., 2017; Miyazaki et al., 2014; Nault et al., 2017). Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP) models in AR5 used a range of lightning NO_x (LNO_x) between 1.2 to 9.7 TgN yr⁻¹ (Lamarque et al., 2013). Most of these models apply a parameterisation that relates cloud-top-height to lightning intensity (Price and Rind, 1992). These models project an increase in lightning in a warmer world (+0.44 ± 0.05 TgN per °C) (Finney et al., 2016b); whereas models using parameterisations based on convection (Grewe et al., 2001), updraft mass flux (Allen and Pickering, 2002), or ice flux (Finney et al., 2016a) show either much less sensitivity or a negative response (Finney et al., 2016b, 2018). In sum, changes in LNO_x with climate, and its impacts on ozone and methane remain highly uncertain.

[Place holder for analyses of LNO_x under CMIP/AerChemMIP]

A wide range of organic compounds are emitted including isoprene, monoterpenes, sesquiterpenes, alkenes, alcohols, aldehydes, and ketones (Guenther et al., 2012). The ecological and physiological roles of BVOCs are broad and range from abiotic and biotic stress functions to integrated components of carbon metabolism (Loreto et al., 2014). The photo-oxidation of BVOC emissions plays a fundamental role in atmospheric composition by controlling the regional and global budgets of ozone and organic aerosol and the lifetime of methane (Arneth et al., 2010; Heald and Spracklen, 2015). Isoprene is the most abundant BVOC emission and has an estimated annual source rate of 300–700 TgC yr⁻¹ (Guenther et al., 2012). Isoprene is emitted from terrestrial vegetation, primarily from woody plants, and its production is biochemically linked to photosynthetic carbon assimilation in plant chloroplasts (Kesselmeier and Staudt, 1999; Loreto et al., 2014). The biological function of isoprene production is not fully understood, but may be related to protection of the plant against environmental stresses, such as heat or oxidative stresses (Sharkey and Monson, 2017). Different species of vegetation exhibit different capacities to emit isoprene under standard light and temperature conditions (Guenther et al., 2006). Among all biomes, broadleaf tropical forests are the world's largest emitters of isoprene; grassland and crops are either low or non-emitting for isoprene (Guenther et al., 2006).

The isoprene and monoterpene emission rates respond to a number of environmental factors, including light, temperature, and ambient CO₂ levels, and are therefore highly sensitive to global change (Guenther et al., 2012; Loreto et al., 2014). Since AR5, there is *medium evidence* and *high agreement* based on global modelling studies that anthropogenic LULCC, and the historical cropland expansion in particular, are the dominant drivers of isoprene emission change since the preindustrial with results converging on a 10–25% loss of isoprene emission globally due to the historical cropland expansion between 1850 and present day (Heald and Geddes, 2016; Lathière et al., 2010; Scott et al., 2017; Unger, 2013, 2014) (*high confidence*). There are 2 implications of this new finding since AR5. Firstly, isoprene emission was higher in the preindustrial than present day (Heald and Geddes, 2016; Lathière et al., 2010; Scott et al., 2017; Unger, 2013,

2014). Secondly, isoprene emission change over the past century was driven by human LULCC and is therefore a human-induced climate forcing mechanism in addition to a climate feedback mechanism (Unger, 2013) (*high confidence*). The impacts of human land-use driven changes in BVOC emissions on the SLCFs is discussed in Section 6.4.2. The historical evolution of monoterpene and sesquiterpene emissions is less well studied and there is no robust consensus on even the sign of the change. A study in the Amazon found that the chemical composition of monoterpene emissions changes under elevated temperature conditions (Jardine et al., 2016).

Global fires burn 475 ± 44 M ha yr⁻¹ during 1997-2016 (Giglio et al., 2013), contributing to an annual carbon emission of 2.2 ± 0.3 PgC and the associated air pollutants such as organic carbon (16.4 ± 3.2 Tg yr⁻¹), black carbon (1.9 ± 0.3 Tg yr⁻¹), nitrogen oxides (14.6 ± 1.8 Tg yr⁻¹), non-methane hydrocarbon (17.8 ± 2.4 Tg yr⁻¹), and PM_{2.5} (36.4 ± 6.0 Tg yr⁻¹) (van der Werf et al., 2017). Regionally, fire emissions worsen air quality over U.S., China, and Europe (Cheng et al., 2014; Yue et al., 2013), causing threats to the public health (Liu et al., 2017a) and ecosystem productivity (Yue and Unger, 2018). Analyses based on historical charcoal data (Harrison et al., 2018) or present-day fire frequency (Westerling, 2006) reveal high correlations between fire activity and global average temperature, suggesting a likely enhancement of area burned and the consequent fire pollution in a warming future.

[PLACEHOLDER: Add discussion of natural dust and sea salt]

[START BOX 6.2 HERE]

BOX 6.2: The three pillars to improve the SLCF understanding: Laboratory/Theoretical studies, Observations, Atmospheric chemistry models

Together, laboratory studies, field observations, and modelling studies form the three pillars of atmospheric chemistry that inform our understanding of the processes that influence atmospheric chemical composition, including SLCFs (Abbatt et al., 2014). Laboratory studies provide detailed chemical reactions species go through, which can then be used to develop simplified chemical mechanisms. These chemical mechanisms are implemented in computer models along with numerical representations of emissions, deposition and transport processes to predict atmospheric composition across a range of temporal and spatial scales. Comparisons with field observations are used to build confidence in model predictions or to identify shortcomings in chemical mechanisms. This box provides an overview of the development of chemical mechanisms from laboratory/theoretical studies, types of observations available for evaluation, and models applied for assessing SLCF distribution and evolution.

Process-level understanding of tropospheric chemistry developed through laboratory and simulation chamber experiments as well as quantum chemical theory is used to generate chemical mechanisms. Atmospheric simulation chambers are designed to identify the chemical pathways and quantify reaction kinetics. In such system, atmospheric chemistry is isolated from atmospheric transport, deposition and emission processes. Ideally the chemical regimes studied, simulate atmospheric complexity and concentration. Atmospheric simulation chambers facilitate studies of both gas- and aqueous-phase chemistry as well as determination of aerosol optical properties. Many experiments in atmospheric simulation chambers focus e.g. on the oxidation mechanism of a single VOC. Recently quantum chemical (QC) theory has advanced to a level that it can provide kinetic and product information in a parameter range not accessible to experiments (Vereecken et al., 2015). Iterative and interlinked use of simulation chamber and QC-theory has led to the advancement of chemical mechanisms, such as the photochemistry of isoprene under low NO_x conditions (Fuchs et al., 2013a; Nguyen et al., 2010; Peeters et al., 2009, 2014). The sheer multitude of different chemical compounds present in the troposphere precludes the experimental and theoretical investigation of the entire tropospheric trace substances. On the basis of the available experimental and/or theoretical data, however, it is possible to extrapolate the reactivity of compounds in a given class of reactions, and summarize them as a structure–activity relationship (SAR) (Vereecken et al., 2015, 2018). Using such SARs, extensive chemical schemes have been developed which describe the chemistry of hundreds of thousands of species through millions of reactions. For application in chemistry climate models (CCM), the chemical mechanisms need to be computationally efficient, requiring simplifications. Such simplifications include reduced hydrocarbon

representations, the application of lumping techniques (one species representing a family of compounds) and/or the implementation of artificial operators representing artificially key steps of the chemistry, for example for the NO_x conversion essential for the ozone production (Carter, 2010; Emmerson and Evans, 2009; Stockwell et al., 2012; Xia et al., 2009).

Observations of atmospheric concentrations and fluxes are used to evaluate atmospheric chemistry models and test the knowledge acquired from controlled laboratory experiments. A wide range of in situ (instrument near the subject of interest) and remotely sensed (instrument some distance away from the subject of interest) measurements conducted from a variety of platforms (ground-based stations, balloons, ships, aircrafts and satellites) are used to characterize atmospheric composition (Brasseur and Jacob, 2017). Several methods, including spectroscopy, mass spectrometry, wet chemistry and filters, are used to measure concentrations. Measurements made routinely as part of long-term monitoring programs involve surface networks (e.g., NOAA Global Greenhouse Gas Reference Network- GGGRN), sondes (e.g., Southern Hemisphere Additional Ozonesondes - SHADOZ), commercial aircraft (e.g., In-service Aircraft for a Global Observing System- IAGOS) or satellites (e.g., MOPITT, SCIAMACHY). Long-term monitoring initially started for a few species but has proceeded to include more species as instrumentation techniques have advanced. They are particularly useful for assessing long-term trends and variability and spatial distributions (as in section 6.2.2). Retrieval of atmospheric concentrations from satellites, in particular, has been tremendously useful for providing global continuous coverage, although the retrievals themselves depend on prior information of atmospheric composition usually derived from models. Intense field campaigns typically provide a more comprehensive view of atmospheric composition at a specific location of interest for a limited time period. Field campaign measurements are generally geared towards gaining an improved understanding of specific processes. Over the last decade or so, observations of atmospheric concentrations have been combined with information from models to produce global assimilation and forecasting systems. These systems estimate the state of the atmosphere and its chemical composition either for near real time forecasts such as the CAMS (Copernicus Atmosphere Monitoring Service) near-real-time system (Akritidis et al., 2018; Inness et al., 2015b) or reanalysis products such as MERRA (Modern-Era Retrospective analysis for Research and Applications) and MERRA-2 (Gelaro et al., 2017; Molod et al., 2015), MACC (Monitoring Atmospheric Composition and Climate) (Eskes et al., 2015; Gaudel et al., 2015; Katragkou et al., 2015) and CAMS (Flemming et al., 2017; Inness et al., 2019).

An atmospheric chemistry model is a mathematical representation of the many complex physical and chemical processes that determine the atmospheric composition as informed by process-based laboratory studies (Brasseur and Jacob, 2017). These models are applied extensively to simulate the distribution and evolution of chemical species on a variety of spatial and temporal scales to improve current knowledge, and to make future projections for various scenarios. Models are also used to interpret variability in observations to gain scientific understanding of the processes that drive observed variability. The wide range of atmospheric chemistry applications dictates an equally diverse collection of model types depending upon model domain and resolution, the level of details in chemical mechanisms, and representation of meteorology (e.g., Young et al. 2018). For example, local scale box models, at one extreme, can be applied to evaluate chemical mechanisms through an extremely detailed representation of gas-phase or heterogeneous chemistry and no treatment of transport (e.g., MCM, ISORROPIA). At the other extreme, global three-dimensional chemistry-climate models (CCMs) (Figure 1) with atmospheric chemistry processes (in simple parameterized forms) embedded within a climate model representing the full coupling of chemistry with meteorology (e.g., Morgenstern et al. 2017) can be applied to study global scale chemistry-climate interactions. Results from global chemistry-climate models are used in this chapter to assess how SLCFs have evolved in the past and predict their future evolution.

Quantifying model errors is critical to evaluate the value of the model for the application of interest. The skill of CCMs is typically demonstrated by their ability to reproduce observations relevant to the problem of interest. However, uncertainty remains large not only because of errors and uncertainties in observations (e.g., Tarasick et al., 2019; Gaudel et al. (2018) but also because of the representativeness of the available measurements (e.g., Young et al. 2018). Issues related to representativeness include incomplete sampling of sparse observations, mismatch between spatial and/or temporal scales of the measurements and those of the model output, and inconsistency between observed meteorological variability and that generated by free-

running CCMs. Errors related to models themselves include those related to model formulation of chemical and physical processes, i.e., model structure (e.g., chemical mechanisms, parameterization for deposition), model input parameters (e.g., reaction rate constants, emissions), numerical errors, and model implementation (Brasseur and Jacob, 2017; Young et al., 2018). Multiple ways of characterizing errors in measurements and models have been used in atmospheric chemistry depending upon the problem of interest. For example, simulations of CCMs are typically performed in the nudged mode i.e., model is driven by observed meteorology, rather than in the free-running mode for consistent comparison of model output with observations for a specific year. Chemical mechanisms implemented in CCMs are evaluated and intercompared to assess their skill in capturing relevant chemistry features necessary for addressing the problem of interest (e.g., Brown-Steiner et al. 2018). Multi-model intercomparison approach, wherein output from simulations of different models but for the same conditions are averaged to create ensemble mean, has been particularly useful for characterizing errors in CCM simulations of SLCFs related to structural uncertainty and internal variability (Naik et al., 2013b; Shindell et al., 2013; Young et al., 2013). The assumption is that the average of different models is better than any individual model. However, this approach is unable to capture the full structural uncertainty as it represents a collection of simulations from models that were able to complete the simulations and they can be confounded by shared model components leading to shared biases (Flato et al., 2013).

For the assessment of the SLCF budgets and abundances in this chapter, results from CCMs participating in CMIP6 (Eyring et al., 2016). Aerosol and Atmospheric Chemistry Model Intercomparison Project (AerChemMIP) (Collins et al., 2017), ScenarioMIP (O'Neill et al., 2016) are used. Simulations for AerChemMIP were specifically designed to quantify the climate and air quality impacts of short-lived climate forcers.

[START BOX 6.2, FIGURE 1 HERE]

Box 6.2, Figure 1: Schematic depiction of knowledge exchange between laboratory/theoretical studies, observations and global chemistry-climate models to inform our understanding of SLCFs.

[END BOX 6.2, FIGURE 1 HERE]

[END BOX 6.2 HERE]

6.2.2 Atmospheric processes and SLCF abundances

[START TABLE 6.2 HERE]

Table 6.2: Table 6. 2: Summary of SLCF influence on forcing, sources, sinks and atmospheric evolution processes, and their tropospheric lifetimes. As shown in the table, some SLCFs are directly emitted while others are formed by chemical interactions in the atmosphere (column 3); some emitted SLCFs can directly influence the Earth's radiation balance while others produce or influence the concentration of other radiatively active species (column 2).

SLCF	Influences Forcing from	Source	Sink	Evolution	Lifetime
NO _x	O ₃ , NO ₃ ⁻ , CH ₄	Emission	Chemical reactions, deposition	Photochemical steady state	Hours – Days ¹
CO	O ₃ , CH ₄ , CO ₂	Emission, chemical production	Chemical reactions, deposition		1-4 Months ¹

NMVOCs	O ₃ , CH ₄ , SOA, CO ₂	Emission, chemical production	Chemical reactions, aerosol formation, deposition	Hours – Months ^b	
CH ₄	CH ₄ , O ₃ , CO ₂	Emission	Chemical reactions, deposition	~9-12 Years	
O ₃	O ₃	Chemical production, transport from stratosphere	Chemical reactions, deposition	Hours-Months ^a	
SO ₂	SO ₄ ²⁻ , O ₃ , NH ₄ ⁺ , NO ₃ ⁻	Emission	Chemical reactions, aerosol formation, deposition	1 Week ^a	
NH ₃	NH ₄ ⁺ , NO ₃ ⁻ , SO ₄ ²⁻	Emission	Chemical reactions, aerosol formation, deposition	Hours	
Halogenated species	Halogenated species, O ₃ , CH ₄	Emission	Chemical reactions, deposition	Days – Years	
Primary aerosols	BC, OC, sea salt, mineral dust	Emission	Gas-particle partitioning, deposition	Cloud processing, chemical aging, aerosol water interaction	Size dependent; Minutes to Weeks ^a
Secondary aerosols	NH ₄ ⁺ , NO ₃ ⁻ , SO ₄ ²⁻ , SOA	Gas- and particle phase reactions	Gas-particle partitioning, deposition	Cloud processing, chemical aging, aerosol water interaction	2.2-15 days ^{c,d}

^a(Seinfeld and Pandis, 1998);^b(Atkinson and Arey, 2003);^c(Tsigaridis et al., 2014); ^d(Hodzic et al., 2016)

[END TABLE 6.2 HERE]

The distribution (spatial and temporal) of SLCFs in the Earth's atmosphere and their lifetime (residence time, Table 6. 2) are determined by a number of chemical and physical processes. Several primary pollutants (emitted directly, covered in Section 6.2.1) may interact with other chemically active species, including particles and water vapor, in the presence of solar radiation to produce secondary species that are radiatively active. The distribution and lifetime of SLCFs may also be modified by physical processes, including deposition (dry, wet, sedimentation), and long-range transport (inter-continental or stratospheric-tropospheric exchange). This section assesses our current understanding of the atmospheric processes, and historical trends and variability in abundances (from observations and models) of SLCFs. How recent advances in observations have enhanced our understanding of the changes in the distribution of each species is also assessed here.

6.2.2.1 Nitrogen Oxide (NO_x)

Changes in tropospheric NO_x (NO_x = NO + NO₂) have implications for radiative forcing via its influence on tropospheric ozone, nitrate aerosols and methane (through OH and ozone), and for air quality. Once emitted in

the atmosphere, NO_x undergoes chemical processing, including the formation of nitric acid (HNO₃), nitrate (NO₃⁻), organic nitrates (e.g. alkyl nitrate, peroxyacyl nitrate), transport, and deposition resulting in a lifetime of hours to days. The distribution of tropospheric NO_x is highly variable in space and time owing to its short lifetime coupled with highly heterogeneous emission and sink patterns.

Despite challenges in extracting information from satellite observations (Duncan et al., 2014; Lin et al., 2015; Lorente et al., 2017), the availability of and refinements in satellite-derived tropospheric NO₂ columns over the past two decades have facilitated improved understanding of the global distribution, long-term trends, and source attribution of NO_x. Analysis of long-term average tropospheric NO₂ column reveals highest NO₂ levels (> 5x10¹⁵ molecules cm⁻²) over the most populated and industrialised regions of the world (Krotkov et al., 2016), including eastern United States of America (USA), western Europe, and eastern China where NO₂ hotspots are associated with large urban agglomerations (Duncan et al., 2016; Schneider et al., 2015), power plants (de Foy et al., 2015; Duncan et al., 2013), and industrial areas (Krotkov et al., 2016). Compared to these mid-latitude industrialised areas, relatively lower NO₂ columns (1-2 x10¹⁵ molecules cm⁻²) are observed over tropical source regions, including, India and the Middle East, as a result of lower emissions and shorter NO₂ lifetimes (Beirle et al., 2011; Krotkov et al., 2016). Similarly, lower, but significant and highly variable, NO₂ columns are observed over biomass burning regions in the tropical and boreal forests (Castellanos et al., 2014; Garivait, 2015)

AR5 reported NO₂ decreases by 30-50% in Europe and North America and increases by more than a factor of two in Asia over the 1996 to 2011 time period based on satellite observations (Hartmann et al., 2013). Extension of this analysis covering time period up to 2015 reveals that NO₂ has continued to decline over the USA, western Europe, and Japan (Duncan et al., 2016; Krotkov et al., 2016; Schneider et al., 2015) because of effective fossil fuel NO_x emission controls (Section 6.2.1). The rate of decline in NO₂ over the USA, however, has slowed down post-2011 as indicated by both satellite and ground-based NO₂ measurements (Jiang et al., 2018). Satellite observations also reveal a 32% decline in NO₂ column over China after peaking in 2011 consistent with declining NO_x emissions (Section 6.4.1) due to the implementation of emission control strategies (de Foy et al., 2016; Irie et al., 2016; Liu et al., 2016a). Over South Asia, satellite-derived tropospheric NO₂ levels have grown rapidly with increases of 50% over India during the 2005 to 2015 time period (Duncan et al., 2016; Krotkov et al., 2016). Satellite data reveals spatially heterogeneous NO₂ trends over the Middle East with an overall increase over the 2005-2010 period (Duncan et al., 2016; Lelieveld et al., 2015a), however trends after 2010 are uncertain (Barkley et al., 2017; Krotkov et al., 2016; Lelieveld et al., 2015a). At the regional scale, satellite-derived tropospheric NO₂ levels over Africa and Latin America do not show a clear trend, however, notable NO₂ changes are observed over large agglomerations since 2002 (Duncan et al., 2016; Schneider et al., 2015).

Quantitative constraints derived from isotopic composition of atmospheric nitrate inferred from ice cores provide evidence of increasing anthropogenic NO_x sources since pre-industrial times (Geng et al., 2014; Hastings et al., 2009). Global NO_x emission trends in bottom-up inventories (section 6.2.1) are in qualitative agreement with these observational constraints. Using the Lamarque et al. (2010) inventory, ACCMIP models qualitatively agree that the global tropospheric burden of NO_x has increased from preindustrial (1850) to present-day (2000) driven by a factor of three increase in emissions, however the magnitude of this increase remains uncertain due to poor observational constraints on pre-industrial concentrations of NO_x (Naik et al., 2013b; Young et al., 2013).³

In summary, it is *very likely* that global tropospheric NO_x has increased from pre-industrial to present-day.⁴ Satellite observations of tropospheric NO_x indicate strong regional variations in trends over the 2005-2015 time period. It is *very likely* that NO₂ has declined over the USA and western Europe since the mid 1990s and increased over China until 2011. It is *likely* that NO₂ trends have reversed (declining) over China beginning in 2012 and *likely* that NO₂ has increased over South Asia by 50% since 2005.

³ Update results to AerChemMIP model simulations.

⁴ Based on qualitative agreement of model results – all models show an increase in NO_x burden but the range of this increase is large.

6.2.2.2 Carbon Monoxide (CO)

Changes in CO have implications for climate because of its influence on tropospheric ozone, methane (via ozone and OH), and CO₂. Carbon monoxide also plays an important role in air quality as a precursor to ozone and by affecting the lifetime of a number of reduced chemical species via OH. Besides primary emission sources, it is also produced by in situ oxidation of methane and NMVOCs. Reaction with OH is the primary sink with smaller contributions from dry deposition. The atmospheric lifetime of CO ranges from weeks to ~2 months that is long enough for it to be transported on intercontinental scales but short enough for increases to be distinct from atmospheric background.

Present-day atmospheric CO distribution is well characterized using satellite based measurements (Warner et al., 2013; Worden et al., 2013; Zhang, 2011) limited ground based columnar (Angelbratt et al., 2011; Té et al., 2016; Zeng et al., 2012) airborne campaigns (Petetin et al., 2018) and surface measurement networks (Andrews et al., 2014; Prinn et al., 2018; Schultz et al., 2015). Limited isotopic analysis of CO have been carried out to re-construct CO concentration using ice-core samples to gain insight into recent past, pre-dating the modern satellite era (Petrenko et al., 2013; Wang et al., 2012b). The results from the ice-core dataset show that CO mole fractions in the 1950s were higher than present day levels with concentration of around 140–150 nmol/mol. The concentration rose by 10–15 nmol/mol in the 1970s-early to 1980s and then declined by approximately 30 nmol/mol to today's levels (Petrenko et al., 2013).

Modern period estimates of global CO burden ranges from approximately 246 to 475 Tg(CO) based on different model analysis indicating the range of uncertainty (Duncan et al., 2007; Flemming et al., 2017; Fry et al., 2013; Gaubert et al., 2017; Myriokefalitakis et al., 2016; Naik et al., 2013a; Stein et al., 2014; Zeng et al., 2015). Multi-model estimates of modern period global CO burden increase by 89% relative to pre-industrial times (Naik et al., 2013b) consistent with available emissions estimate (Hoesly et al., 2018). Currently, models underestimate observed CO concentration globally (Luo et al., 2015; Monks et al., 2015b; Shindell et al., 2006) and regionally: North America and Arctic (Monks et al., 2015b), Europe- (Kumar et al., 2013a; Myriokefalitakis et al., 2016; Té et al., 2016), Australia- southern hemisphere (Fisher et al., 2017; Zeng et al., 2015) except in certain regions like India and Eastern Asia (Kumar et al., 2013b; Strode et al., 2015a; Yarragunta et al., 2017) where models overestimate. Quantitatively, models underestimate surface CO concentration by around 60 ppbv at different parts of the globe with biases reduced in the southern hemisphere and the tropics (Naik et al., 2013b; Shindell et al., 2006). Consistent with surface observation, the model underestimation persists throughout the troposphere with biases greater than 60 ppbv even up to 500 hPa level.

However, within the modern period estimates, global modelling (Flemming et al., 2017), ground based observations (Angelbratt et al., 2011; Gratz et al., 2015; Khan et al., 2017; Kumar et al., 2013a; Zhou et al., 2017) and satellite based (Gaubert et al., 2017; Worden et al., 2013; Zheng et al., 2018a) studies have reported declining CO trends and attribute the reduction to better emission controls. Model simulation reported a decrease in global CO burden of 0.86% yr⁻¹ over the years of 2003–2015 (Flemming et al., 2017). Regionally, satellite observation of CO column burden showed trends of –0.92 and –0.74%yr⁻¹ in the Northern Hemisphere, –0.88 and –0.54%yr⁻¹ in the Southern Hemisphere, –0.88 and –1.0% yr⁻¹ in Eastern China, –1.4 and –1.0%yr⁻¹ in the eastern United States, and –1.4 and –1.0%yr⁻¹ in Europe for the MOPITT 11-yr (2000–2011) and AIRS 8-yr (2003–2011) records, respectively (Worden et al., 2013). However, the reduction is not so clearly evident from recently available emissions inventory which shows emissions as leveling off not necessarily declining in the modern period (Hoesly et al., 2018).

Models provide a link between emissions to concentrations despite their limitations to reproduce observations. Multimodel mean chemical production of CO is in the range of 1210–1900 Tg(CO) yr⁻¹ with 578–1086 Tg(CO) yr⁻¹ from methane oxidation and 320–1198 Tg(CO) yr⁻¹ from oxidation of NMVOC indicating the current uncertainty range (Shindell et al., 2006; Zeng et al., 2015). Model studies estimate that about 40% hydroxyl radical loss is via reactions with CO throughout the troposphere with 10% in the boundary layer and rest in the free troposphere (Lelieveld et al., 2016). Dry deposition, although a minor sink for CO, is another area of uncertainty with models reporting strength of 98–188 Tg(CO) yr⁻¹ globally in

recent literature (Fisher et al., 2015; Myriokefalitakis et al., 2016; Stein et al., 2014; Zeng et al., 2015).

[CMIP 6 models burden range not yet reported in published literature for future burden and trends]

Understanding of global CO distribution has improved since AR5 with improvements in retrieval algorithm and assimilated model products (Deeter et al., 2017; Flemming et al., 2017; Warner et al., 2014). It is now possible to monitor CO from geo-stationary satellites which provides higher vertical resolution and accuracy (Barré et al., 2015; Fu et al., 2016; Landgraf et al., 2016). However, information on total columnar CO burden from these geostationary satellite based measurements are not yet readily available.

In summary, there is high confidence in our estimates of modern period global total CO distribution since AR5. There is medium confidence that in the modern period, global CO burden is declining.

6.2.2.3 Volatile Organic Compounds (VOCs)

Atmospheric non-methane volatile organic compounds (NMVOCs) have both anthropogenic and biogenic emission sources. NMVOCs are short-lived and highly variable with respect to space, time and chemical composition. Thousands of different compounds with varying lifetimes and chemical behaviour have been observed in the atmosphere, so most models of tropospheric chemistry include some chemical speciation of the NMVOC. NMVOCs do not have direct impacts on climate, but the photo-oxidation of NMVOCs in the atmosphere affects OH, ozone, and secondary organic aerosol. . The most abundant NMVOC, isoprene (C_5H_8), has an estimated annual emission rate of 300-700 TgC yr⁻¹ from vegetation that is around half of the total BVOC global source from vegetation estimated at around 1 PgC yr⁻¹ (Guenther et al., 2012) (see section 6.2.1.2). In comparison, recent estimates of contemporary global and annual anthropogenic NMVOC emissions are about 150-160 Tg(NMVOC) yr⁻¹ in the period 1990 to 2010 (Hoesly et al., 2018).

Since AR5, there has been some progress in the derivation of historical trends from ground-based networks and satellites for some species (Helmig et al., 2014, 2016; Rossabi and Helmig, 2018; Zhu et al., 2017c). Information on the historical evolution of some species is available in ice core proxies (Newland et al., 2017; Nicewonger et al., 2016). Light alkane (C_2 - C_5) NMVOC emissions are predominantly located in the NH due to fossil fuel production and use, leading to strong hemispheric asymmetry in abundances. Ethane is the longest-lived and most abundant NMHC, found typically at about 0.4-2.5 ppb in the NH background atmosphere. The light NMHCs exhibit strong seasonal cycles driven by summertime photochemical loss. Analyses of firn air samples from Greenland cores and ambient air sampling at Arctic locations indicate that light alkane NMHCs (C_2 – C_5) increased steadily in the early part of the twentieth century before reaching a maximum peak in abundance that was about 50% above 1950 levels during 1970–1985 (Helmig et al., 2014). Global atmospheric ethane peaked a decade earlier than other NMHCs around 1970. The light NMHC concentrations then declined steadily due to air quality emission controls that were first implemented 50 years ago with the goal to reduce human exposure to NMHCs and surface ozone. New analyses using data from a global surface network and atmospheric column observations suggest that this decline in light NMHCs such as ethane and propane reversed between 2005-2010 and their abundances are now increasing again primarily due to increases in emissions from oil and gas production (Helmig et al., 2016; Höglund-Isaksson, 2017). Formaldehyde (HCHO) column data from satellites have been widely used as a proxy for emissions of NMVOCs at large-scale (Fu et al., 2007). In particular, HCHO is a high-yield product of isoprene oxidation and has a short lifetime of a few hours against photolysis and oxidation by hydroxyl radical (OH) (Palmer et al., 2006). Uncertainties in the application of satellite HCHO data are large due to retrieval methods and the limited availability of ground measurements for validation (Zhu et al., 2016). A recent study using aircraft measurements of NMVOCs suggests an emergent shift in USA urban sources from transportation to chemical products (McDonald et al., 2018).

In summary, available measurements of NMVOCs are still sparse and/or uncertain enough to limit comprehensive understanding of how their abundances and budgets are changing. There is *medium evidence* and *high agreement* that the abundances of light NMHCs such as ethane and propane in the NH reached a maximum around 1970-1985, then declined until between 2005-2010, and are now increasing again due to

oil and gas production.

6.2.2.4 Methane (CH_4)

Methane influences climate directly by absorbing infrared terrestrial as well as ultraviolet solar radiation (Etminan et al., 2016) but also indirectly via the impact of its emissions on carbon dioxide, stratospheric water vapour, ozone, sulphate aerosols, and the lifetimes of HCFCs and HFCs (Myhre et al., 2013). Methane has both natural and anthropogenic emission sources, which can be categorized into biogenic (microbial), thermogenic (fossil fuel), and pyrogenic (incomplete combustion) depending on the process of methane formation. Emissions from wetlands, freshwaters and geological coastal sources are the dominant natural sources, while emissions from agriculture and waste (including livestock, landfills, and rice cultivation) and fossil fuels are the largest anthropogenic sources (Saunio et al., 2016) (see section 5.2.3 for details on how these have evolved over time). The largest sink of methane is its reaction with OH in the troposphere (>90%) with smaller contributions from photochemical loss in the stratosphere including reactions with excited oxygen atom (O(1D)) and atomic chlorine (Cl), oxidation in soils, and reaction with Cl over marine boundary layer (Saunio et al., 2016).

The global mean surface concentration of methane has increased by more than a factor of 2.5 since preindustrial times from about 610-820 ppb to 1850 ± 0.7 ppb in 2017 (see section 2.2.2.1.2). Over the contemporary period, in situ observations provide unequivocal evidence of methane increasing from 1645 ± 0.7 ppb in 1984 to 1772 ± 0.6 ppb in 1999 followed by a stable period until 2006 when methane was 1775 ± 0.5 ppb and renewed increase thereafter. Since AR5, atmospheric methane has continued to be on a rapid increasing trajectory over the 2013-2017 time period with an average annual growth rate of 8.3 ± 0.6 ppb (Dlugokencky et al., 2018).

Contemporary changes in global atmospheric methane are more likely driven by imbalances in sources and sinks (Nisbet et al., 2019; Turner et al., 2019), and less likely due to variations in atmospheric transport (Pandey et al., 2019). Application of several observational constraints, including measurements of methane, carbon isotope ratio ($\delta^{13}\text{C}_{\text{CH}_4}$), ethane (C_2H_6), CO, and methyl chloroform (CH_3CCl_3) together with different types of modelling framework, such as top-down atmospheric inversions and bottom-up three-dimensional atmospheric models, has produced divergent reasons for the changes in methane since 2007. These modelling frameworks are not mutually exclusive as bottom-up emission estimates are used to describe “prior” spatial distributions of methane budget in atmospheric inversions.

Observed shift in methane $^{13}\text{C}/^{12}\text{C}$ isotope ratio ($\delta^{13}\text{C}_{\text{CH}_4}$) to more negative values since 2007 suggests increases in biogenic emissions (isotopically lighter source) either from wetlands or agriculture or waste may be driving the renewed growth in methane since 2007 (Nisbet et al., 2016; Patra et al., 2016; Schaefer et al., 2016). Contrarily, constraints from observations of ethane, a tracer for fossil methane emissions, suggest that a sharp rise in methane emissions from the use of natural gas and oil may be contributing to the recent methane increases (Hausmann et al., 2016). However, compatibility with $\delta^{13}\text{C}_{\text{CH}_4}$ observations requires increases in more isotopically lighter fossil fuel emissions or a parallel decline in emissions from a isotopically heavy methane source, such as from biomass burning (Worden et al., 2017), or increases in both microbial and fossil fuel emissions but with increases in microbial emissions stronger than those from fossil fuel sources (Nisbet et al., 2019). One inversion study, using observations of methane, ethane, and $\delta^{13}\text{C}_{\text{CH}_4}$, inferred greater increases in microbial than fossil fuel emissions along with a small decline in biomass burning emissions since 2007 (Thompson et al., 2018). Results derived from the mean of a multi-member ensemble of atmospheric inversions agree, but individual inversions do not, with this partitioning in methane emission changes resulting in the balance of sources consistent with $\delta^{13}\text{C}_{\text{CH}_4}$ observations (Saunio et al., 2017). Uncertainties in isotopic characteristics (Sherwood et al., 2017) and ethane/methane emission ratios (Helmig et al., 2016) including their temporal variation induce uncertainty in these interpretations derived from observations of methane, $\delta^{13}\text{C}_{\text{CH}_4}$ and ethane.

Variations in OH sink influence interannual variability of atmospheric methane abundance. Decline in OH radical inferred from measurements of CH_3CCl_3 , methane and $\delta^{13}\text{C}_{\text{CH}_4}$ (Rigby et al., 2017; Turner et al.,

2017) has been suggested to cause the renewed increase in atmospheric methane since 2007 (see section 6.2.3). The inversion of Thompson et al., (2018) using measurements of methane, ethane, and $\delta^{13}\text{C}_{\text{CH}_4}$ but not of CH_3CCl_3 does not find any significant role of changes in OH sink in the recent growth of atmospheric methane. Further, it is argued that since the isotopic adjustment occurs slowly in response to OH changes, the observed rapid shift in $\delta^{13}\text{C}_{\text{CH}_4}$ is less likely to be driven by OH variations and more likely due to changes in methane sources (Nisbet et al., 2019). Given that it is *about as likely as not* that global mean OH has remained constant over the past 35 years (section 6.2.3), the role of OH in driving the renewed increase in atmospheric methane remains uncertain.

In summary, it is *virtually certain* that atmospheric methane abundance has been increasing since 2007 after a period (1999-2006) of stable concentrations. This renewed growth in methane has been attributed to changes in emissions from biogenic, thermogenic and pyrogenic sources and a decline in atmospheric sink of methane or both. There is *low confidence (low agreement and moderate evidence)* in the causes of methane increase because of uncertainties in source and sink estimates as well as limitations in observational constraints, such as $\delta^{13}\text{C}_{\text{CH}_4}$ and ethane.

[Placeholder to discuss changes in lifetime of methane, adjustment times (Holmes et al., 2018); from CMIP6 models.]

6.2.2.5 Ozone (O_3)

Ozone is an important greenhouse gas, a major source of the OH radical which controls the oxidising capacity of the troposphere, a gas that limits the transmission of dangerous short-wavelength ultraviolet (UV) radiation to the Earth's surface, and a surface pollutant detrimental to human health, crops and ecosystems (Fleming et al., 2018; Lefohn et al., 2018; Mills et al., 2018a; Monks et al., 2015a). Ninety percent of total-column ozone resides in the stratosphere, with the remainder in the troposphere where observed ozone abundances range from less than 10 ppb over the tropical Pacific Ocean to as much as 100 ppb in the upper troposphere and more than 100 ppb downwind of major ozone precursor emissions regions (Myhre et al., 2013).

6.2.2.5.1 Tropospheric ozone

The tropospheric ozone budget is controlled by chemical production and loss, by stratosphere troposphere exchange (STE), and by deposition at the Earth's surface (Lelieveld and Dentener, 2000). These processes that influence the global tropospheric ozone budget were assessed by AR5 based on an ensemble of model simulations yielding an annually averaged tropospheric ozone burden of $337 \pm 23 \text{ TgO}_3$ (Young et al., 2013). Recently, the Tropospheric Ozone Assessment Report (TOAR) reviewed the ozone budget terms using results from the models that took part in ACCENT, ACCMIP (Atmospheric Chemistry and Climate Model Intercomparison Project) and recent single model studies (Young et al., 2018). TOAR reported a globally averaged tropospheric ozone burden similar to the findings of AR5, with individual model estimates ranging from 250-410 TgO_3 . While the tropospheric ozone burden and distribution during pre-industrial times is unknown from observations (Tarasick, 2019), the present-day ozone monitoring network can be used to evaluate global atmospheric chemistry models. In particular, multiple satellite products indicate a present-day tropospheric ozone burden of $300 \text{ TgO}_3 \pm 4\%$, between 60°N – 60°S , corroborated by the global ozonesonde network (Gaudel et al., 2018). The respective ACCMIP model ensemble mean estimate of $299 \pm 21 \text{ TgO}_3$ between 60°N – 60°S is very similar, affording high confidence in the ability of models to estimate the present-day average tropospheric ozone burden. Despite the close agreement of model ensemble mean, the values of individual budget terms can vary widely across models, with the burden ranging by a factor of ~ 1.5 , the chemical terms by a factor of ~ 2 , and the STE and deposition terms by a factor of ~ 3 (Young et al., 2018). In summary, there is *high confidence (high agreement and robust evidence)* for the estimated present-day global average tropospheric ozone burden based on an ensemble of models, but there is *medium confidence (low to medium evidence)* among the individual models for their estimates of tropospheric ozone burden, and the related ozone budget terms.

Chapter 2 of this assessment provides an update on observed global tropospheric ozone trends, relying on recent findings from the peer-reviewed literature. These results are summarized here in the context of global atmospheric chemistry model evaluation. Calculation of tropospheric ozone's radiative forcing depends on changes of ozone since the pre-industrial, which requires accurate knowledge of ozone's global distribution during the present-day and during pre-industrial times. Reliable quantitative ozone observations are not available for the pre-industrial benchmark year of 1850. A new review of historical surface ozone observations concludes that data prior to 1896 are either quantitatively unreliable or not representative of ozone on regional or hemispheric scales (Tarasick, 2019). The quantitative ozone record from Montsouris on the southern edge of Paris (1876-1910) has long been used to provide an indication of northern mid-latitude ozone values during the late 19th century. However, a re-assessment has concluded that the reported ozone values were probably lowered by emissions of other anthropogenic trace gases and are unlikely to be representative of late 19th-century ozone levels (Tarasick, 2019).

Ozone observations made by the reliable, modern, ultra-violet detection method became available in the mid-1970s, and this method was quickly adopted world-wide. Prior to 1975, ozone observations at rural locations were made using a variety of methods with potentially large uncertainties. Tarasick (2019) identified 60 surface ozone time series at rural locations worldwide during an historical period (1896-1975), made with the early detection methods. A quality-screened data selection, compared to present day (1990-2014) rural observations, indicated a surface ozone increase of 30-70% across the northern extra-tropics. Overall confidence in these changes is *medium*, based on limited historical data with a medium to high level of agreement. A very limited number of historical ozone profiles above North America and Europe indicate tropospheric column ozone (TCO) increased by $48 \pm 30\%$ from 1934-1944 to 1990-2012, similar to the surface increase (Tarasick, 2019).

Beyond the Northern extratropics the scarcity of historical data prevents a robust assessment of zonal mean ozone changes. However, three remote locations are available for long-term model evaluation (Cooper et al., 2019). Ozone has roughly doubled since the late 1950s in the lower free troposphere of the northern tropics, as measured at Mauna Loa Observatory, and ozone has increased significantly by 7% since 1975 at American Samoa in the southern tropics. However, ozone at South Pole Observatory is largely unchanged since the early 1960s. Since the advent of modern UV-detection methods in the 1970s, surface ozone trends across the northern hemisphere show no clear pattern, with a wide range of ozone increases, and in recent decades, ozone decreases. However, trends in the free troposphere are more consistent, where the burden of evidence indicates ozone has increased by 2-10% decade⁻¹ since the mid-1970s, in the limited regions of the northern mid-latitudes and tropics where data are available. In the sparsely monitored Southern Hemisphere, ozone has generally increased at the surface and in the free troposphere since the mid-1970s (1-11% decade⁻¹) (see Chapter 2).

With observations mentioned above, there is robust evidence with a *medium* to *high* level of agreement and overall a *medium* confidence about changes in ozone at northern mid- and high latitudes from the early-20th century to the modern period. There is also overall *medium* confidence for profiles above North America and Europe to draw conclusions about zonal mean ozone changes at the tropics and southern mid-latitudes due to sparseness of historical observations.

From the models perspective it is essential to represent the long-term ozone trends to be able to assess ozone radiative forcing from preindustrial to present day. Examining long-term changes in ozone and their link to ozone precursor emissions, even on the decadal timescale, require understanding of the root causes of the natural variability of ozone and more sophisticated models with a good resolution of stratospheric processes (Hess et al., 2015). Interannual variability of STE is an important driver of tropospheric ozone variability associated with changes of the strength of Brewer-Dobson circulation (BDC) (Boothe and Homeyer, 2017). A number of recent studies indicate the the important role of stratosphere to troposphere transport (STT) to lower tropospheric or nearsurface ozone interannual variability and trends at the global scale (Hess and Zbinden, 2013; Neu et al., 2014) and the regional scale (Akritidis et al., 2016; Lefohn et al., 2014; Lin et al., 2012; Škerlak et al., 2014; Zanis et al., 2014; Zeng et al., 2017; Zhang et al., 2011).

Furthermore tropospheric ozone variability has been linked to circulation patterns (Lin et al., 2017; Okamoto

et al., 2018), biomass burning (Inness et al., 2015; Voulgarakis et al., 2015; Monks et al., 2015b) and various modes of climate variability such as Asian monsoon, El Niño/Southern Oscillation (ENSO), quasi-biennial oscillation (QBO) and the North Atlantic Oscillation (Lin et al., 2015b; Pausata et al., 2012; Olsen et al., 2016; Oman et al., 2013; Sekiya and Sudo, 2014; Wespes et al., 2016; Neu et al., 2014, Yang et al., 2014). An ensemble of simulations with a CCM captured the observed springtime ozone variability at Mauna Loa attributing this variability as a response to shifts in the position of the subtropical jet stream, consistent with ENSO on interannual time scales and the Pacific Decadal Oscillation on decadal time scales (Lin et al., 2014b). Recent studies have shown that the observed and simulated surface O₃ increase over East Asia of 1–2 ppb yr⁻¹ since 1990, in association with Asian pollution outflow and intercontinental transport, is the major driver of rising baseline O₃ over the Western US during spring and summer, which has significant implications for regional air quality and the global tropospheric ozone burden (Lin et al., 2017). However another recent study reported a suppressed ozone trend in the 2010s in the lower troposphere over Japan driven by large-scale decadal shifts in wind patterns and reduced continental Asian outflow (Okamoto et al., 2018). The impact of boreal forest fires on the interannual variability of tropospheric ozone is less documented and quantified compared to the tropics where biomass burning has been recognised as a major source of tropospheric ozone in a number of recent global modelling studies (Inness et al., 2015a; Voulgarakis et al., 2015).

Sources of discrepancies between modelled and observed ozone trends (apart from uncertainties in early measurements) include the issue of the representativeness of trends derived from sparse measurements (Young et al., 2018), model limitations in resolving stratosphere-troposphere coupling and STE (Hess et al., 2015; Morgenstern et al., 2018), the impact of low-frequency climate variability on ozone trends, which free-running CCMs are not expected to reproduce exactly (Barnes et al., 2016; Garcia-Menendez et al., 2017; Lin et al., 2014b, 2015b), errors in the underlying emission inventories used in the model (Hassler et al., 2016), limitations of coarse-resolution models in resolving observed baseline conditions (Lin et al., 2017), and weaknesses in the model representation of the controlling processes at a given location. Three free-running CCMs showed that they can capture only about half of the long-term changes in ozone that occurred at northern mid-latitudes over the past five to six decades (Parrish et al., 2014), while other free-running CCMs showed that 20-year trends driven by internal climate variability can be as large as emission-driven trends (Lin et al., 2014b, 2015b). However, model hindcasts forced (or nudged) with observed meteorology capture observed decreases in summertime surface ozone in the populated regions of North America and Europe during 1990–2010, but have difficulties simulating the ozone increases measured at remote baseline sites (Brown-Steiner et al., 2015; Lin et al., 2017; Strode et al., 2015b; Tilmes et al., 2016).

[Place holder for CMIP6 results] In order to link tropospheric ozone changes from preindustrial to present day with radiative forcing, the models agree that the increasing emissions have resulted in the RF of $0.4 \pm 0.22 \text{ Wm}^{-2}$ since 1850 with the confidence remaining *low to medium* as in AR5. Despite the fact that the confidence in the 20th century ozone observations has increased since AR5 there is still a knowledge gap from observations for preindustrial ozone levels and thus their estimates are based on model simulations. Furthermore, part of the RF uncertainty (which is $\pm 50\%$) is due to how the models handle changes in STE on decadal or multi-decadal time-scales, thus highlighting another knowledge gap.

6.2.2.5.2 Stratospheric ozone

Chapter 2 of this assessment provides an update on global stratospheric ozone trends. Ground- and space-based observations indicate that there has not yet been a statistically significant increase in near-global (60°S–60°N) total column ozone over the 1997–2016 period, while present-day (2014–2017) total ozone columns remain lower than 1964–1980 column ozone by about 2.2% for the near-global average (60°S–60°N) (WMO, 2018).

Additional and improved data sets and focused studies evaluating trend uncertainties have strengthened the ability to assess ozone profile changes (Harris et al., 2015; Sofieva et al., 2017; Steinbrecht et al., 2017). Following a large decline of 5 to 7% per decade through the 1980s and middle 1990s, upper stratospheric ozone increased by 1 to 3% per decade from 2000 to 2016. Model simulations attribute about half of the observed upper stratospheric ozone increase after 2000 to the decline of ODS since the late 1990s while the

other half of the ozone increase is attributed to the slowing of gas-phase ozone destruction cycles, which result from cooling of the upper stratosphere caused by increasing GHGs (Aschmann et al., 2014; Oberländer-Hayn et al., 2015; Polvani et al., 2017).

There is some evidence for a decrease in lower stratospheric ozone from 2000 – 2016 which is most consistent across datasets in the tropics, but is not statistically significant in most analyses (Ball et al., 2018; WMO, 2018). However, chemical transport model simulations point to dynamically driven large interannual variability as a possible explanation for the decrease, rather than an ongoing downward trend (Chipperfield et al., 2018), while Stone, Solomon, & Kinnison (2018) reported that in a 9-member ensemble of a free-running CCM, 1998-2016 trends in the lower stratosphere varied from -6% per decade to +6% per decade among the ensemble members.

Within uncertainty estimates, the ACCMIP multi-model mean total column ozone compares favourably with recent observational trends (1980–2000), although individual models often show significant deviations, particularly those models that include interactive chemistry (Iglesias-Suarez et al., 2016).

[Place holder for CMIP6 results]The near-global average (60°S-60°N) of total ozone columns in present-day remain lower than the respective quantity during the unperturbed from ODS period with the estimated preindustrial to present-day stratospheric ozone radiative forcing (RF) being similar to AR5.

6.2.2.6 Sulphur Dioxide (SO₂) and Sulphate Aerosols (SO₄²⁻)

Once emitted, sulphur dioxide (SO₂) undergoes gas and aqueous phase oxidation to form sulphate aerosols that influence climate forcing directly by scattering solar radiation and indirectly by the formation of clouds and precipitation (Myhre et al., 2017). Sulphur species also have large impact on air quality and ecosystems

Sulphur is removed from the atmosphere by dry deposition and wet scavenging, and these processes depend on the characteristics of the Earth's surface, and the intensity, frequency and amount of precipitation (Boucher et al., 2013). Since AR5, atmospheric measurements in conjunction with model results have provided insights in the spatial and temporal distribution of sulphur deposition (Aas et al., 2019; Vet et al., 2014). However model representation of wet scavenging and related cloud processes, and atmospheric transport remains a key source of uncertainty in the simulated aerosol distribution and lifetime with further consequences for aerosol forcing estimates (Kristiansen et al., 2016). Process understanding of sulphate production pathways from SO₂ emissions has also seen some progress, especially the role of pH under changing SO₂, NO_x and NH₃ emissions has been elucidated (Freedman et al., 2018).

AR5 did not report trends in SO₂ concentrations. Based on long-term surface-based in situ observations, AR5 reported strong decline in sulphate aerosols in Europe and the USA over the 1990 to 2009 period, with the largest decreases occurring before 2000 in Europe and post 2000 in the USA. Since AR5, a more comprehensive global overview of the trends and spatial distribution has emerged by comparison of global or hemispheric chemistry transport models with in situ observations (Aas et al., 2019; Xing et al., 2015). In both Europe and North America, the concentrations of primary emitted SO₂ show greater decreases than secondary sulphate aerosols due to a combination of higher oxidation rate and increased dry deposition rate of SO₂ (Banzhaf et al., 2015; Fowler et al., 2009). Over the period 1980 to 1990, in situ observations reveal a decline in SO₂ and sulphate in Europe of 5.0% yr⁻¹ and 2.6% yr⁻¹, respectively, while observations of sulphate in precipitation indicate a reduction of 1.8% yr⁻¹ in North America (Aas et al., 2019). Trend calculations over the period 1990 to 2000 show a decline in the observed sulphate concentration with around 5.2 % yr⁻¹ and 2.1 % yr⁻¹ in Europe and North America, respectively. Corresponding reductions in observed SO₂ were 7.6 % yr⁻¹ and 3.3% yr⁻¹. For the 2000 to 2015 period, the observed reductions in North America was 4.7% yr⁻¹ and 3.2% yr⁻¹ for SO₂ and sulphate respectively, while the corresponding reductions were 3.9% yr⁻¹ and 2.7% yr⁻¹ in Europe (Aas et al., 2019). A number of regional trend studies in Europe (Banzhaf et al., 2015; Theobald et al., 2019; Tørseth et al., 2012) and North America (Civerolo et al., 2010; Hand et al., 2012; Paulot et al., 2016a; Sickles II and Shadwick, 2015) confirm similar trend estimates given above for these regions. Observed trends are qualitatively reproduced by global and regional models over North America and Europe over the time period 1990-2015 for which emission changes are well quantified (Aas et

al., 2019), building confidence in the relationship between emissions, concentration, deposition and radiative forcing derived from these models.

In situ observations over other parts of the world are scattered and too uncertain to quantify trends (Hammer et al., 2018). The limited in-situ observations in East Asia indicate an increase in atmospheric sulphur up to around 2005 and then a decline (Aas et al., 2019), with satellite observations revealing a rapid decline in SO₂ since 2012 to 2013 (Krotkov et al., 2016; Zheng et al., 2018b). In India, on the other hand, the SO₂ levels have doubled over the 2005 to 2015 period (Krotkov et al., 2016). Long-term measurements of sulphate in precipitation in India also provide evidence of an increasing trend from 1980 to 2010 (Aas et al., 2019; Bhaskar and Rao, 2017). Further improvements in global trend assessments are expected with new reanalysed integrated products from the Global Earth Systems (Inness et al., 2019; Randles et al., 2017).

Indirect evidence of decadal trends in the atmospheric loading of sulphur are provided by Alpine ice cores, mainly influenced by European sources (Engardt et al., 2017), and ice cores from Svalbard (Samyn et al., 2012) and Greenland (Iizuka et al., 2017; Patris et al., 2002) influenced by sources in Europe and North America. These show similar patterns with a weak increase from the end of the nineteenth century up to around 1950 followed by a steep increase up to around 1980, and then a significant decrease over the next two decades. This general trend is consistent with the global emissions of SO₂ for this period (Hoesly et al., 2018).

[Update to results from CMIP6 (AerChemMIP) model simulations:]

In summary, there is *high confidence* that the global tropospheric sulphate burden increased from 1850 to around 2005, but there are large regional differences. The sulphate aerosol concentrations in North America and Europe have declined over the 1980 to 2015 period, with slightly stronger reductions in North America (47%) than over Europe (40%) in the 2000-2015 time period, though Europe had larger reductions in the prior decade (1990-2000). In Asia, the trends are more scattered, though there is *medium confidence* that there was a strong increase up to around 2005, followed by a steep decline in China in the concentrations of SO₂ and sulphate, while over India, SO₂ levels have doubled over the 2005 to 2015 period.

6.2.2.7 Ammonia (NH₃) and Nitrate Aerosols (NO₃-)

Ammonia is the most abundant alkaline gas in the atmosphere. Its reactions with nitric acid and sulfuric acid produce ammonium sulfate and ammonium nitrate, which contribute to the aerosol burden. Ammonia also promotes aerosol nucleation by stabilizing sulphuric acid clusters (Kirkby et al., 2011) and contributes to N deposition, with impacts on ecosystem functioning and biodiversity (Sheppard et al., 2011).

Trends in ammonia were not reported in AR5. The considerable expansion of satellite (Clarisse et al., 2009; Sheppard and Cady-Pereira, 2015; Warner et al., 2016) and ground-based observations (Miller et al., 2014; Pan et al., 2018) has advanced the understanding of the spatial distribution and seasonal to interannual variability of ammonia. Regionally, maximum ammonia concentrations are observed over large agricultural (e.g., Northern India, US Midwest and Central Valley) and biomass burning regions, in good qualitative agreement with emission inventories (Van Damme et al., 2015). However large agricultural and industrial hotspots remain poorly represented in bottom-up inventories (Van Damme et al., 2018). Ammonia exhibits a strong vertical gradient, with maximum in the boundary layer (Schiferl et al., 2016). Observations (Froyd et al., 2009; Höpfner et al., 2016) and theoretical considerations (Ge et al., 2018) also indicate that ammonia can be transported into the upper free troposphere and lower stratosphere, which could promote the formation of ammonium nitrate (Paulot et al., 2016b) and ammonium sulfate (Ge et al., 2018)⁵ with implications for the Earth's radiative energy budget. Since circa 2000, observed changes in ammonia concentrations in the US (Butler et al., 2016; Warner et al., 2016; Yu et al., 2018), Western Europe (Tang et al., 2018b; van Zanten et al., 2017; Warner et al., 2017), and China (Liu et al., 2018d; Warner et al., 2017)

⁵ Ge (2018) mention cirrus clouds
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do not follow the rate of change in ammonia emissions from bottom-up inventories⁶. This has been attributed to a reduction in the ammonium to ammonia ratio following reduction in the emissions of SO₂ and NO_x (Liu et al., 2018d; Wichink Kruit et al., 2017; Yu et al., 2018).

Model simulations indicate that NH_x (=NH₃+NH₄) burden has increased by more than a factor of 2 from 1850 to 2000 driven by anthropogenic emissions (Hauglustaine et al., 2014). This is broadly consistent with the observed increase of ammonium concentration in ice cores (Iizuka et al., 2017; Kang et al., 2002; Kekonen et al., 2005).

[CMIP6 place holder]

The overall distribution of ammonia column is well understood. However, there is *medium confidence* in the attribution of recent trends in ammonia to emissions versus changes in the gas/aerosol partitioning of ammonia.

Nitrate aerosols result from the partitioning of nitric acid, a photochemical product of NO_x oxidation, from the gas to the aerosol phase, a process which is favored by low aerosol acidity, low temperature, and high humidity (Weber et al., 2016). Surface observations reveal that ammonium nitrate accounts on average for ~5% of fine particle mass in densely polluted areas (Murdymootoo et al., 2016). Much larger contributions (>30%) have been documented in northern Italy (Masiol et al., 2015; Ricciardelli et al., 2017), Salt Lake City (Franchin et al., 2018; Kuprov et al., 2014), the North China Plains (Chen et al., 2016; Guo et al., 2014b), and New Delhi (Pant et al., 2015). Global models indicate that ammonium nitrate is maximum close to large sources of ammonia and NO_x, such as the North China Plains and Northern India, which is broadly consistent with observations (Bian et al., 2017; Hauglustaine et al., 2014; Paulot et al., 2016b).

Since AR5, there has been increased understanding of the sensitivity of nitrate aerosols to changes in precursor emissions (NO_x, SO₂, NH₃). The increase of nitrate in Northern China from 2000 to 2015 (Wen et al., 2018) and its decrease in the US Central valley (Pusede et al., 2016) have been shown to mirror regional changes in NO_x emissions, in good agreement with thermodynamic calculations (Guo et al., 2018). The impact of changes in SO₂ and NH₃ emissions on nitrate aerosol is more complex and depends on aerosol acidity and environmental conditions (Guo et al., 2018b; Weber et al., 2016). In particular, the limited increase of nitrate aerosols in the Eastern US in spite of decreasing sulfate aerosols has been attributed to the persistence of highly acidic particles.

Model simulations indicate that the ammonium nitrate burden has increased by a factor of 5 from 1850 to 2000 (Hauglustaine et al., 2014), an increase that has accelerated between 2001 and 2015 (Paulot et al., 2018a). However biases in aerosol pH or environmental conditions can significantly skew the simulated trends in nitrate aerosols (Guo et al., 2018b).

The sensitivity of nitrate aerosols to ammonia and nitric acid is well-understood from thermodynamics. However, there is low confidence in the evolution of nitrate aerosols with time stemming partly from limited understanding of how aerosol pH has evolved over time.

6.2.2.8 Carbonaceous Aerosols (BC, OC, SOA)

Carbonaceous aerosol refers to all carbon containing aerosol, i.e. black carbon (BC, (Petzold et al., 2013)) and organic carbon (OC), where OC can be of both primary (POA) and secondary (SOA) origin. Especially black carbon, continues to receive greater attention due to its strong recognition as a short lived climate forcer (Haines et al., 2017; Harmsen et al., 2015; Myhre et al., 2016; Rogelj et al., 2014; Shindell, 2016). Extensive review on BC was carried out circa AR5 for the state of knowledge on BC and its impact on climate (Bond et al., 2013). Recently, a multimodel climate sensitivity study examined the role of various climate drivers, including BC, on warming and precipitation (Myhre et al., 2016). BC stood out as the unique

⁶ Warner (2017) applies to all regions, could be chosen as a single reference if needed

driver that caused significant model variability in predicted precipitation change (Samset et al., 2016) and a weaker warming due to rapid adjustments (Stjern et al., 2017).

There is considerable variation in global model estimates of BC budget during the modern period with values ranging from 60-325 Gg (Huang et al., 2013). Despite using same BC emissions, climate model estimates varied by a factor of three for both historical and modern period (Lee et al., 2013). Multi model estimates of BC burden from historical to modern day have doubled consistent with emissions inventory (Hoesly et al., 2018; Naik et al., 2013a). AR5 reported on decreasing BC trends from Europe, North America and Arctic based on limited observation. Few more observational studies have concluded decreasing trends from Arctic and Europe (Dutkiewicz et al., 2014; Singh et al., 2018). Despite growing observations worldwide of in-situ BC concentration, global/regional BC trends based on harmonized data among various sites and network does not currently exist. Recently, global estimates of black carbon have been constructed with satellite based measurements of trace gases and aerosol optical depth with good correlation over northern Pacific which illustrated significant over estimation of BC over the region by climate models (Li et al., 2017). Vertical measurements of BC are limited to few airborne field campaigns (Schwarz et al., 2013; Wofsy, 2011) despite growing knowledge on importance of BC vertical distribution in the troposphere on radiative forcing (Samset et al., 2013). Global climate models, in general, currently underestimate BC observations over the Arctic and Europe with slight over prediction over North America (Lee et al., 2013). However, satellite derived BC suggests overprediction over northern India and eastern China and significant over prediction over oceanic and remote continental regions by the AEROCOM models (Li et al., 2017).

Knowledge on carbonaceous aerosols ageing processes have continued to improve since AR5 with both laboratory (Guo et al., 2016; Isaacman-Vanwertz et al., 2018; Li et al., 2018b) and model based studies (He et al., 2016; Huang et al., 2013; Rojas et al., 2015). Microphysics and chemical oxidation based carbonaceous aerosol ageing although show similar global lifetime (several days) as constant ageing scheme in numerical models, they however show spatial and regional differences, especially in the upper and middle troposphere and in remote versus pollutant source regions (He et al., 2016; Huang et al., 2013; Lee et al., 2013) illustrating the current state of knowledge and uncertainty. Limited experimental observations have provided new insights into carbonaceous aerosol deposition over selected areas such as for cryosphere (Gul et al., 2018; MacDonald et al., 2017; Sinha et al., 2018), ocean (Bao et al., 2017) and coastal sediments (Xu et al., 2017) since AR5. Recent deposition observations over urban and high atmospheric concentration regions are not available. Airborne observations have provided new insights into size dependence for wet removal of BC (Moteki et al., 2012; Taylor et al., 2014). On a global scale numerical models provide estimate on deposition strength. ACCMIP models provided modern period (year 2000) BC dry deposition rate of 0.3 to 3.4 Tg(BC) yr⁻¹ with a multi model mean value of 1.5 Tg(BC) yr⁻¹; BC wet deposition was approximately four times higher with values ranging from 3.8 to 7.0 Tg(BC) yr⁻¹ with a multi model mean value of 6.1 Tg(BC) yr⁻¹ (Lee et al., 2013). Other modelling studies also reported that wet deposition accounted for approximately 80% of global BC deposition (He et al., 2016; Wang et al., 2014a).

Thirty one global models of the AeroCom II project reported on the global burden of organic aerosols (OA) as opposed to OC reasoning that OA mass is what is relevant for aerosol properties and its impact (eg. absorption/scattering and its ability to act as cloud condensation nuclei) (Tsigaridis et al., 2014). Interconversion between OA to OC is necessary due to differing measurement techniques that report different species globally, which are then used to constrain modelled values. Ratio of OA to OC between AeroCom II models ranged from 1.4 to 2.6 depending upon emission source while several models calculated the ratio prognostically, illustrating the need for future studies to reduce the uncertainty.

Observations of OA are even more limited than BC. Modern period observations show total carbonaceous aerosol (EC + OC) decreasing in North America and Europe (Hand et al., 2013; Querol et al., 2013). Current climate models underestimate observations over urban areas and remote locations (Tsigaridis et al., 2014). A dataset of published organic carbon deposition via precipitation has been synthesized and results show differences in deposition flux over continent, coastal region and marine environment along with data gap regions (Iavorivska et al., 2016). Recently, field observation of atmospheric dry deposition fluxes of polycyclic aromatic hydrocarbons to global oceans have been measured and provide global estimates 400±200 Tg C yr⁻¹ (González-Gaya et al., 2014, 2016) compared to the AeroCom II experiment range (28-

209 Tg yr⁻¹) of OC wet deposition.

Global OC burden for the modern period increased by 40% from the pre-industrial period Naik et al., (2013). Median primary organic aerosol (POA) burden from the AeroCom II individual models varied from 0.1 – 2 Tg while total OA median annual burden varied between 0.6-1.8 Tg (Tsigaridis et al., 2014) with a median SOA burden of 0.7 Tg (Tsigaridis et al., 2014). This is somewhat higher than the SOA burden of 0.88 Tg derived by Hodzic et al., (2016). The annual source of global SOA remains highly uncertain with recent model based estimates ranging from the AeroCom II mean of 35 Tg yr⁻¹ (Tsigaridis et al., 2014) to 132.2 Tg yr⁻¹ (Hodzic et al., 2016).

Some of the uncertainties in SOA sources originate from the model representations of SOA yields based on simulation chamber studies, which may be severely under predicted due to wall losses of condensable vapors. Previously OVOC were primarily considered to contribute to aerosol mass. The recent discovery of fast autooxidation processes leading to the formation of highly oxidised molecules (HOM, (Ehn et al., 2014)) identified a class of OVOC which can also contribute to new particle formation (Troestl et al., 2016). SOA aging proceeds via condensation/evaporation of SOA compounds as well as heterogeneous oxidation reactions and particle phase chemistry, altering SOA mass, size and phase state. In a sensitivity study with GEOS-Chem Hodzic et al., (2016) conclude that losses of SOA through chemical and photolytic pathways account for 50.3 Tg yr⁻¹. Comparing results from more than 20 global aerosol models, the annual production rate of SOA varies between 13 and 119 Tg yr⁻¹ (Tsigaridis et al., 2014) with SOA lifetime spanning a range from 5 to 15 days. Accounting for chemical losses of SOA Hodzic et al (2016) conclude that the lifetime of SOA is shorter (2.2 – 3.3 days) implying also stronger vertical gradients in the troposphere. SOA deposition is consistently dominated by wet deposition with Hodzic et al., (2016) reporting the balance of a global source of 132.2 Tg yr⁻¹ by losses through dry and wet deposition (8.9 Tg yr⁻¹ and 73 Tg yr⁻¹, respectively) and losses through chemical and photolytical pathways (50.3 Tg yr⁻¹).

[CMIP 6 models, AEROCOM, and ACCMIP burden range not yet reported in published literature for future burden and trends]

In summary, global carbonaceous aerosol budget and trends remain poorly characterized due to limited observation yielding low confidence in our current understanding. There is increased understanding that surface warming due to BC maybe weaker than previously reported and that BC causes significant model spread in predicted precipitation compared to other climate drivers.

6.2.2.9 Short-lived Halogenated Species

6.2.2.9.1 HCFCs

The abundance of total chlorine from HCFCs has continued to increase in the atmosphere but with decreased annual average growth rate while combined emissions of the major HCFCs have declined in response to the 2007 Adjustment to the Montreal Protocol (UN, 1989) that limited HCFC emissions (WMO, 2018). The global mean surface concentration of the most abundant HCFC, HCFC-22 (CHClF₂), has continued to increase reaching around 237 ppt in 2016 (higher than the respective concentration reported in AR5) but with a declined growth rate relative to previous years and with annual emissions remaining relatively unchanged since 2012 (WMO, 2018). Upper tropospheric trends based on global satellite observations of HCFC-22 agree with surface trends for the period from 2005 to 2012 (Chirkov et al., 2016).

The global mean surface concentration of the less abundant HCFCs—HCFC-141b (CH₃CCl₂F) and HCFC-142b (CH₃CClF₂) were about 24.5 ppt and 22 ppt in 2016, respectively (slightly higher than the respective concentration reported in AR5) but their growth rates have declined substantially since 2012 and their emissions declined by around 10% and 18%, respectively, between 2012 and 2016 (Montzka et al., 2015; WMO Scientific Assessment of Ozone Depletion, 2018). Other HCFC compounds have concentrations of less than 1 ppt.

6.2.2.9.2 HFCs

Hydrofluorocarbons (HFCs) are synthetically produced compounds primarily used for cooling purposes with

their emissions originating from both developed and developing countries. Atmospheric mole fractions of most currently measured HFCs are increasing in the global atmosphere at accelerating rates, consistent with expectations based on the ongoing transition away from use of ozone-depleting substances (WMO, 2018). The radiative forcing from measured HFCs also continues to increase, accounting totally 0.030 W m^{-2} in 2016 which is about 1% of the radiative forcing of all well-mixed greenhouse gases including CO_2 , CH_4 , N_2O , and halocarbons. Specifically, the global mean surface concentration of the most abundant HFC, HFC-134a (CH_2FCF_3), has continued to increase reaching around 90 ppt in 2016 (higher than the respective concentration of about 63 ppt reported in AR5). accounting for 47% of the HFCs radiative forcing in 2016 (WMO, 2018). The next four most abundant HFCs in 2016 were HFC-23 (CHF_3), HFC-125 (C_2HF_5), HFC-143a ($\text{C}_2\text{H}_3\text{F}_3$), and HFC-32 (CH_2F_2) with their global mean surface mole fractions in 2016 being respectively 28.9 ppt, 20.4 ppt, 19.2 ppt, and 11.9 ppt (WMO, 2018), all higher than the relevant values reported in IPCC AR5. HFC-23, HFC-125 and HFC-143a account 17%, 15% and 10%, respectively, of the HFCs radiative forcing in 2016. The growing demand for cooling services, particularly in developing countries, threatens to increase HFC emissions manifold over the next decades (Velders et al., 2015). With the adoption of the Kigali Amendment (2016) to the Montreal Protocol by the Twenty-Eighth Meeting of the Parties to the Montreal Protocol, parties agreed to the phasedown of HFCs, substances that are not ozone depleting but are climate forcing agents (Papanastasiou et al., 2018). A case study showed that phasing down HFCs could avoid between 4.0 Gt CO_2e and 5.3 Gt CO_2e per year by 2050, compared to a reference scenario (Velders et al., 2015). Another recent study, which uses more up-to-date assumptions about emission reduction policies and a revised reference scenario, found that full compliance with the Kigali Amendment to the Montreal Protocol could reduce global HFCs emissions by 0.7 Gt CO_2e per year by 2030, and up to 2.7 Gt CO_2e per year by 2050 achieving 61% decrease in HFCs emissions in the period between 2018 and 2050 (Purohit and Höglund-Isaksson, 2017). The Kigali Amendment is projected to reduce future global average warming in 2100 due to HFCs from a baseline of 0.3–0.5oC to less than 0.1oC (WMO, 2018). The unsaturated HFCs, also known as hydrofluoroolefins (HFOs) is a new generation of substitute chemicals for some HFCs with very low global warming potential that have now been detected in concentrations in ambient air (Vollmer et al., 2015). These species have short atmospheric lifetimes (timescale of days) and hence they are not included as controlled substances in the Kigali Amendment to the Montreal Protocol.

6.2.2.9.3 Halons and methyl bromide

The total tropospheric bromine from halons and methyl bromide (CH_3Br), continued to decrease and by 2016 were 14.6 ppt, 2.3 ppt below the peak levels observed in 1998, with halons being the main driver of the decrease over the recent period 2012–2016 (WMO, 2018). Specifically, Halon-1211 (CBrClF_2), halon-2402 ($\text{CBrF}_2\text{CBrF}_2$), and halon-1202 (CBr_2F_2) abundances continued to decline between 2012 and 2016 from their peak values, observed in the early and mid-2000s. Global surface mean mole fractions of approximately 3.5 ppt and 0.42 ppt were observed for halon-1211 and -2402, respectively, in 2016, and Southern Hemispheric mole fractions of approximately 0.014 ppt were recorded for halon-1202 (Vollmer et al., 2015). Methyl bromide mole fractions continued to decline between 2012 and 2015 but showed a small increase (2–3%) between 2015 and 2016 with an overall reduction being qualitatively consistent with the controls under the Montreal Protocol (WMO, 2018).

6.2.2.9.4 Very Short-Lived Halogenated Substances (VSLs)

These species are not explicitly discussed in IPCC AR5 but described extensively in the recent WMO Scientific Assessment of Ozone Depletion (2018). Of the VSLs identified in the atmosphere, brominated and iodinated species are predominantly of oceanic origin, while chlorinated species have significant additional anthropogenic sources. While longer-lived ODSs account for most of the present day stratospheric halogen loading, there is strong evidence that VSLs contribute to stratospheric bromine and chlorine (Carpenter et al., 2014; Hossaini et al., 2015) thus also contributing to stratospheric ozone depletion. Their radiative forcing is small due to their relatively short atmospheric lifetime but their role as ODSs cannot be neglected, especially if consider that these species are not regulated under the Montreal Protocol (Oram et al., 2017). The effectiveness of transport of short-lived halocarbons to the upper troposphere and lower stratosphere remains an important unknown in quantifying the supply of ozone-depleting substances to the stratosphere but recent estimates support the contribution of short-lived bromocarbons to the stratospheric

bromine budget (Filus et al., 2018). Global mean chlorine from the VSLs has increased in the troposphere from about 91 ppt in 2012 to about 110 ppt in 2016 with dichloromethane (CH_2Cl_2), a species that has predominantly anthropogenic sources, accounting for most of this change (WMO, 2018). The upward dichloromethane trend during the last decade is corroborated by upper tropospheric aircraft data (Leedham Elvidge et al., 2015; Oram et al., 2017). Dichloromethane exhibits 3-times higher concentrations at northern hemisphere than is southern hemisphere reflecting its industrial sources (Hossaini et al., 2017). The bromine containing VSLs are predominately of natural marine origin, with ocean phytoplankton and macroalgae being the dominant sources. (Leedham Elvidge et al., 2015). There evidence that these species contribute about 5 ppt to stratospheric bromine, which was about 25% of total stratospheric bromine in 2016 with no long-term change being observed (WMO, 2018). The consideration of bromine, chlorine and iodine chemistry in global tropospheric models has been more and more investigated since AR5. This chemistry significantly reduce the tropospheric ozone burden and lifetime (Saiz-Lopez and Fernandez, 2016; Sherwen et al., 2016),

There is high agreement and robust evidence that the abundance of total chlorine from HCFCs has continued to increase in the atmosphere with decreased growth rates, total tropospheric bromine from halons and methyl bromide continued to decrease while abundances of most currently measured HFCs are increasing in the global atmosphere at accelerating rates, consistent with expectations based on the ongoing transition away from the use of ODSs.

6.2.3 *Implications for Atmospheric Oxidizing Capacity*

The atmospheric oxidizing capacity is primarily determined by the abundance of hydroxyl (OH) radicals in the troposphere. OH is the primary sink for many SLCFs, including methane, halogenated compounds (HCFCs and HFCs), CO and NMVOCs, controlling their lifetimes and consequently their abundance and climate influence. OH also contributes to the formation of particulate matter via gas to particle conversion thereby affecting air quality. An understanding of how atmospheric oxidizing capacity of the Earth is changing over time in response to human activities and natural processes is, therefore, of significance for climate and air quality concerns.

The primary source of tropospheric OH is the reaction of water vapor with an electronically excited oxygen atom, produced from the photolysis of ozone by ultraviolet sunlight with wavelength less than 340 nm. OH radicals react rapidly with reduced and partly oxidized species, including methane, CO, and NMVOCs producing peroxy radicals in the intermediate. Secondly OH formation takes place as the reaction chain propagates after the formation of the peroxy radical. In polluted air, NO_x emissions control the secondary OH production via radical recycling mechanism, while in pristine air, it occurs via other mechanisms (Lelieveld et al., 2016). The local OH budget is controlled by local in situ chemistry and not by transport processes. OH concentrations simulated by global models agree with measurements to within uncertainties for many environments, however, measurements in environments rich in biogenic VOCs, particularly isoprene, with low NO_x levels show higher (up to a factor of 10) than modelled OH (Rohrer et al., 2014; Stone et al., 2012). Multiple explanations have been propounded to reconcile observed and modelled OH concentrations in BVOC-rich environments (Liu et al., 2018e; Mao et al., 2012; Rohrer et al., 2014; Yang et al., 2016). Comprehensive chemistry models that include complex BVOC degradation mechanisms have to some extent been able to reproduce observations (Müller et al., 2018; Novelli et al., 2018), but these mechanisms have yet to be tested in global chemistry-climate models. Uncertainties in atmospheric BVOC-NO_x-OH chemistry have implications for global model simulations of global OH trends (as well as SOA formation).

The dependence of OH on the complex photochemistry involving SLCFs implies that changes in the abundance of ozone, NO_x, methane, CO, and NMVOCs along with changes in solar ultraviolet radiation, temperature, and humidity affect the evolution of global mean OH. While direct local measurements provide a means to test the level of understanding of the underlying photochemistry, they cannot be integrated over space and time to infer global annual mean OH concentrations because of the significant heterogeneity. Observational constraints on global mean OH trends and variability are derived from long-term atmospheric

measurements of methyl chloroform (CH_3CCl_3 , MCF), an industrial solvent with relatively accurate emission estimates and whose primary atmospheric sink is OH oxidation (Prinn et al., 2018 and references therein). Global OH inferred from MCF observations indicated much larger interannual variations (5 to 10%) in the 1980s and 1990s (Bousquet et al., 2005; Prinn et al., 2005) but much smaller variability (within 5%) during 1998 to 2007, a period with reduced uncertainty in MCF emissions and atmospheric concentrations, suggesting that it is shielded from changes in SLCFs (Montzka et al., 2011), consistent with understanding derived from global modelling studies (Lelieveld et al. 2016).

Since AR5, increasing attention has been placed on understanding OH variations in an effort to explain the renewed growth in atmospheric methane since 2007 (Chapter 5). The evidence for changes in global mean OH over the past three decades is variable. Two studies applying box model inversions using atmospheric measurements of multiple species, including MCF, methane, and the $\text{C}^{13}/\text{C}^{12}$ ratio in atmospheric methane ($\delta^{13}\text{C}\text{-CH}_4$) infer small year-to-year variations in global OH over the early 1980s to early 1990s, increasing by ~7% - 10% in the mid1990s and early 2000s and then declining by ~7%-11% up to 2014 (Rigby et al., 2017; Turner et al., 2017). Contrarily, studies using observations of multiple species applying box models (Thompson et al., 2018) or 3-dimensional chemistry models (McNorton et al., 2016; 2018) find smaller variations in OH. Similarly, an empirical model study combining information from atmospheric observations and global model results found increases in OH resulting from the influence of NO_x emissions, water vapor, ozone column, and climate change driven tropical expansion countered by decreases in OH from rising methane levels resulting in no OH trend but small interannual variability (within 4%) over the 1980-2015 time period (Nicely et al., 2018). In marked contrast to these observationally derived estimates, comprehensive global models simulate an increasing OH trend over the same time period with small interannual variability (Dalsøren et al., 2016; Elshorbany et al., 2016).⁷

Each of these methods for constraining OH change carry large uncertainties. For example, constraints on OH derived from atmospheric observations using a box model are weak producing a wide range of temporal OH variations (Naus et al., 2018). Complex global models, on the other hand, represent the multiple influences on OH based on atmospheric chemistry principles but have difficulty in matching different observational constraints (Lopez-Comi et al., 2016; Nicely et al., 2017; Strode et al., 2015a). Finally, uncertainties in observational constraints, particularly the declining ambient MCF concentrations (Engel et al., 2019), limit our ability to infer OH changes confidently (Turner et al., 2019). Progress is expected as alternate proxies (Liang et al., 2017), techniques leveraging satellite measurements (Zhang et al., 2018b), and multi-constituent data assimilation techniques for better agreement of global models with observations (Miyazaki et al., 2015) are developed.

Overall, observational and modeling evidence suggests that it is *about as likely as not* that global mean OH has remained constant over the past 35 years. Contradictory modeling results together with limited and uncertain observational constraints on OH impede our ability to accurately elucidate the interannual variability in OH over the 1980 to present time period .

Global OH evolution over longer time scales is derived from paleo-records of past atmospheres or global models. Although highly uncertain, proxy-based observational constraints from paleo-records of past atmosphere suggest much larger changes in OH over the glacial-interglacial (> + 50%) and preindustrial to present-day (~-20%) timescales (Alexander and Mickley, 2015) in contrast to global model results (e.g., Murray et al., 2014; Naik et al., 2013c; Quiquet et al., 2015). CCMs and CTMs disagree on both the sign and magnitude of past changes in global OH abundance in response to changes in SLCFs demonstrating uncertainties in the understanding of OH sensitivity to SLCF changes over long time scales (Achakulwisut et al., 2015; Murray et al., 2014; Naik et al., 2013b). These uncertainties primarily stem from the lack of strong process-level constraints on natural SLCF emissions (e.g. lightning, biogenic VOCs) and tropospheric OH oxidation mechanism specifically involving secondary formation of OH (Achakulwisut et al., 2015; Nicely et al., 2017), and uncertainties in the simulation of water vapor (Prather et al., 2018). Over longer time scales, global mean OH has remained nearly constant in response to competing influences from changes in SLCFs and climate (*low confidence*).

⁷ Results from CMIP6/AerChemMIP models can be inserted here for trends over the 1980-2015 period.

1
2 Additionally to anthropogenic SLCF emissions, OH also responds to climate change and variability via its
3 sensitivity to temperature and water vapor as well as the influence of climate on natural emissions (e.g.,
4 lightning NO_x, BVOCs) with consequent feedbacks on SLCF abundances and lifetimes. Global models
5 predict increase in global mean OH and a consequent reduction in methane lifetime in response to warmer
6 temperatures and higher humidity both for climate change from preindustrial to present-day (Naik et al.,
7 2013b) as well as in the future (Voulgarakis et al., 2013). OH is particularly sensitive to lightning NO_x
8 emissions (Murray et al., 2013) and projected increases in lightning NO_x emissions driven by climate change
9 lead to increases in OH (Banerjee et al., 2014; Murray et al., 2014), though there is uncertainty in the impact
10 of climate change on lightning NO_x (Clark et al., 2017)⁸. In the absence of any external forcing, ENSO
11 contributes to OH variability via changes in lightning NO_x and deep convection (Turner et al., 2018).
12
13

14 [PLACEHOLDER Figure: Include results from CMIP6 (AerChemMIP) models. A timeseries plot showing
15 trends over the past ~166 years overlaid with OH derived from methyl chloroform (Rigby et al and Turner et
16 al) and other observational based estimates (Nicely et al., 2018) over the 1980 to 2015 period.]
17
18

19 **6.3 SLCF radiative forcing and impact**

20
21 The radiative forcing on the climate system introduced by SLCFs is distinguished from that of WMGHGs
22 (chapter 7) by the diversity of mechanisms for short-lived climate forcing, the challenges to observing these
23 mechanisms and inferring global forcings from available data, the much larger uncertainties in the history of
24 the short-lived climate forcing, and the historically larger but far more localized responses in the climate
25 system. The forcing mechanisms and the advances in our understanding of these mechanisms since AR5 are
26 discussed in section 6.3.1. The observable manifestations of these forcing mechanisms, progress since AR5
27 in their measurement and empirical characterization, and the rapid advances in utilizing emergent constraints
28 to infer or bound the short-lived climate forcings are presented in section 6.3.2. The history of ERF by
29 anthropogenic SLCFs is detailed by individual species in section 6.3.3 with foci on aerosol-radiative
30 interactions (ARI), aerosol-cloud interactions (ACI), and the time evolving uncertainties in the forcing
31 estimates. The connections between the short-lived climate forcings and impacts on atmospheric circulation
32 and transport, dynamics, precipitation, and surface air temperature are discussed in section 6.3.4.
33
34

35 **6.3.1 Mechanisms for Short-lived Climate Forcing**

36
37 SLCFs can be both directly emitted and also created indirectly via chemical processes in the Earth's
38 atmosphere operating on natural and anthropogenic emissions of other compounds, and the state of
39 knowledge of these direct and indirect forcing mechanisms are assessed in section 6.3.1.1. Aerosols can
40 both interact with radiation directly and, since aerosols are required for the formation of cloud condensate,
41 can affect the microphysical and macrophysical properties of clouds. Advances in understanding these
42 aerosol-cloud interactions since AR5 are discussed in section 6.3.1.2. Knowledge of effects of the SLCFs, in
43 particular ozone, on the productivity of vegetation affects storage of carbon in terrestrial ecosystems are
44 evaluated in section 6.3.1.3. Recent refinement in estimates of ERFs by SLCFs are detailed in section
45 6.3.1.4. Light-absorbing particles (LAPs) e.g., dust, black carbon and brown carbon, can alter the cryosphere
46 through multiple mechanisms including darkening of snow and melting of snow pack, thereby reducing the
47 Earth's albedo and enhancing the absorption of solar radiation. Advances in quantifying the forcing by
48 LAPs since AR5 are assessed in section 6.3.1.5.
49
50

51 **6.3.1.1 Indirect vs direct forcers**

52
53 The role of primary versus secondary, precursor versus forcers is quite intricate for the SLCF due to the

⁸ Ensure consistency with section on natural emissions

complex interactions between the species with the atmospheric chemistry, physical climate and radiative forcing (Seinfeld and Pandis, 2006).

Aerosols in particular are a complex and dynamical ingredient of the climate system. They are both primary and secondary in terms of particle formation mechanisms. These two components are not independent, since they interact in the atmosphere, making internal mixture themselves, some of them evaporating and condensing again, in a dynamic system (Seinfeld and Kroll, 2008). In particular, organic aerosols (OA) originate from a wide range of both natural and anthropogenic sources including combustion of fossil fuels, direct injection of unburnt fuel and lubricants, industrial emissions, plant matter debris, biomass burning, and biogenic emissions (Jacobson et al., 2000). OA are divided in two categories, primary (POA) and secondary (SOA). In general, organic aerosols exhibit large diurnal variations because during daytime, radiation enhances their production and at night, a shallow boundary layer enhances their concentrations at ground level. IPCC AR5 estimated that POA has a RF of -0.09 W m^{-2} , while SOA accounts for -0.03 W m^{-2} .

Biomass burning (BB) is one of the largest contributors of aerosols to the atmosphere and is a particularly complex mixture of organic and inorganic particles (Hodgson et al., 2018). It ages as it gets transported into the atmosphere and the particles evolve and participate in photochemical oxidation processes (Jimenez et al., 2009; Ng et al., 2010; Palm et al., 2018). The emitted primary particles get coated with organic vapours, and the gas component itself makes new particles that grow rapidly. Biomass burning aerosols interacts with natural and urban pollution components, which accelerates their aging (Isaacman-VanWertz et al., 2016; Martin et al., 2016).⁹

Ozone is a gas that is not produced directly from primary emissions but is formed by photochemical processes in the atmosphere (Lefohn et al., 2018; Schultz et al., 2017). Ozone is also a greenhouse gas and an important air pollutant (Schultz et al., 2017). Emissions of the two major ozone precursors, nitrogen oxides (NO_x) and volatile organic compounds (VOCs), can have varying impacts on ozone depending on the local conditions. In NO_x limited conditions, increases in NO_x emissions lead to ozone increases while increases in VOC emissions may have limited impacts (Sillman, 1999). These conditions often occur in locations with lower NO_x emission levels (i.e. locations that are rural or downwind of urban plumes and major point sources) and at times of high photochemical activity (i.e., hot sunny summer days) (Duncan et al., 2010; Simon et al., 2015). In VOC or radical-limited conditions, increases in NO_x emissions may lead to localised ozone decreases, while increases in VOC emissions result in ozone increases (Sillman, 1999). Because of this chemically complex mechanism, ozone concentrations and ozone radiative forcing exhibit strong geographically and seasonal variability (Schultz et al., 2017). IPCC AR5 estimated tropospheric ozone forcing at 0.40 W m^{-2} (Myhre et al., 2013). Tropospheric ozone can also affect the natural uptake of CO₂ by decreasing plant productivity, and it is found that this indirect effect could have contributed to the total CO₂ RF, roughly doubling the overall RF attributed to ozone precursors because of the influence on the biogeochemical cycle of CO₂.

6.3.1.2 Aerosol-cloud interactions on hydrological cycle

Clouds, aerosols, radiative forcing, atmospheric thermodynamic properties and the hydrological cycle are all very closely linked together. But these connections are very complex and nonlinear, and collectively constitute the largest source of uncertainty in climate models (Boucher et al., 2013; Seinfeld et al., 2016). These relationships depend on cloud type, availability of energy, particles and many other factors. Cloud-drop formation requires aerosols that can serve as cloud-condensation nuclei (CCN) (Koren et al., 2014). The cloud development and production of precipitation is another major uncertainty in regional and climate models because the detailed precipitation production mechanisms must be approximated for inclusion in models (Seinfeld et al., 2016; Stevens and Feingold, 2009). The representations of microphysical and macrophysical processes relating aerosol particles, clouds, precipitation, dynamics, and thermodynamics in current general circulation models all depend on parameterisations that are highly uncertain due to the simplifications required (Fan et al., 2016). Barriers to calculating robustly these interactions include the wide

⁹ Insert global forcing estimates.

range of length scales ranging from a single particle or droplet to synoptic scale systems, the complexity of cloud systems and associated feedbacks, the strong coupling between aerosol particles and atmospheric dynamics and in particular with convection, and the very inhomogeneous spatial distribution and short lifetime of aerosols (Sorooshian et al., 2018).

The atmosphere contains a complex mixture of individual particles of differing sizes and composition determined by their sources and by subsequent atmospheric processing. Those particles that can act as CCN are determined primarily by their size and chemical composition (Farmer et al., 2015; McFiggans et al., 2006). The chemical composition can be assessed by a measures of hygroscopicity (Pohlker et al., 2016) that express the aggregate effects of aerosol composition on CCN activity. The hygroscopicities of organic aerosols are especially uncertain due to their complex chemical composition and tendency to mix with sulphate, nitrate and other inorganic compounds (Schmale et al., 2018). Studies demonstrate clear impacts of particle mixing state on CCN behaviour (Collins et al., 2013). The effects of black carbon on aerosols and cloud droplets are particularly complex due to its strong absorption of sunlight. The aerosol absorption effects on clouds include effects on cloud heating by absorbing inclusions in droplets and of absorbing aerosol particles interstitially between droplets (Jacobson, 2012; Koren et al., 2008).

For deep convective clouds, ice nucleation (IN) is a key process in the formation of cold clouds. Ice particles can form in the atmosphere by a multitude of processes (Pruppacher and Klett, 1997). In the simplest case known as homogeneous freezing, ice particles nucleate directly out of the liquid phase without involvement of solid ice nuclei. This can occur at temperatures of about -36 to -38 °C. Solid, insoluble particles with suitable surface structure can initiate freezing at temperatures well above those typical for homogeneous freezing, and are called ice nuclei. Mineral dust has been shown to be effective IN. Primary biogenic particles, e.g., bacteria, pollen and spores, can also induce ice formation at temperatures well above the range of homogeneous freezing (Poschl et al., 2010; Prenni et al., 2009). The role of soot carbon as IN is controversial (DeMott et al., 1999). Studies have suggested that IN represent a very small subset of the total aerosol population. Such IN particles are typically present at concentrations of 0.01 cm^{-3} or less, which is orders of magnitude below typical aerosol number concentrations (DeMott et al., 2003; Poschl et al., 2010).

While we have made important progress in understanding some mechanisms behind cloud droplet formation, the impacts of aerosol on precipitation remain a daunting task (Tao et al., 2012). Convective invigoration has been suggested in several studies whereby the height of deep convective clouds increases with aerosol loading, thereby leading to stronger storms in polluted environments (e.g., Fan et al., 2013). At the same time, the inhibition of light precipitation by aerosols has also been reported in different regions of the world (Guo et al., 2014a; Wang et al., 2015). The invigoration theory was recently generalised by Fan et al., (2018) who show that it can also occur for shallower warm clouds under sufficiently clean conditions such that ultra-fine mode aerosol particles may be nucleated to release latent heat to promote cloud development.

Salzmann (2016) shows that global climate models simulate an increase of global mean precipitation of about 1.5 to 2% per Kelvin surface warming in response to GHG forcing. However, this relationship is also affected by aerosols. Several studies (Andrews et al., 2010; O’Gorman et al., 2012; Previdi, 2010; Wu et al., 2013) have shown that the effects of aerosol must be included in order to explain observed precipitation trends and that these effects may also be important in determining the overall hydrological sensitivity to higher temperatures. At the scale of individual clouds, aerosols alter precipitation formation mechanisms. The precipitation formation in the liquid phase is delayed (Albrecht, 1989). In mixed-phase, especially convective clouds, this may lead to a shift towards the ice phase (Lohmann, 2002) and thus possibly intensified precipitation (Khain et al., 2005; Koren et al., 2005). At a large scale, the impact of aerosols should be assessed from an energy- and mass balance perspective. It has been postulated that aerosols reduce downward surface solar radiation by increasing the magnitude ER_{Faci} thereby causing a reduction in evaporation and hence in precipitation (Liepert et al., 2004). This demonstrates that to the extent aerosol-cloud interactions are strong, their influence on the surface energy budget may lead to substantial circulation changes and thus to shifts in precipitation patterns (Booth et al., 2012; Rotstayn and Lohmann, 2002). It is noteworthy that aerosol-cloud interactions impact the precipitation rate averaged over large spatio-temporal domains only to the extent that the surface energy budget – and subsequently, surface evaporation rate - is changed, since the water mass budget is closed within the atmosphere. These processes can affect the spatio-

temporal distributions of precipitation including its frequency of occurrence, intensity spectrum, and geographical location.

The net effects of aerosols on precipitation are strongly influenced and confounded by atmospheric dynamic and thermodynamic conditions, such as updraft strength, wind shear, atmospheric instability and other effects (Guo et al., 2018c). The relationships between aerosols and precipitation vary significantly on seasonal and spatial scales. It has been a great challenge to single out the aerosol effects, largely due to various processes influencing precipitation, radiation, and even the state of the atmosphere that is induced by the aerosol particles (Rosenfeld et al., 2008, 2014)

6.3.1.3 Influence on terrestrial ecosystems and the carbon cycle

It is certain that ozone is phytotoxic and damages photosynthesis, reduces plant growth, and limits crop yields in sensitive plants (Ainsworth et al., 2012; Emberson et al., 2018; Feng et al., 2015a, 2015b) (*very high confidence*). Atmospheric aerosols may influence plant photosynthesis through scattering and absorbing solar radiation that alters the direct and diffuse visible light at the surface, sometimes with beneficial impacts known as diffuse radiation fertilization (Mercado et al., 2009). Atmospheric aerosols also influence the land carbon cycle through concomitant changes to meteorology and physical climate (Cox et al., 2008; Jones, 2003; Mahowald, 2011; Unger et al., 2017). The effects of the SLCFs on plant physiology are a source of anthropogenic carbon not accounted for in regional or global carbon cycle assessments. There is *limited evidence* but *high agreement* that the effects of ozone on vegetation influence the climate system by changing the land carbon storage (Oliver et al., 2018; Sitch et al., 2007). There is *medium evidence* and *high agreement* that ozone vegetation interactions further influence the climate system by affecting stomatal control over plant transpiration of water vapour between the leaf surface and atmosphere (Arnold et al., 2018; Hoshika et al., 2015; Lombardozzi et al., 2013; Sun et al., 2012; VanLoocke et al., 2012; Wittig et al.). Since AR5, the magnitude of the SLCFs on the land carbon sink and indirect CO₂ radiative forcing remains uncertain. In turn, there is new evidence that the SLCF effects on vegetation influences atmospheric surface ozone concentrations by altering the dry deposition rate of trace gases and particles including ozone itself, emissions of BVOCs, and surface climate (Zhou et al., 2018) (*low confidence*). Reducing uncertainties requires improved information on the sensitivity of different plant species to ozone, and measurements of ozone dose-response relationships for tropical plants, which are currently lacking¹⁰.

Since AR5, there has been an increase in published research in the influence of the SLCFs on terrestrial ecosystems but studies have focussed on impacts on terrestrial plant productivity (GPP and NPP) rather than the land carbon storage. Global modelling studies agree that ozone-induced GPP losses are largest today in eastern USA, Europe and eastern China ranging from 5-20% on the regional scale (Lombardozzi et al., 2015; Oliver et al., 2018; Yue et al., 2017; Yue and Unger, 2014) (*medium confidence*). The combined effects of ozone and aerosol haze pollution in the present day in China decrease regional NPP by 9-16%; by 2030, this current level of NPP loss will increase by 2030 following IIASA ECLIPSE Current Legislation scenario, but be reduced by 70% following the Maximum Technically Feasible Reduction scenario (Yue et al., 2017) (*low confidence*).

Ozone pollution reduces agricultural yields and the nutritional quality of major crops (Ainsworth et al., 2012; Avnery et al., 2011) with consequences for global food security (Tai et al., 2014). The most accurate metrics for the calculation of ozone vegetation and crop damage use accumulated ozone fluxes that are the time-dependent product of ozone concentration and stomatal conductance (Büker et al., 2015; Mills et al., 2011a, 2011b). It is certain that wheat, water melon, pulses, cotton, turnip, tomato, onion, soybean and lettuce are sensitive to ozone; and that sugar beet, potato, oilseed rape, tobacco, rice, maize, grape and broccoli are moderately sensitive to ozone (Mills et al., 2007). Ozone pollution is estimated to decrease global crop yields from about 2.2-5.5% for maize to 3.9-15% and 8.5–14% for wheat and soybean, respectively, where the uncertainty range depends on genotype and environmental conditions (Mills et al., 2018b; Wilkinson et al., 2012) (*medium confidence*). Relative yield loss of wheat in 2000 was estimated to be 6.4–14.9% for

¹⁰ Copy into section 6.7 on knowledge gaps.

China and 8.2–22.3% for India, and estimated to increase from 2000 to 2020 in the range 8.1–9.4% and 5.4–7.7% for China and India, respectively (Tang et al., 2013). Large losses to wheat yields are estimated for India due to the combined effects of air pollution and climate change, up to 36% reductions in 2010, with some densely populated states experiencing 50% losses in relative yield loss (Burney and Ramanathan, 2014). Air pollution impacts on agriculture are not included in the Agriculture Model Intercomparison and Improvement Project (Emberson et al., 2018).¹¹.

There is growing evidence that NH₃ emissions from agriculture generate secondary inorganic aerosol that affects human health, as well as influencing ecosystem functioning via excess nitrogen deposition (Fowler et al., 2015; Lelieveld et al., 2015b).

6.3.1.4 Changes in ERFs

Anthropogenic aerosol impacts clouds, and in consequence the Earth energy budget and the hydrological cycle. All previous IPCC reports have discussed this topic, using the term « aerosol indirect effects » in AR1 – AR4, and introducing the more general notion of « aerosol-cloud interactions » (ACI) in AR5. The AR5 concept is now established and also used here. It distinguishes between the basic and quasi-immediate perturbation of the cloud particle number concentration in response to an aerosol perturbation, that implies the radiative forcing due to aerosol-cloud interactions (RF_{aci}) on the one hand, and subsequent adjustment processes on the other hand. The latter, together with RF_{aci}, define the effective radiative forcing due to aerosol-cloud interactions, ERF_{aci}.

Since AR5, substantial progress in understanding and quantification of ERF_{aci} has been achieved. This in particular concerns the identification of observables for ACI especially from satellite data (see Section 6.3.2.1), and, subsequently the application in modelling studies as emergent constraints (Section 6.3.2.2). This section introduces and assesses the importance of ACI for the Earth radiation budget; the importance for the hydrological cycle is assessed in Section 6.3.1.2.

There are four bulk cloud properties that govern their impact on the Earth radiation budget. These are the cloud horizontal extent (cloud fraction), cloud water path (vertical integral of cloud liquid- or ice specific mass), cloud particle number concentration (droplet or ice crystals), and – relevant for the terrestrial spectrum only – cloud top temperature.

The immediate impact of aerosols is on the cloud particle number concentration (resulting in RF_{aci}). Cloud droplets form on aerosols serving as cloud condensation nuclei (CCN) so that an increase in the aerosol concentration implies more droplets, thus a larger scattering cross section and a larger albedo (larger fraction of sunlight that is reflected back to space; (Twomey, 1974)). Since the supersaturation necessary for cloud droplet formation is determined solely by the cooling rate (usually via updrafts), and since all CCN compete, only some of the extra CCN are activated to droplets; the resulting drop concentration is approximately logarithmic in CCN. The impact on the terrestrial radiation at the top of the atmosphere usually is small.

Ice crystals form at low temperatures via homogeneous freezing of supercooled liquid droplets and haze particles. In such cases, and when the dynamical forcing of the cloud is strong, an increase in CCN leads to an increase in ice crystal concentration, too (Kärcher and Voigt, 2017; Kay and Wood, 2008). This implies an increase in albedo as for liquid clouds, and also an increase of emissivity and thus of the cloud greenhouse effect. At temperatures between about -38°C and 0°C, mixed-phase clouds may exist. Ice crystals form via heterogeneous freezing where ice nucleating particles (INP) are present (Hoose and Möhler, 2012). Anthropogenic aerosol may possibly serve as INP (e.g. anthropogenic dust, possibly black carbon, and other particles). Depending on whether or not natural INP are present, this may lead to more or to less ice crystals (Kärcher and Lohmann, 2003). Secondary anthropogenic aerosol may coat natural INP, diminishing their ability to serve as INP.

¹¹ Copy this knowledge gap to section 6.7 on knowledge gaps.

There is ample observational evidence that droplet number concentration is enhanced at larger aerosol concentrations (Malavelle et al., 2017; Quaas et al., 2009a; Toll et al., 2017). However, due to the large natural variability of cloud optical properties, the related increase in cloud albedo is only observed when accounting for variability in cloud liquid water path (Werner et al., 2014).

A multitude of hypotheses have been formulated on how clouds may adjust to the initial perturbation of the cloud particle number concentrations. A reduction in peak supersaturation (Twomey, 1959) and reduction in the droplet size spectrum width (Liu and Daum, 2002) both mitigate the impact of additional CCN on cloud droplet concentration. Since cloud particles tend to be smaller (Bréon et al., 2002) at larger CCN concentrations, they evaporate faster (Seifert et al., 2015; Xue and Feingold, 2006). This may entail stronger entrainment rates and subsequently a reduction in cloud water path (Ackerman et al., 2004; Small et al., 2009). In turn, the formation of precipitation via coagulation may be delayed, implying larger cloud fraction and water path (Albrecht, 1989; Pincus and Baker, 1994). In mixed-phase clouds, if anthropogenic aerosols serve as INP, clouds may glaciate and thus precipitate out faster (Lohmann, 2002), and the phase change implies a reduction in albedo (Storelvmo et al., 2008). Deep convective clouds may grow taller (Koren et al., 2005) and anvils of deep convective clouds may become thicker if water is transported to high altitudes, rather than rained out (Fan et al., 2013).

The notion of aerosol-cloud interactions also includes the impact of clouds on aerosols. The fundamental impact is the scavenging of aerosol by clouds and precipitation: aerosols serving as CCN or INP, or coagulating with cloud- or precipitation particles are deposited at the Earth's surface by precipitation. Besides, clouds also enhance the formation rate of secondary aerosol.

Besides the response of cloud microphysical and dynamical processes to aerosol perturbations, there are also adjustments to the perturbation in temperature and humidity similar to what is expected in response to greenhouse gas concentration changes. However, in global models, these thermodynamic adjustments are generally small (Heyn et al., 2017).

6.3.1.5 Effects on cryosphere

The influence of light-absorbing particles (LAP), e.g., dust, black carbon and brown carbon, on the cryosphere occurs through multiple mechanisms (Qian et al., 2015 and references therein). As a component of atmospheric aerosols, they affect surface radiative fluxes through aerosol-radiation interactions (ERF_{ari}; Section 6.3.1.4), leading to atmospheric heating and reducing the amount sunlight reaching the surface (dimming). They also affect aerosol-cloud interactions (ERF_{aci}; Section 6.3.1.4), either reducing or increasing insolation, and, by changing cloud properties, may affect precipitation. Combined, depending on the net of ERF_{ari} and ERF_{aci} on surface fluxes, and possibly their effects on snowfall, LAP can either increase or reduce surface snow and ice. [Space holder for best estimate of the net effect]. When LAP are deposited to or with surface snow and ice the surface albedo is darkened, which definitively accelerates snow aging and snow melt and constitutes a positive surface and TOA radiative forcing. In addition, effective forcing by LAP in snow is about a factor of three¹² higher than its radiative forcing due to the feedbacks that follow: an increase in snow grain size with snowpack warming; accelerated snow melt leading to the accumulation of LAP at the snow surface, where its effect on albedo is greatest ("melt amplification"); likely enhance snow loss through sublimation; and, once the surface below the snow is exposed, the snow-albedo feedback effect.

There is an increasing number of studies intended to quantify the effects of LAP on the cryosphere. The majority of these studies focus on the Arctic, the Himalayas and Tibetan Plateau, Greenland and mid-latitude mountain regions in the Northern Hemisphere (Doherty et al., 2016; Dumont et al., 2014; Gertler et al., 2016; Ruppel et al., 2017; Schmale et al., 2017; Wang et al., 2018b; Yasunari et al., 2015; Zhang et al., 2015). Pollution impacts on the Andean cryosphere are deemed to be plausible but observations are scarce (Molina et al., 2015; Rowe et al., 2019; Vuille et al., 2018). Ice-core records of BC in Antarctica reveal long-

¹² This factor of 'three' may be revised depending on further literature review. Using as a space holder for FOD.

range transport from biomass burning in South America as the main source of BC in modern times (Arienzo et al., 2017), though there the concentrations there are so small as to be climatically insignificant. Some of these studies focus on the effect of deposited LAP only (i.e. the snow darkening effect) and some on the effects of both atmospheric LAP and LAP in snow/ice. In addition, some focus on quantifying radiative forcing but do not include estimates of the effect on snow and ice cover and melt rates. Most focus on BC or the combination of BC and BrC as the forcing agent, not on all LAP in combination.

[Placeholder for results from CMIP6 regarding global BC or BC+BrC/carbonaceous aerosol radiative forcing] While LAP might lead to increases in snowfall, it is likely that the combined effects of ERFair, ERFaci and forcing by LAP in surface snow and ice have a net effect of decreasing snow and ice cover (Flanner et al., 2009). No best estimate of this net effect is possible at this time due to a lack of studies comprehensively accounting for all LAP effects in the atmosphere and in snow.

Despite a growing number of observational and modeling studies of the impact of light-absorbing aerosols on the cryosphere, large uncertainties remain. Uncertainties in observational studies stem, *inter alia*, from the lack of representativeness of sparse measurements made over short periods of time with different techniques that are difficult to compare as they assess different physical properties (e.g., Doherty et al., 2016). Modelling forcing by LAP in snow requires accurate representation of atmospheric LAP concentrations, wet and dry-deposition rates, precipitation rates, and post-depositional processes in snow. Assessing the impacts of LAP on snow and ice in mountainous regions is particularly difficult. Global and regional models lack the resolution to capture small scale terrain and snow-cover variations and local patterns of airflow/transport (Jiao et al., 2014; Qian et al., 2015), and do not account for locally-generated glacial debris. In mountainous (and other) regions with significant deposition of dust and/or glacial debris from both long-range and local transport (e.g. the Tibetan plateau and parts of the Himalaya; the southwestern U.S.), the effects of carbonaceous aerosol on snow albedo and snow cover must be considered in the context of a snowpack already darkened by dust and debris, which will lessen the effect of deposited BC/BrC.

[Placeholder for results from CMIP6 regarding LAP impacts on the cryosphere]

6.3.2 Observations of regional short-lived climate forcing

Time series of ERF for all climate forcings, global and hemispheric, are needed in order to assess how emissions (primary and precursors) have influenced the observed climate change. These time series are also key input to observationally based methods for estimating climate sensitivity (Chapter 7). For estimating climate sensitivity, it is not only the current ERF that is important, but the whole time series. For ozone and aerosols there are large spatial variations and the historical concentrations are not well constrained by observations, and thus estimates of ERF have to rely to a large extent on historical emissions inventories and models (Lund et al., 2018). However, there have been improvements in observational information for the current radiative effect of many species which helps constrain the models for present day situation.

There has been important progress since AR5 in quantifying the absorption of short wave radiation by methane which has not been taken into account previously. This is effectively increasing the RF of methane by 25% (Collins et al., 2018; Etminan et al., 2016) These data increases the 1750-2011 RF from 0.48 to 0.61 W m⁻². Part of the observed concentration increase is caused by feedbacks (e.g. thawing permafrost), and should thus not be counted as a forcing. Saunio et al. (2016) raise the question, but do not answer it.¹³

Since AR5 there has been progress in the understanding of the physical state and processes in snow that governs the albedo reduction by black carbon (BC). (He et al., 2018a) found that taking into account non-spherical shape of snow grains and internal mixing of BC in snow both had significant effects on the BC-albedo reduction, but with different signs.

The RF of atmospheric BC depends on its residence time in the atmosphere and how effectively it absorbs

¹³ Raise which question specifically?

SW radiation. Based new observational data on BC (surface and atmospheric profiles) the estimated residence times have been reduced (Hodnebrog et al., 2014; Kipling et al., 2016; Samset et al., 2018b)

(Samset et al., 2018b) review current understanding and recent progress in understanding the properties of absorbing aerosols in the atmosphere. There is an improved understanding of how remote sensing data (Figure 6.5) such as AAOD from AERONET can be used to constrain RF by BC, however there are still large uncertainties and lack of observational data.

[START FIGURE 6.5 HERE]

Figure 6.5: “Plate 1. (a–d) Global maps for January, April, July, and October, respectively, showing the spatial distribution of the five aerosol mixing groups defined as major headings in Table 2 of Kahn et al. (2001), based on the aggregate of aerosol transport models listed in Table 1 of Kahn et al. (2001). “ From (Kahn et al., 2001).

[END FIGURE 6.5 HERE]

Wang et al. (2014a) used observed BC profiles from the HIPPO campaigns over the Pacific to constrain the BC concentrations in the GEOS Chem model. They infer a global RF of 0.19 W m^{-2} from BC, which is lower than previous estimates due to reduced BC concentrations in the free troposphere over oceans.

There has been progress in using remote sensing data in estimating the long wave radiative effect (LWRE, the TOA radiative imbalance caused by all (natural and anthropogenic) ozone in the troposphere) of current tropospheric ozone (Gaudel et al., 2018; Rap et al., 2015). There is also a RE caused by ozone absorbing SW radiation, (Rap et al., 2015) show that the LWRE constitutes about 80% of the full RE. The RF of ozone is the change over time in the RE of ozone. The LWRE estimate from observations provides a valuable constraint that can be used to evaluate models, however quantifying the RF remains a challenge due to lack of knowledge of pre industrial ozone concentrations.

Both methane and ozone absorb infrared emissions from the Earth and atmosphere in specific combinations of wavelengths that are unique to those gases. These spectral features can be readily detected in either space-based or ground-based instrumentation and used to construct empirical estimates of radiative forcing (Feldman et al., 2018; Rap et al., 2015).

Absorptive aerosols alter the upward and downward shortwave fluxes in the atmosphere and hence alter the shortwave heating rate of the atmosphere (Li et al., 2016b). Both conservatively scattering and absorptive aerosols reduce the incident solar radiation at the Earth’s surface, and both downward and upward trends in the surface insolation known as ‘global dimming’ and ‘global brightening’ have been observed (Wild, 2016).

Light-absorbing particles on snow and ice (LAPSI) are comprised of black carbon (BC), elemental carbon (EC), organic carbon (OC), and mineral dust (Qian et al., 2015). The concentrations of LAPSI are inferred using indirect methods, including measurements of volatility, light-absorbing properties, and solubility, to estimate mass mixing ratios of BC, EC, OC, and mineral dust (Qian et al., 2015). Errors in the resulting mass mixing ratios are highly contingent on technique, ranging from collection efficiencies of less than 30% for thermo-optical analysis to overestimates by factors of 1.5 to 2 for spectral absorption methods (Qian et al., 2015).

Cloud observables include the cloud vertical configuration, cloud liquid water, and cloud ice water (Peng et al., 2016a). The uncertainties in cloud altitude is 500 m (Marchand et al., 2008), cloud liquid water path is up to 50% among satellite retrievals from CloudSat and MODIS (Christensen et al., 2013), and cloud ice water path is -40% to +25% (Austin et al., 2009), Aerosol observables include passive retrievals of aerosol optical depth and the Angstrom coefficient (Peng et al., 2016a). The uncertainties in aerosol optical depth is $0.03 + 0.2\tau$, where τ is the aerosol optical depth at 550 nanometers wavelength, and in the Angstrom coefficient is

0.45¹⁴. These observables can be combined in empirical measures of the aerosol effect on clouds, including XXX [number To Be Determined] and YYY¹⁵[number To Be Determined]. The satellite retrievals of cloud and aerosol properties is sufficient to quantify changes in these empirical measures to within ZZ% [number To Be Determined], sufficient to establish ACI with a QQ [number To Be Determined] level of confidence¹⁶. The radiative effects can be quantified using the aerosol-mediated cloud radiative forcing, the difference between the cloud forcing in the presence of aerosols minus the cloud forcing for identical types of clouds under aerosol-free conditions (Peng et al., 2016a).

In terms of ACI, two main aerosol sources external to the physical climate system have been exploited. (i) The fact that ships emit aerosols and aerosol precursor gases into an otherwise pristine marine air, sometimes visible as ship tracks (Conover, 1966), has been used as a means to assess cloud adjustments to the aerosol emissions. (Goren and Rosenfeld, 2014) suggested that both, cloud liquid water path and cloud cover increase in response to the ship emissions, contributing three quarter to the total ERF_{aci} for a case of mid-latitude stratocumulus. Christensen and Stephens (2011) found that such a strong adjustment occurs for open-cell regimes, while the adjustments are small compared to the Twomey effect in closed-cell regimes. Also exploiting satellite observations of ship emissions, Christensen et al. (2014) demonstrated that ice- and mixed-phase cloud adjustments are such that the overall ERF_{aci} for these clouds is only small. Toll et al. (2017) found an overall little response of LWP and cloud fraction, with some discernible change only for raining clouds, especially in moist regimes. (ii) Volcanic emissions were identified as another source of information. Yuan et al. (2011) document from satellite observations substantially larger cloud fraction, higher cloud tops, reduced precipitation likelihood, and increased albedo in the volcanic plume of the Kilauea volcano in cumulus cloud fields; Ebmeier et al. (2014) confirmed the increased LWP and albedo for other volcanoes, too. In turn, for the very large eruption of in the Holuhraun (Iceland), Malavelle et al. (2017) did find a strong decrease in cloud droplet effective radius in satellite observations, but no large-scale change in LWP. However, when carefully accounting for meteorological conditions, McCoy et al. (2018) concluded that at least for cyclonic conditions, the extra Holuhraun aerosol did enhance LWP. Toll et al. (2017) examined a large sample of volcanoes and also found a distinct Twomey effect, but found on average only little LWP change. In summary, there is *high confidence* that anthropogenic aerosols lead to an increase in cloud droplet concentrations. In terms of the adjustments, it is most plausible that on average, no systematic changes in LWP occur. It is more likely that liquid-cloud fraction increases than that it decreases. There is no observational evidence at present for a significant response of ice clouds to aerosol perturbations.

6.3.2.1 Observations of observables (recent advances in BC on snow dimming, human experiments in India and China, and volcanoes, dust, methane, ACI)

The radiative effects of methane have been observed at the Earth's surface using upward-facing spectrometers that measure the infrared energy emitted from the atmosphere to the surface and have sufficiently stable calibration to enable estimates of trends in that infrared emission on decadal timescales. Spectrometer data from Oklahoma shows that the trend in methane forcing was $-0.003 \pm 0.006 \text{ W m}^{-2} \text{ yr}^{-1}$ between 2002 to 2007, a period during which global atmospheric methane concentrations were nearly constant at 3.3 ± 4.9 (95% CI) ppbv yr^{-1} . The trend subsequently increased to $+0.026 \pm 0.006 \text{ W m}^{-2} \text{ yr}^{-1}$ between 2007 to 2012, a period during which atmospheric methane concentrations increased by 7.5 ± 4.4 (95% CI) ppbv yr^{-1} while atmospheric humidity declined over the site by $-1.5 \pm 1.0 \text{ g kg}^{-1}$ (99.7% CI) (Feldman et al., 2018).

The observed increase in surface shortwave radiation by 5% between 1960 to 2009 is known as global brightening. A model with aerosol radiative effects simulates an increase over the same period of 3% and hence is more realistic than the same model without these effects which simulates an increase of just 0.2% (Turnock et al., 2015). The observed trends are $2.5 \pm 1 \text{ W m}^{-2}$ between 1983 and 2001 (Wild, 2009).

¹⁴ <https://darktarget.gsfc.nasa.gov/products/ocean>

¹⁵ Insert recent measures of the ACI, e.g., $dCWP / dAOD$, and include citations to these measures.

¹⁶ Insert uncertainties here as well as significance levels for changes

The reductions of snow albedo ranging between 1% to 5% (Hansen and Nazarenko, 2004) by either dust and/or black carbon have been extensively measured and characterised in the Arctic, the Tibetan Plateau, and mid-latitude regions subject to seasonal snowfall including North America and Northern and Eastern Asia (Qian et al., 2015).

In the tropics, statistically significant changes in CRE are observed for mixed phase cloud but not water clouds (Peng et al., 2016a). The changes are consistent with the Twomey and aerosol-induced convective invigoration effects. The changes in the shortwave and longwave aerosol-mediated cloud radiative forcing (AMCRF; Section 6.3.2) from very clean to polluted conditions are observed to -104 W m^{-2} and $+27 \text{ W m}^{-2}$, respectively, over land and -14 W m^{-2} and $+5 \text{ W m}^{-2}$ over ocean. During the Bárðarbunga-Veiðivötn eruption near Iceland, cloud droplet effective radii retrieved by MODIS decreased by $4 \mu\text{m}$ relative to their climatological values (Malavelle et al., 2017; McCoy and Hartmann, 2015). Oceanic biogenic aerosols are observed to increase the magnitude of the shortwave cloud radiative effect by more than 10 W m^{-2} over parts of the southern ocean (McCoy et al., 2015).

High concentrations of Arctic Haze, which is comprised primarily of anthropogenic aerosols and their precursors transported into the Arctic Basin, can measurably alter the CREs of clouds embedded in it. In February, the haze changes the net CRE $+12.2 \text{ W m}^{-2}$ thereby enhancing the net warming effect of the affected clouds, while in August, the haze changes the net CRE by -11.8 W m^{-2} thereby enhancing the net cooling effect of the affected clouds. In liquid clouds, between 50% to 70% of these perturbations to CRE are caused by changes in cloud particle effective radius, with the remainder being caused increased cloud water path due to unknown feedbacks (Zhao and Garrett, 2015).

6.3.2.2 Emergent constraints from recent observational studies

A number of emergent constraints have been developed in recent years that make use of known characteristics of the anthropogenic aerosol perturbation, or of natural variability in aerosol to assess ACI in observations and compare these to model simulations.

(i) long-term trends – surface radiation (e.g., (Wild et al., 2005) and surface temperature (e.g., (Ekman, 2014)) respond to the spatio-temporal variability in anthropogenic aerosol emissions. Cherian et al. (2014) made use of the observed increase in surface solar radiation between 1990 and 2005 over Europe as an emergent constraint for the total-aerosol ERF, to infer a plausible value of $-1.3 \pm 0.4 \text{ W m}^{-2}$. Rotstayn et al. (2015) made use of the observed temperature change as emergent constraint and propose a most plausible aerosol ERF of -0.9 W m^{-2} . In stark contrast, Storelvmo et al. (2018) suggest that all CMIP5 models underestimated the observed change in surface solar radiation between 1960 and 1990 by almost an order of magnitude, despite a plausible temperature change in the same period.

ii) statistical relationships – satellite retrievals of clouds and aerosols have been analysed in statistics to infer information about ACI. (Quaas et al., 2009b) made use of the regression coefficient between cloud droplet number concentration and AOD (« ACI metric », Feingold (2003) as an emergent constraint to infer a plausible cloud-sky aerosol ERF of $-0.7 \pm 0.4 \text{ W m}^{-2}$ (total-aerosol ERF of $-1.2 \pm 0.4 \text{ W m}^{-2}$). Wang et al. (2012a) proposed to relate the frequency of occurrence of precipitation to aerosol concentration as a metric to constrain the cloud-lifetime effect in GCMs. Their results suggested that a weakly positive response of LWP to CCN perturbations is most plausible. Michibata et al. (2016) show that the satellite-derived LWP – Nd relationship mostly is negative, inconsistent with the result from a GCM they investigated.

(iii) volcanic eruptions – As reported in Section 6.3.2, there is a growing interest in the exploitation of volcanic eruptions to study ACI. Malavelle et al. (2017) demonstrate that three different GCMs simulate the reduction in cloud-top droplet effective radius in response to the extra sulphate aerosol from the Holuhraun eruption in 2014 realistically; however, they also concluded that the adjustment of cloud liquid water path in the models was unrealistically positive. This finding was corroborated by Toll et al. (2017) for one of the models when they investigated a large set of volcanic eruptions across the globe.

(iv) weekly cycle – anthropogenic aerosol emissions in many regions exhibit a weekly periodicity that can be exploited for analysis of the climate effect (Sanchez-Lorenzo et al., 2012). The atmospheric lifetime of the aerosol is approximately one week, so that the signal is smeared out. The two climate models investigated by Quaas et al. (2009a) exhibited a slightly stronger weekly amplitude in AOD over continental Europe compared to 5-6 % inferred from satellite retrievals, and also somewhat stronger than the 3-5 % in cloud droplet number concentration shown by the data. For all other quantities, especially those relevant for cloud adjustments to ACI, no conclusive results were found. General circulation models still have a too coarse resolution to properly simulate the effects of cities (e.g. (Rosenfeld, 2000)) or ship tracks (cf. Section 6.3.2) to an extent that the differences between polluted and unpolluted regions could be resolved adequately. It is expected that for future model generations, such emergent constraints may prove useful, too.

From these studies, it clearly emerges that current GCMs mostly show a too strongly positive LWP adjustment to ACI. The total-aerosol ERF inferred from various emergent-constraint studies varies between -1.3 and -0.9 Wm⁻² with the uncertainty ranges mostly overlapping.

6.3.3 History of Regional Short-lived Climate Forcing

The effective radiative forcings (ERFs) by SLCFs due to human-induced changes in their concentrations will be quantified through the Aerosol Chemistry Model Intercomparison Project (AerChemMIP) by differencing simulations with time-evolving emissions of SLCFs and SLCF precursors from simulations with those emissions set to 1850 CE (pre-industrial) conditions (Collins et al., 2017)

[START FIGURE 6.6 HERE]

Figure 6.6: Meridionally- averaged annual evolution of difference in TOA outgoing SW flux, between models with man-made aerosol forcing and models with natural aerosols from stratospheric volcanoes (CMIP5 experiments historicalMisc and historicalNat, respectively, from GFDL-CM3, ensemble r1i1p1). Near-infrared absorption of CO, NO_x, and CH₄ are not included

[END FIGURE 6.6 HERE]

Prior estimates suggest that the combined aerosol and ozone ERF between 1850 and 2000 for 9 CMIP5 models is -0.72 ± 0.52^{17} W m⁻² (Shindell et al., 2015). This estimate brackets that of (Naik et al., 2013a), who simulate the PI to PD changes in short-lived pollutant emissions and CH₄ concentrations and find that the net global annual average all-sky TOA RFP from these SLCFs is -1.05 W m⁻². The RFP due to cloud radiative effects of -0.65 W m⁻² has a larger magnitude than the RFP of -0.40 W m⁻² under clear-sky conditions. (Naik et al., 2013a) suggest that aerosol indirect effects and direct cloud modifications are therefore the dominant contributor to total RFP.

Relative to prior assessments, the total RF of methane is 15% higher (pre stratospheric adjustment) due to shortwave absorption by CH₄ (Collins et al., 2018; Etminan et al., 2016) and 25% higher after accounting for stratospheric adjustment (Etminan et al., 2016).

For some SLCFs, the total radiative effect (RE) can be estimated directly from satellite data. (Rap et al., 2015) estimate that the annual global mean tropospheric ozone RE is 1.17 ± 0.03 W m⁻² and is comprised of a dominant longwave (LW) RE of 0.95 ± 0.02 W m⁻² and a smaller but nonnegligible shortwave (SW) RE of 0.21 ± 0.01 W m⁻². This RE is comprised of the RE from naturally-sourced ozone and an anthropogenic forcing term. (Myhre et al., 2017) find a stronger increase in RF by ozone than AR5 since 1990 due mainly due to increased estimates of precursor emissions in (Hoesly et al., 2018). For the period from 1990 to 2015, the mean forcing from the ECLIPSE (Stohl et al., 2015) multi-model ensemble is +0.06 W m⁻² with a

¹⁷ Calculated from Figure 1, LH most column of (Shindell et al., 2017)

multiple uncertainty range of order 50 (Myhre et al., 2017). The magnitude of ozone forcing has been reduced by losses due to short-lived forces by -0.02 W m^{-2} since pre-industrial times (Hossaini et al., 2015). In fact when normalized by halogen content, natural short-lived bromine substances exert a 3.6 times larger ozone radiative effect than long-lived halocarbons (Hossaini et al., 2015)

The magnitude of forcing by anthropogenic BC has decreased relative to prior estimates. Central estimates range from 0.19 W m^{-2} (Wang et al., 2014a) to $+0.21 \text{ W m}^{-2}$ (Wang et al., 2014d) with a range of 0.17 – 0.31 W m^{-2} based on uncertainties in the BC atmospheric distribution. Estimates for Brown Carbon (BrC) differ in sign, ranging from -0.21 W m^{-2} in SW and $+0.0021$ in the LW (Hammer et al., 2016) to $+0.11 \text{ W m}^{-2}$ of warming from BrC (Wang et al., 2014d) with even higher estimates of $+0.22$ to $+0.57 \text{ W m}^{-2}$ from (Lin et al., 2014a). The uncertainty in DRF from BrC are due in large part to the high variable light absorption properties of BrC, with reported absorptivities spanning two orders of magnitude (Saleh et al., 2014). For light-absorbing particles in snow and ice (LAPSI), which include BC and BrC, advances since the summary of literature up to the AR5 (Qian et al., 2015) include measurements from the Hindu-Kush Himalayan (HKH) Mountains of the reduction in snow albedo due to effects of BC deposition of $-5.2 \pm 3.2\%$ (Gertler et al., 2016). Many studies focus on regional aspects, in particular on the Himalays, the Tibetan plateau and northern China and the Arctic, also quantifying local and seasonal RF. The radiative forcing by organic aerosols deposited on snow and sea ice ranges is between $+0.0011$ to $+0.0031 \text{ W m}^{-2}$ (Lin et al., 2014a).

Recent models of secondary organic aerosol (SOA) find that that its direct radiative forcing is negative, although the uncertainty bounds are large, ranging from -0.12 to -0.31 W m^{-2} (Lin et al., 2014a) through -0.26 to -0.5 W m^{-2} (Shrivastava et al., 2015) to -0.08 W m^{-2} and -0.78 W m^{-2} in the present day (Scott et al., 2014). (Scott et al., 2014) attribute the large range to uncertainties in the amount of SOA produced by oxidation of BVOCs, and (Shrivastava et al., 2015) emphasise the importance of improving process-level treatments of SOA in global models. Estimates of first indirect forcing are similarly divergent in both magnitude and sign, ranging from $+0.01 \text{ W m}^{-2}$ and -0.12 W m^{-2} (Scott et al., 2014) through -0.22 to -0.29 W m^{-2} (Lin et al., 2014a) to -0.22 W m^{-2} and -0.77 W m^{-2} (Scott et al., 2014), with the ranges due to variation in assumed SOA optical properties and presence or absence of new particle formation from biogenic oxidation products. .

Recent estimates of total direct radiative forcing by nitrate are -0.056 W m^{-2} (Hauglustaine et al., 2014). This value is consistent with those obtained by Myhre et al. (2013) from the eight global models in AeroCom phase II of -0.03 to -0.17 W m^{-2} and a mean of $-0.10 \pm 0.04 \text{ W m}^{-2}$ and by Shindell et al. (2013) from the Atmospheric Chemistry and Climate Model Inter- comparison Project (ACCMIP) historical simulations of -0.03 W m^{-2} to -0.41 W m^{-2} with a mean of $-0.19 \pm 0.18 \text{ W m}^{-2}$.

Ammonium sulphate and ammonium nitrate contribute $\sim -0.4 \text{ W m}^{-2}$ (Hauglustaine et al., 2014; Heald et al., 2014; Paulot et al., 2018b) of direct aerosol radiative forcing.

The estimated net top of atmosphere direct dust radiative forcing is generally found to be negative, with estimates ranging from -0.14 W m^{-2} (Stanelle et al., 2014) to $-0.23 \pm 0.14 \text{ W m}^{-2}$ for present day (Albani et al., 2014), although the uncertainties represented by the literature remain large (Choobari et al., 2014) for many reasons, including details regarding the microphysical and optical properties of dust (Colarco et al., 2014). Estimates of the longwave DRF by dust are positive and range between 6 and 26 % (with opposite sign) of the SW component (Sicard et al., 2014).

6.3.3.1 Characterise ARI and its increases

[Note: This is one of the main objectives of two of the MIPs (AerChemMip and RFMIP). These simulations are underway with multiple models. Significant updates to this section can be expected. This includes all aerosols and ozone. So far the style of the section is more a literature review than an assessment.]

Globally, the 5-95% confidence interval on forcing ranges from 0.23 to 0.57 W m^{-2} for BC, -0.11 to -0.025

W m⁻² for OC, and -0.6 to -0.3 W m⁻² for direct effects of SO₂ (Smith and Bond, 2014). Samset et al. (in prep) review recent understanding including uncertainties of the factors (emissions, lifetime, mass absorption coefficient and radiative forcing efficiency) that determine the ERF_{ari} of BC. For BC they find a significant negative rapid adjustment, estimated to -0.1 W m⁻², consistent with the estimate used in AR5. The RF of BC is estimated to 0.21 W m⁻², giving an ERF_{ari} of +0.11 (0.04-0.21) W m⁻².

Estimates of ERF_{ari} obtained from the CMIP5 ensemble are the sum of -0.62 ± 0.30 W m⁻² due to aerosol scattering and $+0.26 \pm 0.12$ W m⁻² due to aerosol absorption. The total ERF_{ari} due to nonsulphate aerosols is $+0.13 \pm 0.09$ W m⁻² and is the sum of -0.15 ± 0.11 W m⁻² due to scattering and $+0.29 \pm 0.15$ W m⁻² due to absorption. ERF_{ari} for clear-sky conditions overestimates ERF_{ari} for all-sky conditions since the presence of clouds reduces the magnitude and intermodel spread of ERF_{ari} by 40-50% (Zelinka et al., 2014). (Regayre et al., 2018) perform a detailed uncertainty analysis of the ERF of aerosols using the HadGEM model (and emulators). They estimate an ERF_{ari} over the period 1850-2008 of -0.03 W m⁻² with a 95% credible interval of (-0.19, 0.13), using emission estimates as in CMIP5 (and AR5) (Lamarque et al., 2010). (Lund et al., 2018) have used the OsloCTM3 model with updated emissions from CEDS (for use in CMIP6) to calculate ERF_{ari} for all aerosols (1750-2014). They estimate an ERF_{ari} for anthropogenic aerosols of -0.16 W m⁻² (1750-2014). Both estimates are smaller in magnitude than the AR5 estimate of -0.45 (-0.95, 0.05) W m⁻². Lund et al. (2018) explain the lower estimate compared to AR5 as a combination of many factors including stronger absorption by organic aerosol, updated parameterisation of BC absorption, and reduced sulphate cooling.

[START FIGURE 6.7 HERE]

Figure 6.7: Effective radiative SW forcing at the top of the atmosphere of aerosols, derived from the difference between TOA shortwave outgoing radiative flux for CMIP5 experiments sstClimAerosol and sstClim, for model GFDL-CM3, ensemble r1i1p1, over a 30-year climatology.

[END FIGURE 6.7 HERE]

The aerosol radiative interactions vary regionally due to regional variations in aerosol concentration, composition, altitude profile, and solar insolation (**Erreur ! Source du renvoi introuvable.**). For southeast Asia, the annual mean radiative forcing of black carbon increases the solar energy absorbed at the top of the atmosphere (TOA) by +1.7 W m⁻² and +1.2 W m⁻² under all-sky and clear-sky conditions, respectively, while simultaneously reducing the surface insolation by -2.9 W m⁻² and -3.5 W m⁻², respectively. The annual mean all-sky radiative forcing by SO₄ reduced the solar energy absorbed at TOA by -2.8 W m⁻² and -1.9 W m⁻² under all-sky and clear-sky conditions, respectively, while reducing the surface insolation by -3.2 W m⁻² and -2.4 W m⁻², respectively (Gao et al., 2014).

6.3.3.2 Characterise the forcing from coupled interactions, e.g., ACI, and its increases

Combining satellite retrievals of cloud properties from the Moderate Resolution Imaging Spectroradiometer (MODIS) with model simulations of the increase in cloud droplet number yields estimates of the Twomey effect of -0.97 ± 0.23 W m⁻² relative to the preindustrial era (McCoy et al., 2017). Globally, the composite 5 to 95% confidence interval on cloud indirect effects ranges from -1.2 to -0.3 W m⁻² (Smith and Bond, 2014). The mean SW ERF_{aci} estimated from the CMIP5 multi-model ensemble has not converged. Estimates range from -1.04 ± 0.67 W m⁻² (Zelinka et al., 2014) to -1.01 W m⁻² with a credible interval of -1.235 W m⁻² to -0.782 W m⁻² (Regayre et al., 2014) to between -0.29 and -1.01 W m⁻² (Gryspeerdt et al., 2017). Estimates based entirely on satellite observations are considerably smaller in magnitude, with the aerosol-cloud forcing estimated to be -0.46 and -0.67 W m⁻² for non-precipitating and precipitating clouds observed with CloudSat (Chen et al., 2014).

Examination of volcanic perturbations to clouds has proven to be a useful test of aerosol-cloud interactions.

The Bárðarbunga-Veiðivötn fissure eruption in Iceland caused the cloud effective droplet radius to drop by over two micrometers in the two months during the eruption, causing a 2 W m^{-2} increase in cloud reflectivity around Iceland (McCoy and Hartmann, 2015) and a global-mean radiative forcing of around -0.2 W m^{-2} during this same time period (Malavelle et al., 2017). Changes in cloud amount or cloud liquid water path, however, were undetectable, as other studies have found, suggesting that models with large Albrecht (cloud lifetime) effects may be inconsistent with multiple satellite data sets.

Biogenic aerosols have also been used to test for aerosol cloud interactions. Organic compounds produced by the southern ocean form cloud condensation nuclei that increase the total droplet number in overlying clouds, thereby contributing between -4 and -6 W m^{-2} at 40°S with decreasing contributions poleward to shortwave cloud radiative effects (McCoy et al., 2015).

6.3.3.3 Characterisation of the time evolving uncertainty

Uncertainties in the time evolution of the forcing agents are important in many aspects of the role of SLCF on climate (Collins et al., 2017). Some components, such as black and brown carbon are particularly complicated since they are a very dynamical component, depending on aerosol ageing and mixture (Liu et al., 2014; Mishra et al., 2014; Samset et al., 2014; Samset and Myhre, 2015; Wang et al., 2014a). One of the 4 main questions for the AerChemMIP exercise is how do uncertainties in historical SLCF emissions affect radiative forcing estimates (Collins et al., 2017).

All proposed simulations rely on the usage of a central estimate; it is essential to take into account that there is a range of emission estimates. Granier et al. (2011) analysed this issue for biomass burning emissions at global and regional scales. (Bond et al., 2013) analysed this issue from the point of view of black carbon emissions. Time-slice effective radiative forcing (ERFs) (pre-industrial to present-day) for each SLCF or precursor species emitted have to consider the uncertainty of the whole estimate. These ERFs can be scaled with the uncertainty in emission to provide information on this contribution to the SLCF forcing uncertainty. While this uncertainty will clearly be region, sector and species dependent, it is important to calculate the full spectrum of variations. An important component of the uncertainty are the climate feedbacks to natural SLCF emissions, such as VOCs and aerosols in biogeochemical feedbacks. In a recent assessment of 28 modelled factors that could be a source of uncertainty in simulated cloud brightness, Carslaw et al. (2013) identified that, in their model, approximately 45 % of the variance came from natural aerosols, especially from dimethylsulfide (DMS) and volcanic SO_2 emissions. Among these important feedbacks are (1) dust emissions, (2) sea-salt emissions, (3) DMS emissions, (4) fire emissions, (5) NO_x emissions from lightning, and (6) biogenic VOC emissions. These are important in the climate–emission feedbacks.

Another important aspect is the uncertainty in the magnitude of aerosol-cloud-radiative forcing. Zelinka et al. (2014), and Regayre et al. (2014) have analysed 31 aerosol parameters and how it affects the uncertainty. The greatest source of uncertainty in global cloud albedo effect (CAE) forcing between the preindustrial and the present-day is the state of the preindustrial atmosphere (Carslaw et al., 2013). This arises because cloud albedo responds logarithmically to increasing aerosol concentrations, so a large proportion of the uncertainty in cloud radiative change over the industrial period is associated with low aerosol concentrations in the preindustrial. Carslaw et al. (2013) found that 45% of CAE forcing variance, calculated between 1750 and 2000, was attributable to uncertain and potentially unconstrainable natural aerosol emissions.

Black carbon emissions and forcing is another important source of uncertainty, with estimates in the DRF of BC ranging from 0.25 to 0.9 W m^{-2} (Allen and Landuyt, 2014), with a large source of uncertainty related to the vertical distribution of BC in CMIP5, particularly relative to cloud layers. Additionally, because of the co-emission factor where strong negative forcing from organic carbon coupled to strong positive forcing from BC can cancel out providing net zero forcing (Bond et al., 2013). Any significant uncertainty in each of the two sides can have large effects overall. Stevens (2015) using a simple model of aerosol forcing revisited the lower bound of aerosol forcing. Based on this analysis it shows that an aerosol forcing less than -1.0 W m^{-2} is very unlikely. Allen and Landuyt (Allen and Landuyt, 2014), using data from the m HIAPER Pole-to-Pole Observations Flight 1 (HIPPO1), shows that the vertical distribution of BC in CMIP5 could

make large differences in the ERF and found that convective mass flux has opposing effects on the amount of black carbon in the atmosphere. Convective transport is an important source of uncertainty, especially in the tropics.

6.3.4 *Impacts of Short-lived Climate forcers*

6.3.4.1 *Effects of transport*

SLCFs can be transported from the emissions sources and perturb radiative balance in the downwind areas. Methane has a relatively long lifetime of about 11 years (Ciais et al., 2013; Myhre et al., 2013), which is an order of magnitude larger than the timescale for interhemispheric transport and results in a well-mixed global distribution. Tropospheric O₃ has a lifetime of weeks in the free troposphere (Monks et al., 2015a; Young et al., 2013), which makes the intercontinental transport of O₃ an important issue for understanding the role of O₃ in climate in remote regions. Long-range transport of O₃ and its precursors have been reported to influence O₃ burden in the US (Jaffe et al., 2018; Parrish et al., 2017), Europe (Derwent et al., 2015; Sicard et al., 2016), and Asia (Liao and Shang, 2015; Yang et al., 2015; Zhu et al., 2017a). For other SLCFs with lifetimes of several days, the transport of these species mainly influences the nearby downwind countries/oceans of emission sources.

SLCFs can be lifted by deep convection and then transported by the prevailing winds in the upper troposphere, influencing the vertical distribution and deposition of SLCFs. This is especially important for absorbing species such as black carbon aerosol (Das et al., 2017; Zhang et al., 2017c; Zhu et al., 2017b), since the climate sensitivity to absorbing aerosols rapidly increases with altitude. In addition, ample evidences have shown that the deposition of black carbon aerosol in the Arctic, Antarctic, and Tibetan glaciers have led to the strong warming in these regions (see Section 6.3.1.5).

The intercontinental transport of SLCFs exhibits large seasonal, interannual, and decadal variations, which are determined by changes in both emissions and meteorological fields. For example, model results show that the Asian outflows of O₃ and aerosols have interannual variations of $\pm 10\%$ relative to the averages over decades (Yang et al., 2015; Zhu et al., 2017a). The large interannual variations in outflow fluxes are mainly caused by variations in the meteorological conditions since the intercontinental transport is highly correlated with zonal winds. The decadal changes in the outflows are dominated by changes in anthropogenic emissions.

6.3.4.2 *Effects through atmospheric dynamics*

SLCFs have heterogeneous spatial distributions closely following the patterns of emission sources. At hemispheric scale, emissions from human activities predominantly located in the Northern Hemisphere. While the local influence is reported to be strong (Bartlett et al., 2018; Sarangi et al., 2018; Thornhill et al., 2018; Zhang et al., 2018a), the impact can extend beyond the emission regions via fast atmospheric circulation adjustments (Boucher et al., 2013). Radiative forcings by SLCFs lead to both local and remote changes in temperature, circulation, and precipitation by dynamical processes. The influence of remote forcings on certain regions can often outweigh and even have an opposite sign to the influence of local forcings.

Remote temperature responses to a local forcing have been examined by several studies. Reduction in sulphur emission in China was found to lead to increases in temperature in much of the US, northern Eurasia, and the Arctic (Kasoar et al., 2016a). Simulated surface temperature changes due to the removal of U.S. anthropogenic SO₂ emissions showed robust patterns of temperature responses over land, with increases in temperature for most of the Northern Hemisphere land regions and the strongest response toward the Arctic (Conley et al., 2018; Shindell et al., 2015). There is some agreement on the response to a given local forcing, and model results show qualitatively similar temperature change patterns that extend across much of the Northern Hemisphere (Conley et al., 2018; Kasoar et al., 2016a). The physical mechanisms of such remote

response include teleconnections and other long-range mechanisms (Kasoar et al., 2016a).

Changes in land-sea temperature contrast caused by aerosols were reported to modulate monsoon precipitation in West Africa and the Sahel (Gu et al., 2017b; Undorf et al., 2018) and Asia (Guo et al., 2015; Liao et al., 2015; Undorf et al., 2018; Zhang et al., 2018a). With respect to remote precipitation responses, it is *virtually certain* that the cooling by aerosols in the Northern Hemisphere changes the interhemisphere temperature gradient, producing an anomalous Hadley cell circulation and an northward shift of ITCZ, and altering tropical precipitation patterns (Rotstayn et al., 2015a; Undorf et al., 2018; Westervelt et al., 2018). Higher-latitude regional emissions reductions (e.g., US, Europe) lead to greater change in the hemispheric temperature and thus correspondingly larger changes in Sahel rainfall than lower-latitude aerosol emissions perturbations (e.g., China, India, Africa, South America). Air pollution controls in Europe and the US may help reduce the likelihood and severity of future droughts in the Sahel (Westervelt et al., 2018). Removal of aerosols in the US, Europe, China, India, Africa, and South America was also reported to change precipitation in Mediterranean (Tang et al., 2018a; Westervelt et al., 2018).

6.3.4.3 Effects on temperature and precipitation

The SLCFs are strongly coupled to the Earth's climate and can thereby affect temperature and precipitation. The spatial distributions of key SLCFs including ozone and aerosols are highly inhomogeneous, and as has been pointed in AR5 they show a greater geographical variation in radiative forcings than CO₂ leading to important uncertainties in the temperature and precipitation responses (Myhre et al., 2013)(**Erreur ! Source du renvoi introuvable.**). While their local influence is reported to be strong (Bartlett et al., 2018; Ramanathan and Feng, 2009; Sarangi et al., 2018; Thornhill et al., 2018; Zhang et al., 2018a), their impact can extend beyond their emission regions via fast atmospheric circulation adjustments by dynamic processes (Boucher et al., 2013). Therefore radiative forcings by SLCFs lead to both local and remote changes in temperature and precipitation with aerosol–cloud interactions complicating further these responses (Baker et al., 2015; Boucher et al., 2013; Rosenfeld et al., 2014). The investigation of temperature and precipitation responses to single-species forcings in different latitude bands showed that the influence of remote forcings on certain regions can often outweigh and even have an opposite sign to the influence of local forcings (Shindell et al., 2012).

[START FIGURE 6.8 HERE]

Figure 6.8: Surface impacts of aerosols in the atmosphere. 30-year climatology of precipitation at the surface, including both liquid and solid phases, and surface air temperature differences between CMIP5 experiments sstClimAerosol and sstClim, for model GFDL-CM3, ensemble r1i1p1.

[END FIGURE 6.8 HERE]

In many regions, inclusion of inhomogeneous forcing from SLCFs greatly increases the spread in historical temperature changes simulated by a number of CMIP5 models, suggesting that better forcing characterisation could play an important role in improving modelling of decadal scale regional climate change. The spatial pattern of the enhanced response to inhomogeneous forcing is plausibly linked to the aerosol and ozone forcing pattern at regional scales (Shindell et al., 2015). Precipitation responses to aerosol forcing on regional scales have been found to be stronger than those for carbon dioxide in some locations (Hodnebrog et al., 2016; Shindell et al., 2012), but the magnitude and even the sign depend on the forcing location and type (Kasoar et al., 2018; Shindell et al., 2012).

The quantification of the regional responses on temperature and precipitation due to SLCFs forcing has been addressed in various recent modelling studies (with coupling between climate and chemistry/aerosol) either using global climate models (Conley et al., 2018; Kasoar et al., 2016b; Samset et al., 2016, 2018a; Westervelt et al., 2018) (**Erreur ! Source du renvoi introuvable.**) or regional climate models for different regions across the globe (Ji et al., 2018; Li et al., 2016a, 2018d; Nabat et al., 2015; Solomon et al., 2012;

1 Tesfaye et al., 2015; Wang et al., 2010; Zanis et al., 2012). A summary of key results from recent studies
2 based on global climate models is shown in [PLACEHOLDER Table 6.yy¹⁸].
3

4 As has been already pointed in earlier studies (Bond et al., 2013) and AR5 (Myhre et al., 2013), in general,
5 black carbon tends to warm the climate and stabilize the atmosphere while sulphate tends to cool the climate.
6 Recent model sensitivity experiments (with perturbations in anthropogenic emissions of SO₂ and fossil fuel
7 black carbon and organic carbon.) with four global models, showed that the removal of present-day
8 anthropogenic aerosol emissions induces a global mean surface heating of 0.5–1.1°C and precipitation
9 increase of 2.0–4.6% implying that under near-term warming, the regional climate change will depend
10 strongly on the balance between aerosol and GHG forcing (Samset et al., 2018a). It was also shown that
11 sulphate is the dominant aerosol surface air temperature driver for present-day emissions even though there
12 is large intermodel spread which is likely driven by differences in modelled response to SO₂ emission
13 changes (Samset et al., 2018a). In agreement with this study, simulations with four fully coupled
14 atmosphere-ocean global climate models showed that SO₂ emissions reductions lead to the strongest
15 response, with an increase in surface air temperature in the northern hemisphere high latitudes, and a
16 corresponding increase in global mean precipitation while the BC and OC emissions reductions give a much
17 weaker forcing signal, with high degree of disagreement among the models in the sign of the climate
18 responses to these perturbations (Baker et al., 2015). This is also supported by recent multi-model studies
19 which show week temperature and precipitation response to high BC forcing due to rapid adjustments
20 (Samset et al., 2016; Stjern et al., 2017). Specifically, results from the Precipitation Driver and Response
21 Model Intercomparison Project (PDRMIP), where eight global climate models have perturbed black carbon
22 and sulphate, showed significant regional intermodel variability in precipitation response, (especially over
23 land) with black carbon being the component that may cause significant model diversity (Samset et al.,
24 2016). They also identified land regions where the model ensemble consistently predicts that fast
25 precipitation responses to climate perturbations dominate over the slow, temperature-driven responses
26 (Samset et al., 2016). Nevertheless there are specific regions on which precipitation changes are more
27 sensitive to black carbon changes than SO₂. For example, a set of nine global climate models showed that
28 both greenhouse gases and aerosols can cause drying in the Mediterranean and that precipitation is more
29 sensitive to black carbon (BC) forcing than to well-mixed greenhouse gases or sulphate aerosol (Tang et al.,
30 2018a). Also, local black carbon and organic carbon aerosol emissions from biomass burning activities found
31 to be a main cause of the observed decline in southern African dry season precipitation over the last century
32 (Hodnebrog et al., 2016).
33

34 Furthermore a number of recent modelling studies investigated the effect of regional/local emission
35 reductions on temperature and precipitation changes and the remote responses to a local forcing.
36 Investigation of surface temperature responses to removing anthropogenic sulphur dioxide emissions from
37 China in three global climate models revealed large range of results with the two models simulating a near-
38 ubiquitous hemispheric warming in much of the US, northern Eurasia, and the Arctic with similarities in the
39 local and remote pattern of response, but with a substantially different magnitude and the third model
40 simulating almost no significant temperature response (Kasoar et al., 2016b). Another recent global
41 modelling study showed that removing sulphur dioxide emissions from any of the emission regions in the
42 northern-hemisphere results in significant warming across the hemisphere with a preferred spatial pattern
43 although the temperature response to these regionally localised forcings varies considerably in magnitude
44 (Kasoar et al., 2018). Multicentury perturbation runs in three earth system models with and without U.S.
45 anthropogenic SO₂ emissions, showed robust patterns of temperature responses over land, with increases in
46 temperature for most of the Northern Hemisphere land regions and the strongest response toward the Arctic
47 (Conley et al., 2018). There is some agreement on the response to a given local forcing as model results
48 show qualitatively similar temperature change patterns that extend across much of the Northern Hemisphere
49 (Conley et al., 2018; Kasoar et al., 2016a).
50

51 Under the framework of PDRMIP, multiple models were used to simulate the influence of regional (Asian
52 and European) sulphate and BC forcing on global and regional precipitation. The results showed that Asian
53 sulphate aerosols appear to be a stronger driver of global temperature and precipitation change compared to

¹⁸ Ned to fill in table number.

European aerosols, but when the responses are normalised by unit radiative forcing or by aerosol burden change, the picture reverses, with European aerosols being more efficient in driving global change (Liu et al., 2018b; Chen et al., 2018). In another recent study, investigation of the precipitation response to regional changes in aerosol emissions using three coupled chemistry–climate models showed that global and regional precipitation mostly increases when regional aerosol emissions are reduced, with the strongest responses occurring for sulphur dioxide emissions reductions from Europe and the United States and the region with the highest impact being the tropics (Westervelt et al., 2018).

A factor of uncertainty is that most model studies do not represent nitrate chemistry which implies that the climate responses to removal of SO₂ emissions may be overestimated since reducing SO₄ would increase the potential amount of ammonium nitrate aerosol formation, counteracting some of the effects of the reduced SO₄ aerosol (Baker et al., 2015).

Summary

There is a consensus from a number of recent modelling studies highlighting that as large uncertainty remains in the representation of both aerosol chemistry as well as direct and indirect aerosol radiative effects in current climate models, caution must be paid when interpreting in single-model studies the effects of changes in SLCFs on local and remote changes in temperature and precipitation. These uncertainties imply that single-model studies investigating the effects of changes in SLCFs on local and remote changes in temperature and precipitation generally have *low confidence (low to medium agreement and limited evidence)* relative to a multi-model ensemble.

However there is *medium agreement* and *medium evidence* across multi-model ensembles on the response to a given local forcing as model results show qualitatively similar temperature change patterns that extend across much of the Northern Hemisphere implying that under near-term warming, the regional climate change will depend on the balance between SLCFs and GHG forcing.

There is medium evidence and agreement that SO₂ emissions reductions may lead to the strongest response, with an increase in surface air temperature in the northern hemisphere high latitudes, and a corresponding increase in global mean precipitation while the BC and OC emissions reductions give a much weaker forcing signal.

6.4 SLCF mitigation and climate/AQ interactions

6.4.1 Implications of SLCF lifetime on response time horizon

The impact on global mean temperature following a climate mitigation measure affecting emissions of LLGHGs as well as SLCFs depends on the composition of LLGHGs and SLCFs in the emissions, over what time the emission reductions takes place and the inertia of the climate system itself. Mitigation of SLCFs are often implemented through new legislation or technology standards for the different emission sectors and components, implying that reductions are sustained over time.

It is often perceived that the full climatic response following mitigation of SLCFs will occur almost immediately. How the lifetime and the inertia of the climate system determines the short-term and long-term response of a sustained stepwise emission change, can be quantified using linear impulse response functions (IRF), (Olivie and Peters, 2013; Smith et al., 2018). The IRF for climate response has been re-evaluated from the CMIP5 multi-model ensemble (Geoffroy et al., 2013; Smith et al., 2018). A common statement is that a sustained reduction in emissions of SLCFs gives rapid change in climate, while reductions in LLGHGs will give a delayed response. **Erreur ! Source du renvoi introuvable.** shows this by using the two constant IRF from (Boucher and Reddy, 2008) and calculating the reduction in global warming following an idealised sustained step reduction in emissions of SLCFs with different lifetimes, from 4 days to 50 years. For SLCFs with lifetimes less than a few years, the concentrations quickly reach a new steady state and the response time is completely governed by the thermal inertia of the climate system. For a species like methane with

lifetime of the order 10 years, there is about 10 years delay in the response during the first decades, however on longer time scales the response is determined solely by the time scales of the climate system itself. This means that for SLCFs including methane it is the rate of emissions important for long term stabilisation as opposed to CO₂ where cumulative emissions are important (Allen et al., 2018b).

For SLCFs with lifetimes shorter than the mixing time between the two hemispheres (1-2 years), mitigation have lead to more spatially heterogeneous radiative forcing with impacts on regional patterns of response (cf section 6.3). The same is true for some of the future emissions scenarios (cf section 6.6.3 and **Erreur ! Source du renvoi introuvable.**).

[START FIGURE 6.9 HERE]

Figure 6.9: Response in global mean temperature as a function of lifetime for a forcing agent for a sustained step reduction in emissions. The reduction in warming is relative to a baseline of constant emissions. The sustained reduction in emissions give a 1 W m⁻² reduction in global ERF for each component when concentrations have reached a new steady state . The calculation have used the IRF by (Boucher and Reddy, 2008) with and ECS of 1.06°C.

[END FIGURE 6.9 HERE]

SLCFs with lifetimes shorter than the time scales for atmospheric mixing can cause a more spatially heterogeneous forcing than LLGHGs and thus potentially a different climate response. There is evidence (Kasoar et al., 2018; Menon et al., 2002) that SLCF emissions mainly affect the temperature in the hemisphere where the emissions are loacted, and that this also cause a shift in the location of the ITCZ and thus the hydrological cycle.

6.4.2 Sectoral Attribution of SLCF impacts on AQ and climate

Emission control measures for either SLCFs or LLGHGs often target specific sectors of anthropogenic activity, such as energy production, industry, transportation, and agricultural and residential activities. Co-emitted species within the targeted sector could lead to a complex mix of chemical and radiative perturbations. AR5 introduced a multi-pollutant approach to radiative forcing impact assessment based on economic sectors, a valuable method for identifying policy options that tackle a range of different pollutants and activities. AR5 presented the net global temperature response to one-year pulse emissions (year 2008) at 20-years and 100-years after the perturbation, including all radiatively active species. Results indicated that SLCFs do contribute substantially to the net global radiative forcing of source sectors on the 20-year time horizon, but CO₂ dominates for all sectors on longer time horizons. Shipping is the only sector with a net global cooling impact on the 20-year time horizon. The energy and industry sectors have the largest global warming influence of the source sectors on both 20 and 100 year time horizons, including both CO₂ and SLCFs. SLCFs are important for the net global radiative influence of the household biofuel burning and transportation (typically BC-rich), and energy and agriculture (CH₄-rich) sectors. Since AR5, studies have examined the SLCF global radiative effects and air quality impacts of individual emission sources, in particular household biofuel burning, biomass burning, transportation and agriculture.

6.4.2.1 Household biofuel burning

Worldwide about 3 billion people rely on solid fuels for the majority of their energy needs (Legros et al., 2009) and that number stayed fairly constant in the last decades (Bonjour et al., 2013). Household biofuel combustion includes burning wood fuel, agricultural residues and dung for cooking, heating and lighting. Globally, BC from household solid fuel emissions accounts for approximately 25% of the total anthropogenic BC emissions (Bond et al., 2004, 2013; Klimont et al., 2017a). In India, residential biofuel combustion represents the dominant energy sector and accounts for over 50% of the total source of BC and

OC emissions (Bond et al., 2004; Hoesly et al., 2018; Klimont et al., 2017a). Household biofuel burning plays an important role in affecting regional air quality (Archer-Nicholls et al., 2016; Liu et al., 2016b; Spracklen et al., 2018) and influencing global anthropogenic radiative effects (Butt et al., 2016; Huang et al., 2018; Kodros et al., 2015).

Since AR5, there remains low confidence in even the net sign of the influence of carbonaceous aerosols from solid fuel cookstoves on global radiative effect (warming or cooling). (Kodros et al., 2015) estimated that net global DRE of solid fuel aerosol emissions ranges from -20 to +60 mW m⁻², AIE from -20 to +10 mW m⁻², with uncertainties due to assumptions of the aerosol emission masses, size distribution, aerosol optical properties and mixing states. (Butt et al., 2016) reported that the net global DRE and AIE of aerosols from the residential emission sector (including coal) ranged from -66 to +21 mW m⁻², and from -52 to -16 mW m⁻², respectively. Allowing BC to behave as IN, results in a larger range of estimates of -260 to +135 mW m⁻² for the global net radiative effect (Huang et al., 2018).

The outdoor and indoor human health consequences of household solid fuel combustion are substantial (Archer-Nicholls et al., 2016; Ezzati and Kammen, 2002; Lelieveld et al., 2015b; Spracklen et al., 2018) (high confidence). Half of the world's population is exposed to indoor air pollution mainly attributable to solid fuel usage for household cooking and heating (Bonjour et al., 2013; Smith et al., 2014). There is evidence that emissions from residential energy use such as heating and cooking, prevalent in India and China, have the largest impact on outdoor air pollution premature mortality globally (Lelieveld et al., 2015b). In India, emissions from household biofuel burning dominate (52%) population-weighted annual mean PM_{2.5} concentrations, and are attributed to 511,000 (340,000, 697,000) premature mortalities annually (Spracklen et al., 2018).

6.4.2.2 Biomass burning

There is *robust evidence* and *high agreement* that SLCFs from fires have global and regional radiative effects, and it is likely that the net global aerosol and aerosol-cloud radiative influence is cooling, despite the substantial absorption from BC (Grandey et al., 2016; Hamilton et al., 2018; Jiang et al., 2016; Thornhill et al., 2018; Tosca et al., 2013; Ward et al., 2012).

There is growing evidence that fire emissions influence regional air quality and human health (Knorr et al., 2017; Liu et al., 2017a; Reddington et al., 2015; Val Martin et al., 2015) (*high agreement, medium evidence*). There is *limited evidence* that fire air pollution vegetation damage reduces the global and regional terrestrial productivity (Yue and Unger, 2018) (low confidence).

[Placeholder for Transportation and Agriculture Sub-Sections]

6.4.2.3 Land-use

Human-driven land-use change includes agricultural development, urbanisation, forestry, and agricultural waste burning or clearing. These changes have greatly altered the Earth's surface such that cropland and pasture now cover 34% of the Earth's ice-free land surface area (Hurtt et al., 2011; Klein Goldewijk et al., 2017). Anthropogenic and natural land-use change can significantly influence the SLCFs through several mechanisms and processes (Heald and Spracklen, 2015). Anthropogenic and natural land-use changes modulate natural emissions of dust, primary biological particles, fire aerosols and reactive gases, and BVOCs. LULCC also alters chemical and physical processes including dry and wet deposition rates of SLCFs, as well as altering the underlying surface albedo that influences aerosol radiative forcing. Large-scale changes in forest cover affect BVOC emissions that influence both warming and cooling SLCFs. Recent global modelling studies estimated that the historical cropland expansion has led to a decrease in BVOC emissions in the range 10-25% between 1850 and 2000 (Section 6.2.1.2). Recent global atmospheric chemistry modelling studies indicate that the BVOC emission losses from the Earth system between 1850 and 2000 drive reductions in radiative forcing by ozone, methane, and biogenic secondary organic aerosol

(Heald and Geddes, 2016; Scott et al., 2017; Unger, 2014). The influences of LULCC on the SLCFs depend on the chemical and meteorological atmospheric background state. There is no consensus on the net sign of historical BVOC emission changes influence on global radiative forcing. Cultivation, overgrazing, water diversion, and deforestation lead to the development or intensification of dust sources. This type of anthropogenic land degradation has been termed “desertification” by the United Nations Environment Programme. An estimated 20-25 % of mineral dust is anthropogenic in nature owing to land-use change (Boucher et al., 2013; Ginoux et al.). Global NH₃ emissions are dominated by agricultural activities. NH₃ contributes to the formation of inorganic particulate matter, ammonium nitrate and ammonium sulphate aerosol. Accounting for changes in the SLCFs, Ward et al. (2014) estimated a net global warming from LULCC that represents up to 45% of the net anthropogenic global radiative forcing. However, the SLCFs are not currently included in climate impact assessments of LULCC, including the Land Use Model Intercomparison Project (LUMIP) (Lawrence et al., 2016). Such global climate impact assessments of LULCC usually only consider changes to the land carbon storage and surface albedo.

In summary, it is *likely* that historical, present and future LULCC has substantial impacts on air quality and both warming and cooling SLCFs, including dust, organic and inorganic aerosol particles, ozone and methane, and fire aerosols and trace gases, through multiple mechanisms and processes. There is *low confidence* in the quantitative influence of past LULCC on radiative forcing by the SLCFs due to limited historical data on concentrations and abundances of SLCFs and challenges in attributing changes in SLCFs to LULCC. Assessment of the influences of changes in BVOC emissions on SLCFs and their radiative forcing is limited by uncertainties in the knowledge of large-scale BVOC emission rates by plant species, photo-oxidation mechanisms of BVOCs, ozone production efficiencies and BSOA formation yields, and BSOA aerosol-cloud interactions. Observational evidence that BVOCs influence the methane growth rate in the atmosphere does not currently exist.

6.4.2.4 Impacts of SLCF emissions from megacities on climate

Increasing urbanisation of the population is expected to take place worldwide with the highest rates in Asia and Africa and to a lesser extent in Latin America, (United Nations, 2015). The consequences of this projection for increasing urbanisation are discussed in section 6.6.2. Megacities are defined as urban agglomerations with 10 million inhabitants or more and their number is expected to increase in the future from 33 agglomerations in 2018 to 43 anticipated by 2030, most of them in developing regions (United Nations, 2018). The proportion of global population residing in megacities is expected to increase from 6.8% in 2016 to 8.7% in 2030 (United Nations, 2016). while the global population is projected to increase by 13% during the same period (United Nations, 2017).

Major population centres in general, and megacities in particular, are large concentrated sources of SLCFs, SLFC precursors, and LLGHGs. For example in 2000, the emissions of O₃ precursors for several megacities including Dhaka, Seoul, Tokyo, Mexico city, Manila, London, Bangkok, and Buenos Aires represented more than 20% of the total emitted from their host countries (Butler et al., 2008). In modern megacities, the emissions per capita are generally lower than for the rest of the respective countries (Baklanov et al., 2016). However, the pollutant concentrations remain high in and around the megacities due to the density of population.

The emissions per capita are highly variable from one megacity to another. For example, BC emissions per person vary by a factor of 3 between European and Asian megacities due to differing emission sectors associated with residential heating and cooking in Asia and Africa and with transport in Europe and America megacities (Baklanov et al., 2016). For the year 2000, carbon monoxide emission per capita are estimated to vary with a factor of 8 between the highest CO emitters including Beijing, Shanghai, and Los Angeles and the lowest per capita emitters including Bombay, Calcutta, Dhaka, and Cairo (Gurjar et al., 2008). However, the uncertainties related to the geographical distribution of emissions are large as they are poorly inventoried for most of the megacities. Coarse hypotheses are thus applied such as correlation between emissions and population density in most of the inventories.

The level of pollution is thus highly variable from one megacity to another. For example, the concentrations of BC measured in urban backgrounds range from $2 \mu\text{g m}^{-3}$ to $15 \mu\text{g m}^{-3}$ for fast developing megacities (Beekmann et al., 2015).

The emissions taking place in and around megacities represent between 2 and 14% of the total anthropogenic SLCF and LL-GHG emissions (Folberth, et al. 2012, Dang & Unger, 2015). However, the attribution of emissions to megacities is of low confidence since different methodologies are considered to define the megacity areas and since large differences remain between global emissions inventories and city-specific inventories (Amann et al., 2017; Butler et al., 2008).

The impact of megacities on climate has been assessed so far through “Annihilation” approaches (complete removal of megacities emissions) in modelling experiments (Baklanov et al., 2016). The total radiative forcing due to the SLCF and LLGHG emitted by a large number of megacities is estimated to $+150.9 \pm 0.24 \text{ mW m}^{-2}$ and $+228.1 \text{ mW m}^{-2} \text{ yr}$ over a 20-year horizon (Folberth et al. 2012, Dang & Unger (2015)). Considered the individual impact of megacities, the largest global net radiative forcing ranges from $+0.03$ to $+0.05 \text{ W m}^{-2} \text{ yr}$ on 20-year timescales and $+0.07$ to $+0.10 \text{ W m}^{-2} \text{ yr}$ on 100-year timescales (Dang & Unger 2015).

The simultaneous removal of the SLCF emissions from megacities has no significant global effect on the oxidising capacity of the atmosphere (Butler & Lawrence, 2009, Dang & Unger (2015) Folberth et al. (2012)).

In aggregate, aerosols and tropospheric ozone precursors induce a slightly negative direct radiative forcing of $-0.8 \pm 0.24 \text{ mW m}^{-2}$ resulting from a positive forcing of tropospheric ozone, a negative forcing due to aerosols and a negative forcing due to the indirect impact of NO_x and NMVOC on CH_4 [Folberth et al. (2012)]

The distribution of the radiative forcings due to aerosols and tropospheric ozone are strongly heterogeneous and deserve to be considered regionally where they can be 2 orders of magnitude larger than globally. The regional radiative forcing is dominated by the warming effect of black carbon and cooling effect of sulphates, nitrates and to a lesser extent organic carbon. The net regional radiative forcing due to SLCF is negative for all but one megacities (Dang and Unger, 2015)Folberth et al. (2012).

Due to uncertainties in emissions and the limited number of study (each done with a single global chemistry-transport model), these results are of low confidence.

The “annihilation” studies presented in this section have several limitations when applied in low resolution global models (resolution from tens to hundreds of kilometres) due to nonlinearities related to chemical processes which lead to an underestimation of the megacities contribution for ozone (Stock et al., 2013; Stock, et al., 2014) and due to excluded emissions related to the megacities but occurring outside their boundaries. A better quantification of the impacts of megacities request high resolution global models, multi-model studies, proper protocol to define the megacities mask and global emission datasets consistent with the city-level ones.

6.4.3 Case studies of mitigation

The AQ and regional radiative forcing response to mitigation of SLCF precursors vary with location due to differences in solar radiations, mix of pollutants, or topography, which can cause horizontal ventilation and vertical mixing of air masses containing SLCFs and SLCF precursors. Hence at the megacities level, the emission of a specific SLCF precursor can lead to regional radiative forcing of opposite signs depending on the megacity for nitrate or ozone due to different chemical regimes. At the continental scale, the sensitivity of secondary pollutant concentrations to emission reductions is also highly variable from one continent to another.

In the past, emission mitigation efforts have been first driven by local then regional scales issues involving air quality causing health impacts or acidity effect on ecosystems. Such efforts targeted various chemical

species and varied with location and time. They have made it possible to reduce or limit pollution exposure in many megacities or highly populated regions e.g. in Los Angeles, Mexico city, Houston in North America (Parrish et al., 2011), western Europe (Crippa et al., 2016) or Santiago in Chili (Lambert et al., 2018).

The impact of such air pollution mitigation on climate has been estimated for Europe. The implementation of abatement measures and the exhaust cleaning technologies since the 1970's has avoided in this region increases in emissions of 129% for SO₂, 71% for and 69.5% for PM_{2.5} (Crippa et al., 2016). It corresponds to avoided increases of European annual mean concentrations of 44% for sulphate, 56% for BC 35 % for PM_{2.5} and 23% for particulate organic matter (Turnock et al., 2016). In the absence of mitigation, aerosols would have induced lower European surface temperature and lower precipitation accompanied by worse air quality (Turnock et al., 2016). The changes compared with non-mitigated aerosols are of $-0.45 \pm 0.11^\circ\text{C}$ and $+13 \pm 0.8 \text{ mm yr}^{-1}$ for surface temperatures and precipitation respectively (Turnock et al., 2016). Based on a single model and emission dataset, these numbers are of low confidence.

Future emission control strategies need of holistic approach to quantify the climate and air quality impact of mitigation strategy (Fiore et al., 2015). Indeed, efforts to reduce air pollution can lead to an acceleration of near term warming due to the unmasking of GHG-induced warming, for example when mitigating the aerosol precursor emissions, (Samset et al., 2018a)(see Section 6.6.4).

[PLACEHOLDER Figure such as Sector-specific breakdown of regional emission totals (Tg) for 2010 for NO_x and BC (from Janssens-Maenhout et al., 2015)]

Among the control measures targeting to reduce air pollution and thus improve human health and food security, the ones targeting black carbon and ozone via CH₄ reductions are the most appropriate to mitigate near term climate change and can offset the near-term climate disbenefit of reducing SO₂ emissions (Fiore et al., 2015; Shindell et al., 2012). Among these measures for methane, those identified as offering the largest potential to mitigate climate change and improve air quality are efforts to reduce fossil fuel extraction and distribution and, to a lesser extent, the utilization of waste/landfills treatments. For black carbon, the effort should be focused mostly on residential and commercial combustion and transport (Figure S2 from Shindell et al., 2012). (Stohl et al., 2015) reported that following a mitigation scenario of SLCFs can reduce warming by 0.22 K for the decade 2041–2050 with non-CH₄ SLCFs contributing 22% to this response and CH₄ 78 %. The climate response from BC reductions in this study is smaller than reported in previous studies, possibly due to the use of fully coupled climate models in which unforced variability and sea ice responses cause relatively strong temperature fluctuations that may counteract the impacts of small emission reductions.

The use of solid fuel for heating and cooking is a major concern for public health in many parts of the developing world since it leads to strong levels of indoor (and partly ambient) pollutants. The implementation of cleaner cookstoves has thus been subject of many international initiatives and has led to many studies to quantify their benefits. The benefits of this mitigation on premature deaths and social benefits is well established (e.g. Anenberg et al., 2013, 2017; Archer-Nicholls et al., 2016; Butt et al., 2016; Conibear et al., 2018; Lacey et al., 2017; Piedrahita et al., 2017). The impact of removal of cookstove emissions through changes in CO₂, CH₄, OC, BC, SOA and SO₂, is a cooling of -77mK in 2050 (20mK to -278mK) and -118mK (-11mK to -335mK) in 2100 (Lacey et al. 2017). It is noteworthy that the sign of the net global impact of aerosols (which are mainly carbonaceous aerosols) vary from one country to another depending on the co-emission of OC. Huang et al. (2018) estimate a globally averaged radiative forcing due to aerosols emitted by solid fuel cookstoves of $-59 \pm 215 \text{ mW m}^{-2}$. Their work shows that the sign of this RF remains ambiguous if we consider the effect of BC on ice nuclei and the corresponding range of plausible freezing efficiency. The quantification of the climate impact potential of cookstove mitigation is thus of low confidence due to large spatial variability of signal combined with cancellation between large local forcings of opposite sign.

The impacts of SLCF mitigation on climate and air quality are strongly interweaved: reducing atmospheric CH₄ is likely to benefit climate and improve O₃ air quality, while sulphate reductions reflect a trade-off between climate and air quality goals (Fiore et al., 2015). Energy-related BC aerosols have an overall warming effect whereas sulphate aerosols and some biomass-related BC emissions together with their co-

emitted species have a cooling effect (Bond et al., 2013). The uncertainties in net climate forcing from black-carbon-rich sources are substantial, largely due to lack of knowledge about cloud interactions with both black carbon and co-emitted organic carbon (Bond et al., 2013). Furthermore, CO₂ and BC related emissions often have common combustion and emission sources (Bond et al., 2013). Hence CO₂ mitigation will also influence the abundance of SLCFs and their influence on radiative forcing while mitigation measures reducing the emissions of SLCFs can be complementary to CO₂ mitigation.

Neglecting the CO₂–SLCF linkages and co-benefits may lead to overestimating their climate benefits (Rogelj et al., 2014). Therefore, their study revealed that not accounting for CO₂–SLCF linkages can lead to overestimating the temperature effect of the combined SLCF mitigation measures by almost 100% (Rogelj et al., 2014). Earlier studies are in agreement and consistent with the above mentioned results but only in the near term (2030). In the long term (2050 and beyond) under stringent CO₂ mitigation scenarios, only modest effects of SLCF reductions are reported (Shindell et al., 2012). Bowerman et al., (2013) pointed that although immediate mitigation actions on SLCFs might potentially 'buy time' for adaptation by reducing near-term warming, reductions in a future decade do not buy time to delay reductions in CO₂. Enhanced near-term reduction of short-lived climate forcers does not reduce the importance of the CO₂ abatement since the overall climate target is stricter (Berntsen et al., 2010), however, a reduction of SLCF emissions able to slow projected global warming by 0.5°C over the next 25 years could yield to many potential benefits toward achieving Sustainable Development Goals (SDGs) (Shindell et al., 2017).

Low-carbon policies are the only way to reduce climate change on a multi-decadal horizon (Pierrehumbert, 2014; Rogelj et al., 2014) and induce decreases of SLCF precursor emissions (Guo et al., 2018a) facilitating the air pollution mitigation by reducing their costs (McCollum et al., 2018 ; Rafaj et al., 2018 ; However some trade-offs in climate mitigation scenarios can locally induce adverse effects on pollutant control for example if clean energy for cooking is neglected in developing countries or diesel and biofuel used are encouraged to level off CO₂ emissions (Heyes et al., 2018; Rao et al., 2016).

Regional air quality models are necessary to assess the relevance of air pollution reduction policies and still need to be implemented in many developing countries. To properly apply such models, the quality of spatialized emission inventories is essential, but the production of accurate emission inventories remain a challenge for lots of rapidly growing urban areas. The combined use of bottom-up and top-down methodologies combining ambient monitoring networks and satellite data are necessary to decrease the uncertainties in emission inventories (e.g. Jiang et al., 2018). It is also necessary to consider the future global changes to properly assess the local mitigation strategies which requires the use of multiscale modelling chains (e.g. Colette et al., 2013). Multi-model approaches are also necessary to decrease the uncertainties.

6.5 Implications of changing climate on AQ

Since publication of the IPCC AR5, a long series of papers have addressed the effect of air quality on climate, namely introducing in the climate arena the SLCFs. In particular, there is *high confidence* in the effects of reduced emissions of SLCFs on air quality, but *medium confidence* in the sign and magnitude of the climatic impacts of these emission reductions.

Much less is however available in the literature on the effects of future climate change on regional and global air quality.

6.5.1 How climate change influences air quality

The climate drivers of changes in air pollutants can be split into physical changes (temperature, humidity, precipitation, soil moisture, solar radiation, wind speed, sea ice extent, etc.), chemical changes (availability of oxidants) and biological changes (vegetation cover and properties, plankton abundance and speciation, etc), as summarized in AR5 and in recent studies that address the interlinkages between air quality and climate change (Fiore et al., 2015; Maione et al., 2016; Melamed et al., 2016; Von Schneidmesser et al., 2015).

Ozone and PM are the species usually considered in literature as indicators for air quality and air quality changes (e.g. Gonzalez-Abraham et al., 2015).

Changes in meteorology that would affect air quality directly concern transport patterns, the frequency of stagnation episodes and stratosphere-troposphere exchange (mainly connected to changing ozone concentration) (Von Schneidemesser et al., 2015). Changing precipitation patterns in a future climate would also influence the wet removal efficiency of atmospheric aerosol (Hou et al., 2018).

Changes in primary emissions due to climate change that could influence air quality may include the vegetation NMVOCs emissions that would influence both ozone and SOA concentration (Jardine et al., 2016), the agriculture/livestock emissions of ammonia that would affect PM concentrations (Skjøth and Geels, 2013), a possible increase of forest fires that would increase polluting gas and aerosol concentrations (Liu et al., 2017b), and droughts that would increase soil dust content in the atmosphere (Achakulwisut et al., 2018).

A warmer climate may also change the rate of reactions that generate in the atmosphere secondary species from primary emissions. Changes in the oxidising capacity of the atmosphere have in fact been hypothesised in a warmer climate (Lelieveld et al., 2016). A changing concentration of OH, the primary cleansing agent in the troposphere, would have an effect on the atmospheric abundance and lifetimes of the main atmospheric pollutants.

There is also a further class of ways in which climate change would influence air quality that pertain to the “behavioural” factors such as an increased energy demand for air conditioning in a warmer climate. These factors go beyond the scope of this chapter and will not be addressed here.

6.5.2 *Impact of climate change on surface O₃*

The future tropospheric ozone changes in global scale depend on changes of the processes that control tropospheric ozone budget, namely, chemical ozone production and loss, stratosphere-troposphere exchange (STE) and deposition (Lelieveld and Dentener, 2000; von Kuhlmann et al., 2003; Young et al., 2013). During the 21st century, changes in the emissions of ozone precursor species, WMGHGs and Ozone Depleting Substances (ODSs) are expected to be the major factors governing ozone amounts and its distribution in the stratosphere, the free troposphere and at the surface (Fiore et al., 2015; Revell et al., 2015). The changes of the net stratospheric influx in STE are linked to changes of the stratospheric Brewer-Dobson Circulation (BDC) and the amount of ozone in the lowermost stratosphere which are strongly influenced by the emissions of ODSs and WMGHGs (Butchart, 2014; Morgenstern et al., 2018).

Changes in ozone precursor emissions have the largest effect on future tropospheric ozone concentrations with reductions in most precursor emissions being common across the RCPs that drive ozone decreases (except RCP8.5). A strong sensitivity to GHG scenarios is supported by previous and recent model results revealing a net decrease in the global burden of tropospheric ozone in 2100 compared to that in 2000 for all RCPs scenarios, except RCP8.5 scenario which shows an increase due to much larger methane concentrations than the other RCPs (Naik et al., 2013c; Sekiya and Sudo, 2014; Stevenson et al., 2006; A. Banerjee et al., 2016; Meul, Langematz, Kröger, Oberländer-Hayn, & Jöckel, 2018; Revell et al., 2015; Young et al., 2013). Methane increases are found to exert the strongest influence on surface ozone compared to the effect of and LLGHGs and ODSs suggesting that limiting methane growth in the future would be of considerable benefit beyond avoiding the direct climate change associated with this growth (Morgenstern et al., 2013, 2014).

Many recent modelling studies provide more evidence that both enhanced stratospheric ozone influx into the troposphere and stratospheric ozone recovery will tend to increase the future global tropospheric ozone burden despite the extended range of model results thus opposing decreases in net chemical production associated with reductions in ozone precursor emissions (Banerjee et al., 2016; Kawase, Nagashima, Sudo, & Nozawa, 2011; Meul et al., 2018; Young et al., 2013; Sekiya & Sudo, 2014). Neu et al. (2014) using the covariability between STE and tropospheric ozone from observations and the projected GHG-driven future

strengthening of the BDC alone (without accounting for ozone recovery) could lead to an increase in zonal-mean tropospheric ozone of 2% by the end of the 21st century. Hess, Kinnison, & Tang, (2015) extrapolating their model results from present time to future, concluded that a 30% increase in the ozone flux by 2100 due to BDC strengthening would result in 3% increase in surface ozone and a 6% increase in mid-tropospheric ozone.

As has been outlined in the 5th IPCC Assessment Report (Kirtman et al., 2013) there is high confidence that in unpolluted regions, higher water vapour abundances and temperatures in a warmer climate enhance ozone chemical destruction, leading to lower baseline surface ozone levels while there is medium confidence that in polluted regions it is expected to increase surface ozone. This has been supported by a number of model simulations with CCMs and CTMs participating in the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP) (Young et al., 2013) and other studies (Doherty et al., 2013; Revell et al., 2015). Recent developments in CCMs with merged tropospheric and stratospheric chemistry schemes, resulted in improvements in the contribution of STE on modelled tropospheric ozone concentrations through the inclusion of stratospheric ozone changes by ODS and GHGs induced changes of the BDC strength (Morgenstern et al., 2018). Recent findings derived from for the first phase of the Chemistry-Climate Model Initiative (CCMI-1) imply that the simulated ozone fields are subject to considerable uncertainties regarding the impacts of the anthropogenic forcings from WMGHGs and ODSs (Morgenstern et al., 2018). Specifically it is concluded that the total ozone column response to the anthropogenic forcings from WMGHGs and ODSs is relatively consistently simulated, but the response of surface ozone remains highly uncertain, with five CCMI-1 models suitable for this analysis disagreeing on major aspects of the impact (Morgenstern et al., 2018) and exhibiting larger differences than a previous similar study using a different ensemble of CCMs (Young et al., 2013). Morgenstern et al., (2018) suggested that this may reflect uncertainties related to stratosphere–troposphere coupling. Conclusively, the response of surface ozone to global warming remains uncertain (medium confidence) with the largest part of the uncertainty related to contribution of stratosphere-troposphere exchange.

Other temperature-related factors, such as biogenic emissions from vegetation and soils, volatilization of NMVOC, thermal decomposition of organic nitrates to NO_x and wildfire frequency may increase with a warming climate and are expected to increase surface O₃ (e.g., (Doherty et al., 2013; Skjøth and Geels, 2013)). Overall, the integrated effect of these processes on O₃ remains poorly understood, and they have been implemented with varying levels of complexity in the models assessed here.

Moving down from global scale to regional scale, for the regional near surface ozone changes there should be additionally considered changes in atmospheric circulation (e.g. anticyclonic stagnation conditions, transport pathways from pollution sources, convection). Many recent studies have examined the impacts of anthropogenic climate change on future tropospheric ozone and ozone precursor transport pathways (Barnes et al., 2016; Doherty et al., 2017; Fiore et al., 2015; Shen et al., 2016). Over the mid-latitudes, there is a general consensus that the storm tracks will shift poleward in response to future increases in greenhouse gases, at least in the zonal mean (Barnes and Polvani, 2013; Shaw et al., 2016). In terms of ozone pollution transport, this shift in the midlatitude storm track position has been related to increased summertime surface ozone pollution episodes over the eastern USA and Europe (Forkel and Knoche, 2006; Leibensperger et al., 2008; Wu et al., 2008). The general ozone response in a warmer climate is an ozone reduction within air masses transported over long distances, due to an increase in water vapour and a decrease in ozone lifetime, but an ozone increase near the surface in regions where heat waves and air mass stagnation allow ozone precursors to accumulate.

The response of surface ozone to future climate change induced from LLCFs remains uncertain with largest part of the uncertainty related to contribution of stratosphere-troposphere exchange. Hence there is medium confidence, medium agreement and medium evidence that in unpolluted regions, higher water vapour abundances and temperatures in a warmer climate enhance ozone chemical destruction, leading to lower baseline surface ozone levels.

6.5.3 *Impact of climate change on particulate matter*

Changes in concentration and chemistry of particulate matter (PM) in a changing climate are difficult to assess since they depend in a complex manner on how the multiple interactions of changes in emissions, chemical processes, deposition and other factors respond to changes in climate (e.g., temperature, precipitation, circulation patterns). Possible changes induced by climate change may concern both atmospheric concentration levels and chemical composition, that is mainly comprised of, in varying proportions depending on the specific location, ammonium nitrate and sulphate, sea salt, organic components, black carbon and soil dust (Fuzzi et al., 2015).

Changes in meteorology driven by climate change have diverse effects on PM concentration levels. Warmer temperatures increase the reaction rate of gaseous sulphur dioxide to particulate sulphate conversion but, at the same time, favour evaporation of particulate ammonium nitrate (Megaritis et al., 2013). Also, higher temperatures are expected to increase biogenic volatile organic compounds emissions (e.g. Pacifico et al., 2012) that would increase the organic particulate concentration, although this latter result has been questioned by more recent evidences (Wang et al., 2018a; Zhao et al., 2019). Wet deposition constitutes the main sink for atmospheric PM. A decrease in the wet removal flux of 1 to 2% is expected during the 21st century and this will likely cause an increase of the PM burden (Allen et al., 2016b; Xu and Lamarque, 2018). In particular, precipitation frequency has a higher effect on PM wet deposition than precipitation intensity (Hou et al., 2018). PM is also sensitive to wind speed and atmospheric stability conditions emphasising the importance of stagnation episodes and ventilation for increasing PM atmospheric concentrations (Porter et al., 2015).

To disentangle all the above competing factors, Westervelt et al. (2016) conducted simulations where emissions of PM and their precursors were kept constant at the 2005 level whereas all climate forcing agents evolved in time for the four Representative Concentration Pathways (RCPs). A positive correlation between temperature and PM concentration was found for all RCP scenarios. PM concentration is also anti-correlated with precipitation and wind speed. The effect of relative humidity (RH) is small and only limited to a few regions. As the overall result of this analysis, Westervelt et al. (2016) found a small increase in global mean PM concentration levels of ca. 0.21 g m^{-3} for the RCP8.5 scenario, mainly controlled by sulphate and organic aerosols. RCP2.6 was the only scenario that produced a small decrease (-0.06 g m^{-3}) by the end of the 21st century.

Due to the typical atmospheric lifetime of PM in the atmosphere, of the order of a few days, most studies dealing with the future PM concentration levels have a regional character (Fiore et al., 2015; Gonzalez-Abraham et al., 2015; He et al., 2018b; Jiang et al., 2013; Lacressonniere et al., 2016; Lacressonniere et al., 2017; Megaritis et al., 2013; Penrod et al., 2014; Shen et al., 2017). All these studies generally agree that the uncertainties in changes in emissions of PM and their precursors are generally higher than those connected to climate change itself. Therefore, confidence in future PM concentration projections is mainly limited by the reliability of natural (soil dust, sea spray) and anthropogenic emissions (including wildfires, largely caused by human activity) of primary PM as well as that of the precursors.

More robust knowledge has been produced since the conclusions reported in AR5 (Boucher et al., 2013) and there is now *high confidence (high agreement but medium evidence)* on a small effect on PM global burden due to climate change. The regional effects, however, are predicted to be much higher (e.g. Westervelt et al., 2016). With respect to possible changes in the chemical composition of PM as a result of future climate change only a few sparse data are available in literature and the results are, as yet, inconclusive (Gonzalez-Abraham et al., 2015; He et al., 2018b; Jiang et al., 2013; Megaritis et al., 2013).

6.5.4 *Impact of climate change on extreme pollution*

Most studies on the impact of climate change on air quality have focused on the future changes of average meteorological conditions, while the body of literature on the connection between climate change and extreme pollution episodes is still rather limited and the conclusions of the different papers are often

contradictory. Extreme air pollution is identified as the concentration of an air pollutant is above a threshold value. The threshold can be a specified high concentration or a high percentile (for example, ~95th percentile) of the local concentrations during the period of analysis on the basis of statistics.

Ozone and PM_{2.5} concentrations are the parameters that are generally used to characterise air quality in the available literature on climate change-extreme pollution relationship, since they are the two major pollutants detrimental for human health. On the other hand, heat waves, atmospheric stability and atmospheric stagnation episodes are the meteorological factors affected by climate change that are thought to be conducive to air quality extreme conditions in different areas of the world.

Some authors have concluded, on the basis of multi-decadal modelling and statistical analyses, that high temperature, and especially long-lasting heat waves, whose frequency is increasing due to a warming climate, are the main meteorological parameters connected to extreme pollution events (Hou and Wu, 2016; Lelieveld et al., 2014; Porter et al., 2015; Schnell and Prather, 2017; Zhang et al., 2017a). On the other hand, in a study on the extent to which meteorological events and their persistence impact on ozone extreme concentrations, Sun et al. (2017) did not find evidence that persistently high temperatures would result in an amplification of ozone extremes.

Also, it is widely accepted that air stagnation is a major factor causing extreme pollution episodes, and Horton et al. (2014) projected, by the end of the twenty-first century, increases of stagnation occurrence of up to 40 days per year throughout the majority of the tropical and sub-tropical regions, as well as in some isolated mid-latitude areas. Observed frequency of winter severe PM pollution episodes has increased substantially in North China over the past decades. Cai et al. (2017) reported, by using model results from 15 CMIP5 climate models, that the frequency and persistence of s PM pollution episodes increase under climate change driven by RCP8.5 emissions scenario. However, Kerr and Waugh (2018) and Schnell et al. (2018) found only a weak correlation between the occurrence of stagnation episodes and high concentrations of both ozone and PM_{2.5}.

In conclusion, while it is clear that air pollution variability is strongly dependent on meteorology and that any change in meteorology as a result of climate change would therefore affect air quality, the relationship between climate-driven changes in the meteorological regimes and air quality extreme events is still poorly investigated and not clearly defined.

6.6 Future scenarios and impacts (Emissions, Concentration, forcing and AQ)

This section discusses 21st century projections of SLCF emissions, concentrations (species covered in Section 6.2), radiative forcing and responses in terms of climate and air quality following SSP (Shared Socio-economic Pathways) scenarios (Gidden et al., 2018; Riahi et al., 2017), based predominantly on ScenarioMIP (O'Neill et al., 2016) and AerChemMIP (W.J. Collins et al., 2017) model simulations.

6.6.1 Scenarios for emissions and implications for concentrations of SLCFs

The Shared Socio-economic Pathways scenarios consider only direct changes in anthropogenic emissions (including biomass burning), similar to the RCP scenarios. Natural emissions respond to changes in climate and land-use resulting in biospheric feedbacks, which are covered in Chapter 7 (cross-check).

Recently developed future SLCFs emission trajectories suggest a wider range of outcomes than considered in the RCP scenarios used to inform AR5 (Rao et al., 2017). The RCP trajectories for SLCFs relied on the assumption that economic growth will bring rapid strengthening of air pollution legislation effectively reducing emissions of SLCFs. Consequently, all RCPs look alike for SLCF species, especially after 2030 (**Erreur ! Source du renvoi introuvable.** and **Erreur ! Source du renvoi introuvable.**). While in the long-term such trends are expected, the near-term developments might be much more diverse across the regions and species as has been observed in the last decades, especially in several fast-growing economies, leading to

the difference in estimates since 2000 summarized in Hoesly et al. (2018) and RCPs (**Erreur ! Source du renvoi introuvable.**). Since several SLCFs are air pollutants, the narrow range of their emissions in the future allows for only limited analysis of near-future air quality using RCP trajectories (Amann et al., 2013; Von Schneidemesser et al., 2015). The SSP SLCF emission trajectories (Rao et al., 2017) also assume a long-term coupling of economic growth and specific emission indicators, i.e., sectoral emission densities. However, the pace of change varies across regions and SSPs resulting in a wider range of air pollutant futures (**Erreur ! Source du renvoi introuvable.; Erreur ! Source du renvoi introuvable.**) reflecting the potential for large regional differences in ambition of air pollution legislation and discrepancies in effectiveness of its implementation.

It is expected that at the global level emissions of all species, except NH₃, would decline by the end of the century. Similar to RCPs, for NH₃ continuous increase has been estimated for most SSPs, except SSP1 and SSP2, which is due to the fact that very few countries have effective policies targeting agricultural emissions which could offset the expected growth in food demand. Additionally, mitigation potential for NH₃ is generally smaller than for other species (Klimont and Winiwarter, 2015; Mohankumar Sajeev et al., 2018; Pinder et al., 2006; Sajeev et al., 2018). Most significant changes in the near- and long-term are expected for SO₂ owing to ever more stringent (and enforced) legislation in China's power sector, extended recently to industrial sources (Zheng et al., 2018b), declining coal use in most SSPs, recently announced power sector policy in India, and reduction of sulphur content of oil fuel used in international shipping from 2020 (IMO, 2016). For the lower forcing targets, the SO₂ trajectories are similar to the RCPs resulting in over 50% to 90% decline by 2050 and 2100, while for the scenarios with no climate policies, the SSPs show large spread even at the end of the century.

[PLACEHOLDER Similar discussion for CH₄, NO_x, BC, (HFCs?), and 2-3 lines about CO and NMVOC. Highlight key drivers behind the change, key regions (**Erreur ! Source du renvoi introuvable.**), and also reasons for some SSPs having different trajectory leading to sometime large spread even at the end of the century. Also, more explicit quantification of the difference to RCP for the aerosols and other SLCFs will be included. Need to add reference to discussion of scenario in SR 1.5 and since the **Erreur ! Source du renvoi introuvable.** and **Erreur ! Source du renvoi introuvable.** include the SSP1-1.9 scenario then it shall be referred to as well...see also the last para on MTFR etc.]

The SSP SLCF trajectories reflect impact of recent legislation, however, they do not necessarily reflect the full mitigation potential for several SLCFs that could be achieved with air quality or SDG targeted policies (e.g., Amann et al., 2013; Klimont et al., 2017b; Rafaj et al., 2018; Rogelj et al., 2014). Such policies could bring rapid mitigation of SLCFs, independent of the climate strategy; an estimated potential for the period up to 2050 is shown in **Erreur ! Source du renvoi introuvable.** as e.g., MTFR (maximum technically feasible reduction) or other strong policy cases.

6.6.1.1 Differences in concentrations among scenarios

This section discusses 21st century projections of SLCF concentrations (chemicals covered in section 6.2.2) following SSP (Shared Socio-economic Pathways) scenarios, based predominantly on ScenarioMIP (O'Neill et al., 2016) and AerChemMIP (W.J. Collins et al., 2017) model simulations.

At the time of preparing the FOD there are yet no results available from the AerChemMIP simulations. Thus, the following is mainly based on results from the previous ACCMIP project (Lamarque et al., 2013) that formed the basis for the assessment of future impacts of SLCFs in the IPCC AR5 report (Myhre et al., 2013) and included here as a placeholder for the new AerChemMIP results.

A study using a simplified parameterisation approach predicts regional surface ozone concentration changes due to precursor emission changes only by 2050, neglecting impact of future climate change for three SSP scenarios (Turnock et al., 2018). Surface ozone increases of 1 to 5 ppb by 2050 relative to 2010 are predicted for all regions for the SSP3 baseline scenario in which emissions of all ozone precursors increase, with smaller increases over North America, Europe and East Asia compared to South Asia and the Middle East.

For SSP2-6.0, a medium pollution control scenario, small reductions in surface ozone concentration are predicted for all regions by 2050, while for SSP1-2.6, a strong pollution mitigation scenario, large surface ozone reductions are predicted across all world regions (Turnock et al., 2018).

The ACCMIP multimodel project (Lamarque et al., 2013) performed simulations of future responses to SLCF emissions for the RCP scenarios in terms of concentration changes and radiative forcings. For tropospheric ozone (Young et al., 2013) there is a reduction in the tropospheric burden for all RCPs except the highest (RCP8.5). In ACCMIP, the responses are the net effect of changes in tropospheric and stratospheric chemistry. Compared to 2000, the relative changes in the ensemble mean tropospheric ozone burden in 2100 (2100) for the different RCPs are: -4% (-16 %) for RCP2.6, 2% (-7 %) for RCP4.5, 1% (-9 %) for RCP6.0, and 7% (18 %) for RCP8.5.

Westervelt et al. (2015) used the RCP scenarios to calculate the changes in AOD and radiative forcing following the different RCP scenarios. For sulphate all scenarios gave the same reduction in AOD (-0.033 +/- 5%), while for the AOD of BC and OC there was more spread between the scenarios (-0.0018 to -0.0011 for BC, and -0.0081 to -0.0005 for OC).

Many factors affect the future OH-concentrations and the oxidizing capacity of the atmosphere (cf section 6.2.3). The multimodel simulations within ACCMIP (Voulgarakis et al., 2013) estimated a decrease in global methane lifetime by 2100 (relative to 2000) of $4.5 \pm 9.1\%$ for RCP 2.6), while for RCP8.5 an increase of $8.5 \pm 10.4\%$ was simulated. For RCP8.5 the main driver for the decrease in OH was the large increase in methane emissions, while there was a complex set of drivers for the smaller change in RCP2.6.

Velders et al. (2015) have developed a new baseline scenario (until 2050) for emissions of HFCs, based on the storylines of the SSPs. The baseline emissions of HFCs could reach 4.0-5.3 GtCO₂-eq yr⁻¹ in 2050, with corresponding radiative forcings of 0.2 – 0.25 Wm⁻² in 2050.

6.6.1.2 Model structural implications for uncertainty in future concentrations

Model estimates of future concentrations of various SLCFs range considerably even when using the same future emission scenarios which is related to sources of model structural uncertainty in the several physical, chemical and natural emission model parameterisations. The general uncertainties in understanding and representing chemical and physical processes governing the life cycle of SLCFs (cf Box 6.2) necessarily also applies to simulations of future concentrations and RF. In addition, how the models are able to simulate climate changes (i.e. circulation and precipitation) that affects the dispersion and removal of SLCFs constitute a structural uncertainty in the models. Also how well climate feedbacks that affect natural emissions (e.g. NO_x from lightning or BVOCs from vegetation) adds to the uncertainty.

The biosphere releases a large quantity and variety of NMVOCs to the atmosphere that far exceeds anthropogenic sources, with isoprene and monoterpenes being the most abundant compounds emitted (Guenther et al., 2012). Global and regional models use empirical emission algorithms for estimating the biogenic emissions which are sensitive to the meteorological, soil, and vegetation conditions (Guenther et al., 2012; Henrot et al., 2017) thus inducing another source of uncertainty among model with different algorithms.

Another source of model structural uncertainty especially for future ozone concentrations is the way that the global model parameterise lightning emissions as the biases among models to estimate the magnitude of lightning emissions will remain dependent on the ability of the meteorological model to reproduce the strength, timing and distribution of major convective events (Banerjee et al., 2014; Price, 2013). Recent model studies show that the response of lightning to climate change is uncertain because of model simplifications in parameterisations of lightning that neglect cloud ice fluxes, a component generally considered to be fundamental to thunderstorm charging (Finney et al., 2016a, 2018). The soil emissions are also subject to large uncertainties with global above-canopy estimates ranging by a factor 3 (Hudman et al., 2012; Vinken et al., 2014). Better physical parameterisations of these processes are needed for models to

represent the spatiotemporal variability of soil emissions (Hudman et al., 2012).

Finally as global models start incorporating more realistic representation of atmospheric methane with emissions prescribed from inventories and/or calculated interactively for natural sources (instead of prescribed methane concentrations), effort is needed to quantify the impact of this development on the induced model uncertainty for the spatio-temporal distribution of methane and tropospheric ozone (Dalsøren et al., 2016; Szopa et al., 2013).

6.6.2 Impacts of urbanization on SLCFs budgets

Urbanization levels vary across geographic regions : 82% of population reside in urban areas in Northern America and 81% in Latin America and Caribbean, which makes these regions the most urbanized, 50% of Asian population is urban, and Africa remains mostly rural with 43% of its population living in urban areas in 2018 (DESA United Nations, 2018). The specific impact of megacities (generally defined as urban agglomerations with a population exceeding 10 million) is discussed in Section 6.4.2.4.

While well planned, densely populated urban centres can help to maximize the benefits of agglomeration, reduce the need for land conversion, provide proximity to infrastructure and services, and opportunity for energy saving; however, many urban areas experience uncontrolled urban sprawl. Often in the developing world new urban growth is populated by low income immigrants having poor accessibility to clean water, clean indoor air, and transportation services. This is one of the main challenges of rapid urbanization.

In order to isolate the effect of population densification in megacities, modelling studies of “even-distribution” approach are conducted with population and emissions homogeneously spread in a country or over the globe. Such approach applied with a global model shows that the spatial gradients of emissions in megacities reduce surface ozone levels through NO_x-saturated regimes, which inhibits the ozone formation inside megacities (Stock et al., 2013). If this reduction of surface ozone can reach up to 30% as monthly average, it does not exceed 0.12% of the global annual ozone burden (Stock et al., 2013).

6.6.3 Time-dependent implications of scenarios for RF and impacts

[START FIGURE 6.10 HERE]

Figure 6.10: Simulated contribution of SLCFs to GMST change relative to 1750 for a set of SSP scenarios using the FAiR model. The lower panels show the contribution from the individual SLCFs for each scenario

[END FIGURE 6.10 HERE]

The SSP scenarios have very different temporal evolution of SLCF emissions, including differences in global emissions of individual SLCFs (**Erreur ! Source du renvoi introuvable.**), but also differences in regional trends (**Erreur ! Source du renvoi introuvable.**). In this section we assess how this affects evolution of radiative forcing and GMST response. To quantify the contribution from emissions of individual forcers and to span the range of scenarios, the analysis is based on a combination of simple linearized emulators of the global temperature response and simulation with coupled AOGCMs. For the Special report on 1.5°C (Rogelj et al., 2018) two of these simple models (MAGICC and FAiR) were used to assess the forcing and GMST response of the scenarios, with the focus on those meeting a 1.5°C or 2°C constraint on global warming. Using the FAiR model as described in (Smith et al., 2018) the impact of the full range of SSP scenarios have been simulated following the same setup as for the simulation in the Special report on 1.5°C. Although in principle fully coupled ESMs can be used to quantify the impact of individual SLCFs for the range of scenarios, the amount of computer time required makes it prohibitive. Thus only a very limited set of scenarios have been simulated within CMIP6 (Collins et al., 2017). Using a simple linear model like FAIR, the global mean ERF by component (historic and future) for each forcing component (net

aerosols, methane, tropospheric ozone and BC on snow) and the corresponding global mean temperature change can be simulated. This has been done for the full suite of SSP scenarios.

Erreur ! Source du renvoi introuvable. shows the net change in GMST relative to 1750 for a selected set of SSP scenarios spanning the range of emissions of SLCFs (cf. section 6.6.1). For the historical period the net impact of SLCFs on GMST is quite small (less than 0.05°C). At present and until about 2035 all SSP scenarios give a positive contribution to GMST of about 0.15°C. A remarkable feature is that except for the SSP5 baseline scenario, all scenarios give the same GMST increase by 2040, but for different reasons. The early warming is most pronounced in the strong mitigation scenarios (i.e. SSP1 1.9) due to rapid cuts in aerosols. Later on, the reduction in methane, ozone and BC (on snow) and by the end of the century the net warming by the SLCFs are reduced to 0.08°C in this scenario. On the other end the SSP3 baseline scenario has nearly no reduction in aerosols until mid-century and an increase in methane and ozone that in net gives the same warming in 2040 as the SSP1 1.9 scenario. However, in the longer term towards the end of the century there are very significant differences between the scenarios. In SSP3, there are only limited reductions in aerosols, but a steady increase in methane and ozone that leads to a nearly linear contribution to GMST reaching 0.4°C in 2100. SSP2 4.5 and SSP4 3.4 both have a reduction in aerosols contributing to about 0.2°C warming in 2100, the contribution from ozone and methane in these scenarios is relatively small.

The near term net impact of SLCFs on GMST is relative insensitive to the choice of policy (as embedded in the SSPs) due to compensating effects. However, in the longer term there are significant differences between the scenarios.

6.6.3.1 Regional trends in RF

The rate of implementation of AQ measures to limit emissions of aerosols and precursors varies between region in the different SSPs. Samset et al., (in prep) shows recent trends in AOD over Asia, with a clear dipole with increasing AOD over India and decreasing AOD over China. Atmospheric burdens of key aerosols components (BC and sulphate) as simulated with the OsloCTM3 model using three SSPs shows that a similar dipole in the burden change between 2015-2030 (Note: AOD-calculations are underway) in SSP2 and SSP3 (most pronounced under SSP2). How this gradient in the AOD and thus aerosol forcing might influence circulation patterns and e.g. the monsoon remains to be seen (Potential AerChemMIP simulations could look into this).

[START FIGURE 6.11 HERE]

Figure 6.11: Recent and projected patterns of aerosol loading and radiative forcing over South and East Asia. (a) Changes to aerosol optical depth, as seen by MODIS Terra, since 2010. (b) Changes to BC and SO₄ column loading, as projected by SSP 1, 2 and 3, for 2014-2030. (c) Estimates of radiative forcing resulting from changes in Chinese and Indian aerosol emissions. (d) Key remaining uncertainties for linking Asian aerosol emissions to impacts on human systems and health. For (b), we have taken emissions from SSP1-3, spanning the range from strong to weak air quality (AQ) measures, as input to the chemical transport model OsloCTM3, and calculated the regional change in aerosol loading for 2014-2030 for BC and SO₄ separately. We ran a baseline simulation with year 2014 Community Emission Data System (CEDS) emissions, and then another simulation for each SSP with year 2030 BC and SO₂ emissions in SEA, but keeping the rest of the world at 2014 levels. For (c), we first calculated the RF of the direct radiative effect (DRF) using radiative kernels based on OsloCTM, with averaged forcing scaled to the AeroCom Phase II multi-model mean. Then, we scaled the BC forcing down by 10% to account for rapid adjustments, based on a recent multi-model study, and the SO₄ forcing up by 100% to account for aerosol-cloud interaction effects on albedo and lifetime. The latter is based on numbers from the IPCC AR5, and a separate, recent multi-model investigation.

[END FIGURE 6.11 HERE]

6.6.4 *Compensating effects (cooling vs. warming for SSPs)*

Mitigation measures in SLCFs is a critical climate strategy, particularly in regions most vulnerable to climate change, due to their regional context, the opposing effects by mitigating warming or cooling species and the large co-benefits by improving air quality. Opposing radiative effects, compensating effects and linkages in the emissions among the different SLCFs and LLGHGs induces a degree of complexity for these mitigation measures.

To achieve a climate benefit, abatement strategies will be most effective if they target sources with a high fraction of warming species in their emissions (Unger et al., 2010). Energy-related BC (black carbon) aerosols have an overall warming effect whereas sulphate aerosols and some biomass-related BC emissions together with their co-emitted species have a cooling effect thus reflecting a trade-off between climate and air quality goals (Bond et al., 2013; Fiore et al., 2015). Indeed, reductions in SO₂ emissions largely associated with fossil-fuel burning are expected to reduce the cooling effects of both aerosol radiative interactions and aerosol cloud interactions, leading to warming (Samset et al., 2018a). The uncertainties in net climate forcing from black-carbon-rich sources are substantial, largely due to lack of knowledge about cloud interactions with both black carbon and co-emitted organic carbon (Bond et al., 2013). Furthermore, CO₂ and BC related emissions often have common combustion and emission sources (Bond et al., 2013). For the mitigation pathways of global warming in the 21st century, the aerosol radiative forcing remains a considerable source of uncertainty (Rogelj et al., 2018).

The available evidence indicates that reducing atmospheric CH₄ is likely to benefit climate and improve O₃ air quality (Fiore et al., 2015). The important role of methane emissions reduction is highlighted in Chapter 2 of Special Report: Global Warming of 1.5 °C (Rogelj et al., 2018) in agreement with the recent literature focusing on stringent mitigation pathways (Hayman et al., 2018; Rogelj et al., 2014, 2015; Shindell et al., 2012; Stohl et al., 2015). In the frame of the EU-project ECLIPSE (Evaluating the Climate and Air Quality Impacts of Short-Lived Pollutants) it was shown that following a mitigation scenario of SLCFs can reduce warming by 0.22 K for the decade 2041–2050, with non-CH₄ SLCFs contributing 22% to this response and CH₄ contributing the rest 78 % (Stohl et al., 2015). Plausible levels of methane mitigation can make a substantial difference to the feasibility of achieving the Paris Agreement climate targets through increasing the allowable carbon emissions while this benefit is enhanced by the indirect effects of methane mitigation on ozone levels (Hayman et al., 2018). Delaying stringent CH₄ mitigation by 20 year still yields a comparably large benefit as immediate stringent CH₄ mitigation measures (Rogelj et al., 2015).

The mitigation of SLCFs barely affects consistent CO₂ budgets because a phase-out of CO₂ emissions would lead to reductions of co-emitted emissions as their sources disappear (Rogelj et al., 2015). Not accounting for CO₂–SLCF linkages can lead to overestimating the temperature effect of the combined SLCF mitigation measures by almost 100% (Rogelj et al., 2014). They reported that in a “no CO₂ mitigation” world the maximum temperature influence in 2100 by CH₄, HFCs and BC measures is about 0.7 °C, 0.2 °C, and 0.1 °C, respectively, adding up to a combined effect of about 0.9 °C. This differs markedly from a world “with CO₂ mitigation,” where the influence declines to 0.4 °C, 0.1 °C and <0.05 °C, respectively, adding up to about 0.5 °C in 2100. These results reinforce that SLCF measures are to be considered complementary rather than a substitute for early and stringent CO₂ mitigation (Rogelj et al., 2014). Earlier studies are in agreement and consistent with the above mentioned results but only in the near term (2030) whereas in the long term (2050 and beyond) and for stringent CO₂ mitigation scenarios, there are reported only modest effects of SLCF reductions (Shindell et al., 2012). Bowerman et al., (2013) pointed that although immediate mitigation actions on SLCFs might potentially 'buy time' for adaptation by reducing near-term warming, reductions in a future decade, do not buy time to delay reductions in CO₂. Adopting a short time horizon for a multi-component approach to enhanced near-term reduction of SLCFs does not reduce the importance of the CO₂ abatement, since the overall climate target would be stricter (Berntsen et al., 2010). Recently, Shindell et al. (2017) proposed that reducing SLCFs enough to slow projected global warming by 0.5°C over the next 25 years be adopted as a near-term goal, with many potential benefits toward achieving Sustainable Development Goals (SDGs).

In summary there is high agreement and robust evidence that SLCFs mitigation measures act complementary

to early and stringent CO₂ mitigation with important temperature effects for the near term but there is medium agreement and medium evidence for their contribution in the long-term.

6.6.5 Impacts on AQ (forced by SSP emissions)

The different socioeconomic developments in the SSP storylines and the different levels of climate policies for each SSP have strong influence on SLCP emissions and thus on air quality (*high confidence, good agreement*). Rao et al., (2017) developed the SSP scenarios to include narratives on future air pollution control that are consistent with current trends in air quality policies. It explores some initial projections on air quality. Reis et al. (2018) estimate regional impacts on AQ across the range of SSP scenarios using the emission numbers developed by Rao et al. (2017) and the chemistry emulator TM5_FASST (Van Dingenen et al., 2018).

Using a global averaged exposure based Air Quality Index, Reis et al. (2018) find that climate policies are very relevant in reducing air pollution exposure by mid-century. Climate policies have a stronger effect on the pollution reduction timing, while socioeconomic developments will have a greater impact on the absolute pollution level. A 1.5°C policy target may prevent all regions from exceeding the annual average limit for all pollutants considered, except PM_{2.5}.

6.7 Knowledge gaps

This section summarizes the knowledge gaps articulated in earlier sections of the chapter.

6.7.1 Abundances and radiative forcing of SLCPs

There is a gap of knowledge with respect to the budget, distribution, and radiative forcing of tropospheric ozone [6.2.2.5]. Due to the sparseness of historical observations, there is limited evidence (low confidence) to draw conclusions about zonal mean ozone changes at the tropics and southern mid-latitudes. From the model perspective, model simulations show low agreement for ozone budget terms, including chemical production and loss, stratosphere-troposphere exchange, and deposition of ozone. Radiative forcing estimates depend on changes in tropospheric ozone from the preindustrial time to present day, but there is still a knowledge gap from observations for preindustrial ozone levels. Furthermore, part of the RF uncertainty (which is $\pm 50\%$) is due to how the models simulate changes in STE on decadal or multi-decadal time-scales, thus highlighting another knowledge gap.

Global carbonaceous aerosol budget and trend remain poorly characterized due to limited observation, yielding low confidence in our current understanding. Observations of OA are even more limited than BC. The uncertainties arise from the understanding of the aging and deposition processes of carbonaceous aerosols, the vertical distribution of BC, and the representations of SOA yields in models [6.2.2.8]. Improved constraints on BC interactions with mixed-phase and ice clouds and better representations of global solid fuel cookstove emissions in models are also needed [6.4.2.1].

Concentrations of air pollutants are influenced by climate change. Most studies on the impact of climate change on air quality have focused on the future changes of average meteorological conditions. The relationship between climate-driven changes in the meteorological regimes and air quality extreme events is still poorly investigated and not clearly defined.

6.7.2 Climate effect of SLCPs

There is considerable uncertainty in the interactions between LULCC, SLCPs, and climate [6.4.2.2]. Preindustrial to present day anthropogenic LULCC have resulted in a global warming that is equivalent to up

to 45% of the net anthropogenic global warming when changes in the SLCFs from dust, fire, BVOCs, soil, CH₄ and NH₃ emissions are properly accounted for (low confidence). Areas of research to improve understanding of the effects LULCC on SLCFs include BVOC emissions at large-scale by plant species, photo-oxidation mechanisms of BVOCs, ozone production efficiency of BVOCs, BSOA formation yields, in addition to the BSOA aerosol-cloud interactions. Field measurements of BVOCs' influence on the methane growth rate in the atmosphere are also lacking. There is no consensus on the net sign of global radiative forcing from the historical changes in BVOC emissions.

There is knowledge gap for quantifying the impacts of megacities on climate. The attribution of emissions to megacities is of low confidence since different methodologies are considered to define the megacity areas and since large differences remain between global emissions inventories and city-specific inventories [6.4.2.3]. In addition, because of the use of a single global chemistry-transport model in the published literature, the results regarding impacts of SLCF emissions from megacities on climate are of low confidence [6.4.2.3]. The “annihilation” studies (complete removal of megacities emissions in modelling experiments) have several limitations when applied in low resolution global models (resolution from tens to hundreds of kilometers) due to nonlinearities related to chemical processes which lead to an underestimation of the megacities contribution for ozone and due to excluded emissions related to the megacities but occurring outside their boundaries [6.4.2.3]. A better quantification of the impacts of megacities on climate requests high resolution global models, multi-model studies, proper protocol to define the megacities mask and global emission datasets consistent with the city-level ones.

There are also large uncertainties in sign/magnitude of climate effects of mitigating SLCF emissions [6.4.3]. The impact of air pollution mitigation on climate has been estimated, but usually based on a single model and emission dataset, therefore conclusions from such studies are of low confidence. Specifically, the quantification of the climate impact potential of cookstove mitigation is of low confidence due to large spatial variability of signal combined with cancellation between large local forcings of opposite sign. To assess the relevance of air pollution reduction policies, regional air quality models are necessary and still need to be implemented in many developing countries. To properly apply such models, the quality of spatialized emission inventories is essential, but the production of accurate emission inventories remain a challenge for lots of rapidly growing urban areas. The combined use of bottom-up and top-down methodologies combining ambient monitoring networks and satellite data are necessary to decrease the uncertainties in emission inventories. It is also necessary to consider the future global changes to properly assess the local mitigation strategies which requires the use of multiscale modelling chains. Multi-model approaches are also necessary to decrease the uncertainties.

6.7.3 *Effects of SLCFs on health, agriculture, and ecosystems*

Our knowledge on health impact of ambient trace gases other than ozone is still very limited and uncertain (6.1.2.2). With respect to the health impact of PM, the majority of studies are based on correlation and therefore do not firmly establish causal relationships. These health studies are still primarily based on mass concentration of particulate pollutants and provide very little information on size, composition or number concentration that are also important to assess health damage. In addition, half of the world's population is exposed to indoor air pollution mainly attributable to solid fuel usage for household cooking and heating, which requires understanding of epidemiological concentration-response functions in Asia and differential particle toxicity [6.4.2.1].

There are substantial knowledge gaps with respect to the impacts of SLCFs on agriculture and ecosystems. Reducing uncertainties requires improved information on the sensitivity of different plant species to ozone, and measurements of ozone dose-response relationships for tropical plants, which are currently lacking [6.3.1.3]. There is low confidence on the likely impacts on agriculture and ecosystem damage from elevated ambient concentration of trace gases such as NO_x, CO, SO₂. There is very limited new knowledge about PM damage to agriculture, ecosystems, material and property damage since AR5. Air pollution impacts on agriculture should be included in the Agriculture Model Intercomparison and Improvement Project [6.3.1.3].

Frequently Asked Questions

FAQ 6.1: Why do we care about Short-Lived Climate Forcers?

Short-lived climate forcers can alter the Earth's climate by changing the flows of sunlight and heat in the Earth's atmosphere and land surface. The climatic effects include changes in temperature, snow cover, atmospheric circulation, and precipitation. These agents also have adverse effects on air quality, human health, agricultural yield, and ecosystem vitality. Due to chemical sinks and other removal mechanisms, short-lived climate forcers have much shorter characteristic residence times in the Earth's atmosphere than those of long-lived greenhouse gases. For this reason, the impact of mitigation (or increased emissions) of these agents occurs quickly. Due to widespread introduction of measures to improve air quality, sharp reductions in emissions and concentrations of SLCFs have been observed in many regions.

As their name indicates, short-lived climate forcers don't stay around for long, but their presence in the atmosphere still impacts climate and the environment. Of all the SLCFs, methane 'lives' the longest in the atmosphere, at around one decade, but most others only stay there for a few days to weeks. Black carbon (or soot as it's also known), tropospheric ozone and sulfur dioxide (the substance that causes acid rain) are other types of SLCFs. Emitted by natural and anthropogenic (man-made) sources, SLCFs emissions have increased since the start of industrialization and now several of the anthropogenic sources, for example the production of sulfur dioxide, have become the dominant worldwide sources.

Just like long-lived greenhouse gases like carbon-dioxide or nitrous oxide, SLCFs can affect climate by altering the Earth's energy balance through their effects on sunlight and heat in the Earth's atmosphere and land surface. As well as altering the Earth's energy balance, SLCFs impact the Earth and its inhabitants in other ways.

The observed climatic effects of short-lived forcers include large local perturbations in surface and near-surface temperatures and significant reductions in the lifetime of snow due to the melting induced by soot. It is *expected* that these agents have altered local and even hemispheric scale circulation systems and regional patterns of precipitation. Recent trends in observed aerosol burdens show strong regional differences, in particular over south and east Asia that might influence regional weather systems. Short-lived forcers also have direct impacts on clouds, affecting local precipitation mechanisms.

Short-lived climate forcers can also have negative impact on air quality. Ozone is a powerful oxidant that can irritate the airways, reduce lung function, and can harm lung tissue. It can also trigger a variety of health problems including chest pain, coughing, throat irritation, and airway inflammation, and it can worsen bronchitis, emphysema, and asthma. Microscopic particles such as soot and sulphate particles can penetrate deep into the lungs and have been linked to a wide range of serious health effects, including premature death, heart attacks, and strokes, as well as acute bronchitis and aggravated asthma among children. Short-lived climate forcers can also reduce agricultural yield and affect economic vitality through the effects of smog on visibility.

Due to the rapid chemical sinks and removal mechanisms such as precipitation, which can scavenge (remove) hydrophilic aerosols, these agents have much shorter characteristic residence times in the Earth's atmosphere than those of long-lived greenhouse gases. For many species, the residence times are appreciably shorter than the characteristic timescales for mixing of the atmosphere at synoptic and global scales. As a result, these agents are very inhomogeneously distributed in the Earth's atmosphere. Particles affect the properties of clouds regionally, generally enhancing the direct forcing of the particles. This inhomogeneity implies that while the global forcing by the short-lived forcers is comparable in magnitude to that of the long-lived greenhouse gases, the local forcings by these forcing agents can far exceed those of the long-lived gases.

Because some short-lived forcers are co-emitted with long-lived greenhouse gases, and since several of these agents offset some of the forcing by these gases, these agents are important under strict mitigation scenarios

(e.g. a temperature limit of 1.5°C). But, the adverse effects of SLCFs on air quality make mitigation of SLCFs a favourable policy option as a win-win strategy. In fact, the strong reduction in emissions of SLCFs that is and has been observed in many regions, and predicted in future scenarios, are mainly a result of policies to improve air quality.

[PLACEHOLDER Figure suggestion: Short-lived climate forcers – where do they come from and where do they go? Schematic showing the main sources of CH₄, SO₂, O₃, BC, HFCS (?) and their impacts: health, crop yields, precipitation / clouds, and main removals: rain, atmospheric chemical reactions.]

FAQ 6.2: What is the link between air quality and climate change?

Air quality and climate change are intimately linked, since many air pollutant sources are also sources of long-lived greenhouse gases and the pollutants themselves influence climate and are also altered by climate change. Anthropogenic activities are responsible for the emission of gaseous and particulate chemical species that modify atmospheric composition. Such changes are, in turn, responsible for the degradation of air quality at the regional/local scale as well as for climate change. Indeed, many mitigation options offer the possibility to both improve air quality and mitigate climate change but, in some cases, mitigation options that may provide benefits to one aspect, may worsen the situation in the other.

Critical environmental issues, climate change and air pollution are already impacting humanity. The World Health Organisation attributes 4.2 million deaths worldwide every year to ambient (outdoor) air pollution, and climate change impacts water resources, food production, human health, extreme events, coastal erosion, wildfires, and many other phenomena essential for our life.

All anthropogenic activities (e.g., energy production, agriculture, transportation, industrial processes, waste management, residential heating, etc.) are responsible for the emission of gaseous and particulate pollutants that modify atmospheric composition, leading to degradation of air quality as well as climate change.

All anthropogenic emission sources emit air pollutants and climate-forcing species at the same time, making air pollution and climate change two intimately connected issues. Yet in the scientific and the policy arena, air pollutants and climate-forcing species are often defined, investigated, and regulated independently of one another and their impacts on climate, human health, and ecosystems are also often considered independently, in spite of the scientific evidence, now well established, linking emissions of air pollutants and climate-forcing species and their associated impacts.

The chemical composition of the emission from any given source determines the actual effect on air quality and climate change. When we drive our car or light a fire in the fireplace, it is not just CO₂ or air pollutants that are emitted, but always both. It is therefore not possible to unambiguously separate anthropogenic emissions in two distinct groups: atmospheric pollutants and climate-forcing species, and many of the same sources emit both climate-forcing species and air pollutants. What is more, many emitted species are at the same time air pollutants and climate-forcing species.

Therefore, policy options aiming at addressing e.g. climate change, may have unintended benefits or trade-offs for air quality and *vice-versa*.

Win-win policies that benefit at the same time air quality while mitigating climate change are e.g. energy efficiency measures, zero-emission vehicles, capturing and recovering methane from solid waste management and oil and gas industry, reducing emissions of soot (particulates) from diesel vehicles, etc.

There are, however, also win-lose policy options. For example, wood burning is defined as carbon neutral, because trees accumulate the same amount of CO₂ throughout their lifetime as that released when wood is burned. However, burning wood can also result in significant emissions of air pollutants (carbon monoxide, nitrogen oxides, volatile organic compounds, particulate matter) that have local/regional impacts on climate, human health and ecosystems, offsetting the CO₂ benefit. On the opposite side, mitigation options to improve air quality, such as exhaust gas desulphurization in power and industrial plants, unmasks some warming since sulphate aerosols contribute to cooling the atmosphere.

Two sides of the same coin, addressing air quality and climate change together could lead to significant synergies and economic benefits, avoiding policy actions intended to mitigate one of the two issues that could worsen the situation in the other.

[START FAQ 6.2, FIGURE 1 HERE]

Air pollutant/GHG	Climate impact	Health/Ecosystem impacts
Carbon Dioxide (CO ₂)	+	E
Fluorinated gases	+	-
Methane (CH ₄)	+	H/E
Nitrous Oxide (N ₂ O)	+	-
Carbon Monoxide (CO)	+	H/E
Nitrogen Oxides (NO _x)	+/-	H/E
Ammonia (NH ₃)	-	H/E
Sulphur Dioxide (SO ₂)	-	H/E
Tropospheric Ozone (O ₃)	+	H/E
Volatile Organic Compounds (VOCs)	+	H/E
Particulate Matter (PM)*	+/-	H/E

FAQ 6.2, Figure 1: Most common air pollutants and climate-forcing species and their impacts on climate, human health and ecosystems. These different species are often co-emitted by the same source and are impossible to consider separately. Anyone of the listed species can be an air pollutant, a climate-forcing specie or both (as in the case of e.g. particulate matter or ozone). *PM includes inorganic and organic particulates as well as black carbon.

[END FAQ 6.2, FIGURE 1 HERE]

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Figures

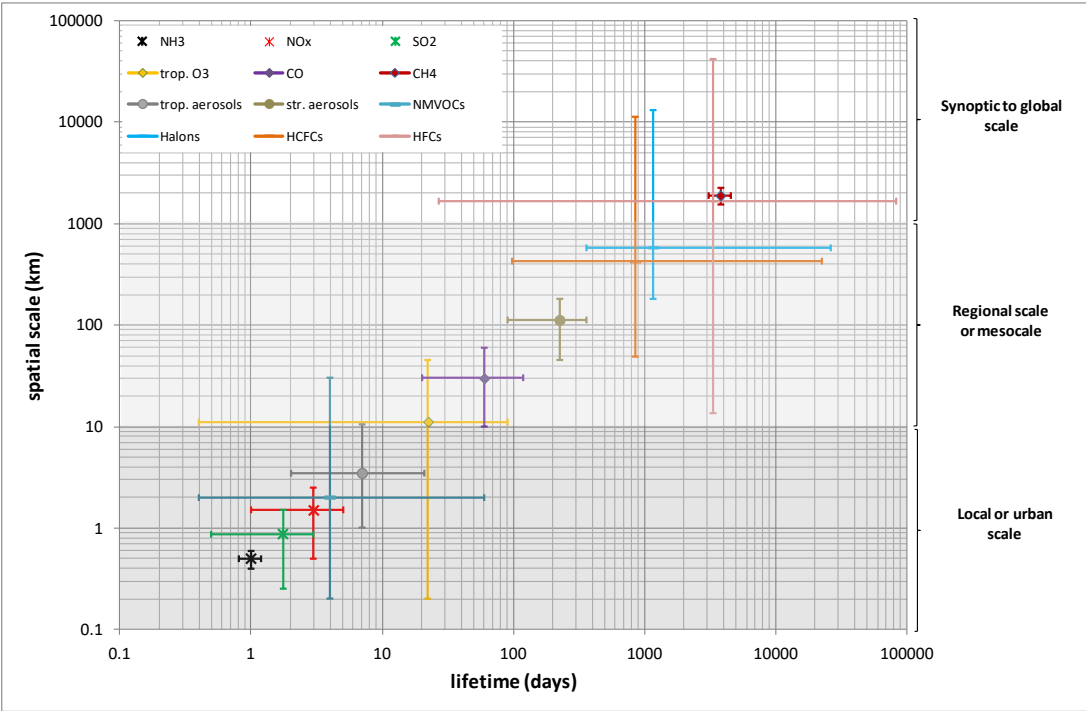


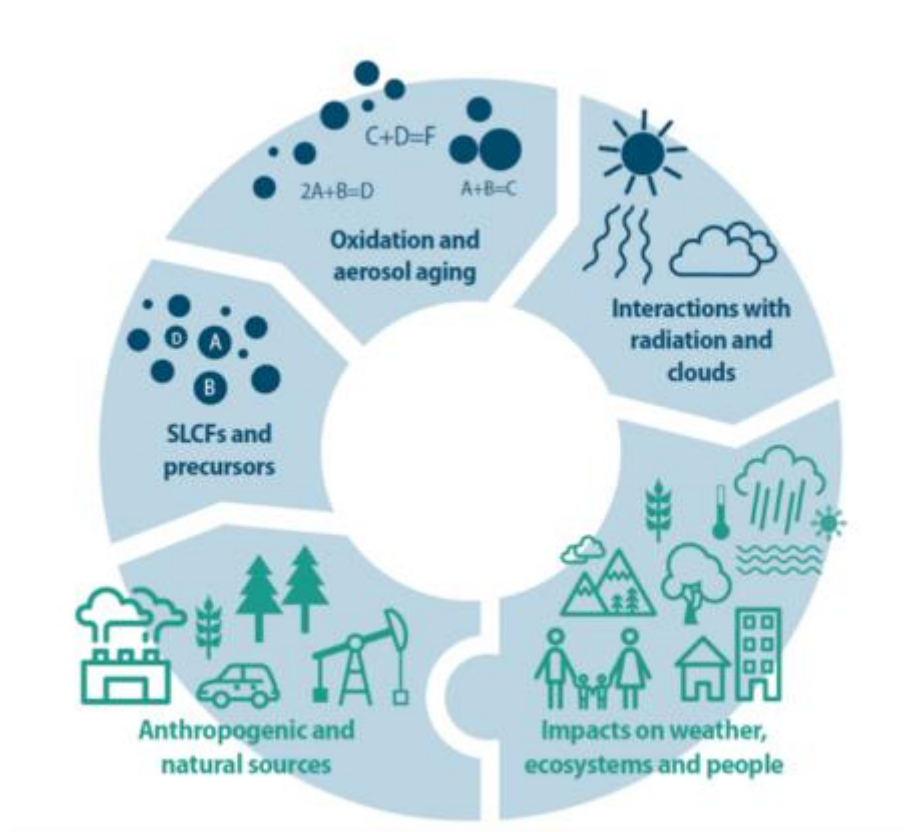
Figure 6.1: Chemical lifetime versus spatial scale for SLCFs and their precursors. The precursors of SLCFs (NH3, NOx and SO2) are denoted with asterisks. The error bars denote the range of the species chemical lifetime and consequently of their spatial scale range. For example, the lifetime of tropospheric O3 ranges from a few hours to several weeks. Apart from the certain species there are also considered families of species such as NMVOCs, HCFCs, HFCs and Halons. Specifically for the families of species (NMVOCs, HCFCs, HFCs and Halons) the error bar in chemical lifetime reflects the range from the species of this family with the minimum lifetime to the species of the family with the maximum lifetime. The species and their chemical lifetimes for the families HCFCs, HFCs and Halons have been calculated according to Appendix A (Table A-1) of the WMO Scientific Assessment of Ozone Depletion (WMO, 2018).

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Figure 6.2: Schematic of the sources and processes leading to tropospheric SLCF burden. Both direct emissions of SLCFs and the emission of precursors which can be transformed to SLCF through atmospheric chemistry processes or impact the lifetime of SLCF (indicated by τ_{CH_4}) are depicted. The natural emission source types include volcanoes, lightning, ocean, soil, biosphere, and wild fires. Anthropogenic emission classes illustrated are wild fires, agricultural sources, industry and transport. For a comprehensive list of SLCF emission sources see Table 6.1. Radiative forcing by SLCF can be net positive through interactions of SLCF with IR radiation, net negative through interactions with solar radiation, and net positive through increases of the surface albedo e.g. by black carbon deposition on snow. All natural emission source types but volcanoes can be influenced by climate change. A major air pollution influence on natural emissions is known to exist for biogenic emissions. Climate influences on anthropogenic emission types exist for wild fires and agricultural emissions. Both climate and air quality also impact atmospheric chemistry processes such as specific reaction rates, thus impacting SLCF concentration. For completeness the influence of both climate and air quality on human health is also indicated.

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Box 6.1, Figure 2: Schematic of the sources and processes leading to tropospheric SLCF impacts

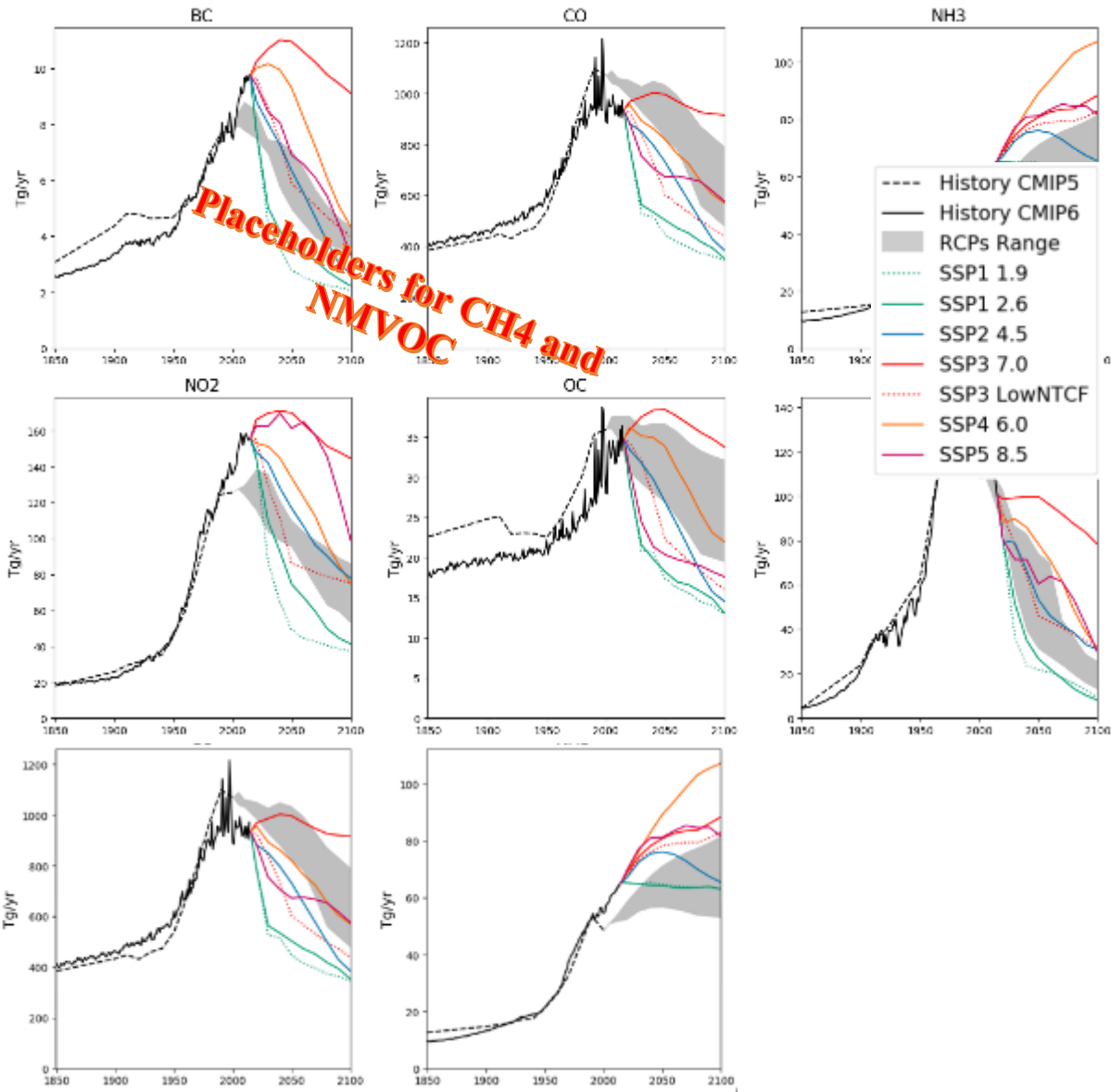


Figure 6.3: Global evolution of anthropogenic and biomass burning SLCF emissions. Past emissions based on (Hoesly et al., 2018; van Marle et al., 2017) for CMIP6 and (Lamarque et al., 2010) for CMIP5. Projections originate from the SSP database [<https://tntcat.iiasa.ac.at/SspDb/dsd>] (Gidden et al., 2018; Riahi et al., 2017; Rogelj et al., 2018) and RCP database [<https://tntcat.iiasa.ac.at/RcpDb/dsd>] (van Vuuren et al., 2011). [further discussion needed if we add few points from other studies for the future paths].

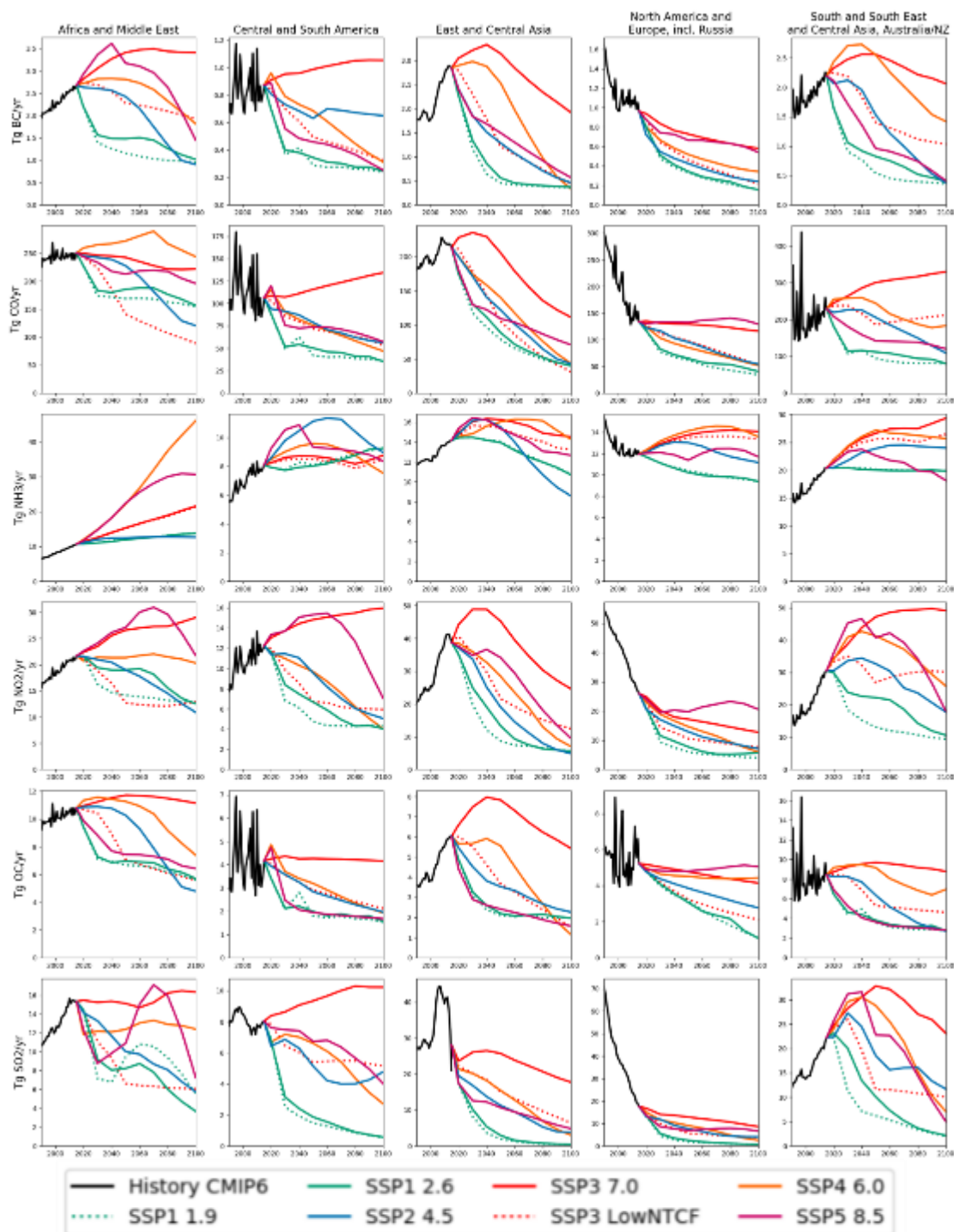
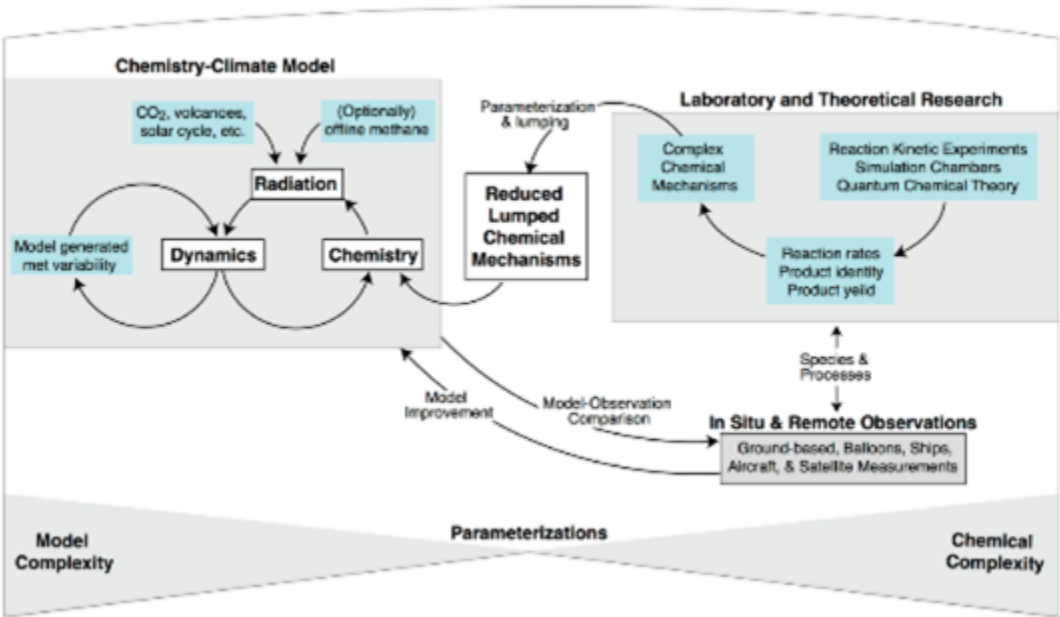


Figure 6.4: Regional evolution of anthropogenic and biomass burning SLCF emissions. Past emissions based on (Hoesly et al., 2018; van Marle et al., 2017) for CMIP6 and (Lamarque et al., 2010) for CMIP5 [not included yet; also CH₄ and NMVOC missing]. Projections originate from the SSP database [<https://tntcat.iiasa.ac.at/SspDb/dsd>] (Gidden et al., 2018; Riahi et al., 2017; Rogelj et al., 2018). [RCP ranges might be added later and we need to revisit the issue of showing here potentially only anthropogenic emissions, i.e., no BB]



Box 6.2, Figure 1: Schematic depiction of knowledge exchange between laboratory/theoretical studies, observations and global chemistry-climate models to inform our understanding of SLCFs.

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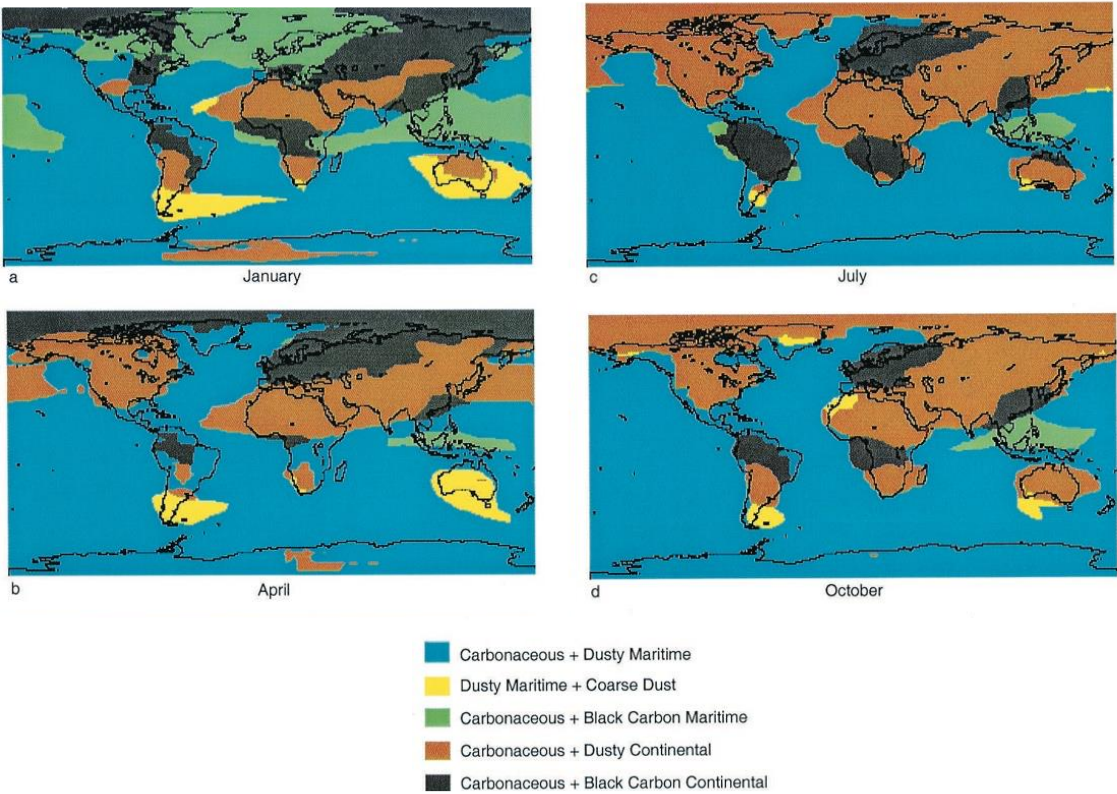


Figure 6.5: “Plate 1. (a–d) Global maps for January, April, July, and October, respectively, showing the spatial distribution of the five aerosol mixing groups defined as major headings in Table 2 of *Kahn et al. (2001)*, based on the aggregate of aerosol transport models listed in Table 1 of *Kahn et al. (2001)*. “ From (Kahn et al., 2001).

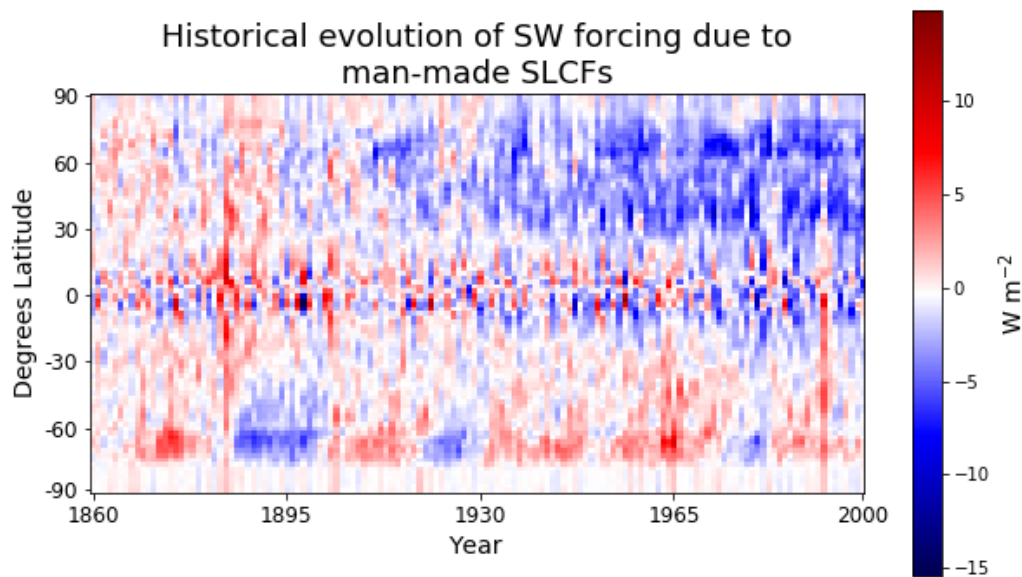


Figure 6.6: Meridionally-averaged annual evolution of difference in TOA outgoing SW flux, between models with man-made aerosol forcing and models with natural aerosols from stratospheric volcanoes (CMIP5 experiments historicalMisc and historicalNat, respectively, from GFDL-CM3, ensemble r1i1p1, <https://esgf-node.llnl.gov/search/cmip5/>). Near-infrared absorption of CO, NO_x, and CH₄ are not included

Effective Radiative Forcing, Δ TOA SW radiative flux

(sstClimAerosol-sstClim) GFDL-CM3 r1i1p1, 30-year climatology

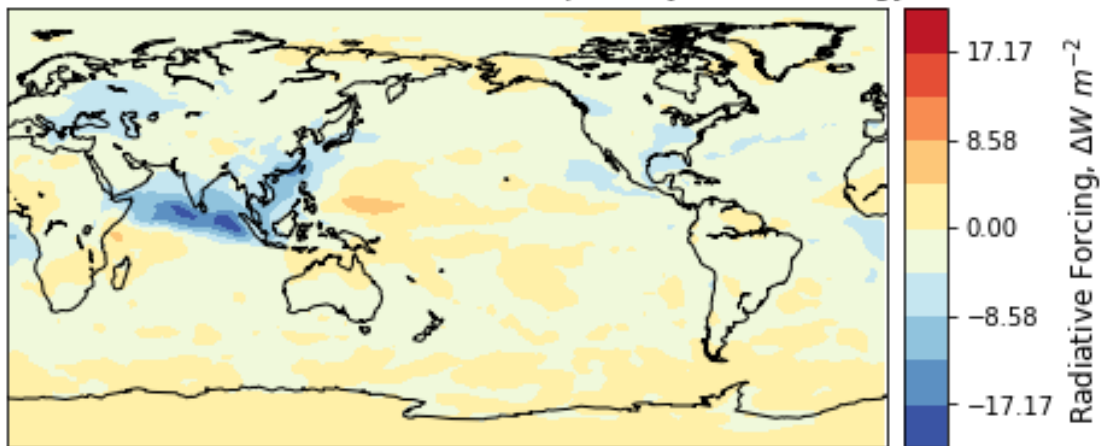


Figure 6.7: Effective radiative SW forcing at the top of the atmosphere of aerosols, derived from the difference between TOA shortwave outgoing radiative flux for CMIP5 experiments sstClimAerosol and sstClim, for model GFDL-CM3, ensemble r1i1p1 (<https://esgf-node.llnl.gov/search/cmip5/>), over a 30-year climatology.

Impacts (sstClimAerosol-sstClim) GFDL-CM3 r1i1p1, 30-year climatology

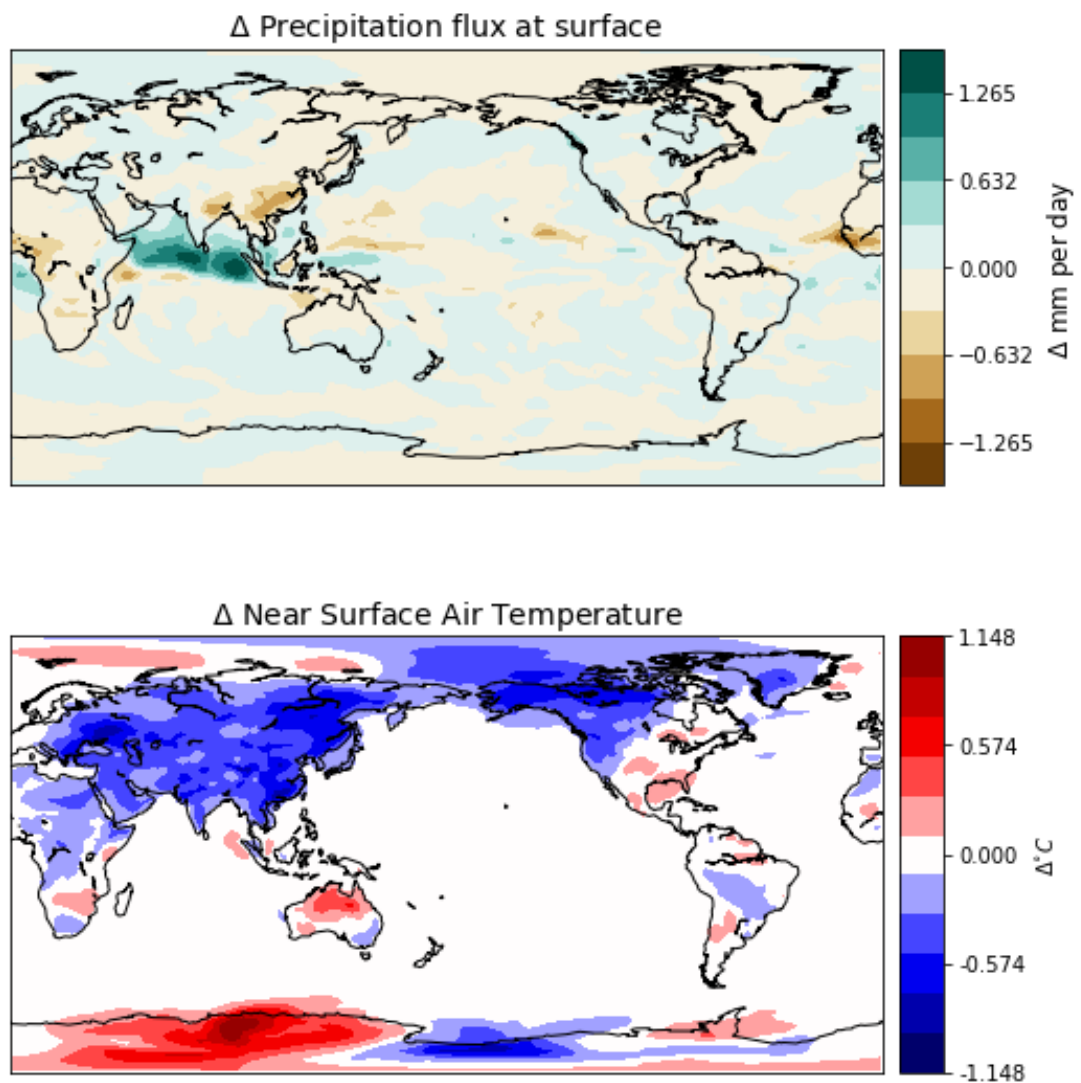


Figure 6.8: Surface impacts of aerosols in the atmosphere. 30 year climatology of precipitation at the surface, including both liquid and solid phases, and surface air temperature differences between CMIP5 experiments sstClimAerosol and sstClim, for model GFDL-CM3, ensemble r1i1p1 (<https://esgf-node.llnl.gov/search/cmip5/>).

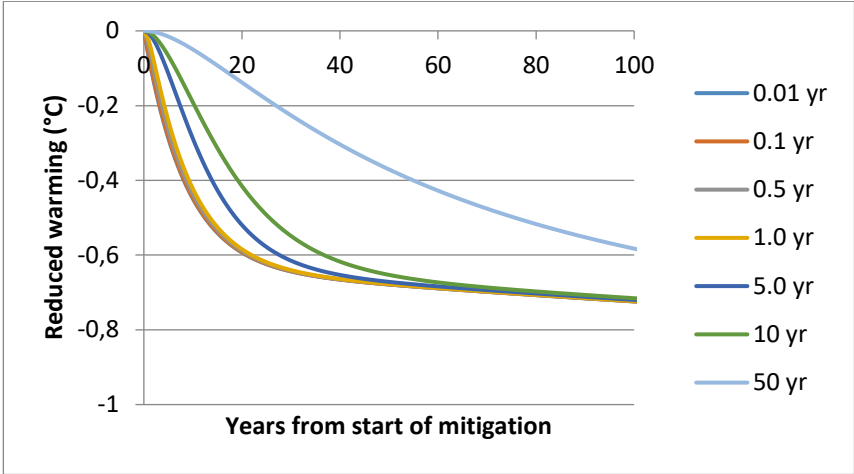


Figure 6.9: Response in global mean temperature for step reductions in emissions of forcing agents with lifetime between 0.01 and 50 years. The reduction in warming is relative to a baseline of constant emissions. The sustained reductions in emissions all give a 1 Wm⁻² reduction in global ERF at steady state. The calculation have used the Impulse Response Function by (Boucher and Reddy, 2008) with and ECS of 1.06°C.

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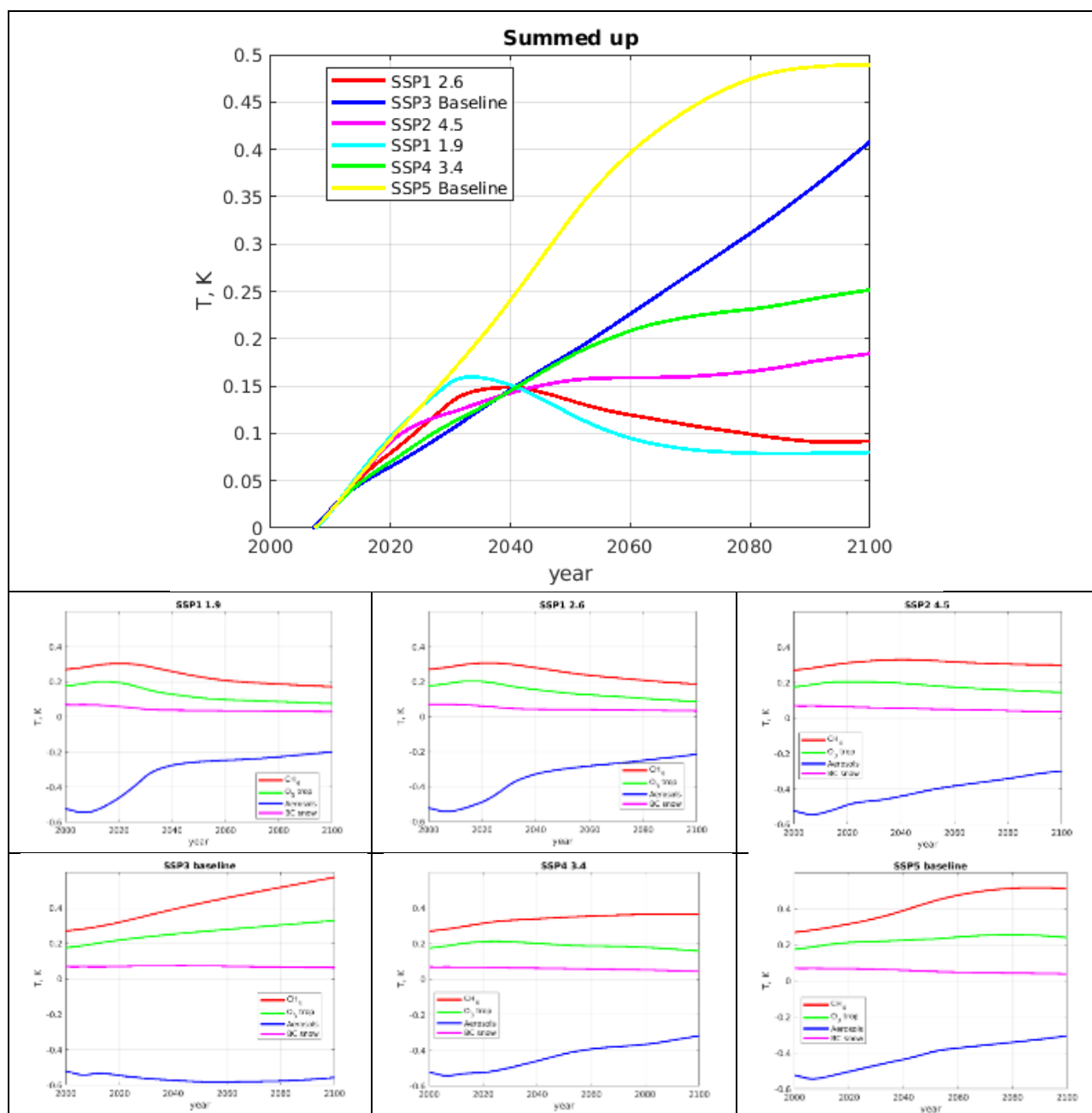


Figure 6.10: Simulated contribution of SLCFs to GMST change relative to 1750 for a set of SSP scenarios using the FaiR model. The lower panels show the contribution from the individual SLCFs for each scenario. Internal note: Forcings (ERFs) are from Chris Smith from simulations with FAiR performed for the IPCC SR1.5. There are similar results from the MAGICC model, but not included so far. The ERFs used are the 50-percentile of the distribution from FAiR. To obtain the GMST change I have used the Impulse Response Function of FAiR, again with the median value of their sampled ECS and TCR to get the consistent set of time constants and climate sensitivity parameters. If such a figure should be included in the final report uncertainty should be added. This could be done based on revised FAiR simulations (the model is set up for Monte Carlo type simulations), but also results from other models should be used. The parameterizations for the relations emissions→Concentrations→ERF used in FAiR (which now are from CMIP5) should be updated to be consistent with our chapter 6 (and thus CMIP6).

Note: Figure below: Same as the upper panel in Fig 6.7, but for the whole period 1750-2100. This does not belong here (maybe the historical in section 6.3?), but I have put it here for you (Ch6 authors for a reference during the internal review).

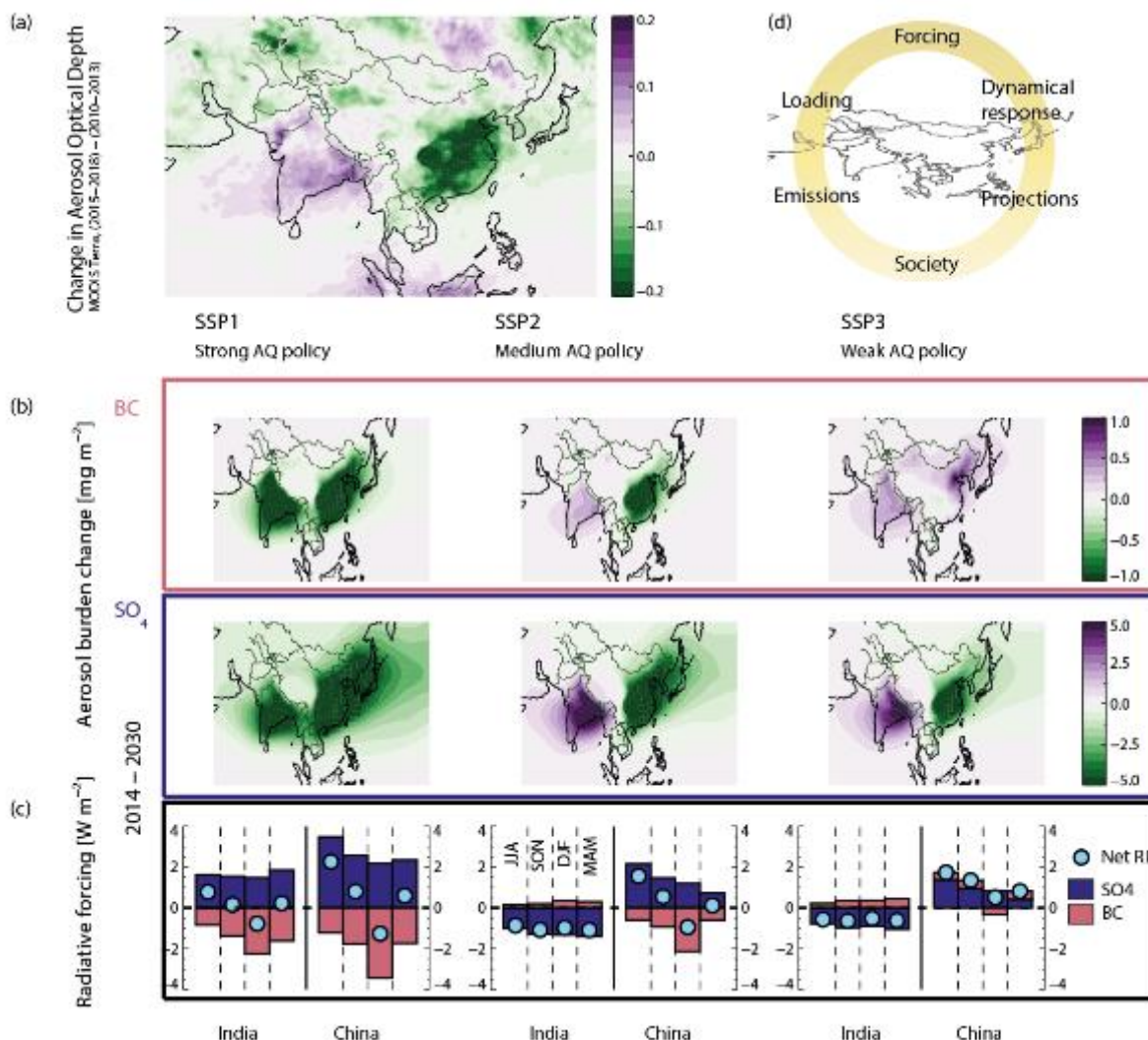


Figure 6.11: Recent and projected patterns of aerosol loading and radiative forcing over South and East Asia. (a) Changes to aerosol optical depth, as seen by MODIS Terra, since 2010. (b) Changes to BC and SO₄ column loading, as projected by SSP 1, 2 and 3, for 2014-2030. (c) Estimates of radiative forcing resulting from changes in Chinese and Indian aerosol emissions. (d) Key remaining uncertainties for linking Asian aerosol emissions to impacts on human systems and health. For (b), we have taken emissions from SSP1-3, spanning the range from strong to weak air quality (AQ) measures, as input to the chemical transport model OsloCTM3, and calculated the regional change in aerosol loading for 2014-2030 for BC and SO₄ separately. We ran a baseline simulation with year 2014 Community Emission Data System (CEDS) emissions, and then another simulation for each SSP with year 2030 BC and SO₂ emissions in SEA, but keeping the rest of the world at 2014 levels. For (c), we first calculated the RF of the direct radiative effect (DRF) using radiative kernels based on OsloCTM, with averaged forcing scaled to the AeroCom Phase II multi-model mean. Then, we scaled the BC forcing down by 10% to account for rapid adjustments, based on a recent multi-model study, and the SO₄ forcing up by 100% to account for aerosol-cloud interaction effects on albedo and lifetime. The latter is based on numbers from the IPCC AR5, and a separate, recent multi-model investigation.