

## Chapter 6: Cities, Settlements and Key Infrastructure Supplementary Material

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## SM6.1 Introduction

This Chapter 6 Supplementary Material overviews the methodology and documents the assessment of the literature underlying Table 6.6: Urban climate resilient development, in Chapter 6: Cities, settlements and key infrastructure. This is a first global assessment of the observed contribution to elements of climate resilient development from adaptation measured routinely deployed in urban contexts. The assessment is on observed consequences, not theoretical or anticipated. This constrains the literature and some entries have no sources making an assessment impossible at this time.

## SM6.2 Methods

From Chapter 17 climate resilient development is that which deliberately adopts mitigation and adaptation measures to secure a safe climate, meet basic needs, eliminate poverty and enable equitable, just and sustainable development. It halts practices causing dangerous levels of global warming and may involve deep societal transformation to ensure well-being for all.

Climate resilient development components used here build on the framing provided by Chapter 17 that identifies: benefits to humans, benefits to ecosystems, potential effectiveness, contributions to Greenhouse Gas emissions reduction, equity benefits and transformations towards sustainable development. To these we add ‘risk coverage’, this allows a consideration of the consequences of specific urban adaptation measures on the generation/reduction of vulnerability and hazard exposure. We further differentiate based on the literature included in Chapter 17 and Chapter 6 to identify 17 components of climate resilient development. These components are high-level and could be further sub-divided. The final choice of these components reflects the state of the literature and the desire to provide an analysis that is meaningful to policy actors. The components identified and definitions are presented below:

### SM6.2.1 Component Terms

#### SM6.2.1.1 Risk Coverage

Addresses multiple hazards: Influences risk (exposure, vulnerability and capacity) to more than one climate associated hazard (e.g. flood, heat/cold, storm, fire, sea level rise, wind, food and water security)

Reduces systemic vulnerability: Reduces physical or social vulnerability with relevance to climate related hazards and at least one more risk type (e.g. pandemic, economic shock, industrial pollution, political instability)

Constrains knock-on hazard generation: changes behaviour, livelihood or population location with implications for hazard exposure (e.g. new sea-walls might encourage an exaggerated sense of security attracting new formal or informal housing and so inadvertently generate new hazard exposure)

Constrains transfer of risk to other people and places: Can shift hazard, exposure, vulnerability or capacity to other places/people (e.g. insurance can lead to a sharing of risk that reduces extremes of vulnerability; seawalls can disrupt sediment transport producing erosion and flood risk in neighbouring locations)

#### SM6.2.1.2 Benefits to Humans

Enhances social capital: Builds trust, organizational capacity and communication (e.g. community based actions can strengthen local social ties of familiarity and trust).

Enhances livelihoods: Enhances livelihood opportunities including in the construction and maintenance of an intervention and as an outcome of the intervention (e.g. nature based solutions such as mangroves can enable non-traditional forestry products).

Enhances health: Enhances health and wellbeing beyond direct benefits of the intervention (e.g. improved sanitation enhances health to many communicable diseases in addition to preventing unsanitary flood events).

### *SM6.2.1.3 Benefits to Ecosystems Services*

Ecological benefit: Enhances environmental protection, restoration or expands green/blue space (e.g. mangrove stands deployed to reduce flood risk also expand mangrove ecosystem).

### *SM6.2.1.4 Potential Effectiveness*

Flexibility post deployment: Intervention can be adapted to respond to new risk or development conditions (e.g. social safety net payments can be adjusted in line with variation in the cost of living).

Deployable at scale: The impact of single or programmed interventions is observed to reduce risk at the city scale (e.g. comprehensive adaptation of health systems to maintain full provision during disaster events).

Benefits adaptation in other infrastructure systems: Enhances the resilience of connected, downstream infrastructure (e.g. energy generation infrastructure, when adapted well enhances the resilience of information technology systems).

Economic cost: Capacity of medium income city (i.e. a millionaire city in middle income country) compared with the economic costs for comprehensive deployment (e.g. comprehensive redesign of urban road infrastructure to cope with temperature and flood risks may exceed municipal budgets).

### *SM6.2.1.5 Contributions to Greenhouse Gas emissions reduction*

Climate mitigation cobenefit: Contributes to reduced Greenhouse Gas emissions or reduces embedded carbon (e.g. Tree planting to reduce flood risk or ameliorate temperature extremes can also absorb carbon).

### *SM6.2.1.6 Equity benefits*

Reduces poverty and marginality: Explicitly designed to reduce economic poverty and social marginalisation.

Inclusive and locally accountable: The adaptation technology has qualities that enable public transparency, local accountability and stakeholder inclusive design, implementation and monitoring (e.g. community based resilience including neighbourhood drain cleaning is strongly inclusive, transparent and accountable).

### *SM6.2.1.7 Transformations towards sustainable development*

Enables social transformation: Observed fundamental, progressive change in the distribution of ownership and wealth and in power relations within legal/political and social/cultural systems (e.g. diversifying urban livelihoods brings new spending power to women enhancing public status and voice).

Enables ecological transformation: Observed fundamental change in socio-ecological relationships and approaches to nature enhancing the viability of ecosystems and their long-term sustainability (e.g. large scale investment in reforestation of urban watersheds to reduce flash flooding turns waste land into forest).

## *SM6.2.2 Assessment methodology*

The choice of adaptation measures used in the analysis was driven by the major headings in Chapter 6, section 3. Each measure represents a diverse multiplicity of local applications. As with climate resilient development pathway (CRDP) components the list of adaptation measures to be reviewed could have been very much longer, we made a final selection based on the balance of available literature and policy actor relevance. Inevitably though this brings a compromise and there will be deviation in results between individual deployment of a specific measure and the aggregated results presented here.

Assessment of the literature was by Chapter Six Lead Authors and Contributing Authors. The assessment of nature based solutions deployed technical support from a research team coordinated by a Chapter Six Lead

Author. Before making assessments of the literature each climate resilient development component, score and confidence scales were explained and discussed. Experts were asked to make a judgement on the preponderance of contemporary empirical evidence; to consider literature reviewed in AR6 and extend this where necessary. The accompanying statements are fully referenced. Judgements were based on the best observed deployment of solutions. This introduces a positive bias to the analysis. Theoretical or planned actions were not included, often, especially for social policy interventions, accounts of impact were generalised or based on theoretical assumption more than empirical observation, these accounts were not included in the analysis. Thus, for some social policy adaptations while there is strong general support for positive consequences, the empirical evidence is slim or focused on critique leading to lower score than might be expected. Final scores were the decision of the expert reviewers.

The score ranges were: positive high; positive moderate positive small; positive negligible; nil; negative negligible; negative small; negative moderate, negative high; no data.

For each entry experts also noted the extent and degree of agreement in the literature: high agreement – limited evidence (HA-LE); high agreement – medium evidence (HA-ME); high agreement – robust evidence (HA-RE); medium agreement – limited evidence (MA-LE); medium agreement – medium evidence (MA-ME); medium agreement – robust evidence (MA-RE); low agreement – limited evidence (LA-LE); low agreement – medium evidence (LA-ME); low agreement – robust evidence (LA-RE).

Assessments were submitted by authors and then collated and checked centrally, small adjustments to scores, confidence and underlying text were made in consultation with authors. Moderation meetings were held between section teams and the assessment Lead Author.

### **SM6.3 Supporting Statements**

#### ***SM6.3.1 Social Infrastructure***

##### ***SM6.3.1.1 Land-Use Planning (see Section 6.3.2.1)***

###### ***SM6.3.1.1.1 Multiple climate hazards: positive high (high agreement, robust evidence)***

Climate hazards such as extreme heat and humidity, extreme precipitation, coastal flooding, and drought vulnerability may all be impacted by the imposition of land-use planning tools (Güneralp et al, 2015). Additional hazards include sea level rise and the urban heat island effect (Carter et al., 2015; Larsen, 2015; Anguelovski et al., 2016; Nolon, 2016; Nalau and Becken, 2018; Perera and Emmanuel, 2018). Land use planning has the potential to benefit these environmental concerns; however, disaster risk reduction has been seldom acknowledged within national planning programs (Jabareen, 2015).

###### ***SM6.3.1.1.2 Systemic vulnerability reduction: positive small (high agreement, robust evidence)***

Climate related risks frequently threaten disadvantaged and vulnerable populations and can force environmental migration (Heslin et al., 2019; Luetz and Merson, 2019; Pläntz, 2019). Both slow and rapid onset events pose a risk to vulnerable populations (Silja, 2017; Heslin et al., 2019); however, land-use planning and zoning measures may mitigate these events and act in opposition of climate gentrification (Anguelovski et al., 2016; Butler et al., 2016; Keenan et al., 2018; Lyles et al., 2018; Marks, 2015).

###### ***SM6.3.1.1.3 Reduces new hazard exposure generated: positive high (high agreement, robust evidence)***

In conjunction with ecosystem-based adaptations (e.g., for flood management and curbing the urban heat island effect) (Carter et al., 2015; Larsen, 2015; Anguelovski et al., 2016; Nolon, 2016; Nalau and Becken, 2018; Perera and Emmanuel, 2018); community-based adaptations (trade-offs and valuations, i.e., which land uses are valued more) (Carter et al., 2015; Larsen, 2015; Anguelovski et al., 2016; Nolon, 2016; McPhearson et al., 2018; Nalau and Becken, 2018; Perera and Emmanuel, 2018); and built form regulations and codes (Larsen, 2015; León and March, 2016; Nolon, 2016; Yiannakou and Salata, 2017; Perera and Emmanuel, 2018; Straka and Sodoudi, 2019), the implementation of land use planning tools can be directly guided towards reducing hazard exposure.

*SM6.3.1.1.4 Transfer of risk/impacts to other areas/people: negative negligible (high agreement, robust evidence)*

Conventional zoning regulations and land-use planning, whether at the regional or the local scales, deploy protection, accommodation, or retreat methods to minimize, or altogether eliminate, slow and/or rapid onset risks. The evidence indicates that risk-eliminating retreat measures are less widely adopted (Anguelovski et al., 2016; Butler et al., 2016; Lyles et al., 2018) due to the controversies of relocation and to the complexities of buyouts (Butler et al., 2016; King et al., 2016).

*SM6.3.1.1.5 Social Capital: positive high (high agreement, robust evidence)*

Land-use planning guides the administration of space and can adapt to better suit a region's population. Fundamentally, Euclidean zoning has the potential to protect, accommodate, and remove people from certain scenarios which helps to encourage a safe and continuous inhabitation (Butler et al., 2016; León and March, 2016; Lyles et al., 2018). Further planning tools are also contributory to the strengthening of social capital, namely ecosystem-based adaptations (Anguelovski et al., 2016; Carter et al., 2015; Larsen, 2015; Nalau and Becken, 2018; Nolon, 2016; Perera and Emmanuel, 2018); community-based adaptations (Anguelovski et al., 2016; Carter et al., 2015; Larsen, 2015; McPhearson et al., 2018; Nalau and Becken, 2018; Nolon, 2016; Perera and Emmanuel, 2018); and built form regulations (Larsen, 2015; León and March, 2016; Nolon, 2016; Perera and Emmanuel, 2018; Straka and Sodoudi, 2019; Yiannakou and Salata, 2017). Moreover, planning tools such as scenario planning, flexible zoning, and development incentivisation (United States Environmental Protection Agency, 2017) can bolster and refine the means and modes of urban development, and the subsequent continuity of social capital.

*SM6.3.1.1.6 Livelihoods: positive high (high agreement, robust evidence)*

Euclidean zoning regulations and land use planning are instrumental in risk minimization. Protection, accommodation, and retreat are all modes of urban control that are (most commonly) imposed to improve the livelihoods of citizens. This may include the development of protective infrastructure, the modification of the land to better accommodate and react to change, or the relocation of people (Butler et al., 2016; León and March, 2016; Lyles et al., 2018).

*SM6.3.1.1.7 Health: positive high (high agreement, robust evidence)*

Cascading benefits of zoning and land use planning for climate adaptation are associated with the use of soft land cover, green infrastructure and improvement of liveability through better conditions for walkability and cycling (Smith et al., 2017). This decreases auto-dependency and contributes to a population's overall health (Carter et al., 2015; Larsen, 2015).

*SM6.3.1.1.8 Ecological: positive high (high agreement, robust evidence)*

Planning tools can be established to ensure the continuity of ecosystem services. For instance, landscape protection (one of three major portions of the Euclidean zoning system) can prohibit urban development, which has the potential to reduce the loss of ecosystem services (Butler et al., 2016; León and March, 2016; Lyles et al., 2018). Moreover, the increase in soft land cover and green infrastructure also contributes to mitigation through air quality enhancement, energy conservation, and carbon sequestration while its ecological benefits include the preservation and expansion of habitats (Carter et al., 2015; Larsen, 2015).

*SM6.3.1.1.9 Flexibility post deployment: positive high (high agreement, robust evidence)*

Land-use planning and zoning may be bolstered by additional, more concisely derived planning media such as ecosystem-based adaptation (Anguelovski et al., 2016; Carter et al., 2015; Larsen, 2015; Nalau and Becken, 2018; Nolon, 2016; Perera and Emmanuel, 2018); community-based adaptations (Anguelovski et al., 2016; Carter et al., 2015; Larsen, 2015; McPhearson et al., 2018; Nalau and Becken, 2018; Nolon, 2016; Perera and Emmanuel, 2018); and built form regulations (Larsen, 2015; León and March, 2016; Nolon, 2016; Perera and Emmanuel, 2018; Straka and Sodoudi, 2019; Yiannakou and Salata, 2017). Although not necessarily vectors of flexibility, they offer a more holistically focused system with widespread intentions and delegations. In essence, therefore, a robust land-use program can be equipped to manage a myriad of possible issues and concerns, and effectively adapt to meet the needs of a location and its population.

*SM6.3.1.1.10 Deployable at scale: positive high (high agreement, medium evidence)*

Land-use planning initiatives are deployable at a large scale; however, effectiveness may vary between jurisdictions. One or a combination of: lack clarity of implementation strategies for climate adaptation, lack

of funding, competing priorities (especially, among professional planners and politicians), and institutional challenges face mainstreaming adaptation through land use planning whether through municipal or regional plans. This evidence spans cities in the Global South equally as in richer countries (see Jabareen's (2015) study of 20 cities globally). These factors impact jurisdictions differently and are a source of discrepancy when it comes to global scalability.

*SM6.3.1.1.11 Benefit to other infrastructure systems adaptation: positive moderate (high agreement, medium evidence)*

One of the primary roles of land-use planning is to guide the development of the urban form. As such, it underpins and establishes the basis for other infrastructure systems such as physical infrastructure and nature-based solutions (Morrissey et al., 2017).

*SM6.3.1.1.12 Economic feasibility: positive high (high agreement, robust evidence)*

Land use planning is a commonly applied practice globally and is frequently ratified at a provincial and/or federal level. Funding is a major impediment to effective land use planning, however (Jabareen, 2015). However, improving liveability has been proven to boost economic development and property value (Carter et al., 2015; Larsen, 2015).

*SM6.3.1.1.13 Mitigation co-benefit: positive high (high agreement, robust evidence)*

The increase in soft land cover and green infrastructure also contributes to mitigation through air quality enhancement, energy conservation, and carbon sequestration while its ecological benefits include the preservation and expansion of habitats (Carter et al., 2015; Larsen, 2015).

*SM6.3.1.1.14 Targets poverty and marginality: negative small (medium agreement, low evidence)*

Land use planning must be approached cautiously as it may contribute to marginalisation, especially by means of climate gentrification (Keenan et al., 2018; Marks, 2015) and population relocation which may prevail in certain Euclidean retreat-based approaches (Butler et al., 2016; King et al., 2016). When approached and imposed carefully however, land use planning can be an effective tool in mitigating urban marginalization.

*SM6.3.1.1.15 Inclusive & locally accountable: positive moderate (high agreement, medium evidence)*

Contemporary planning paradigms, such as participatory planning, are targeted towards enhancing the voice of the greater population through decision making processes (Hardoy et al., 2019). In conventional land use and zoning practices, regulations are frequently applied at the local or regional level which directly forges a degree of accountability for decision makers; the public and those who make decisions that impact them are inseparable (Butler et al., 2016; León and March, 2016; Lyles et al., 2018).

*SM6.3.1.1.16 Social transformation: positive high (high agreement, medium evidence)*

The spill-over benefits produced by land-use planning and zoning include an increase in green and blue land covering, aesthetic improvements made to urban areas, and liveability improvements such as the enhancement of active transportation networks (Carter et al., 2015; Larsen, 2015). In addition, planning regulations can proactively adapt to and accommodate changing environmental conditions, enabling socially beneficial, sustainable development (Butler et al., 2016; León and March, 2016; Lyles et al., 2018).

*SM6.3.1.1.17 Ecological transformation: positive high (high agreement, medium evidence)*

Ecologically, the spill-over benefits of deploying zoning and land use planning for climate adaptation. Mostly, the increase in soft land cover and green infrastructure also contributes to mitigation through air quality enhancement, energy conservation, and carbon sequestration while its ecological benefits include the preservation and expansion of habitat (Carter et al., 2015; Larsen, 2015).

*SM6.3.1.2 Livelihoods & social protection (see Section 6.3.2.2)*

*SM6.3.1.2.1 Multiple climate hazards: positive high (high agreement, medium evidence)*

Safety nets protect vulnerable households from impacts of economic shocks, natural disasters, and other crises. Adaptive Social Protection has been an emerging strategic tool to integrate poverty reduction, disaster risk reduction and humanitarian-development into adaptation to climate change (Watson et al., 2016; Béné et al., 2018a; Aleksandrova, 2019) and thus influences risk to multiple climate hazards. Adaptive Social

Protection has been justified as an effective instrument to build resilience to climate extremes and slow-onset climate events like sea level rise and environmental degradation (Schwan and Yu, 2018; Aleksandrova, 2019).

*SM6.3.1.2.2 Systemic vulnerability reduction: positive high (high agreement, robust evidence)*

Adaptive Social Protection has been justified as an effective instrument to build resilience to climate extremes and slow-onset climate events like sea level rise and environmental degradation (Schwan and Yu, 2018; Aleksandrova, 2019). Adaptive Social Protection can also facilitate long-term change and adaptation by improving education and health levels, as well as providing a proactive approach to managing climate-induced migration in both rural and urban areas (Adger et al., 2014; Schwan and Yu, 2018). Some examples from China show social protection can improve adaptive capacity of urban communities with social medical insurance, damaged-risky housing subsidies, weather-index insurance, post disaster construction, relocation planning, livelihood shift strategies, and so on (Pan et al., 2015; Zheng et al., 2018b; Rao and Li, 2019).

*SM6.3.1.2.3 Reduces new hazard exposure generated: positive moderate (high agreement, medium evidence)*

Adaptive Social Protection can act as a crucial complement to risk management tools provided by communities and markets, tools which tend to be insufficient in the face of large or systemic shocks, by providing predictable transfers, developing human capital and diversifying livelihoods (Hallegatte et al., 2016).

*SM6.3.1.2.4 Transfer of risk/impacts to other areas/people: negative-negligible (high agreement, limited evidence)*

Social protection may lead to maladaptation when the long-term impacts of climate change are not mainstreamed into urban risk planning. This could lead to risk transfer downstream (Hallegatte et al., 2016).

*SM6.3.1.2.5 Social Capital: positive small (high agreement, limited evidence)*

To deal with short-term vulnerability to climate shocks, Adaptive Social Protection can act as a crucial complement to risk management tools provided by communities and markets, tools which tend to be insufficient in the face of large or systemic shocks, by providing predictable transfers, developing human capital and diversifying livelihoods (Hallegatte et al., 2016). Adaptive Social Protection can also facilitate long-term change and adaptation by improving education and health levels, as well as providing a proactive approach to managing climate-induced migration in both rural and urban areas (Adger et al., 2014; Schwan and Yu, 2018).

*SM6.3.1.2.6 Livelihoods: positive moderate (high agreement, medium evidence)*

To deal with short-term vulnerability to climate shocks, Adaptive Social Protection can act as a crucial complement to risk management tools provided by communities and markets, tools which tend to be insufficient in the face of large or systemic shocks, by providing predictable transfers, developing human capital and diversifying livelihoods (Hallegatte et al., 2016). Adaptive Social Protection can also facilitate long-term change and adaptation by improving education and health levels, as well as providing a proactive approach to managing climate-induced migration in both rural and urban areas (Adger et al., 2014; Schwan and Yu, 2018). Some examples from China show social protection can improve adaptive capacity of urban communities with social medical insurance, damaged-risky housing subsidies, weather-index insurance, post disaster construction, relocation planning, livelihood shift strategies, and so on (Pan et al., 2015; Zheng et al., 2018b; Rao and Li, 2019).

*SM6.3.1.2.7 Health: positive moderate (high agreement, limited evidence)*

Adaptive Social Protection can also facilitate long-term change adaptation by improving education and health levels (Adger et al., 2014; Schwan and Yu, 2018).

*SM6.3.1.2.8 Ecological: positive negligible (low agreement, limited evidence)*

May be evidence of local food production/organic food as part of safety net with reduced embedded carbon (Smith et al, 2019, Stein and Santini, 2021). However, local food production not simply equated with sustainability and does not necessarily result in lower carbon footprint (Stein and Santini, 2021).

*SM6.3.1.2.9 Flexibility post-deployment: positive small (medium agreement, medium evidence)*

Countries at all income levels can set up ASP systems that increase resilience to natural hazards, but the systems need to identify cost-benefits, be scalable and flexible to adjust to future, increasing climate risk (Agrawal et al, 2019; Hallegatte et al, 2016). Social protection and social safety nets such as food stamps and housing subsidies can be adapted.

*SM6.3.1.2.10 Deployable at scale: positive moderate (high agreement, medium evidence)*

Adaptive Social Protection can contribute to both incremental and transformative interventions both at the system level (short-term and long-term coping strategies from communities) and at the beneficiaries' level (vulnerable populations) (World Bank, 2015; Béné et al., 2018a; Aleksandrova, 2019).

*SM6.3.1.2.11 Benefit to other infrastructure systems adaptation: positive small (high agreement, limited evidence)*

Livelihood support can be a component of supporting local economies during crisis and post-disaster (Daly et al, 2020)

*SM6.3.1.2.12 Economic feasibility: positive moderate (medium agreement, medium evidence)*

Countries at all income levels can set up ASP systems that increase resilience to natural hazards, but the systems need to identify cost-benefits, be scalable and flexible to adjust to future, increasing climate risk. ASP systems can be cost-effective and equitable when targeting accuracy, timely risk sharing (disaster assistance) and improved policy coherence. Traditional disaster assistance is not as timely and cost-effective, especially for providing response to slow-onset disasters or low-probability, high-impact extreme events. Index-based risk sharing (i.e., weather insurance) is emerging to meet the gap and pre-finance expected disasters. For example, introducing Public-Private Insurance Mechanism in Austria has had a noticeable impact on the total monetary burden, causing it to fall by ~50% for regional governments with disaster risk reduction incentives (Unterberger et al., 2019).

*SM6.3.1.2.13 Mitigation co-benefit: positive negligible (low agreement, limited evidence)*

Where local food systems or organic consumption are promoted this can have an impact on embedded carbon (Smith et al, 2019, Stein and Santini, 2021), but this is rarely intentional within ASP programme aims.

*SM6.3.1.2.14 Targets reducing poverty & marginalization: positive high (high agreement, medium evidence)*

Social protection, or social security, is defined as the set of policies and programmes designed to reduce and prevent poverty and vulnerability throughout the life cycle (ILO, 2017). It is estimated that 36 percent of the very poor escaped extreme poverty because of social safety nets (Ivaschenko et al., 2018). Adaptive Social Protection (ASP) may be very good at reducing extreme poverty by helping to meet individual or household needs. Carter and Janzen (2018) find that the long-term level and depth of poverty can be improved by incorporating vulnerability-targeted social protection into a conventional social protection system.

*SM6.3.1.2.15 Inclusive and locally accountable: positive moderate (medium agreement, robust evidence)*

ASP systems can be cost-effective and equitable when targeting accuracy, timely risk sharing (disaster assistance) and improved policy coherence. Inclusive, targeted, responsive and equitable social protection can support long-term transformations toward more sustainable, adaptive and resilient societies (Adger et al., 2014; Hallegatte et al., 2016; Béné et al., 2018a; Carter and Janzen, 2018; Shi et al., 2018).

*SM6.3.1.2.16 Social transformation: positive moderate (medium agreement, medium evidence)*

The spill-over benefits produced by land-use planning and zoning include an increase in green and blue land covering, aesthetic improvements made to urban areas, and liveability improvements such as the enhancement of active transportation networks (Carter et al., 2015; Larsen, 2015). In addition, planning regulations can proactively adapt to and accommodate changing environmental conditions, enabling socially beneficial, sustainable development (Butler et al., 2016; León and March, 2016; Lyles et al., 2018).



1 *SM6.3.1.2.17 Ecological transformation: positive negligible (low agreement, limited evidence)*

2 Where local food systems or organic consumption are promoted this can have an impact on embedded  
3 carbon, but this is rarely intentional within ASP programme aims.

5 *SM6.3.1.3 Emergency management & security (see Section 6.3.2.3)*

7 *SM6.3.1.3.1 Multiple climate hazards: positive moderate (high agreement, medium evidence)*

8 Much of the organisational structures of emergency management are designed to be applied to a range of  
9 events, including public awareness, emergency planning and business continuity planning (Twigg, 2013;  
10 Lumbroso et al, 2016; Tyler and Sadiq, 2019).

12 *SM6.3.1.3.2 Systemic vulnerability reduction: positive moderate (medium agreement, medium evidence)*

13 Climate risks pose livelihood threats for vulnerable and marginal populations. Systemic vulnerability  
14 reduction to such risks can be limited by certain emergency management measures, such as early warning  
15 systems, which remain widely insufficient and the complexity of urban landforms makes accurate and  
16 detailed early warning difficult (Jones et al., 2015). However, effective measures such as installation of  
17 drinking water and food storage facilities in cyclone or flood prone areas have the direct benefit of reducing  
18 physical and/or social vulnerabilities to climate related risks and can have co-benefits for reducing or  
19 mitigating other risk types such as economic shock and health impacts (Lumbroso et al, 2016; Magee et al,  
20 2016; Marchezini et al, 2017).

22 *SM6.3.1.3.3 Reduces new hazard exposure generated: positive high (medium agreement, medium evidence)*

23 Emergency planning and disaster risk management measures implemented to reduce social and physical  
24 vulnerability to a specific risk such as flooding or fire, often have co-benefits for reducing exposure to other  
25 hazards (Thomas et al, 2019). Public engagement and ICTs for emergency management, building codes,  
26 education and communication and other risk management measures can be targeted to account for reducing  
27 exposure to multiple hazards (Muttarak and Lutz, 2014; Toya and Skidmore, 2015).

29 *SM6.3.1.3.4 Transfer of risk/impacts to other areas/people: negative moderate (high agreement, medium evidence)*

31 Disaster impact and recovery time are strongly influenced by the behaviour and actions of individuals,  
32 communities, businesses, and government organizations (Aerts, 2018). Emergency planning and disaster  
33 management interventions for both slow onset and sudden disasters may inadvertently shift hazard, exposure  
34 and vulnerability to other places and people, particularly if social and physical interconnectivities are not  
35 adequately accounted for; a particular concern in dense informal settlements where infrastructure is lacking,  
36 interconnectivities highly complex and where communities often excluded from early warning and  
37 evacuation systems (Thomas et al, 2019; Williams et al, 2019; Ziervogel et al, 2016b).

39 *SM6.3.1.3.5 Social capital: positive moderate (high agreement, medium evidence)*

40 Emergency risk management structures and disaster reduction interventions can strengthen social capital  
41 directly and/or indirectly. For example, enhancing organizational capacities and social learning,  
42 strengthening communication and trust between actors across multiple scales through civic engagement for  
43 risk interventions and enabling access to risk related information through ICTs (Eakin et al, 2015; Magee et  
44 al, 2016; Marchezini et al, 2017; Narain et al, 2017; Haworth et al, 2018). Recent evidence also confirms the  
45 role of indigenous knowledge and local knowledge in management practices to reduce climate risks through  
46 early warning preparedness and response (see also section 6.3.2.3). These practices are particularly important  
47 where alternative early warning methods are absent. For instance, Abudu Kasei, Joshua and Benefor (2019)  
48 show that indigenous knowledge gathered through observations on changes in natural indicators (such as  
49 links between rainfall patterns, certain flora and fauna, and temperature changes) could be applied to develop  
50 early warning of climate hazards (floods and droughts) in informal urban settlements in African countries  
51 like Ghana.

53 *SM6.3.1.3.6 Livelihoods: positive moderate (high agreement, medium evidence)*

54 Livelihood opportunities can be enhanced through emergency management and security interventions  
55 (particularly when participatory or community led) such as through increased public awareness and  
56 emergency preparedness, capacity building through participatory early warning systems or where relocation

from hazard prone areas such as flood plains improves access to employment and other opportunities near urban centres (Lumbroso et al, 2016; Magee et al, 2016; Marchezini et al, 2017; Sarzynski, 2015).

*SM6.3.1.3.7 Health: positive moderate (high agreement, medium evidence)*

Emergency management planning and risk interventions, such as flood prevention measures often have co-benefits for enhancing health and wellbeing through reducing exposure to communicable diseases associated with post-flood conditions (Matsuyama et al, 2020; Satterthwaite et al, 2019; Scovronick et al, 2015; Zerbo et al, 2020). Similarly, interventions to address disaster risk associated with drought such as increased access to piped water have important co-benefits for reducing water-borne and vector borne diseases (Sena et al, 2017).

*SM6.3.1.3.8 Ecological: positive moderate (high agreement, medium evidence)*

Reducing disaster risk through nature-based solutions using green and blue infrastructure can have considerable co-benefits for strengthening ecosystem services such as flood protection via mangrove stands (McPhearson et al., 2018; Andersson et al., 2019; Frantzeskaki et al., 2019).

*SM6.3.1.3.9 Flexibility post-deployment: positive moderate (medium agreement, medium evidence)*

Disaster risk management systems face increasing challenges in adapting to evolving risk profiles, shaped by expanding urban areas and changing environmental conditions associated with climate change (Fraser et al, 2017). However, organisational structures of emergency management and interventions to support disaster risk reduction such as climate forecasting and early warning systems are adaptable to new risks and evolving developmental conditions (Lumbroso et al, 2016; Marchezini et al, 2017). For example, insurance can be adjusted for new risks and evolving development contexts (Surminski and Thieken, 2017; Hanger et al, 2018)

*SM6.3.1.3.10 Deployable at scale: positive moderate (medium agreement, medium evidence)*

Emergency management initiatives such as integrated city services, early warning systems and climate forecasting are often deployable at city scales and more widely (Zia and Wagner, 2015; Baklanov et al, 2018). However, often those that are most vulnerable and marginalised living in informal settlements do not benefit from integrated health, flood and other services or receive warnings regarding hazardous events (Nissan et al., 2019).

*SM6.3.1.3.11 Benefit to other infrastructure systems adaptation: positive moderate (high agreement, medium evidence)*

Emergency management and disaster risk interventions such as flood barriers, fire protection and landslide prevention measures can help protect and enhance the resilience of diverse infrastructures, including green and blue infrastructure downstream (Matthews et al, 2015; Matos Silva and Costa 2016; Nolon, 2016; Mateos et al, 2020).

*SM6.3.1.3.12 Economic feasibility: positive small (high agreement, medium evidence)*

Climate change and disaster risk exacerbate existing problems of economic development, yet macro-economic planning seldom incorporates adaptation. When urban economic crises overlap with increased climate pressure and disaster risks, the impacts are likely experienced in the city region and beyond (Pelling et al., 2018). The link between urban DRR, adaptation to climate change and macro-level trends of economic development requires further research and improved modes of communication to reach diverse city actors (Fankhauser and McDermott, 2016; World Bank, 2019b). Disaster and emergency management funding is often lacking across government levels, particularly in low- and middle-income countries (Adelekan et al, 2015), thereby impeding comprehensive deployment of city-wide interventions such as built large scale infrastructure flood control infrastructure.

*SM6.3.1.3.13 Mitigation co-benefit: positive moderate (high agreement, medium evidence)*

Reducing disaster risk using measures that protect and enhance green infrastructure such as mangrove swamps for flood regulation can have considerable mitigation co-benefits through enhancing air quality, carbon sequestration and support air temperature regulation (Carter et al, 2015; McPhearson et al., 2018; Andersson et al., 2019; Frantzeskaki et al., 2019). At the same time, there are concerns about the unintended consequences of investing in green infrastructure for nature-based solutions such as how it may contribute to gentrification (Haase et al., 2017; Anguelovski et al., 2018; Turkelboom et al., 2018).

*SM6.3.1.3.14 Targets reducing poverty & marginalization: positive small (high agreement, medium evidence)*

Exposure to health, flooding and drought risks of people living in slums is a growing concern, as is disaster preparedness and the ability to support the needs of vulnerable groups such as the elderly, children and disabled, where data is often lacking (Lilford et al., 2016; Castro et al., 2017). However, there are notable examples of low-income communities setting up their own disaster reduction interventions that can reduce marginalisation and poverty such as community disaster insurance mechanisms (Archer, 2012). While community-led resilience agendas may tackle poverty-related issues, they may struggle to tackle city-wide structural forms of inequality (Chu, 2018b).

*SM6.3.1.3.15 Inclusive & locally accountable: positive small (medium agreement, medium evidence)*

Disaster survivors and growing urban refugee populations are often displaced across the city thereby complicating efforts to track and provide support (Maynard et al., 2017). Access to information is critical for adapting to climate risk and reducing vulnerability to hazards, yet access to this information is often not equally available (Ma et al., 2014b). Community generated, assessed and led data gathering and interventions for risk reduction, particularly in informal settlements, helps develop deliberation spaces, communal solidarity and cohesion, and shared adaptation strategies, leading to increased agency and accountability (Sakijeg et al., 2014; Allen et al, 2020b; Visman et al, 2020).

*SM6.3.1.3.16 Social transformation: positive moderate (medium agreement, medium evidence)*

There are emerging examples of emergency management and disaster reduction interventions such as for hurricanes in Puerto Rico where the civic sector and community-based organizations and local residents are becoming active in disaster recovery and are now catalysing actions to advance social transformation and sustainable development (see Case Study 6.4). However, many political, governance, economic and other barriers remain. Through partnerships with NGOs and research institutions, informal settlement residents are increasingly leading mobilisation efforts to map community risks and develop community led early warning systems and emergency management interventions for flooding, disease outbreaks, fires and other risks (Sakijeg et al., 2014; Allen et al, 2020b; Osuteye et al., 2020; Visman et al, 2020). These initiatives have considerable social transformation potential but remain constrained by structural forms of power imbalances, inequality and governance challenges (Chu, 2018b).

*SM6.3.1.3.17 Ecological transformation: positive moderate (medium agreement, medium evidence)*

Limited evidence from cities around the world such as: the urban Regions of Stuttgart and Berlin in Germany (Larsen, 2015), Greater Manchester in the UK (Carter et al., 2015), and Colombo in Sri Lanka (Perera and Emmanuel, 2018) reveals that risk reduction through zoning and land use can effectively protect and expand green infrastructure and soft land cover to alleviate pluvial flooding and decrease the UHI effect. However, such approaches are increasingly being criticized for their impacts on disadvantaged groups, and green infrastructure programs are increasingly linked to gentrification impacts (Anguelovski et al., 2019). Furthermore, there is an action gap, as green infrastructure plans often fail to deliver in practice (Zölch et al., 2018). Community led interventions and incorporating indigenous knowledge and traditions of nature management provide entry points for the sustainable management of resources, such as seed banks, urban agriculture, and the local management of watersheds and floods (Chandra and Gaganis, 2016; Cid-Aguayo, 2016). Better policy support and mainstreaming of ecosystem-based adaptation will improve sustainable urban development.

*SM6.3.1.4 Health Services (see Section 6.3.2.4)*

*SM6.3.1.4.1 Multiple climate hazards: positive moderate (high agreement, robust evidence)*

Health services include primary, secondary and tertiary care, public health (health protection measures, disease control) which are able to prevent or treat health issues caused by multiple climate hazards (e.g. Jay et al. 2020; Marcos-Marcos et al. 2018). Climate resilient health systems are a vital part of adaptation to protect the most vulnerable from climate change (WHO 2020, Nuzzo et al, 2019). Health services focussed on prevention (health protection and disease control) are most effective in reducing the impacts of climate hazards, but regions lack investment in public health compared to treatment services.

*SM6.3.1.4.2 Systemic vulnerability reduction: positive moderate (high agreement, robust evidence)*

Public health measures improve population health and increase household resilience to shocks. Reduces social vulnerability with relevance to climate related hazards and at least one more risk type (e.g. pandemic, pollutant, migration/displacement).

*SM6.3.1.4.3 Reduces new hazard exposure generated: positive moderate (high agreement, medium evidence)*

Public health measures are designed to reduce exposures to new and existing hazards. (e.g. public health campaigns, vector control, reducing heat exposures). Behaviour change strategies need to be combined with other measures, such as improved housing design and spatial planning (Rydin et al. 2012).

*SM6.3.1.4.4 Transfer of risk/impacts to other areas/people: negative negligible (high agreement, medium evidence)*

Does not shift hazard, exposure, vulnerability or capacity to other places/people. Public good as interventions have wider benefits to population than to the individual (e.g. herd immunity from vaccination).

*SM6.3.1.4.5 Social Capital: positive high (high agreement, medium evidence)*

Builds trust and social capacity and communication. Health services are an important determinant of social capital. Supporting health is an investment in human capital and in economic growth—without good health, children are unable to go to school and adults are unable to go to work. Community workers can be involved in delivery of health services. Urban governments have the potential to work with a wide range of stakeholders to build strong intersectoral collaborations that will improve urban health (Rydin et al. 2012). In particular, public health partners should work more closely with urban planners. Local government adaptation planning is facilitated by information on health impacts (Riecken et al. 2015), highlighting the need for monitoring and surveillance and the need for local evidence-based risk assessments.

*SM6.3.1.4.6 Livelihoods: positive small (high agreement, medium evidence)*

Improved health will increase the capacity for work (formal and informal). A healthy population improves the economy, as demonstrated by the WHO Commission on Macro-economics and health (WHO 2001). The health sector is also a major employer and many countries do not have the level of coverage recommended by WHO. Investment in human resources for health not only strengthens the health system, but also generates local employment, and also contributes to economic growth (Karan et al. 2021).

*SM6.3.1.4.7 Health: positive moderate (high agreement, robust evidence)*

Universal Health Coverage (UHC) entails that all individuals in urban communities receive the health services they need without suffering financial hardship. UHC includes the full spectrum of essential, quality health services, from health promotion to prevention, treatment, and rehabilitation, across the life course. Robust evidence exists of the benefits of these services to population health. In most countries, access to health services is better in urban areas but there are still large urban populations with insufficient coverage of health services (WHO WB 2015). Such populations are vulnerable to climate and other hazards, and many families rely on out-of-pocket spending to cover health costs, and risk further poverty.

*SM6.3.1.4.8 Ecological: nil (medium agreement, limited evidence)*

Limited potential for ecosystem services within formal health sector. There is potential to incorporate NBS within the hospital estate, particularly for cooling buildings and outdoor spaces, and to improve mental health and well-being (through contact with nature). There is a limited evidence based.

*SM6.3.1.4.9 Flexibility post-deployment: nil (medium agreement, medium evidence)*

Some flexibility post-deployment (public health services are very flexible) but buildings (health care infrastructure) has lock in regarding building design and situation.

*SM6.3.1.4.10 Deployable at scale: positive high (high agreement, robust evidence)*

Health service measure are deployed at scale and should be targeted to the needs of the population. The effectiveness of single or programme-wide interventions has been observed at the city scale in a variety of contexts and for a range of diseases/hazards. There is also robust evidence of the cost-effectiveness of health interventions, which are reviewed regularly and systematically, for example for the Disease Control Priorities Project (DCP3) (Black, 2016).

*SM6.3.1.4.11 Benefit to other infrastructure systems adaptation: nil (medium agreement, medium evidence)*

Health services are not generally connected to downstream infrastructure. However, health services are very reliant on upstream (critical) infrastructure (water, transport, energy and power).

*SM6.3.1.4.12 Economic feasibility: positive small (medium agreement, medium evidence)*

Universal health coverage is economically feasible but requires investment from national and local government. Countries must increase spending on primary health care by at least 1% of their gross domestic product (GDP) if the world is to meet the health targets agreed under the SDGs [WHO/WB 2019].

*SM6.3.1.4.13 Mitigation co-benefit: positive small (medium agreement, medium evidence)*

Health sector is responsible for significant proportion of carbon emissions. Health systems are beginning to address carbon reduction measures, and several hospital and health sector organizations have set emissions reduction targets. Low carbon health care. The carbon footprint of the local or national health systems is determined by models of care and clinical behaviours, as well as by the buildings and technologies used.

*SM6.3.1.4.14 Targets reducing poverty & marginalization: positive moderate (high agreement, robust evidence)*

Health policies often designed to reduce poverty and inequality. Universal health coverage has a main objective in reducing poverty and health and social inequalities. Ill health can be a cause of poverty, and poverty will cause ill health.

*SM6.3.1.4.15 Inclusive & locally accountable: positive small (medium agreement, medium evidence)*

High potential for transparency and local engagement in service design. Health services should be designed in collaboration with local partners. Community engagement is increasingly being incorporated into health service delivery, that is, the inclusion of local health system users and community resources in all aspects of design, planning, governance, and delivery of health care services.

*SM6.3.1.4.16 Social transformation: positive small (low agreement, limited evidence)*

Potential for wider social benefits and reorganisation of public structures. UHC key part of addressing inequalities in urban areas. Public health has the potential to enable collaboration across sectors and facilitate transformational change.

*SM6.3.1.4.17 Ecological transformation: nil (low agreement, limited evidence)*

Some potential through health education. Training and education for health care professionals is beginning to consider including methods to address climate change and planetary health, as well as to increase awareness (Horton et al. 2014).

*SM6.3.1.5 Education & Communication (see Section 6.3.2.5)*

*SM6.3.1.5.1 Multiple climate hazards: positive high (high agreement, medium evidence)*

Since AR5 there has been significant growth in research about climate education and activism (O'Brien et al., 2018; Simpson et al., 2019b; Hayward, 2021). Knowledge systems including formal educational provision (capital assets, syllabus and human capital), informal learning based in social interaction and customary institutions (including through social media) and public communication (news media, government and other information systems including commercial messaging) cover a range of hazards and influences risk and behaviour for more than one climate associated hazard (O'Neill et al, 2020).

*SM6.3.1.5.2 Systemic vulnerability reduction: positive moderate (high agreement, medium evidence)*

Given the amount of time that children spend in school settings, adapting educational infrastructure and programs to climate change is highly important. This includes not only making physical structures safe but also providing students with the knowledge and confidence to support individual and family-based adaptation (Napawan et al, 2017; Hayward, 2021). Several international non-governmental agencies (e.g. Plan International) and UN agencies (e.g. UNICEF and UNDRR) have prioritised safer schools and child centered risk management that often focus on schools as places that should be prioritised for retrofitting and

safe construction but also as focal points for knowledge dissemination and community organising where impacts can extend beyond the school to reduce risk amongst students' families (UNICEF, 2019).

*SM6.3.1.5.3 Reduces new hazard exposure generated: positive moderate (high agreement, medium evidence)*

Access to knowledge and educational opportunities, as well as effective communication regarding climate change and related risks are drivers of human behaviour and can help to reduce vulnerabilities to multiple (O'Neill et al, 2020). Adapting educational infrastructure and programs to climate change includes making physical structures safe and also providing students with the knowledge and confidence to support individual and family-based adaptation (Napawan et al, 2017; Cutter-Mackenzie and Rousell, 2019; Hayward, 2021). Recent evidence also confirms the role of indigenous knowledge and local knowledge in management practices to reduce climate risks through early warning preparedness and response (Barau et al, 2015; Abudu Kasei et al, 2019; Hiwaski et al, 2015).

*SM6.3.1.5.4 Transfer of risk/impacts to other areas/people: positive small (high agreement, medium evidence)*

Several international non-governmental agencies (e.g. Plan International) and UN agencies (e.g. UNICEF and UNDRR) have prioritised safer schools and child centered risk management that often focus on schools as places that should be prioritised for retrofitting and safe construction but also as focal points for knowledge dissemination and community organising where impacts can extend beyond the school to reduce risk amongst students' families (UNICEF, 2019).

*SM6.3.1.5.5 Social Capital: positive high (high agreement, robust evidence)*

Climate change education has increasingly focused in urban settlements on enhancing children and young people's political agency in schools, universities, and in formal and informal media settings (Cutter-Mackenzie and Rousell, 2019). Furthermore, incorporating Indigenous Knowledge can identify people-oriented and place-specific scenarios leading to developing urban adaptation policies that foster identity, dignity, self-determination, and better collective decision-making/capacity to act (McShane, 2017; Preston, 2017).

*SM6.3.1.5.6 Livelihoods: positive high (high agreement, medium evidence)*

Access to knowledge is an important determinant of wellbeing, inclusivity and livelihood mobility and of driving human behaviour (O'Brien et al., 2018; Simpson et al., 2019b; Hayward, 2021). Individuals acquire knowledge, skills, and competencies through education that can strengthen their adaptive capacity and livelihood opportunities (Muttarak and Lutz, 2014). Adapting educational infrastructure and programs to climate change includes making physical structures safe through retrofitting and safe construction, but also providing students with the knowledge and confidence to support individual and family-based adaptation and child centered risk management (O'Brien et al, 2018; O'Neill et al, 2020).

*SM6.3.1.5.7 Health: positive high (high agreement, medium evidence)*

Access to knowledge is an important determinant of wellbeing, inclusivity and livelihood mobility and of driving human behaviour (O'Brien et al., 2018; Simpson et al., 2019b; Hayward, 2021), which has direct implications for human health. Increasing educational levels for a population leads to a decrease in vulnerability and improves in human development indicators, including health (O'Neill et al, 2020; Muttarak and Lutz, 2014).

*SM6.3.1.5.8 Ecological: positive moderate (high agreement, limited evidence)*

Climate education helps nurture environmental citizenship and activism (Paraskeva-Hadjichamb, 2020) and provides students with the knowledge and confidence to support individual and family-based adaptation and environmental protection benefits. Recent studies indicate the expanding role and relevance of indigenous knowledge and education for ecological restoration and urban commons management (Nagendra, 2016; Nagendra and Mundoli, 2019).

*SM6.3.1.5.9 Flexibility post-deployment: positive moderate (high agreement, medium evidence)*

Education systems and communication strategies can be adapted and updated to include information on new risks and development conditions (Muttarak and Lutz, 2014; O'Neill et al, 2020)

*SM6.3.1.5.10 Deployable at scale: positive small (medium agreement, limited evidence)*

While public education programmes, including the use of school curricula and, museums and public media raise awareness and sensitize populations on climate change impacts and the general need for adaptation (UNICEF, 2019; Paraskeva-Hadjichamb, 2020; O'Neill et al, 2020), there are limited examples of specific education and communication programmes designed as part of adaptation policy and little evidence of the outcomes associated with these interventions.

*SM6.3.1.5.11 Benefit to other infrastructure systems adaptation: Unknown (low agreement, limited evidence)*

*SM6.3.1.5.12 Economic feasibility: Unknown (low agreement, limited evidence)*

*SM6.3.1.5.13 Mitigation co-benefit: positive moderate (high agreement, medium evidence)*

Climate education and communication can have both adaptation and mitigation benefits (O'Neill et al, 2020; Muttarak and Lutz, 2014). Social movements on climate mitigation, such as the Transition Movement (Feola and Nunes, 2014), and school strikes may serve as an example for mobilizations more specifically about climate adaptation and the way new, networked, grassroots citizen activism and community organizations can encourage urban institutional change (Jordan et al., 2018; Gunningham, 2019; Wahlström et al., 2019)

*SM6.3.1.5.14 Targets reducing poverty & marginalization: negative negligible (medium agreement, medium evidence)*

Access to education and communication is unequal within and across urban contexts, with poorer and marginalized populations often having limited access due to limited funds and opportunity to attend. There are also considerable gender gaps in school enrolment and literacy rates (Muttarak and Lutz, 2014).

*SM6.3.1.5.15 Inclusive and locally accountable: positive small (medium agreement, medium evidence)*

Access to education and communication is unequal with and across urban contexts, with poorer and marginalised populations often having limited access due to limited funds and opportunity to attend. Recent important research (Macintyre et al., 2018) highlights the need for new, innovative and transformative learning approaches to climate education from school age to adult education. Emphasis is on inclusivity in learning and recognising diverse perspectives across multiple levels and settings, from formal and informal education to wider social learning (Macintyre et al., 2018). Indigenous and traditional knowledge is often excluded from formal climate policy and education (Tengö et al., 2014; Hidalgo, 2019).

*SM6.3.1.5.16 Social transformation: positive moderate (high agreement, medium evidence)*

Since AR5 there has been significant growth in climate education, communication and activism, and research (O'Brien et al., 2018; Simpson et al., 2019b; Hayward, 2021). Despite the inequalities across urban contexts, there is potential to catalyse actions for sustainable development - and progress towards both social and ecological transformation, particularly if innovative transformative approaches to climate education and communication continue to be rolled out and scaled up (Macintyre et al., 2018). The potential for building resilience to deliver adaptation- especially transformative adaptation- requires an articulation of collective visions of the future and the imagination of alternative urban futures (Glaas et al., 2018) through design and deliberate engagement with cultural artefacts, technologies, and performances.

*SM6.3.1.5.17 Ecological transformation: positive moderate (medium agreement, robust evidence)*

Since AR5 there has been significant growth in climate education, communication and activism, and research (O'Brien et al., 2018; Simpson et al., 2019b; Hayward, 2021). Despite the inequalities across urban contexts, there is potential to catalyse actions for sustainable development - and progress towards both social and ecological transformation, particularly if innovative transformative approaches to climate education and communication continue to be rolled out and scaled up (Macintyre et al., 2018).

*SM6.3.1.6 Cultural heritage/institutions (see Section 6.3.3.6)*

*SM6.3.1.6.1 Multiple climate hazards: positive moderate (high agreement, medium evidence)*

Tangible and intangible cultural heritage and institutions influence individual and community risk profiles and vulnerability to multiple climate hazards (Fatorić and Seekamp, 2018; Fatorić and Egberts, 2020).

*SM6.3.1.6.2 Reduces systemic vulnerability: positive moderate (medium agreement, medium evidence)*

Recent evidence highlights the role of intangible cultural heritage regarding indigenous knowledge and local knowledge in management practices to reduce climate risks through early warning preparedness and response (Barau et al, 2015; Abudu Kasei et al, 2019; Hiwaski et al, 2015), which can support physical and social vulnerability reduction, with co-benefits for reducing other risk types such as maintaining livelihoods thereby avoiding economic shock.

*SM6.3.1.6.3 Reduces new hazard exposure generated: positive moderate (medium agreement, limited evidence)*

Indigenous or local knowledge is found to shape perceptions about climate change risk, its acceptable limits, causation and preferences for adaptation (see also Pyhälä et al., 2016 for a review; see Jaakkola et al., 2018 for impacts on Indigenous peoples in the EU). Local perceptions about climate change in turn shape adaptation behaviour in settlements and urban communities (Lee et al., 2015; Larcom et al., 2019; Fatorić and Seekamp, 2018; Fatorić and Egberts, 2020). Adapting built cultural heritage to climate change includes making physical structures safer and can reduce vulnerability to multiple risks (Fatorić and Egberts, 2020; Cutter-Mackenzie and Rousell, 2019). Recent evidence also confirms the role of indigenous knowledge and local knowledge in management practices to reduce climate risks through early warning preparedness and response (Barau et al, 2015; Abudu Kasei et al, 2019; Hiwaski et al, 2015).

*SM6.3.1.6.4 Transfer of risk: negative moderate (high agreement, medium evidence)*

Human behaviour relating to built cultural heritage and institutions can create unintended risk transfers and reduce adaptive capacities – for example in the emergence of ‘last chance tourism’ (Lemieux et al., 2018) focused on built cultural heritage at risk from climate change associated events including from decay or even total loss generated by increased flooding and sea-level rise (Camuffo et al., 2017) and water infiltration from post-flood standing water (Camuffo, 2019). Last chance tourism can lead to increased touristic interest over a short time horizon and to precarious economic conditions, which can lead to further accelerated degradation cultural heritage sites already at-risk from climate change.

*SM6.3.1.6.5 Social capital: positive high (medium agreement, medium evidence)*

Learning about past societal and environment changes through heritage offers opportunity for reflection, transfer of knowledge and skills (Jackson et al, 2018; Fatorić and Egberts, 2020). Incorporating intangible cultural heritage; indigenous knowledge and values into adaptation decision making can identify people-oriented and place-specific scenarios leading to developing urban adaptation policies that foster identity, dignity, self-determination, and better collective decision-making/capacity to act (McShane, 2017; Preston, 2017).

*SM6.3.1.6.6 Livelihoods: positive moderate (medium agreement, robust evidence)*

Indigenous knowledge, skills, and competencies, as well as values can strengthen adaptive capacities and create livelihood opportunities (Jackson et al, 2018; Fatorić and Egberts, 2020). For example, the Kalasha communities residing in the Hindu Kush mountain ranges of Pakistan employ ancestral meteorological and astronomical livelihood and knowledge systems called “Suri Jagek”, for predicting weather patterns and planning harvests, which can help support livelihoods and resilience under a changing climate (UNESCO, 2021). Adaptation of built cultural heritage can also provide livelihood opportunities for those whose employment is linked to the heritage site or building, especially if local resources (craftsmanship and materials compatible with the originals) are used (Phillips, 2015).

*SM6.3.1.6.7 Health: positive moderate (high agreement, medium evidence)*

Cultural heritage in the form of traditional technological, social, and tangible infrastructural solutions for adaptation and mitigation can improve health and wellbeing in cities, if equity and justice aspects are accounted for (Anguelovski et al. 2016; Shi et al. 2016).

*SM6.3.1.6.8 Ecological: positive moderate (medium agreement, limited evidence)*

Adaptation of built cultural heritage at risk from climate change can have important co-benefits for surrounding and linked ecosystems in terms of preservation and strengthening resilience through increased protection measures (UNESCO, 2021). However, there is still limited literature on this.



*SM6.3.1.6.9 Flexibility post deployment: positive moderate (medium agreement, medium evidence)*

Intangible cultural heritage in the form of indigenous knowledge, traditions and values are constantly evolving and adapting to new development conditions and risks ((Jackson et al, 2018; Fatorić and Egberts, 2020). Adaptation of built cultural heritage in the form of historical buildings, for example, has less flexibility for adapting to new risks, particularly sudden onset (UNESCO, 2021).

*SM6.3.1.6.10 Deployable at scale: negative negligible (low agreement, limited evidence)*

Individual projects that include local knowledge tend not to operate at scale. It would be possible to build programmes at scale (Allessa et al 2015; UNICEF, 2019) but there is limited evidence of this and no formal assessments of impact on CRDPs were found.

*SM6.3.1.6.11 Benefit to other infrastructure systems adaptation: positive small (medium agreement, limited evidence)*

Adaptation of built cultural heritage such as historic buildings and sites such as the Cordilleras' Rice Terraces of the Philippines sites at risk from climate change can support resilience for both surrounding/linked green and blue infrastructure and ecosystem services through, for example, improved preservation and protection measures of the site and surrounding areas (UNESCO, 2021). However, there is still limited literature on this.

*SM6.3.1.6.12 Economic feasibility: negative moderate (high agreement, medium evidence)*

Last chance tourism can lead to increased touristic interest over a short time horizon and to precarious economic conditions, which can lead to further accelerated degradation cultural heritage sites already at-risk from climate change (Lemieux et al., 2018). Financial constraints constitute the primary barrier hindering adaptation solutions leading to no action at all or to merely monitoring and documentation, or to annual maintenance (Fatoric and Seekamp, 2017; Fatorić and Egberts, 2020; Sesana et al., 2019; Xiao et al., 2019).

*SM6.3.1.6.13 Mitigation co-benefits: positive small (medium agreement, limited evidence)*

Accessing local resources (craftsmanship and materials compatible with the originals) improves built cultural heritage's adaptation capacity and has mitigation co-benefits through reduced carbon footprint (Phillips, 2015). Through inter-generational cumulative experience and oral narratives, locational histories and cultural practices, IK/LK can provide a historical perspective on changes in urban commons such as lakes and trees (Nagendra, 2016) as well as past climatic changes or climate baselines (Ajayi and Mafongoya, 2017).

*SM6.3.1.6.14 Targets reducing poverty & marginalization: positive moderate (high agreement, medium evidence)*

Urban decision-making that includes indigenous and local knowledge has co-benefits for addressing Indigenous dispossession, historical inequities and marginalization of Indigenous values that occurred (see Orlove et al., 2014; Pearce et al., 2015; Maldonado et al., 2016; Carter, 2019; Parsons et al., 2019). Indigenous and local knowledge can help deliver culturally appropriate strategies and local choices for urban risk management through, for example community-based observation networks (Alessa et al 2015).

*SM6.3.1.6.15 Inclusive & locally accountable: positive moderate (high agreement, medium evidence)*

Intangible cultural heritage such as indigenous and traditional knowledge has often been excluded from formal climate policy and education (Tengö et al., 2014; Hidalgo, 2019). However, since AR5 there has been increasing recognition of the contribution that understanding traditional coping strategies and indigenous and local knowledge can make in urban adaptation planning and action (Nakashima et al., 2018; Abudu Kasei et al., 2019). Therefore, addressing traditional and local environmental knowledge can inform community-appropriate climate adaptation responses (Fernández-Llamazares et al., 2015). Urban decision-making that includes indigenous and local knowledge has co-benefits for addressing Indigenous dispossession, historical inequities and marginalization of Indigenous values that occurred (see Orlove et al., 2014; Pearce et al., 2015; Maldonado et al., 2016; Carter, 2019; Parsons et al., 2019). However, regarding built cultural heritage, there are differences in who benefits from infrastructures, for example, as they are inherently political and embedded in social contexts, politics and cultural norms (McFarlane and Silver, 2017), which are not necessarily shared by all and can thus lead to tensions.

**SM6.3.1.6.16** *Social Transformation: positive moderate (high agreement, robust evidence)*

The potential for building resilience to deliver adaptation- especially transformative adaptation- requires an articulation of collective visions of the future and the imagination of alternative urban futures (Glaas et al., 2018) through design and deliberate engagement with cultural artefacts, technologies, and performances. Social movements can be powerful sources of such alternative visions of the future.

**SM6.3.1.6.17** *Ecological Transformation: positive moderate (high agreement, robust evidence)*

There is considerable potential for cultural heritage to contribute to ecological transformation. For example, through inter-generational cumulative experience and oral narratives, locational histories and cultural practices, indigenous knowledge can provide a historical perspective on changes in urban commons such as lakes and trees (Nagendra, 2016) as well as past climatic changes or climate baselines (Ajayi and Mafongoya, 2017) and thus support ecological transformation when applied to policy and practice.

**SM6.3.2** *Nature Based Solutions*

**SM6.3.2.1** *Temperature regulation (see Section 6.3.3.1)*

**SM6.3.2.1.1** *Multiple climate hazards: positive moderate (high agreement, robust evidence)*

Nature-based solutions (NbS) for temperature regulation in the form of urban trees and other green spaces can cool surface and near surface temperatures by providing shade and increasing evaporative cooling. While the effect size of these interventions vary with local climate, area coverage, and foliage type, evidence suggests cooling potential of daytime air temperatures averaging 1.6°C. For surface temperatures, cooling effects of NbS tends to be larger, 0.32 to 3.67°C although some studies report surface cooling of > 10°C (Knight et al. 2021). NbS for temperature regulation can also provide risk reduction to other hazards including reducing concentrations of certain pollutants. For example, ozone generation in the troposphere occurs at higher rates at higher temperatures. In addition, trees have been shown to remove ozone from their surroundings, leading to lower concentrations under tree canopies, with studies attributing up to 4.9% concentration reductions to them (Nowak et al. 2000; Sicard et al. 2018). However, studies also show that trees may increase concentrations of gaseous polycyclic aromatic hydrocarbons associated with combustion, even while reducing concentrations of other pollutants due to tree-induced turbulence (Wang et al. 2018; Yli-Pelkonen et al. 2018). While not as effective as grass and other NbS for runoff management, urban trees can significantly reduce surface runoff by as much as 62% as demonstrated in Manchester, UK (Armson et al. 2013). In addition, tree root systems may better penetrate compact urban soils, increasing water infiltration by up to 27 times unplanted compact soils (Bartens et al. 2008). The effectiveness of urban trees at improving infiltration may be highly impacted by tree pit design elements like pit elevation, mulching, and pit guarding (Elliott et al. 2018). Outdoor green space and parks may also slightly reduce indoor heat hazard, as a modeling study shows in Paris (Viguie et al. 2020).

**SM6.3.2.1.2** *Systemic vulnerability reduction: positive small (low agreement, medium evidence)*

There is evidence supporting both proximity and visitation of green spaces and parks improve mental health and reduce depression (Sturm and Cohen 2014; Min et al. 2017; Wood et al. 2017), providing some systemic vulnerability reduction. However, direct positive impacts on other drivers of vulnerability are understudied. Although trees may reduce certain pollutants, they may increase others. (Eisenman et al. 2019). Some species of trees produce allergens, and effects are exacerbated by air pollutants (Sedghy et al. 2018).

**SM6.3.2.1.3** *Reduces new hazard exposure generated: negative negligible (low agreement, limited evidence)*

There is limited evidence on the impact that NBS for temperature regulation might have on changing behavior or population locations that can lead to increases or decreases in risk through human behavior change. There is also limited evidence that parks and other open space are the primary driver that may displace vulnerable populations to less desirable housing stock and neighborhoods, though this is raised as an increasing concern in recent literature (Anguelovski et al. 2018). In the US, low income neighborhoods are associated with higher surface temperatures (Voelkel et al. 2018), which in turn may increase heat hazard exposure in displaced populations, should displacement occur. However, there is wide agreement that investments in NBS can reduce local temperatures and thus reduce exposure to future heat hazards in the locations where NBS are implemented.

1 *SM6.3.2.1.4 Transfer of risk: positive negligible (low agreement, limited evidence)*

2 Depending on urban geometry and urban canyon street configuration, computational fluid dynamics  
3 simulations show that tree barriers that are too tall and dense may increase air pollutant concentrations  
4 downwind and within the tree canopy even while reducing street-level temperatures (Hagler et al. 2012;  
5 Baldauf 2017; Ghasemian et al. 2017). In addition, release of biogenic volatile organic compounds from  
6 large tree stands may lead to ozone production in other parts of a city (Bonn et al. 2016) even while reduced  
7 lower temperatures and surface-level solar radiation may reduce local ozone production.  
8

9 *SM6.3.2.1.5 Social capital: positive high (high agreement, medium evidence)*

10 Evidence suggests that parks can play an important role in fostering socialization among certain urban  
11 populations (Esther H.K. et al. 2017). In addition, studies indicate enhanced social cohesion and social ties  
12 among park visitors in urban settings (Peters et al. 2010; Kaźmierczak 2013; Jennings and Bamkole 2019).  
13

14 *SM6.3.2.1.6 Livelihoods: positive moderate (high agreement, medium evidence)*

15 Parks may provide employment opportunities to residents for its maintenance (Neckel et al. 2020). A report  
16 from the National Recreation and Park Association and prepared by the Center for Regional Analysis at  
17 George Mason University found that local parks contributed 50 billion USD in labor income and contributed  
18 to over 1 million employees in the US (National Recreation and Park Association 2020). In a survey of 12  
19 towns in South Africa, blue and green infrastructure was found to employ over 17,000 people with a total  
20 salary of 37 million USD (King and Shackleton 2020).  
21

22 *SM6.3.2.1.7 Health: positive moderate (high agreement, medium evidence)*

23 There is good evidence supporting both proximity and visitation (access to) of urban green and blue spaces  
24 improves mental health (Sturm and Cohen 2014; Min et al. 2017; Wood et al. 2017). There is some limited  
25 evidence that proximity to green space increases other health indicators. The benefits of greenspace to  
26 increased physical activity (a key cause of non-communicable diseases) is limited as other factors are needed  
27 (e.g Smith et al. 2017).  
28

29 *SM6.3.2.1.8 Ecological: positive moderate (medium agreement, medium evidence)*

30 There is evidence suggesting that trees in urban and residential areas in Latin American countries serve as a  
31 stopover for migratory birds (Amaya-Espinel and Hostetler 2019). Studies also report that vegetated patches  
32 in urban areas have more bird species (Filloy et al. 2019). Bird abundance has been linked to vegetation  
33 coverage in urban areas via satellite imagery as well (Leveau et al. 2018). Evidence also suggests that urban  
34 trees may facilitate the establishment of invasive insect communities, many of which are pests to local flora  
35 (Branco et al. 2019). However, urban trees have also been found to counteract the negative impacts of  
36 artificial lighting and abundance of impervious surfaces on nighttime pollinator populations (e.g., moths)  
37 (Straka et al. 2021).  
38

39 *SM6.3.2.1.9 Flexibility post deployment: positive high (medium agreement, limited evidence)*

40 Investing in vegetation for cooling has been shown to provide other climate adaptation benefits given  
41 multifunctionality of most NbS. For example, a recent study found that tree pit design characteristics are  
42 more important than several tree characteristics to determine its runoff infiltration potential (Elliott et al.  
43 2018). Modification of tree pits may provide an avenue for improving runoff reduction in areas where  
44 flooding may become a persistent issue, providing modest flexibility to respond to other hazards beyond  
45 temperature regulation. Similarly, green roofs implemented for cooling can be important local sources of  
46 stormwater runoff regulation (Cook and Larsen 2020). Overall, urban vegetation for cooling can often also  
47 absorb stormwater, reduce urban flooding impacts, and absorb air pollutants (Keeler et al. 2019).  
48

49 *SM6.3.2.1.10 Deployable at scale: positive high (high agreement, medium evidence)*

50 Campaigns have successfully been carried in cities for mass planting of urban trees in New York (Campbell  
51 2014), Beijing (Yao et al. 2019). Surveys of urban tree planting efforts have been recorded for 52 cities in  
52 the Northeast United States (Doroski et al. 2020), finding over 500,000 trees planted between 2012-2017  
53 demonstrating evidence to deploy NbS at scale.  
54

*SM6.3.2.1.11 Benefit to other infrastructure systems adaptation: positive small (medium agreement, medium evidence)*

There is limited evidence that street trees may reduce energy demand for cooling in cities (Viguie et al. 2020). Green roofs may provide both limited outdoor cooling as well as reduce demand-side energy for indoor cooling (Santamouris 2014; Hirano et al. 2019). Certain tree species however may increase vulnerability of electric systems to wind gust-related power outages in areas with exposed power infrastructure like power lines and transformers (Cerrai et al. 2019, 2020; D'Amico et al. 2019).

*SM6.3.2.1.12 Economic feasibility: positive high (high agreement, medium evidence)*

Large tree planting campaigns like those in New York (Campbell 2014), Beijing (Yao et al. 2019) may show economic feasibility of trees as a NbS to temperature regulation. In the US, urban parks are estimated to create over 166 billion USD in economic activity while contributing 87 billion USD to the national GDP (National Recreation and Park Association 2020). Green roofs decrease energy fluxes to building envelopes, reducing need for cooling. Energy cost savings from decreased need for cooling may offset retrofitting cost, especially when considering indirect added value like noise insulation, heat reduction, and stormwater retention (Feng and Hewage 2018; Susca 2019).

*SM6.3.2.1.13 Mitigation co-benefit: positive moderate (high agreement, robust evidence)*

Green roofs can reduce carbon dioxide emissions by reducing need for building energy demand as well as the substrate's ability to sequester atmospheric carbon (Shafique et al. 2020). A review of modeling and experimental studies found that energy use reductions range between -7% to 70%, with the majority of reporting savings of 0% to 20% depending on season, roof insulation, and plant type used. Meanwhile, carbon sequestration capacity ranged between 0.303 to 1.88 kg CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>. However, this reduction is a small fraction of annual emissions related to traffic, which can reach over 300 kg CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup> in urban areas (Gately et al. 2015).

*SM6.3.2.1.14 Targets reducing poverty & marginalisation: negative negligible (medium agreement, limited evidence)*

NbS for temperature regulation may displace residents if the part of drivers that may increase living costs near where they are implemented (Zheng and Kahn 2013; Anguelovski et al. 2018; Goossens et al. 2020). However, this may not be an inherent feature of urban NbS, as studies show that focus on use of informal green spaces (Rupprecht and Byrne, 2017) and smaller parks can limit or even counteract green gentrification (Chen et al., 2021).

*SM6.3.2.1.15 Inclusive & locally accountable: positive negligible (medium agreement, limited evidence)*

Although recent trends in participatory budgeting and planning seek to make governance and implementation more inclusive and accountable to local communities as worldwide examples show (Kozová et al., 2018; Pogačar et al., 2020; Schneider and Busse, 2019), there is limited evidence that this approach is inherent to NbS for temperature regulation.

*SM6.3.2.1.16 Social transformation: unknown (low agreement, limited evidence)*

Historical data in many cities show increasing property values near urban parks and other green infrastructures. An example in Washington, DC, USA attributed a 5% premium to homes within 500 feet (152.4 m) of parks (Harnik and Crompton, 2014), while street trees in Perth, Australia were found to increase property value by close to 17,000 AUD (Pandit et al., 2013). These wealth increases may shift social power to property owners over time, potentially increasing inequality in urban decision-making.

*SM6.3.2.1.17 Ecological transformation: positive high (high agreement, medium evidence)*

Studies suggest that urban vegetation leads to higher abundance of animal life and biodiversity. A study of insect species and urban vegetation in six cities in Switzerland found increased abundance and diversity of most measured species (Turrini and Knop 2015), while a study in Melbourne, Australia found that increasing vegetation from 10 to 30 percent increased occupancy of bats, birds, bees, beetles and bugs by up to 130% (Threlfall et al. 2017), with particularly high impact on native species.

*SM6.3.2.2 Air quality regulation (see Section 6.3.3.2)*

1 *SM6.3.2.2.1 Multiple climate hazards: positive moderate (high agreement, medium evidence)*

2 Trees and green infrastructure have been shown to improve air quality through pollution removal by  
3 intercepting airborne particles (Nowak et al., 2006). Trees also absorb air pollution by uptake via leaf  
4 stomata then gases diffuse into intercellular spaces and may be absorbed by water films to form acids  
5 (Nowak et al., 2006; Smith, 2012). For example (Matos et al., 2019) found that in Lisbon, Portugal the best  
6 gains in air quality improvement have been obtained by improving the smallest green spaces rather than  
7 investing in the largest green spaces. NBS for air quality regulation can also help to mitigate flooding as air  
8 pollutants such as fine particulates impact precipitation and regional circulation patterns (Fiore et al., 2015),  
9 and urban trees can increase the run-off infiltration rates during rainfall inundation (Bartens et al., 2008).  
10 NBS for air pollution may also provide temperature regulation as some pollutants increase warming by  
11 trapping heat in the atmosphere (Arneth et al., 2009; European Commission DG ENV, 2010).

12  
13 *SM6.3.2.2.2 Systemic vulnerability reduction: positive small (medium agreement, limited evidence)*

14 NBS for air quality regulation can mitigate air pollutants (Janhäll, 2015; Keeler et al., 2019), flooding (Fiore  
15 et al., 2015), and temperature (Arneth et al., 2009) by reducing pollutants that impact human health, a  
16 fundamental component of climate vulnerability. For example, planting trees along streets or in urban forests  
17 can reduce particulate matter, the ambient air pollutant with the largest global health burden (Tiwary et al.,  
18 2008; Janhäll, 2015; McDonald et al., 2016). Positive health impacts are assessed as a key contribution to  
19 systemic vulnerability reduction.

20  
21 *SM6.3.2.2.3 Reduces new hazard exposure generated: positive small (medium agreement, limited evidence)*

22 There is limited evidence that documents behavior or other social changes associated with NBS for air  
23 pollution, yet NBS can impact health with implications for reductions in new hazard exposure. NBS can  
24 reduce multiple air pollutants (Matos et al., 2019) which have long-term potential benefits for both climate  
25 change and people impacting new hazard exposure. Air pollutants such as light-absorbing particulate Black  
26 Carbon, light-scattering particulate sulfates, nitrates, organics, and ozone are pollutants that impact human  
27 health as well as climate-forcing factors (Maione et al., 2016; Shindell et al., 2012) that may reduce hazard  
28 exposure. For example, (Shindell et al., 2012) found that a reduction in methane and Black Carbon emissions  
29 can reduce projected global mean warming ~0.5°C by 2050, avoid 0.7 to 4.7 million annual premature deaths  
30 from air pollution, and increases annual crop yields by 30 to 135 million metric tons in 2030 and beyond.

31  
32 *SM6.3.2.2.4 Transfer of risk: positive small (low agreement, limited evidence)*

33 Air pollutants can be transferred from one place to another mainly by wind (Gurumoorthy et al., 2021; Kim  
34 et al., 2015). The typical wind speed varies temporarily and spatially on a topographical basis (Gurumoorthy  
35 et al., 2021). Overall, there is little evidence that reducing air pollutants via the use of NBS in a specific area  
36 can lead to the transfer of the same risks or impacts to other areas. However, some tree species planted for air  
37 pollution reduction that are pollen producing may create other health risks especially for allergy sufferers.  
38 Therefore, researchers caution practitioners to avoid planting trees as NBS for air pollution removal that are  
39 known to produce pollen problematic for allergy sufferers (Sedghy et al. 2018).

40  
41 *SM6.3.2.2.5 Social capital: positive moderate (medium agreement, medium evidence)*

42 NBS can encourage social capital through forms of economic empowerment and improving human-nature  
43 interactions (Welden et al., n.d.) and increases in social capital may also be associated with less air pollution  
44 (Smiley, 2020). For example, (Tidball et al., 2018) show how through community-based reforestation for the  
45 case of New Orleans, LA in the USA, the act of planting trees strengthens social interaction and places for  
46 social engagement.

47  
48 *SM6.3.2.2.6 Livelihoods: positive small (medium agreement, medium evidence)*

49 Along with reducing air pollution, NBS can create jobs for residents to run and maintain green and blue  
50 infrastructure (King and Shackleton, 2020). There is a moderate agreement that a reduction in air pollution  
51 can also save significant medical expenditure on diseases that are caused by air pollution such as chronic  
52 obstructive pulmonary disease, asthma, and lung cancer (Jiang et al., 2016). For example (Xue et al., 2021)  
53 found that the PM2.5 reduction between 2013 and 2017 in China was associated with a saving of  
54 approximately 111 billion US dollars per year nationally.

1 *SM6.3.2.2.7 Health: positive moderate (high agreement, medium evidence)*

2 There is a high agreement on the devastating direct impacts of air pollution on human health (Hewitt et al.,  
3 2020; Klompmaker et al., 2021; Tahara et al., 2021; Zhang et al., 2021) and the indirect impacts of air  
4 pollution by increasing the global warming (Arneth et al., 2009; Khalaila et al., 2021; Klompmaker et al.,  
5 2021). There is evidence that NBS, particularly through planting trees and green infrastructure, may reduce  
6 local exposure to air pollution and modify impacts of long-term exposure to air pollution (Crouse et al.,  
7 2019; Kim et al., 2019, pp. 2008–2016; Kioumourtzoglou et al., 2016). For example, (Crouse et al., 2019)  
8 found that exposure to air pollution had a lower impact on the risk of dying in greener areas between non-  
9 immigrant Canadian adults.

10  
11 *SM6.3.2.2.8 Ecological: positive moderate (medium agreement, medium evidence)*

12 Air pollution can pollute water and soil which can kill crops and young trees. (National Geographic Society,  
13 2011). Exposure to volatile organic compounds of air pollutants is associated with an upregulation of  
14 intracellular antioxidants resulting in an increased production of reactive oxygen species which is known to  
15 influence cancer development in the wild population (North et al., 2017; Sepp et al., 2019). Some air  
16 pollutants such as benzene, kerosene, toluene, and xylenes have been found to be associated with mammary  
17 carcinomas in rodents (Huff et al., 1989; Maltoni et al., 1997). NBS that improve air quality have potential to  
18 benefit ecosystems primarily through pollution reduction with benefits across ecological communities.

19  
20 *SM6.3.2.2.9 Flexibility post deployment: positive high (high agreement, medium evidence)*

21 There is high agreement that NBS for air quality such as planting trees and increasing green spaces can  
22 provide benefits other than absorbing air pollutants. Trees and green space can reduce outdoor and indoor  
23 heat hazards (Arneth et al., 2009; Viguié et al., 2020). Green infrastructure can also mitigate flood risk and  
24 exposure by reducing peak flows and surface runoff (Moore et al., 2016; Zhou, 2014) even when planted  
25 expressly for air quality benefits. For example, Bartens et al. (2008) found that tree root systems can increase  
26 water infiltration rate by up to 27-fold compared to unplanted soils and thus be adapted for stormwater  
27 regulation.

28  
29 *SM6.3.2.2.10 Deployable at scale: positive moderate (medium agreement, medium evidence)*

30 Tree planting campaigns and programs are being implemented at a city scale such as many USA cities  
31 including New York, Los Angeles, and Chicago (Campbell et al., 2014; Pincetl et al., 2013) and at the  
32 regional scale such as regions in China including Inner Mongolia, Ningxia, and Gansu provinces (Xiao and  
33 Xiao, 2019). Furthermore, many cities run land acquisition programs for urban open and green spaces and  
34 have successfully added new lands to their open and urban green spaces. For example, Portland city has  
35 added 1,640 acres to the urban park system since 2001 (City of Portland, 2021). Similarly, the City of  
36 Sammamish in the USA has added 645 acres to its urban park system between 1999 and 2020 through the  
37 land acquisition program. How scalable tree planting and other green infrastructure interventions are in other  
38 Global South areas remains understudied.

39  
40 *SM6.3.2.2.11 Benefit to other infrastructure systems adaptation: positive small (medium agreement,  
41 low evidence)*

42 NBS is also important for urban drainage systems by slowing runoff rate and reducing the pressure on  
43 drainage systems and lowering maintenance costs (Locatelli et al., 2020; Zhou et al., 2012). A limited  
44 number of studies show that trees and green areas for air quality regulation can reduce air temperature  
45 (Arneth et al., 2009; Viguié et al., 2020) and therefore can reduce energy demand for heating in buildings.

46  
47 *SM6.3.2.2.12 Economic feasibility: positive small (medium agreement, medium evidence)*

48 Generally, investments in NBS are difficult to value (Vandermeulen et al., 2011) and a limited number of  
49 studies explored the economic feasibility of NBS. NBS investments can also create jobs for residents to  
50 plant, run and maintain green and blue infrastructure (King and Shackleton, 2020). A reduction in air  
51 pollution can save significant medical expenditure on diseases that are caused by air pollution (Jiang et al.,  
52 2016). Green spaces can also reduce outdoor and indoor temperature and reduce cost of energy required for  
53 space cooling (Arneth et al., 2009; Viguié et al., 2020).

54  
55 *SM6.3.2.2.13 Mitigation co-benefit: positive moderate (high agreement, medium evidence)*

56 Green NBS such as green spaces and trees can act as a carbon storage by absorbing CO<sub>2</sub> and reducing CO<sub>2</sub>  
57 emissions from power plants as a result of a reduction in cooling costs (Strohbach et al., 2012; Zhang et al.,

2014). For example, (Strohbach et al., 2012) found that the estimated average storage of CO<sub>2</sub> in tree biomass after 50 years ranges from 170 to 28 MgCO<sub>2</sub> ha<sup>-1</sup> for an area of 2.16 ha that contains 461 different trees in Leipzig, Germany. The variation in the storage of CO<sub>2</sub> mainly depends on tree growth and tree mortality but remains a small fraction of urban carbon emissions.

*SM6.3.2.2.14 Targets reducing poverty & marginalisation: Unknown (low agreement, limited evidence)*

There is limited evidence for NBS interventions for reducing poverty and marginalization as most studies have typically not taken account the multifunctional nature of NBS. Some studies have found an association between air pollution levels and socioeconomic status (Colmer et al., 2020; Kravitz-Wirtz et al., 2016; Neier, 2021) but this association cannot easily be fully explained by individual-, household-, or metropolitan-level factors (Kravitz-Wirtz et al., 2016) challenging certainty about direct, local impacts of air pollution removal on income, medical bills, or other economic impacts.

*SM6.3.2.2.15 Inclusive and locally accountable: positive small (medium agreement, limited evidence)*

There is some supported evidence that NBS for air quality benefits can improve inclusion and local accountability. Tidball et al., (2018) found that a community-based reforestation program in New Orleans, Louisiana, USA strengthened social interaction and places for social engagement. In Christchurch, New Zealand, 75% of urban trees are found on private land, suggesting that benefits of the urban forest include management by tens of thousands of individuals (Guo et al., 2019).

*SM6.3.2.2.16 Social transformation: unknown (low agreement, limited evidence)*

There is supportive evidence that NBS can encourage inclusion and community engagement (Tidball et al., 2018). However, there is also evidence that NBS, especially through green infrastructure investments, can lead to increased housing prices (Breunig et al., 2019; Harnik and Crompton, 2014) which may force low-income tenants to relocate and reinforce social segregation over time.

*SM6.3.2.2.17 Ecological transformation: positive high (medium agreement, limited evidence)*

Nature-based solutions such as planting trees for air regulation can improve air quality and reduce pollution in water and soil (National Geographic Society, 2011) which provides ecological benefits while also improving animal health (Huff et al., 1989; Maltoni et al., 1997). Studies suggest that urban vegetation that provides nature-based solutions can lead to higher abundance of animal life and biodiversity. A study of insect species and urban vegetation in six cities in Switzerland found increased abundance and diversity of most measured species (Turrini and Knop 2015), while a study in Melbourne, Australia found that increasing vegetation from 10 to 30 percent increased occupancy of bats, birds, bees, beetles and bugs by up to 130% (Threlfall et al. 2017), with particularly high impact on native species. However, some studies have also found that large-scale tree planting programs target many ecosystems that do not naturally support dense tree cover (Fleischman et al., 2020; Veldman et al., 2019) which may destroy the habitats of plants and animals adapted to open ecosystems (Fleischman et al., 2020).

*SM6.3.2.3 Stormwater regulation & sanitation (see Section 6.3.3.3)*

*SM6.3.2.3.1 Multiple climate hazards: positive small (high agreement, medium evidence)*

NBS for stormwater regulation can mitigate flood risk and exposure to pollutants by reducing peak flows and total surface runoff (Moore, 2016; Zhou, 2014) and thus has potential to provide multiple benefits for risk reduction. Prioritizing one or another stormwater related challenge may impact key siting and design choices, limiting the intervention's capacity to deliver both benefits simultaneously unless explicitly intended (McPhillips et al., 2020). NBS are multifunctional so investments for stormwater reduction can also provide temperature regulation. However, specific choices in vegetation that can increase e.g. cooling, may be overlooked if stormwater regulation is the only targeted benefit during implementation (Hoover et al., 2021; Hansen et al., 2019). For example, in the city of Philadelphia, US, most GI interventions for stormwater management are non-vegetated, such as permeable pavement (Spahr et al., 2020).

*SM6.3.2.3.2 Systemic vulnerability reduction: positive small (medium agreement, medium evidence)*

NBS for stormwater regulation and sanitation can enable access to recreation (Keeler et al., 2015) and increase property values when water usability is improved (Artell, 2014) or a change in flood risk is perceived (Kim et al., 2020). In general, the evidence on the impact of green infrastructure for NBS on

property values is mixed, but relatively positive in the Global North (Venkataramanan, 2019). In the Global South, proximity to green spaces has been observed to be linked to lower property values due to differing effects on sense of safety and security (Cilliers et al., 2013). Greening historically disenfranchised or disinvested neighborhoods has been linked to gentrification (Anguelovski et al., 2018) and injustices due to misrepresentation in the decision-making processes that lead to greening (Turkelboom, 2018).

*SM6.3.2.3.3 Reduces new hazard exposure generated: positive small (medium agreement, limited evidence)*

There is limited evidence on the impact that NBS for stormwater might have on changing behavior or population locations that can lead to risk reduction through human behavior change. A case study in Hong Kong indicated that the presence of green infrastructure for stormwater regulation increases the price of apartments located in the first floor of apartment buildings (Kim et al., 2020). This observation suggests that a change in risk perceptions might encourage development in areas that benefit from green infrastructure, but the evidence is insufficient so far to support broad claims. However, there is wide agreement that investments in NBS can reduce peak flows in stormwater and reduce new local flood hazard exposure.

*SM6.3.2.3.4 Transfer of risk: negative moderate (high agreement, medium evidence)*

Traditional gray interventions may impact the social and ecological integrity of urban systems and create system lock-ins (Depietri and McPhearson, 2017). In addition, gray infrastructure may cause adverse effects downstream by rapidly concentrating flow and pollutants in discharge points (Boot et al., 2016). Instead, green infrastructure and other NBS aim to improve stormwater management on-site in a decentralized manner and reduce local and downstream risks (Dhakal and Chevalier, 2017). In case of improper management, NBS may contribute to the release of organic matter and/or nutrients, which may cause eutrophication in receiving water bodies (Janke et al., 2017; Ardón et al., 2010; Bierman et al., 2010).

*SM6.3.2.3.5 Social capital: positive small (medium agreement, medium evidence)*

The implementation process for NBS can contribute to improving local social capital when procedural justice is a component. NBS for stormwater regulation such as green infrastructure may face the pushback of local communities due to fears of gentrification (Wolch et al., 2014) or a perception about having a poorer performance than gray interventions (Dhakal and Chevalier, 2017; Thorne et al., 2018). For NBS for stormwater regulation and sanitation to be accepted and implemented, fostering social capital (Barclay and Klotz, 2019; Dhakal and Chevalier, 2017), including communities in the planning process from the very beginning (Hoover et al., 2021), and prioritizing engaging with communities willing to accept green infrastructure (Hoover et al., 2021), have been described as critical needs. Once deployed, NBS for stormwater management can foster social cohesion (Hamann et al., 2020), in line with studies focused on the social benefits provided by green spaces such as parks or green roofs (Mesimäki et al., 2017; Markevych et al., 2017; Kaźmierczak, 2013).

*SM6.3.2.3.6 Livelihoods: positive moderate (medium agreement, limited evidence)*

There is limited evidence on the impact that green infrastructure for stormwater management has on livelihoods and well-being, with most studies focusing on shifting property value (Venkataramanan et al., 2019). Some case studies suggest that green infrastructure for stormwater management can reduce crime (Burkley et al., 2018; Kondo et al., 2015) and generate significant employment when deployed at scale (King and Shackleton, 2020). Large green spaces that may provide stormwater mitigation benefits even if not originally designed for this purpose, have shown positive impacts such as crime reduction, health improvement, and pro-social behaviors (McKinney and VerBerkmoes, 2020), but these studies focus on larger, unmanaged green spaces, rather than engineered green infrastructure for stormwater management.

*SM6.3.2.3.7 Health: positive moderate (medium agreement, limited evidence)*

By improving water quality, NBS can reduce exposure to pollutants for people, ecosystems, and animals. However, the evidence on the impact of NBS interventions on human health is limited, and further research is needed (Venkataramanan et al., 2019). Some types of interventions such as street trees or parks have been researched more broadly and their impacts on physical and mental health are widely recognised as positive, while newer types of interventions such as rain gardens, bioswales or green roofs have only begun to be studied (Suppakittpaisarn et al., 2017). Specific case studies have reported positive impacts of green roofs on mental health and the workplace (Lee et al., 2015; Loder, 2014). Large green spaces that may provide stormwater mitigation benefits, even if not being strictly designed for this purpose, have shown positive health impacts (WHO 2017).



*SM6.3.2.3.8 Ecological: positive moderate (high agreement, medium evidence)*

NBS for stormwater regulation can have a positive impact on ecosystems by improving the water quality of water bodies (Pennino et al., 2016). The value of this service depends on the characteristics of local built water infrastructure, such as presence of sewers, type (combined or separated), age, maintenance, or impervious cover (Utz et al., 2016; Wollheim et al., 2015; LeFevre et al., 2015; Kaushal et al., 2014). The use of a combination of different NBS measures provides better results than single type, isolated interventions (Chen et al., 2019). While the benefits of NBS are known at small scales, there is a lack of knowledge about the impact that urban NBS for stormwater management can have at larger scales such as catchment level (Golden and Hoghooghi, 2018).

*SM6.3.2.3.9 Flexibility post deployment: positive moderate (medium agreement, medium evidence)*

NBS are considered to have medium to high flexibility (Ferreira et al., 2021; Hobbie and Grimm, 2020; Depietri and McPhearson, 2017) and to be better at avoiding lock-ins and path dependencies than gray alternatives while providing multiple benefits beyond stormwater (Depietri and McPhearson, 2017). Hybrid infrastructure systems (combining gray and green) designed under the safe-to-fail paradigm can provide more flexible and reliable solutions and are encouraged (Kim et al., 2019; Mei et al., 2018; Depietri and McPhearson, 2017; Grimm et al., 2015). NBS designed to support a wider range of co-benefits may be more adaptable to shift their focus to other hazards (e.g. increasing tree cover in large green spaces to increase shading and reduce heat). There is, however, a lack of post-development evidence on the performance of NBS, and most literature describes potential benefits.

*SM6.3.2.3.10 Deployable at scale: positive moderate (medium agreement, limited evidence)*

Modeling studies suggest that a catchment-wide implementation of small interventions can provide flood risk reduction benefits (Webber et al., 2020). Several cities have developed GI at scale and / or have developed city-wide plans that identify priority areas of intervention (Hoover et al., 2021; Hopkins et al., 2018). The need to combine public with private property investments in order to bypass the space limitations in compact cities (Ferreira et al., 2021; Hoobie et al., 2020; Garcia-Cueva et al., 2018) is considered a key challenge in city-wide GI development. However, there is limited evidence regarding the impact of NBS at large spatial and temporal scales due the absence of data or efforts capturing large scale NBS performance (Garcia-Cueva et al., 2018; Golden and Hoghooghi, 2018).

*SM6.3.2.3.11 Benefit to other infrastructure systems adaptation: positive moderate (high agreement, medium evidence)*

Mitigating urban flood risk can positively impact other urban infrastructure systems. For example, reducing flooding on roads reduces the impact of flooding events on traffic flows (Pregolato et al., 2016). Green roofs have a longer life than conventional roofs and can protect them from radiation, wind, and thermal fluctuations (William et al., 2016). By reducing peak flows into combined sewer systems, NBS also allow for the proper functioning of drainage systems without triggering CSO discharges (Pennino et al., 2016).

*SM6.3.2.3.12 Economic feasibility: positive moderate (high agreement, medium evidence)*

The assessed cost-effectiveness of NBS for stormwater regulation varies depending on local contexts and whether co-benefits (Teotónio et al., 2021; Bixler et al., 2020; Eckart et al., 2018) and whether disservices (Hobbie and Grimm, 2020) are also included in the assessments. Case studies assessing more than one NBS type are limited (Bixler et al., 2020), and usually fail to incorporate several co-benefits due to the complexity and lack of deeper knowledge on how to evaluate them (Teotónio et al., 2021). Nevertheless, there is increasing evidence that NBS for stormwater is cost-effective (sometimes more than traditional, gray approaches) (Bixler et al., 2020; Kozak et al., 2020; Mguni et al., 2016), especially in cities facing a need to update current infrastructures (Keeler et al., 2019). For instance, a 2010 study determined that a hybrid green-gray approach to stormwater management in New York City (NY, USA) was more cost effective than a completely gray one (New York City Department of Environmental Protection, 2010).

*SM6.3.2.3.13 Mitigation co-benefit: positive moderate (high agreement, medium evidence)*

Vegetated NBS such as street trees may account for climate mitigation co-benefits (by capturing carbon). However, the principal way in which green infrastructure may contribute to GHG emission reductions is by replacing gray interventions, which show higher emissions due to the materials used and the emissions that

take place during their installation and operation (Rasul and Arutla, 2020; Liu et al., 2020; Brudler et al., 2016; Spatari et al., 2011).

*SM6.3.2.3.14 Targets reducing poverty & marginalisation: positive small (medium agreement, limited evidence)*

While NBS for stormwater management focuses on mitigating combined sewer overflows (CSOs) and urban flood risk, reducing CSOs may be the most common driver for siting and design criteria (Hoover et al., 2021; McPhillips et al., 2020). The use of technical indicators such as impervious surface or CSO areas (Heck, 2021; Meerow, 2020; Finewood et al., 2019) tends to lead to the prioritization of areas that face other challenges such as air quality and heat, and that might be inhabited by vulnerable communities (Meerow, 2020). Cities investing in green infrastructure may develop parallel programs to improve access to income and alleviate poverty. For example, New York City's MillionTreesNYC project to plant a million trees in the city also implemented a green jobs training program that combined traditional workforce development to create jobs with teaching and environmentally awareness raising (Falxa-Raymond et al., 2013).

*SM6.3.2.3.15 Inclusive & locally accountable: positive negligible (medium agreement, limited evidence)*

There is wide agreement that inclusion and local accountability are critical for improving participation in NBS planning and implementation. However, there is limited evidence that inclusive processes are commonly included. Participatory processes are necessary to ensure that NBS deployment considers the needs, preferences and concerns of affected residents and stakeholders (Wolch et al., 2014). Procedurally just participatory processes have been described as lacking, although needed in order to ensure that the people included in the planning for NBS are representative of the population, avoiding racial and socioeconomic bias (Hoover et al., 2021; Verheij and Nunes, 2021; Wang and Palazzo, 2021). Environmental justice frameworks and models of inclusive governance have been proposed to support the further implementation of environmental justice dimensions during planning processes (Tozer et al., 2020; Meenar et al., 2018), as well as the potential benefits of relying on university-community partnerships to manage engagement (Gerlak and Zuniga-Teran, 2020).

*SM6.3.2.3.16 Social transformation: unknown (low agreement, limited evidence)*

There is little evidence about the capacity that NBS for stormwater management might have to substantially transform socioeconomic, legal or cultural systems. Given their relatively small scale of implementation, their impact may be expected to be low if not null. The shift in some Global North cities towards managing stormwater through NBS and hybrid green-gray approaches has been observed to require innovative, holistic, and flexible planning processes that promote cross-sectoral collaborations (Kvamsås, 2021). At a broad level (beyond stormwater focused NBS), the tendency to focus on measurable benefits, cost effectiveness, and growth may lead NBS to contribute to perpetuating a neoliberal status quo (Kotsila, 2021). In the Global North, governance discourses around greening have been linked to increasing investment and a consequent increase in the costs of living (Garcia-Lamarca et al., 2021; Tozer et al., 2020). There is less conclusive evidence from Global South cases.

*SM6.3.2.3.17 Ecological transformation: positive moderate (medium agreement, medium evidence)*

By reducing CSOs (Moore et al., 2016; Zhou, 2014), NBS for stormwater management is able to improve the ecological integrity of receiving water bodies. For instance, a green infrastructure program in Syracuse, NY, USA led to significant improvements in the water quality of Lake Onondaga, positively impacting the lake's biodiversity (Flynn and Davidson, 2017). Less significant results, albeit positive, have been observed in Baltimore (Reisinger et al., 2019), as well as other empirical, experimental studies (Yang and Li, 2013). Besides their impact on downstream water bodies, vegetated NBS for stormwater management can have positive impacts on urban biodiversity (Nakamura et al., 2020), especially when multi-trophic, landscape level relationships are considered (Filazzola et al., 2019). Improper maintenance, on the other hand may lead to reductions in biodiversity if certain species outcompete others (Winfrey et al., 2018).

*SM6.3.2.4 Coastal flood protection (Chapter Six Section 6.3.3.4)*

*SM6.3.2.4.1 Multiple climate hazards: positive moderate (high agreement, medium evidence)*

Nature-based solutions (NBS) protect coasts from flooding through reducing the wave energy by drag friction, reducing wave overtopping by eliminating vertical barriers, and absorbing floodwaters in soil

(Horinko Group 2015; Arkema et al. 2017; Dasgupta et al. 2019; Zhu et al. 2020). There is also evidence that CGI protects coastlines from erosion through reducing wave transmission, increasing soil elevation through vertical accretion and binding soil properties (Bryant et al. 2017; Silva et al. 2016). Models for understanding where NBS may have more or less significant promise are also being developed at global scales (Conger et al. 2019; Menéndez et al. 2020; Guannel et al. 2016; and Ruckelshaus et al. 2020).

#### *SM6.3.2.4.2 Systemic vulnerability reduction: positive small (medium agreement, medium evidence)*

There is high agreement that NBS can mitigate the effects of diverse challenges by enhancing sustainable urbanization, restoring degraded ecosystems, developing climate change adaptation and mitigation and improving environmental risk management (Lafortezza et al. 2018; Raymond et al. 2017; Restore America's Estuaries 2016). Yet the extent of reduction in physical and social vulnerability through NBS, depends on the NBS typology, geomorphology, degree of vulnerability and biodiversity (Veetil et al. 2020). For instance, there is some evidence that mangrove restoration of even small areas (a few m<sup>2</sup>) can be effective in providing protective services for coastal populations (Soanes et al. 2021) and that calculating the ancillary vulnerability reductions from mangrove protection is crucial for national policy (Menéndez et al. 2018). At the same time, the distribution of vegetation cover as well as NBS is uneven, leading to socially uneven enjoyment of flood vulnerability reduction (Machado et al. 2019) or other impacts on income, health that may constitute more systemic vulnerability impacts.

#### *SM6.3.2.4.3 Reduces new hazard exposure generated: positive moderate (high agreement, limited evidence)*

There's high agreement that socio-cultural valuations of urban GI for climate adaptation can increase people's understanding of flooding risks and impacts (Derkzen et al. 2017), however empirical evidence from NBS for flood protection is scant. Evidence focuses on the perceptions of coral reefs benefits and conservation (Imamura et al. 2020; Yamashita et al. 2021), as well as kelp forest restoration (Hynes et al. 2021) while studies point to the need for baselines that understand human responses to complex socio-ecological changes in coral reefs (Hoegh-Guldberg et al. 2019).

#### *SM6.3.2.4.4 Transfer of risk: negative negligible (low agreement, limited evidence)*

There's very little agreement and evidence that mangrove, kelp forest, coral reefs restoration can lead to other risks or impacts to nearby areas or people. Studies are emerging to understand the impact of bamboo structures to create habitat for mangrove colonization on waves reduction (Gijón et al. 2021) but more research is needed.

#### *SM6.3.2.4.5 Social capital: positive moderate (medium agreement, limited evidence)*

There's broad agreement that co-production of knowledge between stakeholders can foster democratic governance of NBS (Frantzeskaki et al. 2019; Vollstedt et al. 2021). The empirical evidence, however, is largely built on NBS for stormwater reduction and less so for flood protection. Existing evidence of social capital enhancement through NBS for flood protection alone is weaker (Venkataramanan 2019). There's general agreement that to build social capital NBS should include an understanding of people's perceptions of flood risk (Santoro et al. 2019), of localized NBS benefits and co-benefits (Giordano et al. 2020; Coletta et al. 2021) NBS governance approaches that recognize the situated knowledge of individuals in local resilience (Grace et al. 2021) and that are purposely designed to ask questions of who, why, how and what (Malekpour et al. 2021).

#### *SM6.3.2.4.6 Livelihoods: positive moderate (medium agreement, robust evidence)*

There is medium agreement that NBS increases economic activities such as fishing and tourism and creates recreation opportunities (Langergraber et al. 2020). There's strong evidence on resources collected and used in mangrove ecosystems, highlighting the importance of geographical location, gender, and age categories that drive variation, especially in Global South's coastal towns (Gnansounou et al. 2021; Seary et al. 2021; Mallick et al. 2021). Research on the income generated by kelp forest restoration is also increasing (Blamey et al. 2018; Grover et al. 2021) There's strong agreement that the loss of mangroves will have negative effects on food provision (Bernardino et al. 2021).

#### *SM6.3.2.4.7 Health: positive moderate (high agreement, limited evidence)*

There's widespread agreement that NBS foster human health and well-being, especially in urban areas (Kabish et al. 2017; Panno et al. 2017), but the results remain broadly inconclusive due to context dependency, and socio-economic confounders. There is some agreement that a greater recognition of the

relationship between nature exposure and mental health is also likely to highlight income-related inequalities and provide one of many possible pathways to reduce them (Bratman et al. 2019). However, the evidence for the linkages between NBS, specifically for coastal flood protection and health of low-income communities remains understudied.

*SM6.3.2.4.8 Ecological: positive high (medium agreement, medium evidence)*

Modeling shows that NBS can enhance multifunctional and multi-scale natural coastal processes providing habitat for wildlife, such as birds (Kim et al. 2018), but evidence is limited. There's strong evidence that NBS promote the transition from open to closed loop cycles by restoring water supplies, such as nutrients that fit into natural water and nutrient cycles (Raymond et al. 2017; Langergraber et al. 2020; Cohen-Shacham et al. 2016; O'Hogain et al. 2018; Ghafourian et al. 2021). Understanding the resilience benefits of oyster reef restoration, for example, is also increasing (Chowdhury et al. 2021; Yurek et al. 2021; Uddin et al. 2021).

*SM6.3.2.4.9 Flexibility post deployment: positive moderate (medium agreement, limited evidence)*

There is high agreement that as urban centers experience higher amounts of heatwaves, NBS can also offer cooling services, though most evidence largely relates to green infrastructure for stormwater reduction, such as pocket parks and larger urban parks in European cities (Bayulken et al. 2021; Augusto et al. 2020; Sebastiani et al. 2021). However, studies examining cooling benefits of urban vegetation include coastal vegetated areas and demonstrate how coastal ecosystem restoration for storm surge protection can be utilized also for recreation, cooling benefits, and more. Still, evidence from green coastal infrastructure is largely understudied limiting general knowledge about flexibility to adapt to new hazards.

*SM6.3.2.4.10 Deployable at scale: positive moderate (medium agreement, low evidence)*

There's high agreement of the relevance of large scale NBS for protecting coastal areas including many existing projects investing in large coastal restoration in urban regions (Thorslund et al. 2017). However, there is also high agreement on the institutional, legal, political, financial, and technical (Arkema et al. 2017; Kabisch et al. 2016; Nesshöver et al. 2017; Fastenrath et al. 2020) challenges for mainstreaming NBS. For instance, the lack of a metropolitan-scale implementation agencies or mechanism creates challenges to reach new stages of NBS strategies. Scalability challenges, especially in the Global South, are linked to lack of modeling due to substantial data requirements on climatic hazards, bathymetry and elevation, ecosystems, land uses and asset distribution (Guzman et al. 2017). There's some evidence that in small U.S. communities, limited capacity of staff, expertise and funding to comply with federal regulations limits NBS implementation (Tilt et al. 2021).

*SM6.3.2.4.11 Benefit to other infrastructure systems adaptation: positive moderate (high agreement, medium evidence)*

There's high agreement that NBS can have a positive effect on other infrastructure by limiting storm surge impacts and improving performance of other adaptive measures (Ozment et al. 2019). However, evidence to date are often based on model results with less empirical case studies to demonstrate impact. One study, for instance, showed how a green infrastructure network can reduce coastal vulnerability by connecting green spaces (Jeong et al. 2021).

*SM6.3.2.4.12 Economic feasibility: positive high (high agreement, medium evidence)*

There is general agreement, especially in wealthier countries, with comprehensive modeling evidence especially from the U.S. and the E.U., that NBS adaptation could be among the most cost-effective options among a suite of grey to green options (Reguero et al. 2018; Faivre et al. 2017; Costanza et al. 2021). NBS cost-effectiveness varies depending on the geographical location, whether co-benefits are measurable and whether local management practices, such as water quality improvement plans, are in place to protect NBS functionality (Hafezi et al. 2021). Where low operating costs and sustainability are preferred, and the cost of land is not prohibitive, NBS are desirable (White et al. 2021).

*SM6.3.2.4.13 Mitigation co-benefit: positive moderate (medium agreement, medium evidence)*

There's a growing consensus that NBS can have an effect on urban micro-climate and contribute to Circular Economy (CE) approaches, through the establishment of ecosystem services that reduce the impacts of urbanization (Langergraber et al. 2020; Pearlmutter et al. 2019). Some evidence also exists that multiple types of green infrastructure, especially mangroves and their soils (Sutton-Grier et al. 2015; Keith et al. 2021;

Jakovac, et al. 2020; Rovai et al. 2018) and the combination of seagrass establishment and human-made structures (Serrano et al. 2020) can aid carbon sequestration.

*SM6.3.2.4.14 Targets reducing poverty & marginalisation: positive small (medium agreement, limited evidence)*

There is general agreement that NBS, especially mangroves and to a lesser extent kelp forests (see Livelihoods), contribute to economic livelihoods (zu Ermgassen et al. 2021), though more direct impacts on poverty reduction and marginalization remains understudied.

*SM6.3.2.4.15 Inclusive & locally accountable: positive small (low agreement, limited evidence)*

Planning for NBS can be equitable if decision making is done with and for communities who are directly or indirectly impacted by their flood protection and other co-benefits (Derkzen et al. 2017; Heckert et al. 2018; Haase et al. 2017). Evidence for inclusion and local accountability specifically for NBS for flood protection is still, however, limited. The need for clear guidelines establishing the role of government and other actors in participatory mangrove decentralized resource management is highlighted (Arumugam et al. 2021)). Understanding user satisfaction and value perceptions for coral reef attributes is also deemed important for restoration initiatives (Fiore et al. 2020; Hein et al. 2019).

*SM6.3.2.4.16 Social transformation: positive moderate (medium agreement, limited evidence)*

The conditions by which NBS for flood protection may bring societal transformation vary but there is some agreement around a suite of characteristics. For transformational adaptation to occur it has to be system-wide (Fedele et al. 2019), restructuring (Pelling et al. 2015), path shifting (Colloff et al. 2017), innovative and multi-scale (Kates et al. 2012). There is limited evidence demonstrating how these characteristics actually unfold in time in specific localities. For instance, mangroves-based coastal fisheries-dependent are enacting some principles to adapt to climatic hazards in Bangladesh (Islam 2021). There is some evidence that as climate change impacts the functionality of mangroves (Tallie et al. 2020), resource-dependent groups will have to shift their patterns of food production (Bernardina et al. 2021). Overall, impacts on social transformation from NBS for coastal protection projects requires further study.

*SM6.3.2.4.17 Ecological transformation: positive small (medium agreement, medium evidence)*

Although there is agreement that NBS can lead to lasting ecosystem health, there is also some evidence that changes in the land use, water levels, and storm intensities and frequencies can have significant implications on the health and integrity of NBSs and the services they provide (Conger and Chang 2019). Especially with climate change, and the associated increases in the global sea levels and acceleration of storm intensities and frequencies, the vulnerability of NBS can potentially reduce, if not eliminate, its coastal protection benefits (Dutra et al. 2021; Taillie et al. 2020; Bolle et al. 2021; Cameron et al. 2021). Yet there is also some evidence that NBS have response mechanisms such as accretion or migrating along the coast to deal with these hazards (Mentaschi et al. 2018; Feagin et al. 2015)

*SM6.3.2.5 Riverine flood impact reduction (see Section 6.3.3.5)*

*SM6.3.2.5.1 Multiple climate hazards: positive high (high agreement, robust evidence)*

Well-connected and protected riparian corridors combined with catchment wide flow attenuation strategies, including city wide green stormwater infrastructure systems, can mitigate multiple climate related hazards including riverine flooding but also water quality deterioration (Alves et al. 2019), droughts (Kalantari et al. 2018), thermal regulation and urban heat island mitigation (Majidi et al. 2019), landslide risk (Ruangpan et al. 2020), and improve water and food security (Grantham et al. 2019).

*SM6.3.2.5.2 Systemic vulnerability reduction: positive small (medium agreement, medium evidence)*

Restricting floodplain development and restoring floodplains can help address patterns of residential segregation leading to uneven risk exposure, especially in countries like the United States where racial and economic discrimination has resulted in patterns of uneven flood vulnerability, although these effects are complicated by luxury development in floodplains and coastlines (Collins et al. 2018). Well-connected greenspaces, which include riparian networks, can also address disparities in recreational opportunities, health, and pollutant exposure, though also depend upon reductions in the sources of contaminants and the social determinants of health (Twohig-Bennett and Jones, 2018). Additionally, flood oriented NBS, if not planned and implemented with sensitivity to social conditions and needs, can exacerbate systemic

vulnerability by displacing more economically vulnerable residents to other more flood prone areas, though if implemented with sensitivity, they can support community development (Shi, 2021).

*SM6.3.2.5.3 Reduces new hazard exposure generated: positive small (medium agreement, medium evidence)*  
City and basin wide NBS for riverine flood impact reduction can reduce the generation of new hazards by 'making space for water' which eliminates a false sense of security provided by traditional flood management approaches (Turlerboom et al. 2021; Ruangpan et al. 2020). Additionally, successful flood mitigation through NBS requires implementation at sufficient scales (Vojinovic et al. 2021; Raška et al. 2019). Still, with shifting baselines of flood events, NBS can also lead to similar paradoxes of flood protection, where a false sense of security is provided by NBS if city wide systems of flood mitigation are overwhelmed by events of unforeseen magnitudes (Ruangpan et al. 2020).

*SM6.3.2.5.4 Transfer of risk: negative moderate (high agreement, medium evidence)*  
Overall NBS approaches for flood reduction provide higher on-site flood mitigation and reduced risk transfer to downstream areas compared to traditional grey infrastructure approaches of channelization and damming (Ruangpan et al. 2020). There are limited studies of mitigation of urban flooding with NBS through urban green infrastructure that show that there can be risk transfer to nearby residents via increased basement flooding from infiltration measures or where there is limited capacity for storage in shallow groundwater environments (Zhang and Chui 2019). Additionally, if not managed adequately NBS can exacerbate mosquito borne illnesses through creation of standing water, though these risks can be managed through improved design (Wong and Jim 2018; Löhmus and Balbus 2015).

*SM6.3.2.5.5 Social capital: positive moderate (high agreement, medium evidence)*  
Well-designed NBS for riverine flood reduction, which require extensive green space networks, provide multiple social benefits including improved gathering places, recreation opportunities, aesthetics, a sense of place, and identity, all of which can help build social capital (Venkataramanan et al. 2019).

*SM6.3.2.5.6 Livelihoods: positive moderate (low agreement, medium evidence)*  
If deployed at scale using appropriate governance systems, flood mitigation NBS can support community development through fisheries and sustainable agriculture (Shi 2020), with some notable river restoration projects finding significant economic benefits of restored river systems (e.g. Bellas and Kosnik, 2018). However, there is disagreement about other potential benefits such as positive impacts to tourism and recreation industries (Deffner and Haase, 2018; Johnson et al. 2018),

*SM6.3.2.5.7 Health: positive high (high agreement, medium evidence)*  
NBS for flood mitigation have many direct and indirect benefits for public health, including reduced impacts of floods on acute mortality, prevalence of waterborne pathogens, and indirect impacts such as increasing recreation opportunities with benefits to physical and psychological health (Van den Bosch and Sang 2017).

*SM6.3.2.5.8 Ecological: positive high (high agreement, robust evidence)*  
Well-connected riparian networks and distributed flood mitigation NBS form the backbone of urban and regional ecological systems, and in turn can have large positive impacts on habitat abundance, connectivity, and quality (Fuller et al. 2015), water quality, and the restoration of chemical, nutrient, sediment, and energy flows in ecosystems (Ferreira et al. 2021; Turlerboom et al. 2021; Dalwani and Gopal 2020; Ronchi and Arcidiacono, 2019; Krauze and Wagner, 2019; Keesstra et al. 2018)

*SM6.3.2.5.9 Flexibility post deployment: positive small (medium agreement, low evidence)*  
NBS for riverine flood impact reduction has not been well studied for flexible adaptation post-deployment, though green infrastructure interventions are shown to provide multiple benefits (Keeler et al. 2019). However, floodplains are dynamic environments evolving in relation to watershed, hydro-meteorological, and ecological processes. In a changing climate, managing upslope NBS, and engaging non-human biological agents (e.g. beavers, riparian vegetation) that affect runoff responses is a critical component of adapting NBS for maintaining and enhancing effectiveness (Johnson et al. 2020).

*SM6.3.2.5.10 Deployable at scale: positive moderate (medium agreement, medium evidence)*  
NBS for riverine flood mitigation is already being implemented at large spatial scales. Research is clear that effective flood impact reduction NBS requires basin wide implementation, as well as integration into

complex, and often fractal, catchment geometries at the city scale. There is widespread agreement that such systematic reconfigurations of hydrological infrastructures are required to address the need for climate resilience (Sofi et al. 2020; Boltz et al. 2019), especially within cities. However, sub-basin delineation within cities remains an analytical challenge, especially given incomplete or non-existing data on human constructed drainage networks (Brasil et al. 2021; Kumar et al. 2021; Ferreira et al. 2020; Randall et al. 2019). Effective deployment thus requires integrating flood mitigation NBS into existing drainage networks and catchment geometries, with consideration for permeabilities and interactions with other built infrastructures, and the perceived and objective effectiveness of flood mitigation NBS critically depends upon the scale of their implementation (Pagano et al. 2019; Raška et al. 2019).

*SM6.3.2.5.11 Benefit to other infrastructure systems adaptation: positive moderate (medium agreement, medium evidence)*

Preventing and mitigating riverine floods can have positive impacts on other infrastructure systems (Alves et al. 2020), as NbS are increasingly implemented through integration into existing gray infrastructure systems (Ncube and Arthur 2021; Mulligan et al. 2020), with the goal of increasing disaster risk reduction (Denjean et al. 2017) through reducing downstream flood impacts on both infrastructure and people. These hybrid approaches appear to offer numerous benefits to gray flood infrastructure adaptive capacity and can increase the resilience of other infrastructure systems affected by flooding (Neuman et al. 2015). Recent scholarship examines the tradeoffs of urban NBS through the water-food-energy nexus, identifying a need to examine tradeoffs of specific NBS in context (Shah et al. 2021).

*SM6.3.2.5.12 Economic feasibility: positive moderate (low agreement, medium evidence)*

Increasing climatic extremes have increased the cost of flood damages along with the costs of maintaining flood infrastructure systems (Bevacqua et al. 2019; Dottori et al. 2018; Jongman 2018). NBS, including making space for flood waters, are an increasingly economic option for responding to increasing flood risks, and yet a primary challenge is in addressing the opportunity cost of foregoing development within floodplains (Pour et al. 2020; Alfieri et al. 2016). Thus, while the infrastructure system costs of NBS are much lower compared to grey infrastructure responses (Moudrak et al. 2018; Ward et al. 2017), the space required comes with considerable perceived costs. Since these costs can be a matter of perception, and economic benefits of floodplain development come with significant risk exposure, it is likely that the perception of land values will continue to shift to favor making space for flood waters as insurance rates continue to evolve to reflect shifting risk exposure (Denjean et al. 2017).

*SM6.3.2.5.13 Mitigation co-benefit: positive moderate (high agreement, robust evidence)*

NBS for flood mitigation provide a net sequestration of atmospheric CO<sub>2</sub> (Seddon et al. 2021), largely through eventual export of organic matter to deep ocean storage (Scheingross et al. 2021). NBS for riverine flood mitigation also provide a net GHG emission reduction benefit as compared to grey infrastructure approaches that retain significant amounts of standing water, due to the large methane emissions of reservoirs and larger stormwater retention facilities (Deemer and Holgerson, 2021; Maavara et al. 2020; Félix-Faure et al. 2019; Phyo and Wang, 2019).

*SM6.3.2.5.14 Targets reducing poverty & marginalisation: positive small (low agreement, medium evidence)*

Flood reduction through NBS can reduce poverty and marginalization issues caused by acute and chronic flooding in a variety of contexts (Ambrosino et al. 2020; Urama et al. 2019). If done at scale, NBS can also increase economic security of marginalized populations (Shi 2020), who have also been historically disproportionately impacted by grey flood control infrastructures (Hay et al. 2019; Liao et al. 2019; Del Bene et al. 2018; Anguelovski et al. 2016; Aiken and Leigh 2015). However, there is limited existing evidence that NBS are being widely deployed in such a way that addresses these historical patterns and practices (Anguelovski et al. 2016).

*SM6.3.2.5.15 Inclusive & locally accountable: positive small (medium agreement, limited evidence)*

Flood mitigation NBS can be implemented through local governance, including their integration of NBS into grey infrastructure systems (Mulligan et al. 2021), though evidence is limited from local case studies. However, implementing NBS at the scale required often requires centralized planning and coordination at city and regional scales for larger river systems (Vojinovic et al. 2021; Zingraff-Hamed et al. 2020). Local flooding issues can be addressed at hyperlocal scales, down to the individual land-owner (Gutman 2019).

There is an emerging consensus that successful flood mitigation NBS needs multi-level and collaborative governance structures (Martin et al. 2021; Albert et al. 2019).

*SM6.3.2.5.16 Social transformation: positive small (low agreement, limited evidence)*

Scholars have noted fundamental social transformations occurring from governance regimes associated with NBS for some time now (e.g. Schoeman 2006; Steffen et al. 2018). Though there is limited evidence of where implementation of NbS for riverine flood protection has stimulated social transformation, there is increasing scholarship suggesting that successful flood mitigation NBS may require fundamental and systemic change in patterns of land use along with a systemic shift in the governance of human-nature relations (Welden et al. 2021). If NBS is deployed collaboratively and transparently then positive social transformation is possible (Martin et al. 2021; Albert et al. 2019; Wong et al. 2020). However, critical scholars of infrastructure have observed how the turn towards nature as infrastructure may simply broaden and deepen (Scott 2008), historically oppressive and extractive governance structures in the name of ecological security (Carse 2012; Pritchard 2012; Molle 2009). A need remains to examine the roles of labor, delineations of territory, and the financing of NBS (Nelson and Bigger 2021) to understand positive or negative societal transformations driven by the multi-scalar implementation of flood focused NBS.

*SM6.3.2.5.17 Ecological transformation: positive high (high agreement, medium evidence)*

NBS for riverine flood mitigation can lead to significant positive changes in riverine and terrestrial ecosystems if applied at appropriate scales (Hobbie and Grimm, 2020; Raška et al. 2019; Rowiński et al. 2018). These changes include improving habitat quality, connectivity, and concomitant reversals of long-term biodiversity decline (Reid et al. 2019). In comparison to grey infrastructure approaches for flood mitigation in cities of channelized streams, piped conveyance, and limited flow attenuation structures, NBS can have large positive impacts on ecosystem structure and function, even in degraded urban rivers (Groffman et al. 2003; Boltz et al. 2019; Palmer and Ruhi 2019). NBS for flood mitigation, including restored floodplains, streams, rivers, wetlands, and diverse sets of flow attenuation facilities including green roofs, walls, bioswales, tree trenches, can be particularly useful for restoring society-nature relationships in rapidly urbanizing areas (Hérivaux and Le Coent 2021; Laforteza and Sanesi 2019; Dhyani et al. 2018).

*SM6.3.2.6 Water provisioning & management (see Section 6.3.3.6)*

*SM6.3.2.6.1 Multiple climate hazards: positive high (high agreement, robust evidence)*

Nature-based solutions can increase water infiltration and reduce surface runoff, thus enhancing groundwater recharge and the slow movement of water through the subsurface to rivers, lakes, and streams. In undeveloped areas upstream of a city, natural vegetation helps infiltrate rainwater, and store water between rainfall events. Nature-based solutions (NbS) such as street trees, parks and open space, community gardens, and engineered systems such as rain gardens, bioswales or retention ponds that protect or restore the natural infiltration capacity of a watershed can also increase water supply (Keeler et al. 2019; Braumann et al. 2007). These NbS are often designed to increase stormwater infiltration but can be larger in scope and scale such as where land management is implemented at the watershed scale to provide water supply for drinking water, agricultural use and other urban and regional water needs (Abell and Johnson 2017). NbS for water management can also be an effective approach to reduce water-related climate risks and strengthen water security, particularly in developing countries (Drosou et al. 2019; Krauze and Wagner 2019). Hybrid green infrastructure has been shown to effectively complement traditional grey infrastructure in cities as an effective NbS to manage climate hazards related to stormwater management, coastal and inland flooding, and compromised drinking water systems, thus increasing water security (Boholm and Prtuzer 2017). However, while NbS can provide several forms of hazard reduction such as reducing volume of floodwater, stabilizing riverbanks and reducing erosion, there is still limited evidence to suggest NbS for water management can sufficiently address non-water related climate hazards (Kabish et al. 2016, Schanze 2017).

*SM6.3.2.6.2 Systemic vulnerability reduction: positive moderate (medium agreement, limited evidence)*

Nature-based approaches to water management, drinking water provisioning and agriculture can reduce the vulnerability of social-ecological systems by increasing water efficiency, combating erosion and local water pollution, and reducing water footprints and food waste (Boelee et al. 2017). Integrated urban watershed management has been shown to increase socio-economic outcomes in some communities by increasing opportunities for employment in agriculture, horticulture, afforestation and other enterprises (Tesfaye, Debebe and Yakob 2018). Some research highlights how nature-based solutions that protect or restore the



1 natural infiltration capacity of a watershed, can increase the water supply service in some areas, improve  
2 drought protection, assist in food security and economic provisioning, and provide resilient water supply  
3 (Oral et al. 2020) in ways that may impact social vulnerability. Moreover, increasing the amount of green  
4 space in urban areas can secure and regulate water supplies, improving water security (Liu and Jensen 2018).  
5 However, evidence will likely remain limited that documents how NbS for water provisioning may reduce  
6 systemic vulnerability or increase water security in the long-term without significant investment and  
7 coordination between diverse stakeholders (Kabisch et al. 2016).

8  
9 *SM6.3.2.6.3 Reduces new hazard exposure generated: positive moderate (medium agreement, limited  
10 evidence)*

11 NbS for water management or provisioning can also reduce exposure to climate impacts and hydrological  
12 risks ranging from flooding to urban heat, erosion, and water scarcity (Chausson et al. 2020; Valenzuela et  
13 al. 2020). The protection of coastal areas, as well as restoration of wetlands for instance can improve water  
14 security and protect against flooding and storm surges and can also promote fire risk reduction (Hobbie and  
15 Grimm 2020). However, there is still limited evidence to assess the full potential of NbS for water  
16 provisioning for reducing the exposure to new hazards generated by a changing climate (Shar et al. 2020) or  
17 by shifting human behavior in ways that reduce exposure.

18  
19 *SM6.3.2.6.4 Transfer of risk: unknown (medium agreement, limited evidence)*

20 There is limited study of the potential transfer of risk or impacts from nature-based solutions for water  
21 provisioning and management to people infrastructure (Alves et al. 2019; de Macedo et al. 2021). The use of  
22 green infrastructure for stormwater management has been shown to reduce runoff during heavy precipitation  
23 events, and thus reduce the risk of combined sewer overflows while also enhancing water quality in urban  
24 areas providing risk reduction (Liu et al. 2020; Debele et al. 2019; Sahani et al. 2019). In a study of  
25 urbanized areas in the African region, researchers note evidence that NbS such as natural water management,  
26 preservation of wetlands and forested areas is effective in flood risk reduction, can prevent loss of water  
27 resources, and improve water cycling and provisioning through processes such as infiltration, retention and  
28 interception (Acreman et al. 2021). The transfer of risk to human communities or infrastructure is mostly  
29 unknown given limited evidence.

30  
31 *SM6.3.2.6.5 Social capital: positive moderate (medium agreement, limited evidence)*

32 Nature-based solutions for water management or provisioning may enhance levels of social capital through  
33 forms of economic empowerment and by increasing a community's participation in resource co-management  
34 and governance (Welden, Chausson and Melanidis 2021; Syafri et al 2020; Obando et al 2018). A study of a  
35 participatory integrated watershed management program in Ethiopia for instance demonstrated an increase in  
36 employment opportunities, income sources, as well as other forms of social capital by directly involving  
37 community members in decision making about appropriate nature-based technologies, trainings and  
38 economic pathways (Tsfaye, Debebe and Yakob, 2018). There is still limited evidence globally on the  
39 connections between nature-based solutions for water management and potential positive impacts on social  
40 capital (Auer et al. 2020; Valenzuela 2020).

41  
42 *SM6.3.2.6.6 Livelihoods: positive moderate (medium agreement, medium evidence)*

43 Nature-based solutions such as integrated urban water management that centers community involvement  
44 may improve the livelihoods through the creation of jobs, infrastructure cost-savings, health and other  
45 economic outcomes (Rohini et al. 2017; Wani et al 2008; Larson, Wiek, Withycombe Keeler, 2013; Nerkar  
46 et al 2016). In some cases, the use of NbS has been shown to provide economic savings by minimizing the  
47 impacts of sea level rise, inland and pluvial flooding, stormwater from extreme precipitation, and  
48 maintenance costs of preserving clean water sources (Jongman 2018). A large majority of research however  
49 focuses solely on assessing the stormwater-related economic benefits of NbS, and often does not provide a  
50 comprehensive economic or financial valuation for cities to readily leverage (Hamann et al. 2020; Ashley et  
51 al. 2018).

52  
53 *SM6.3.2.6.7 Health: positive high (high agreement, medium evidence)*

54 NbS for water management has been shown to provide benefits to human health including physical well-  
55 being and mental health (Keeler et al. 2019). Some forms of NbS may provide opportunities for recreation  
56 and physical activity and can also provide cleaner water and opportunities to effectively manage stormwater  
57 to reduce the health impacts of combined sewer overflow events (Venkataramanan et al. 2019; Braubach et

al. 2017). A study of urbanizing East African communities suggests that nature-based solutions focused on improving water security, retention and purification have co-benefits such as increased access to physical activity, recreation as well as biodiversity conservation (Kalantari et al. 2018). However, research to date has focused more heavily on the co-benefits of green spaces and infrastructure, providing limited evidence for the role nature-based water management strategies can play in improving direct health outcomes and in particular for low-income or at-risk communities (Marques, McIntosh and Chanse 2020; Kondo et al 2015).

*SM6.3.2.6.8 Ecological: positive high (high agreement, robust evidence)*

Urban NbS such as through investments in green infrastructure can regulate critical ecosystem services through flood protection and water flow maintenance, improving water quality, micro and regional climate regulation, and overall global climate regulating through carbon storage and sequestration (Babí Almenar et al. 2021; Baró and Gómez-Baggethun 2017). Particular forms of NBS such as infiltration basins, constructed wetlands, or rain gardens have been shown to be effective in urban water pollution control, removing organic and inorganic pollutants, pesticides, pharmaceuticals and heavy metals with positive impacts on ecosystems (Seddon et al. 2020). NbS also provides key provisioning services such as providing drinking water and securing freshwater supplies, as well as playing a key role in supporting food and cultural services (Brill, Anderson, O'Farrell 2017).

*SM6.3.2.6.9 Flexibility post deployment: positive moderate (medium agreement, medium evidence)*

Nature-based solutions for water management or provisioning, such as the deployment of green infrastructure including bioswales, retention ponds, stormwater catchment systems or constructed wetlands can be flexible post deployment for providing risk reduction to other climate hazards (Qi et al. 2020). While NbS have the potential to provide several co-benefits to both human communities and the environment, research shows that these solutions also require ongoing maintenance, as well as integrated planning and coordination across sectors long-term, which can be prohibitive to ensuring their success and flexibility (Nelson et al. 2020; Fastenrath, Bush, and Coenen 2020). Evidence remains limited to conclude that nature-based solutions for water management and provisioning are adequately flexible, post-deployment (Fastenrath, Bush, and Coenen 2020).

*SM6.3.2.6.10 Deployable at scale: positive moderate (medium agreement, medium evidence)*

Urban nature-based solutions for water management and provisioning are already being deployable at large spatial scales with impacts that address the risks and hazards of climate change, while addressing water security in urban areas (Bichai and Flamini 2018). However, research shows that restoration efforts or the installment of new urban green infrastructure can be challenging to scale, as large areas are often required for implementation and may take a long time for systems to demonstrate significant benefits, as detailed in an analysis of the Living Melbourne strategy in Australia (Fastenrath, Bush, and Coenen 2020). Additionally, in urban areas, significant alterations to water bodies, coastlines, or rivers are difficult to reverse, revealing the complexity of such cross-scale challenges (Boelee et al. 2017).

*SM6.3.2.6.11 Benefit to other infrastructure systems adaptation: positive small (medium agreement, medium evidence)*

The effectiveness of NbS co-benefits is largely dependent upon local contexts, soil types and conditions, flood parameters, as well as NbS design, among other factors (Hobbie and Grimm 2020). Co-benefits of NbS for water provisioning has potential to increase resilience of interconnected infrastructure systems such as transportation, food and energy systems, though impacts are mostly through NbS for riverine and coastal flood protection rather than for water provisioning that has better documented impacts preventing damage to public infrastructure and private properties (Depietri and McPhearson 2017; Stefanakis et al. 2021). In urban areas located along coastlines or embedded within riverine environments, the use of NbS may aid cities in achieving broader adaptation goals, as well as provides co-benefits such as increasing biodiversity and ecological adaptation to climate change (Hobbie and Grimm 2020; Keesstra et al. 2018).

*SM6.3.2.6.12 Economic feasibility: positive high (high agreement, robust evidence)*

Nature-based approaches to watershed management and drinking water provisioning can be more cost-effective and economically feasible compared to traditional grey infrastructure or engineered systems (Boutwell and Westra 2016; Kroeger et al 2019). For example, the Staten Island Blue belt in New York City, a system of constructed wetlands for ecosystem-based stormwater management, is estimated to generate capital cost savings of approximately \$USD 30 million (McPhearson et al. 2018). NBS interventions can

also provide energy savings by cooling urban environments through shading, evaporative cooling and wind shielding, reducing the urban heat island, while also providing a cost-effective climate solution to greenhouse gas emissions reduction (Stefanakis et al. 2021). Additionally, the use of naturally sourced and locally available surface or groundwater, and rainwater harvesting, is often more economically and energy efficient, particularly for drought-prone urban areas (Pearlmutter et al. 2019; Hale et al. 2021; Song et al. 2019).

*SM6.3.2.6.13 Mitigation cobenefit: positive moderate (medium agreement, medium evidence)*

Nature-based solutions such as vegetated coastal wetlands, conserved watersheds, or peatland conservation can serve as a net sink of greenhouse gas emissions (GhG); peatlands in particular are recognized as a critical carbon sink, as well as intact vegetated coastal wetlands (Tanneberger et al. 2021; Negandhi et al. 2019) and yet carbon storage associated more specifically with water provisioning is understudied despite potential to also provide mitigation co-benefits. The conversion of wetlands or peatlands to other land uses, such as agriculture, grasslands, developed areas or for gas extraction, may reduce the ability of systems to absorb greenhouse gas emissions and encourage erosion (Crooks et al. 2018). Green and blue infrastructure interventions are thus recognized as an effective form of carbon sequestration (Alves et al. 2019; Fenner 2017).

*SM6.3.2.6.14 Targets reducing poverty & marginalisation: positive moderate (low agreement, limited evidence)*

Issues of water security and urban flooding have been shown to disproportionately impact vulnerable groups, with an estimated 59% of urban populations in developing countries without access to piped water (Keeler et al. 2019). NbS for water management or provisioning have potential to consider the associated equity dimensions that could have a synergistic effect on reducing poverty or marginalization or provide benefits to vulnerable communities (Hoover et al. 2021; Collins et al. 2018; Shi 2020). Similarly, NbS for water management deployed at various scales face procedural, distributive and other logistical challenges for how to effectively include diverse stakeholders in evidence-based decision making and climate governance (DuPuis and Greenberg 2019). While there is great potential for NbS to improve equity measures and benchmarks for cities, there is still limited evidence to suggest that NbS are a reliable means to reduce poverty and marginalization of vulnerable groups (Seddon et al. 2020).

*SM6.3.2.6.15 Inclusive & locally accountable: positive small (medium agreement, limited evidence)*

NbS for water management have potential to be inclusive, “bottom-up” and community-based by engaging a diversity of stakeholders and addressing local contexts to ensure successful implementation (Drosou et al. 2019). However, case studies documenting successful inclusive processes are limited. While cities may seek to achieve these objectives, there is still limited evidence to suggest that NbS are inherently inclusive or locally accountable and may result in tradeoffs such as displacement (Scheidel and Work 2018). Researchers stress how cities have historically failed to involve local communities and indigenous groups, which ignores critical cultural links and identities that are important to successful NBs adoption and implementation (Drosou et al. 2019). In a study of green-blue infrastructure adoption in Semarang, Indonesia, researchers found that a lack of public awareness, funding and high costs of implementation, as well as fragmented policy and regulatory frameworks directly influenced the effectiveness of involving local residents in flood or urban water management actions (Srivastava and Mehta 2018).

*SM6.3.2.6.16 Social transformation: positive small (medium agreement, limited evidence)*

Nbs for water management and provisioning can promote forms of social transformation by securing water security and enabling a shift from unsustainable development to address multiple environmental and social challenges (Steffen et al. 2018; Sartison and Artmann 2020). Practitioners and researchers increasingly advocate not only for approaches to NbS such as the water-sensitive city model or integrated urban water management (IUWN) but also biocultural approaches, which hold transformative potential, connecting cultural, social and economic issues to human well-being and social justice (Welden, Chausson, Melanidis 2021). While there is great potential for social transformation through NbS for water management, there is still limited evidence that such transformations are occurring through current NbS projects (Wong, Rogers, and Brown 2020).

*SM6.3.2.6.17 Ecological transformation: positive moderate (medium agreement, medium evidence)*

Nbs for water management and provisioning provide several co-benefits to local and regional ecosystems, providing habitat reserves and corridors for species migration, increasing biodiversity levels, and connecting diverse flows in the urban water cycle to promote ecological transformation (Hobbie and Grimm 2020; Rowiński et al 2018). Researchers point to the shortcomings of traditional grey infrastructure, which many cities still rely upon for drinking water distribution, stormwater collection and wastewater treatment, highlighting the advantages of urban ecological infrastructure that takes advantage of ecological processes and provide alternative water supplies (Kozak et al. 2020). Evidence suggests that NbS through constructed wetlands, green walls, roof gardens and vegetated drainage basins can be used to support stormwater and wastewater treatment while also offering ecological co-benefits (Filoso et al. 2017). These solutions are particularly critical for cities in the Global South where a large majority of residents rely on urban nature for their water supply, often outside the traditional grey infrastructure, raising important environmental justice concerns (Keeler et al 2019).

*SM6.3.2.7 Food production & security (see Section 6.3.3.7)*

*SM6.3.2.7.1 Multiple climate hazards: positive moderate (high agreement, medium evidence)*

Urban agriculture (UA), such as community gardens, rooftop gardens, vertical indoor gardens, and urban agroforestry, can provide stormwater attenuation and reduce urban heat island (UHI) effect (Goldstein et al. 2016). However, the potential effect of UA will vary depending on factors including the size of the allotment, available land, soil quality, climate, water availability, and light availability, which may be reduced from building shading (Keeler et al. 2019; Clinton et al. 2018, van Vliet, Eitelberg and Verburg 2017).

*SM6.3.2.7.2 Systemic vulnerability reduction: positive moderate (high agreement, medium evidence)*

UA can reduce physical vulnerability by mitigating stormwater flooding and UHI and by providing nutrient cycling (Goldstein et al. 2016). UA can also help to address food insecurity through the localized production of food (Orsini et al. 2013), production levels are dependent on factors such as level of farming skill, supporting infrastructure such as running water, cultivation technique, and crop species selection (Barthel, Parker and Ernstson 2015). UA, and in particular community and allotment gardens, have also been found to alleviate social vulnerability by contributing to a sense of cultural belonging, a sense of place, and community cohesion (Andersson, Barthel and Ahrné 2007; Veen et al. 2016). However, physical access to UA, available time, cultural values around food production, and level of familiarity with other garden users may moderate this outcome (Keeler et al. 2019).

*SM6.3.2.7.3 Reduces new hazard exposure generated: positive negligible (medium agreement, limited evidence)*

Extreme heat, drought, and other climate hazards can negatively impact crop production and flooding, or other extreme weather events can disrupt food supply chains (Schipanski et al. 2016). UA can support improved food security by providing individuals with knowledge about UA and the physical resources to engage with localized farming practices as opposed to relying on conventional global food systems in ways that may reduce exposure to some hazards (Schipanski et al. 2016; Barthel, Folke, and Colding 2010; Frayne, B., McCordic, C., and Shilomboleni 2014; Grewal and Grewal 2012). However, there is limited evidence and potential benefits are dependent on factors including the amount of available land and the suitability of the climate to growing crops year-round (Badami and Ramankutty, 2015). For example, regions with warmer year-round climates can support multiple cycles of crop growing (Zezza and Tasciotti 2010).

*SM6.3.2.7.4 Transfer of risk: unknown (low agreement, limited evidence)*

UA requires water, energy, land, and labor, creating demand on existing infrastructure and potentially diverting resources that could be directed elsewhere (Mohareb et al. 2017). Less sustainable waste management practices and the use of pesticides that increase polluted run-off are additional areas where risk may be transferred to other people (Mohareb et al. 2017), but there is limited evidence of increased risks to climate hazards in other areas directly attributed to UA practices.

*SM6.3.2.7.5 Social capital: positive moderate (medium agreement, medium evidence)*

UA, and in particular allotment and community gardens, has been found to build social capital by enabling cross-cultural interactions, fostering cultural heritage and sense of place, and enhancing social cohesion

(Cameron et al. 2017; Horst, McClintock, Hoey 2017, Camps-Calvet, Langemeyer, Calvet-Mir, Gómez-Baggethun 2016). These benefits can vary based on the level of comfort and familiarity that gardeners have with the neighborhood of the garden and their perception of the garden as a welcoming space (Armstrong 2000). Equity concerns, however, related to land access and availability have also been cited as potentially impacting social capital outcomes of UA as well as potentially contributing to gentrification (McClintock 2018).

*SM6.3.2.7.6 Livelihoods: positive small (medium agreement, medium evidence)*

In addition to subsistence production, UA may be used to generate income (Keeler et al. 2019). Mobile food markets can be a source of economic activity, especially in urban food deserts, where fresh produce is not readily accessible. A documented challenge associated with mobile food markets is the high cost of operations compared to generated revenue (Siegnier et al 2018). However, research in more Global South cases is needed.

*SM6.3.2.7.7 Health: positive small (medium agreement, medium evidence)*

Health benefits associated with UA include increased levels of dietary diversity and nutrition (Zezza and Tasciotti 2010). Certain contexts may amplify these benefits, including lower-income areas of cities in higher-income countries and lower-income countries where people are already reliant on agriculture for subsistence and for revenue generation (Armstrong, 2000). UA has been linked to positive mental health outcomes (Soga et al, 2017). However, there are cases in which UA may perpetuate existing environmental health injustices. For example, a case study of UA in Oakland, CA found that lower-income areas are correlated with higher concentrations of soil contamination impacting food quality (McClintock, 2012).

*SM6.3.2.7.8 Ecological: positive moderate (high agreement, medium evidence)*

UA can provide opportunities that expand urban green space and has been linked to a variety of ecosystem services, including pollination, nitrogen fixation, pest control, climate regulation, avoided stormwater runoff, soil formation and maintenance of soil fertility, and, for rooftop gardens, energy conservation via improved insulation (Clinton et al, 2018; Camps-Calvet et al, 2016). These benefits have been found to be more prominent when the previous land use has less ecological value (Nogeire-McRae et al, 2018).

*SM6.3.2.7.9 Flexibility post deployment: positive moderate (medium agreement, medium evidence)*

Through the selection of certain crop types, such as larger tree species, UA can be adapted to provide heat reduction and stormwater drainage (Goldstein et al, 2016). Flexibility to provide multi-hazard risk reduction is driven by the multi-functionality of UA and the many ecosystem services that UA can provide. However, any UHI or flood mitigation effects are likely to be small in magnitude and dependent on a variety of factors such as total land area, tree species, local climate, and soil condition (Clark and Nicholas, 2013).

*SM6.3.2.7.10 Deployable at scale: positive small (high agreement, medium evidence)*

UA projects are relatively easy to replicate as they can be adapted to the specific regional context by changing attributes such as crop type and UA project type. However, UA food production remains a small percentage of total urban food demand (McClintock, 2014; Clinton et al, 2018; Hara et al, 2018). A study that modelled the output potential for UA found that when factoring in land constraints, total crop production could be reduced to 1 - 5% of total yield potential (Clinton et al 2018). Some documented challenges include identifying available space and locating land with uncontaminated soil (McClintock, 2014; Clinton et al, 2018).

*SM6.3.2.7.11 Benefit to other infrastructure systems adaptation: positive small (medium agreement, medium evidence)*

UA can provide direct benefits to infrastructure adaptation including especially for rooftop gardens which can insulate buildings from heat, increase roof longevity, and provide cooling, and decrease total energy demand for cooling (Cameron et al, 2012, Qiu et al, 2013, Keeler et al, 2019). However, this potential effect can be small depending on rooftop garden size relative to overall building cooling demand (Keeler et al 2019) and does not provide similar benefits when implemented in ground level areas.

*SM6.3.2.7.12 Economic feasibility: positive moderate (high agreement, medium evidence)*

UA can be initiated at very small garden scales and so has high potential economic feasibility but is also variable. The economic feasibility of UA is dependent on factors such as the size of the plot, type of UA

project, cost of labor, water and light requirements of the selected crop species under varied regional climates, and crop yield (Keeler et al, 2019; Clinton et al, 2018). Studies show that UA is a current source of food for communities in lower-income areas and has a long-standing history in countries in the Global South (Orsini et al, 2013).

**SM6.3.2.7.13** *Mitigation co-benefit: positive small (medium agreement, medium evidence)*

Outdoor UA can provide a cooling effect and serve as a carbon sink (Goldstein et al, 2016) and rooftop UA can serve as building insulation that reduces energy demand for cooling (Cameron et al, 2012). However, heating requirements for indoor UA can contribute to greenhouse gas emissions when implemented in colder climates making mitigation benefits highly dependent on the type of UA (Cameron et al, 2012, Mohareb et al, 2017).

**SM6.3.2.7.14** *Targets reducing poverty & marginalisation: positive small (medium agreement, medium evidence)*

UA is an existing mode of food supply for communities in lower-income areas globally (Orsini et al, 2013; Saldivar-Tanaka and Krasny, 2004). Researchers have found that UA can contribute to food security and may serve as an important source of food supply in areas considered food deserts making them an important source of poverty alleviation through increased food security and nutritional diversity (Frayne et al, 2014).

**SM6.3.2.7.15** *Inclusive & locally accountable: positive small (medium agreement, limited evidence)*

There is widespread consensus that UA can enable social cohesion and community development (Keeler et al., 2019; Jacob and Rocha, 2021). UA has also been found to contribute to cross-cultural interactions (Jacob and Rocha, 2021, Shinew et al, 2004). However, cases have also been documented in which there is inequitable access to UA such as community gardens, especially related to spatial proximity and available time (Keeler et al. 2019, Colding and Barthel, 2013; McClintock, 2018; Bellemare and Dusoruth, 2021). More research is needed to assess equitable access to UA across different contexts (Keeler et al, 2019).

**SM6.3.2.7.16** *Social transformation: positive moderate (high agreement, medium evidence)*

UA is multifunctional and has the potential to provide a host of ecological and social benefits (Keeler et al., 2019). In a departure from neoliberal ‘food security’ narratives, UA has also been considered as a conduit for food sovereignty and food system transformation (Alkon and Mares, 2012; Siebert, 2020; Tornaghi and Dehaene, 2020), which seeks to democratize food systems and asserts the right of people to produce and define their own food and agriculture systems (Desmarais, 2007). However, inclusive and equitable access to healthy garden plots is critical to ensuring positive social transformation (McClintock, 2018).

**SM6.3.2.7.17** *Ecological transformation: positive moderate (medium agreement, medium evidence)*

UA can foster biodiversity, support pollinators, and support multiple forms of ecosystem functioning (Goldstein et al, 2016). These benefits are limited by the rate of urbanization which can reduce land availability and contribute to contaminated soil and poor growing conditions (Follmann et al, 2021). UA characteristics that contribute to UA biodiversity include varied vegetative structure, increased native plant diversity, and reduction of urban impervious surface (Lin, Philpott, and Jha 2015). A review of literature on the biodiversity benefits of UA found mixed evidence of potential benefits, with the majority of existing studies conducted in North America (Clucas et al, 2018).

**SM6.3.3** *Grey/Physical Infrastructure*

**SM6.3.3.1** *Built Form (see Section 6.3.4.1)*

**SM6.3.3.1.1** *Multiple climate hazards: positive high (high agreement, robust evidence)*

Adaptation of built form can help manage multiple climate risks, especially flood and heat risk (Zhou et al., 2017; Chan et al., 2018; Caparros-Midwood et al., 2019).

**SM6.3.3.1.2** *Reduces systemic vulnerability: positive high (high agreement, robust evidence)*

Adaptation of built form is a systemic vulnerability reduction (Dhar and Khirfan, 2017; Ürge-Vorsatz et al., 2018).

*SM6.3.3.1.3 Reduces new hazard exposure generated: positive high (high agreement, robust evidence)*  
Adaptation of built form can reduce exposure against multiple risks (Schwarz and Manceur, 2015; Caparros-Midwood et al., 2017; Sharifi, 2019).

*SM6.3.3.1.4 Transfer of risk: negative small (medium agreement, limited evidence)*  
Some actions in the built environment could transfer risks elsewhere e.g. downstream flood risk (Nicholls et al., 2020; Hewett et al., 2020)

*SM6.3.3.1.5 Social capital: positive negligible (low agreement, limited evidence)*  
Transformation of built form has potential to enhance social capital (Cabrera and Najarian, 2015; Romero-Lankao et al., 2018). Evidence is limited but positive relationships typically exist between design, and diversity, but population density is typically negative and less clear (Mazumdar et al., 2017).

*SM6.3.3.1.6 Livelihoods: positive moderate (medium agreement, medium evidence)*  
Increasing density can increase job density and accessibility (Lohrey and Creutzig, 2016; Wiedenhofer et al., 2018; Caparros-Midwood et al., 2019).

*SM6.3.3.1.7 Health: positive high (high agreement, robust evidence)*  
Adaptation of the built environment form can improve air quality, mental health and wellbeing (Hankey and Marshall, 2017; Yuan et al., 2018; Mouratidis, 2018; Kent and Thompson, 2014).

*SM6.3.3.1.8 Ecological: positive moderate (medium agreement, medium evidence)*  
Adaptation of built form can provide beneficial green space, ecological corridors and other services (Marcus et al., 2020; Childers et al., 2015; Grafius et al., 2018).

*SM6.3.3.1.9 Flexibility post-deployment: negative high (high agreement, robust evidence)*  
Built form locks in for a long time, typically these lock-ins have had negative impacts but there is also the opportunity to lock-in positive benefits (Ürge-Vorsatz et al., 2018).

*SM6.3.3.1.10 Deployable at scale: positive high (high agreement, robust evidence)*  
Built form adaptation is a broad scale intervention (Dhar and Khirfan, 2017).

*SM6.3.3.1.11 Benefit to other infrastructure systems adaptation positive high (low agreement, limited evidence)*  
Adaptation of infrastructure should be undertaken within the context of the built form (Markolf et al., 2018; Dawson, 2015).

*SM6.3.3.1.12 Economic feasibility: unknown (low agreement, limited evidence)*  
Major transformation is likely to be expensive, but the overall cost effectiveness unclear.

*SM6.3.3.1.13 Mitigation co-benefit: positive moderate (medium agreement, medium evidence)*  
Reconfiguration of built form can offer substantial mitigation benefits by altering long term demand (Lohrey and Creutzig, 2016; Li et al., 2018), though construction activities are typically energy intensive (Bai et al., 2018; Seto et al., 2016).

*SM6.3.3.1.14 Targets reducing poverty & marginalisation: negative moderate (low agreement, limited evidence)*  
Adaptation through relocation of urban poor at risk populations has been observed to severely undermine individual wellbeing and livelihoods (Arnall 2019).

*SM6.3.3.1.15 Inclusive & locally accountable: positive small (low agreement, limited evidence)*  
Urban form is linked to accessibility (Rode et al., 2017; Fried et al., 2020) which is important for some aspects of inclusion.

*SM6.3.3.1.16 Social transformation: negative moderate (low agreement, limited evidence)*  
Changes in built form made in the name of reducing flood exposure is a key mechanism for avoiding future exposure. Immediate consequences can be the stranding of assets. Where low-income residential settlements

are forced to relocate this can lead to the transfer of valuable land ownership and use rights away from poorer to richer residents and from informal and social housing to private ownership undermining transformative adaptation (Shi et al 2016).

*SM6.3.3.1.17 Ecological transformation: positive moderate (medium agreement, medium evidence)*

Adaptation of built form can provide beneficial green space, ecological corridors, and other services (Grafius et al., 2018).

*SM6.3.3.2 Housing & building design/function (see Section 6.3.4.2)*

*SM6.3.3.2.1 Multiple climate hazards: positive high (high agreement, robust evidence)*

A range of adaptation options are available to manage multiple climate risks to houses and buildings (van Hooff, 2014; Puckett, K. and Gethering, 2019; CCC, 2019).

*SM6.3.3.2.2 Reduces systemic vulnerability: positive high (high agreement, robust evidence)*

Systemic reduction in vulnerability can be achieved through new building codes and retrofit programmes (Henstra, 2016; Wilkinson et al., 2014)

*SM6.3.3.2.3 Reduces new hazard exposure generated: positive high (high agreement, robust evidence)*

Well adapted new buildings avoid increasing exposure to climate risks, whilst adaptation of existing buildings reduces exposure and measures such as building scale water storage can reduce hazard locally (Jamali et al., 2020; Webber et al., 2018).

*SM6.3.3.2.4 Transfer of risk: negative negligible (medium agreement, medium evidence)*

Air conditioning can increase heat emissions into urban areas (Hwang et al., 2020; Kingsborough et al., 2017), but no evidence was found that other actions transfer risks.

*SM6.3.3.2.5 Social capital: positive negligible (low agreement, limited evidence)*

Building adaptation programmes have the potential to enhance social capital but limited evidence (Aldrich et al., 2018).

*SM6.3.3.2.6 Livelihoods: positive moderate (medium agreement, medium evidence)*

Productivity is higher in well adapted buildings (Day et al., 2019; Kim and Hong, 2020; Hooyberghs et al., 2017).

*SM6.3.3.2.7 Health: positive high (high agreement, robust evidence)*

Well adapted buildings protect occupants from death and illness associated with climate extremes (Alam et al., 2016; Taylor et al., 2018).

*SM6.3.3.2.8 Ecological: nil (low agreement, limited evidence)*

Certain building adaptations, such as green walls and roofs, can provide ecological benefits (Vijayaraghavan, 2016; Mayrand and Clergeau, 2018), no literature was found on non-green infrastructure enabling ecological adaptation.

*SM6.3.3.2.9 Flexibility post-deployment: negative moderate (high agreement, robust evidence)*

Housing and buildings have a long lifespan, but a number of adaptations can be retrofit (Ürge-Vorsatz et al., 2018; Sandberg et al., 2016; Reyna and Chester, 2015).

*SM6.3.3.2.10 Deployable at scale: positive high (high agreement, robust evidence)*

Retrofit programmes, or changes in building codes, can be scaled widely (Sandberg et al., 2016; Gouldson et al., 2015).

*SM6.3.3.2.11 Benefit to other infrastructure systems adaptation: positive negligible (medium agreement, limited evidence)*

Buildings are end users of infrastructure services so some adaptations would not provide benefits to the resilience of other services; actions that reduce in-building demand e.g. water consumption, reduces pressure on that infrastructure service (Golz et al., 2019; CCC, 2019).



*SM6.3.3.2.12 Economic feasibility: positive moderate (medium agreement, medium evidence)*

Well adapted design is a cost-effective option, retrofit can be more expensive depending on the technologies used (Bastidas-Arteaga and Stewart, 2019; Aerts, 2018).

*SM6.3.3.2.13 Mitigation co-benefits: positive high (medium agreement, medium evidence)*

Construction based adaptation requires energy (Bai et al., 2018), but many actions e.g. reducing water use, lower energy consumption (Golz et al., 2019; Sharifi, 2020).

*SM6.3.3.2.14 Targets reducing poverty & marginalization: negative moderate (medium agreement, medium evidence)*

Retrofitting of residential properties for heatwave adaptation has been associated with private property owners and higher value rental properties creating inequality (Schünemann et al 2020).

*SM6.3.3.2.15 Inclusive and locally accountable: positive small (medium agreement, medium evidence)*

Building adaptation can be inclusive when it includes training (Yakubu, 2020) and locally accountable when part of local design processes (Matopoulos et al 2014).

*SM6.3.3.2.16 Social transformation: negative moderate (medium agreement, medium evidence)*

Adaptation of social housing could provide a mechanism for enhanced welfare and redistributional equity. The limited data on adaptation in social housing shows this lags behind adaptation of private property so undermining transformation (Kenna 2008). Similar failure for comprehensive addressing of marginality in upgrading of slum housing also misses opportunities for transformation (Ajibade and McBean, 2014).

*SM6.3.3.2.17 Ecological transformation: positive small (medium agreement, medium evidence)*

Some adaptation options for buildings can support ecological transformation when undertaken together with nature-based solutions, e.g. large scale deployment of green roofs to create ecological corridors (Vijayaraghavan, 2016; Mayrand and Clergeau, 2018).

*SM6.3.3.3 ICT (see Section 6.3.4.3)*

*SM6.3.3.3.1 Multiple climate hazards: positive high (high agreement, robust evidence)*

A range of adaptation options are available for ICT systems to manage flood, heat and wind risks (Sakano et al., 2016; Fu et al., 2016).

*SM6.3.3.3.2 Reduces systemic vulnerability: positive high (high agreement, medium evidence)*

Systemic reduction in vulnerability can be achieved through network wide measures such as topology design and new standards (Fu et al., 2017; Val et al., 2019).

*SM6.3.3.3.3 Reduces new hazard exposure generated: nil (low agreement, limited evidence)*

No reported evidence found that suggests ICT adaptation changes behaviour that reduces exposure (though the presence of ICT can provide benefits).

*SM6.3.3.3.4 Transfer of risk: nil (low agreement, limited evidence)*

No reported evidence found that suggests ICT adaptation transfers risks.

*SM6.3.3.3.5 Social capital: positive moderate (high agreement, robust evidence)*

ICT can be rapidly deployed to support disaster management and thereby acts as an adaptation action in its own right (Eakin et al., 2015; Heeks and Ospina, 2019; Haworth et al., 2018; Imam et al. 2017).

*SM6.3.3.3.6 Livelihoods: positive moderate (high agreement, medium evidence)*

Well adapted ICT infrastructure supports economic growth and offers opportunities for business, especially in remote areas (Veknatesh et al., 2017) and for revenue generation in 'smart' cities (Angelidou, 2015).

*SM6.3.3.3.7 Health: nil (low agreement, limited evidence)*

No reported evidence found that suggests ICT adaptation provides indirect health benefits.

*SM6.3.3.3.8 Ecological: nil (low agreement, limited evidence)*

No reported evidence found that suggests ICT adaptation provides indirect ecosystem benefits.

*SM6.3.3.3.9 Flexibility post deployment: positive high (high agreement, robust evidence)*

With the exception of important fixed assets such as data centres and exchanges, ICT infrastructure is mostly very flexible, upgrade cycles are short compared to other infrastructure enabling adaptation to occur quickly and cost effectively as part of regular upgrades (Sakano et al., 2016; Val et al., 2019).

*SM6.3.3.3.10 Deployable at scale: positive high (high agreement, medium evidence)*

With robust standards and regulation adaptation is deployable at scale (Fu et al., 2016).

*SM6.3.3.3.11 Benefit to other infrastructure systems adaptation: positive high (high agreement, robust evidence)*

ICT increasingly underpins and enables other infrastructure sectors and the built environment (Norman, 2018; Maki et al., 2019). Adaptation therefore provides wide benefits.

*SM6.3.3.3.12 Economic feasibility: positive high (high agreement, medium evidence)*

High natural turnover of ICT assets allows adaptation to be worked into asset management cycles and the high commercial return makes ICT adaptation typically affordable (Sakano et al., 2016). Infrastructure adaptation typically provides a good benefit to cost ratio (GCA, 2019; Watkiss et al., 2021)

*SM6.3.3.3.13 Mitigation co-benefit: positive moderate (medium agreement, medium evidence)*

Smart infrastructure typically enables more efficient operation and reduced energy use and GHG emissions (Ismagilova et al., 2019). However, ICT systems are a fast-growing source of global emissions (Anser et al., 2021; Belkhir and Elmeligi, 2019).

*SM6.3.3.3.14 Targets reducing poverty & marginalisation: positive moderate (low agreement, medium evidence)*

A well-adapted communication infrastructure can create a digital divide but can also reduce marginalisation and provide economic benefits when deployed widely and with appropriate support and training for uptake (Eakin et al., 2015; Heeks and Ospina, 2019; Haworth et al., 2018; Imam, Hossain and Saha, 2017).

*SM6.3.3.3.15 Inclusive & locally accountable: positive moderate (low agreement, medium evidence)*

Can support community resilience programmes and improve transparency (Laspidou, 2014; Devkota and Phuyal, 2018; Panda et al., 2019), but also spread misinformation and create a digital divide (Haworth et al., 2018; Coletta and Kitchin, 2017; Leszczynski, 2016).

*SM6.3.3.3.16 Social Transformation: positive moderate (medium agreement, medium evidence)*

Well adapted, resilient, ICT infrastructure enables processes of economic and social transformation (in rural areas in particular). e.g. to provide continued economic opportunities for female entrepreneurs (Venkatesh et al., 2017) and alternative service delivery models for other infrastructure systems (Angelidou, 2015; Richter et al., 2017).

*SM6.3.3.3.17 Ecological transformation: nil (low agreement, limited evidence)*

No evidence found that ICT infrastructure supports ecological transformation.

*SM6.3.3.4 Energy infrastructure (see Section 6.3.4.4)*

*SM6.3.3.4.1 Multiple climate hazards: positive high (high agreement, robust evidence)*

A range of adaptation options are available for energy systems to manage flood, heat, wind and subsidence risks (Cronin et al., 2018)

*SM6.3.3.4.2 Reduces systemic vulnerability: positive high (high agreement, medium evidence)*

Systemic reduction in vulnerability can be achieved through network wide measures such as topology design and new standards (Fu et al., 2018; Panteli et al., 2017).

*SM6.3.3.4.3 Reduces new hazard exposure generated: nil (low agreement, limited evidence)*

No reported evidence found that suggests energy adaptation changes behaviour that reduces exposure.

*SM6.3.3.4.4 Transfer of risk: nil (low agreement, limited evidence)*

No reported evidence found that suggests energy adaptation transfers risks.

*SM6.3.3.4.5 Social capital: positive small (high agreement, limited evidence)*

Community adaptation actions can build social capital (Ghanem et al., 2016; Brummer, 2018; Radtke, 2014).

*SM6.3.3.4.6 Livelihoods: positive high (high agreement, robust evidence)*

Energy infrastructure is crucial to support economic activity and livelihoods (Biggs et al., 2015; Fankhauser and Stern, 2016).

*SM6.3.3.4.7 Health: nil (low agreement, limited evidence)*

No reported evidence found that suggests energy adaptation provides indirect health benefits.

*SM6.3.3.4.8 Ecological: nil (low agreement, limited evidence)*

No reported evidence found that suggests energy adaptation provides indirect ecosystem benefits.

*SM6.3.3.4.9 Flexibility post deployment: negative moderate (high agreement, medium evidence)*

Energy infrastructure typically has relatively low flexibility once installed (Fu et al., 2018).

*SM6.3.3.4.10 Deployable at scale: positive moderate (medium agreement, medium evidence)*

With robust standards and regulation adaptation is deployable at scale (ENA, 2015).

*SM6.3.3.4.11 Benefit to other infrastructure systems adaptation: positive high (high agreement, robust evidence)*

Energy increasingly underpins and enables other infrastructure sectors and the built environment (Dawson et al., 2018; Pescaroli and Alexander, 2016; Kong et al., 2019). Adaptation therefore provides wide benefits.

*SM6.3.3.4.12 Economic feasibility: positive high (medium agreement, medium evidence)*

Building in resilience from the outset is far more cost effective than retrofit, but infrastructure adaptation typically provides a good benefit to cost ratio (GCA, 2019; Watkiss et al., 2021).

*SM6.3.3.4.13 Mitigation co-benefit: positive high (high agreement, robust evidence)*

Well adapted low carbon energy systems are crucial to underpin mitigation efforts (Kemp, 2017; Feldpausch-Parker et al., 2018).

*SM6.3.3.4.14 Targets reducing poverty & marginalization: positive moderate (medium agreement, medium evidence)*

A well-adapted energy system helps reduce poverty and can reduce marginalisation if equitably delivered (Bulkeley et al., 2014; Wamsler and Raggars, 2018).

*SM6.3.3.4.15 Inclusive & locally accountable: positive moderate (medium agreement, medium evidence)*

A well-adapted energy system can support community resilience and accountability depending on the service delivery model (Ghanem et al., 2016; Sharifi and Yamagata, 2016). Although top-down targets can sometimes inhibit local action (Wu et al., 2017).

*SM6.3.3.4.16 Social transformation: nil (low agreement, limited evidence)*

No evidence found that adaptation of energy infrastructure (as opposed to choices about the original infrastructure) supports social transformation.

*SM6.3.3.4.17 Ecological transformation: nil (low agreement, limited evidence)*

No evidence found that adaptation of energy infrastructure supports ecological transformation.

*SM6.3.3.5 Transport (see Section 6.3.4.5)*

*SM6.3.3.5.1 Multiple climate hazards: positive high (high agreement, robust evidence)*

A range of adaptation options are available for transport systems to manage flood, heat, wind and geohazard risks (Doll et al., 2014; Forzieri et al., 2018).

*SM6.3.3.5.2 Reduces systemic vulnerability: positive high (high agreement, medium evidence)*

Systemic reduction in vulnerability can be achieved through network wide measures such as topology design and new standards (Doll et al., 2014; Koks et al., 2019).

*SM6.3.3.5.3 Reduces new hazard exposure generated: negative small (low agreement, limited evidence)*

Adaptation increases the reliability of transport infrastructure, which can increase the use of particular modes (Wong et al., 2017) or particular assets (e.g. airports, Yesudian and Dawson, 2020) potentially increasing exposure.

*SM6.3.3.5.4 Transfer of risk: nil (low agreement, limited evidence)*

Some transport adaptations, e.g. use of tunnels as temporary water storage, provide wider benefits to the built environment (Soon et al., 2017).

*SM6.3.3.5.5 Social capital: positive small (high agreement, limited evidence)*

The relationship between social capital and transport can be positive and negative (Schwanen, 2015), with approaches such as transit oriented urban development helping to develop social capital (Kamruzzaman et al., 2014).

*SM6.3.3.5.6 Livelihoods: positive high (high agreement, robust evidence)*

Transport infrastructure is crucial to support economic growth and livelihoods (Farhadi, 2015; Saidi et al., 2018).

*SM6.3.3.5.7 Health: nil (low agreement, limited evidence)*

Ensuring active transport infrastructure is well adapted improves uptake which has health benefits (Wong et al., 2017; Winters et al., 2017).

*SM6.3.3.5.8 Ecological: negative small (low agreement, limited evidence)*

Overall adaptation to existing infrastructure has a nil or negative impact on ecology through standard construction impacts. This could be extended if new roads, railways etc were built as adaptations to climate change and its landscape effects. Some transport adaptation interventions, such as creation of green corridors, can provide ecological benefits - or mitigate the negative ecological impacts (Davies et al., 2014).

*SM6.3.3.5.9 Flexibility post deployment: negative high (high agreement, medium evidence)*

The physical elements of the transport infrastructure system typically have low flexibility once installed (Ürge-Vorsatz et al., 2018)), although new technologies can enable this to be used in different ways (Suatmadi et al., 2019; Vanderschuren and Baufeldt, 2018).

*SM6.3.3.5.10 Deployable at scale: positive moderate (medium agreement, medium evidence)*

With robust standards and regulation adaptation is deployable at scale (Colin et al., 2016; Quinn et al., 2018).

*SM6.3.3.5.11 Benefit to other infrastructure systems adaptation: positive moderate (medium agreement, medium evidence)*

Accessibility and movement of goods is important to ensure operation of other infrastructures (Hossain et al., 2020; Haraguchi and Kim, 2016; Pregnotato et al., 2016).

*SM6.3.3.5.12 Economic feasibility: positive high (medium agreement, medium evidence)*

Building in resilience from the outset is far more cost effective than retrofit (GCA, 2019; Watkiss et al., 2021).

1 *SM6.3.3.5.13 Mitigation co-benefit: positive small (high agreement, limited evidence)*

2 Some adaptation activities may benefit mitigation efforts by influencing demand or making low carbon  
3 infrastructure such as EV charging stations more resilient (Shaheen et al., 2019; Costa et al., 2018).

5 *SM6.3.3.5.14 Targets reducing poverty & marginalization: positive moderate (medium agreement,  
6 medium evidence)*

7 A well-adapted transport system helps reduce poverty and can reduce marginalisation if equitably delivered  
8 (Kamruzzaman et al., 2014; Schwanen, 2015; Mazumdar, 2018).

10 *SM6.3.3.5.15 Inclusive & locally accountable: positive moderate (medium agreement, medium  
11 evidence)*

12 A well-adapted transport system can support community resilience and accountability depending on the  
13 service delivery model (Mattioli and Colleoni, 2016).

15 *SM6.3.3.5.16 Social transformation: nil (low agreement, limited evidence)*

16 No evidence found that adaptation of transport infrastructure (as opposed to choices about the original  
17 infrastructure) supports social transformation.

19 *SM6.3.3.5.17 Ecological transformation: nil (low agreement, limited evidence)*

20 Adaptation of transport infrastructure can support ecological transformation if incorporated as part of the  
21 design (Davies et al., 2014).

23 *SM6.3.3.6 Water and Sanitation (see Section 6.3.4.6)*

25 *SM6.3.3.6.1 Multiple climate hazards: positive high (high agreement, robust evidence)*

26 A range of adaptation options are available for water and sanitation systems to manage flood, heat and  
27 subsidence risks (Dirwai et al., 2021; Wang et al., 2018).

29 *SM6.3.3.6.2 Reduces systemic vulnerability: positive moderate (high agreement, limited evidence)*

30 Systemic reduction in vulnerability can be achieved through network wide measures such as topology design  
31 and new standards (Campos and Darch, 2015; Ives et al., 2018).

33 *SM6.3.3.6.3 Reduces new hazard exposure generated: negative small (medium agreement, medium  
34 evidence)*

35 Adaptation can improve availability and reliability of water resources, in some instances this can increase  
36 demand for resources (Wang et al., 2016).

38 *SM6.3.3.6.4 Transfer of risk: positive small (low agreement, medium evidence)*

39 Adaptation measures can alter flows, potentially displacing risks (Olmstead, 2014).

41 *SM6.3.3.6.5 Social capital: positive moderate (high agreement, limited evidence)*

42 Community adaptation actions can build social capital and improve health outcomes (Bisung et al., 2014;  
43 Dean et al., 2016; Amaris et al., 2021)

45 *SM6.3.3.6.6 Livelihoods: positive high (high agreement, robust evidence)*

46 Water and sanitation infrastructure are crucial to support economic growth and livelihoods, nearly 4 in 5 jobs  
47 are dependent on water (UN, 2016).

49 *SM6.3.3.6.7 Health: positive high (high agreement, robust evidence)*

50 Well adapted water and sanitation systems are crucial to public health (Howard et al., 2014).

52 *SM6.3.3.6.8 Ecological: positive moderate (high agreement, robust evidence)*

53 Actions to improve water quality and reduce water abstraction support ecological services (Miller and  
54 Hutchins, 2017; Jeppesen et al., 2015).

*SM6.3.3.6.9 Flexibility post deployment: negative high (high agreement, medium evidence)*

Water and sanitation physical infrastructure typically have low flexibility once installed (Walker et al., 2017), although some more flexible alternatives are emerging (Spiller et al., 2015).

*SM6.3.3.6.10 Deployable at scale: positive moderate (medium agreement, medium evidence)*

With robust standards and regulation, adaptation is deployable at scale (Bouabid and Louis, 2015; Dasgupta et al., 2021).

*SM6.3.3.6.11 Benefit to other infrastructure systems adaptation: positive moderate (high agreement, robust evidence)*

Improved drainage reduces flood risk to other infrastructures (Yazdanfar and Sharma, 2015; Hoang and Fenner, 2016). Managing water consumption helps ensure sufficient water for energy generation cooling (van Vliet, et al., 2016; Byers et al., 2016).

*SM6.3.3.6.12 Economic feasibility: positive high (medium agreement, medium evidence)*

Building in resilience from the outset is far more cost effective than retrofit, but infrastructure adaptation typically provides a good benefit to cost ratio (GCA, 2019; Watkiss et al., 2021).

*SM6.3.3.6.13 Mitigation co-benefit: positive moderate (high agreement, medium evidence)*

Adaptation to reduce water consumption and wastewater production lowers energy use (Wa'el et al., 2017; Hamiche et al., 2016).

*SM6.3.3.6.14 Targets reducing poverty & marginalization: positive high (high agreement, robust evidence)*

A well-adapted water and sanitation system is essential to reduce marginalisation and poverty (Howard et al., 2016; Duncker, 2019).

*SM6.3.3.6.15 Inclusive & locally accountable: positive moderate (medium agreement, medium evidence)*

A well-adapted water and sanitation system can support community resilience and accountability depending on the service delivery model (Duncker, 2019; Schrecongost et al. 2020).

*SM6.3.3.6.16 Social Transformation: nil (low agreement, limited evidence)*

No evidence found that adaptation of water and sanitation infrastructure (as opposed to choices about the original infrastructure) supports social transformation.

*SM6.3.3.6.17 Ecological transformation: positive moderate (high agreement, robust evidence)*

Well adapted water and sanitation systems have significant ecological benefits (Miller and Hutchins, 2017; Jeppesen et al., 2015).

*SM6.3.3.7 Flood management (see Section 6.3.4.7)*

*SM6.3.3.7.1 Multiple climate hazards: positive small (high agreement, robust evidence)*

Physical flood management infrastructure interventions do not typically address multiple climate hazards (Sayers et al., 2015).

*SM6.3.3.7.2 Reduces systemic vulnerability: positive moderate (high agreement, medium evidence)*

Application of standards, flood warning and education programmes can enhance resilience (Byun Hamlet, 2020; Cools et al., 2016; Williams et al., 2017) but many education programmes have limited effectiveness (Osberghaus and Hinrichs, 2021).

*SM6.3.3.7.3 Reduces new hazard exposure generated: negative small (high agreement, medium evidence)*

Flood defences can create confidence that leads to more construction behind them, increasing residual risk (Miller et al., 2019; Ludy and Kondolf, 2012)

1 *SM6.3.3.7.4 Transfer of risk: negative moderate (high agreement, robust evidence)*

2 Flood defence infrastructure can alter river flow and sediment behaviour downstream, which can increase  
3 downstream risks (Kondolf et al., 2014; Thaler and Hartmann, 2016).

5 *SM6.3.3.7.5 Social capital: positive moderate (medium agreement, medium evidence)*

6 Flood warning and education programmes can contribute towards community social capital and improve  
7 uptake of some measures (Cools et al., 2016; Williams et al., 2017; Dittrich et al., 2016)

9 *SM6.3.3.7.6 Livelihoods: positive high (high agreement, robust evidence)*

10 Flood management adaptation reduces disruption of key services, economy and livelihoods (Pant et al.,  
11 2018; Ward et al., 2017)

13 *SM6.3.3.7.7 Health: positive high (high agreement, robust evidence)*

14 Flood management adaptation reduces risks to lives and public health (Hu et al., 2018; Venkataramanan et  
15 al., 2019).

17 *SM6.3.3.7.8 Ecological: negative moderate (medium agreement, medium evidence)*

18 Grey infrastructure, unless part of a hybrid grey-green solution, does not usually offer ecological benefits  
19 (Kok et al., 2021; Scheres and Schüttrumpf, 2019; Sayers et al., 2015).

21 *SM6.3.3.7.9 Flexibility post deployment: negative negligible (high agreement, medium evidence)*

22 Physical flood management infrastructure typically has low flexibility once installed (Octavianti and  
23 Charles, 2019), although flexible designs and adaptive pathways are emerging (Anvarifar et al., 2016;  
24 Kapetas and Fenner, 2020).

26 *SM6.3.3.7.10 Deployable at scale: positive high (high agreement, robust evidence)*

27 Flood management infrastructure can be deployed at significant spatial scale, with examples at city, regional  
28 and national scales (de Moel et al., 2015).

30 *SM6.3.3.7.11 Benefit to other infrastructure systems adaptation: positive high (high agreement, robust  
31 evidence)*

32 Protection is provided to other infrastructure in the floodplain (Pant et al., 2018).

34 *SM6.3.3.7.12 Economic feasibility: positive moderate (high agreement, robust evidence)*

35 Globally benefits of flood management outweigh costs (Ward et al., 2017). For large settlements flood  
36 management infrastructure is usually highly cost effective, but increasingly less so for small towns and  
37 villages (Tiggeloven et al., 2019).

39 *SM6.3.3.7.13 Mitigation co-benefit: negative negligible (high agreement, limited evidence)*

40 Construction usually has a carbon footprint (though very small as a proportion of global emissions) (Beber et  
41 al., 2020).

43 *SM6.3.3.7.14 Targets reducing poverty & marginalization: negative moderate (medium agreement,  
44 medium evidence)*

45 Although flood management infrastructure can provide universal protection, evidence shows poorer and  
46 more vulnerable communities typically face higher flood risks and lower access to individual property  
47 measures (Sayers et al., 2018; van Bavel et al., 2018).

49 *SM6.3.3.7.15 Inclusive & locally accountable: negative negligible (low agreement, medium evidence)*

50 Many large-scale schemes are reliant on central government funding and decision-making criteria, but  
51 participatory processes can better engage communities improve local accountability (Garvey and Paavola,  
52 2021; Everard, 2021).

54 *SM6.3.3.7.16 Social transformation: nil (low agreement, limited evidence)*

55 No evidence found that adaptation of physical flood management infrastructure supports social  
56 transformation.

1 *SM6.3.3.7.17 Ecological transformation: negative small (medium agreement, limited evidence)*

2 Grey infrastructure, unless part of a hybrid grey-green solution, does not usually offer opportunity to support  
3 ecological transformation (Kok et al., 2021; Scheres and Schüttrumpf, 2019; Sayers et al., 2015).

4  
5 *SM6.3.3.8 Coastal management (see Section 6.3.4.8)*

6  
7 *SM6.3.3.8.1 Multiple climate hazards: positive small (high agreement, robust evidence)*

8 Physical coastal management infrastructure interventions do not typically address multiple climate hazards  
9 (Sayers et al., 2015) although some can provide multiple socio-economic benefits (Kothuis and Kok, 2017;  
10 Anvarifar et al., 2017).

11  
12 *SM6.3.3.8.2 Reduces systemic vulnerability: positive moderate (high agreement, medium evidence)*

13 Physical infrastructure reduces the likelihood of flooding for the area it protects, whilst flood warning,  
14 education programmes, and community relocation support can reduce vulnerability (Matyas and Pelling,  
15 2015; Sayers et al., 2015).

16  
17 *SM6.3.3.8.3 Reduces new hazard exposure generated: negative small (high agreement, medium evidence)*

18 Coastal management can create confidence that leads to more construction behind them, increasing residual  
19 risk (Miller et al., 2019; Ludy and Kondolf, 2012).

20  
21 *SM6.3.3.8.4 Transfer of risk: negative moderate (high agreement, robust evidence)*

22 Coastal management infrastructure can alter coastal sediment movements, degrade ecosystems, which can  
23 increase flood and erosion risks elsewhere (Wang et al., 2018a; Dawson, 2015; Nicholls, Dawson and Day,  
24 2015).

25  
26 *SM6.3.3.8.5 Social capital: positive moderate (medium agreement, medium evidence)*

27 Understanding and enhancing social capital can improve the effectiveness and uptake of coastal management  
28 infrastructure; physical infrastructure adaptation tends not to contribute towards social capital unless part of a  
29 wider programme of coastal flood warning, education programmes, and community relocation (Matyas and  
30 Pelling, 2015; Triyanti et al., 2017; Rojas et al., 2014; Petzold and Ratter, 2015).

31  
32 *SM6.3.3.8.6 Livelihoods: positive high (high agreement, robust evidence)*

33 Coastal management infrastructure adaptation reduces disruption of key services, economy and livelihoods  
34 (Shughrue and Seto, 2018; Yesudian and Dawson, 2021; Tiggeloven et al., 2020).

35  
36 *SM6.3.3.8.7 Health: positive high (high agreement, robust evidence)*

37 Coastal management infrastructure adaptation reduces risks to lives and public health from coastal erosion  
38 and flooding (Brown et al., 2018; Kulp and Strauss, 2019; Haasnoot et al., 2021).

39  
40 *SM6.3.3.8.8 Ecological: negative moderate (medium agreement, medium evidence)*

41 Physical infrastructure typically has negligible or negative ecological benefits (Renaud et al., 2015), unless  
42 part of a hybrid soft engineering or nature-based engineering solution (Schoonees et al., 2019; Grimm et al.,  
43 2016; Depietri and McPhearson, 2017; Morris et al., 2018).

44  
45 *SM6.3.3.8.9 Flexibility post deployment: negative negligible (high agreement, medium evidence)*

46 Physical coastal management infrastructure typically has low flexibility once installed although some more  
47 flexible designs have been proposed (Sayers et al., 2015; Kothuis and Kok, 2017; Anvarifar et al., 2017),  
48 however adaptation pathways that, might include physical protection, offer more flexible strategies to coastal  
49 management (Haasnoot et al., 2019).

50  
51 *SM6.3.3.8.10 Deployable at scale: positive high (high agreement, robust evidence)*

52 Coastal management infrastructure can be deployed at significant spatial scale, with examples at city,  
53 regional and national scales (Scussolini et al., 2016).



1 *SM6.3.3.8.11 Benefit to other infrastructure systems adaptation: positive high (high agreement, robust*  
2 *evidence)*

3 Protection is provided to other infrastructure at risk from flooding and erosion (Koks et al., 2019; Brown et  
4 al., 2014).

6 *SM6.3.3.8.12 Economic feasibility: positive moderate (high agreement, robust evidence)*

7 Globally benefits of coastal management outweigh costs (Hinkel et al., 2014; Tiggeloven et al., 2020). For  
8 large settlements coastal flood and erosion management infrastructure is usually highly cost effective, but  
9 increasingly less so for small towns and villages (Nicholls, Dawson & Day, 2015).

11 *SM6.3.3.8.13 Mitigation co-benefit: negative negligible (high agreement, limited evidence)*

12 Construction usually has a carbon footprint (though very small as a proportion of global emissions) (Beber et  
13 al., 2020).

15 *SM6.3.3.8.14 Targets reducing poverty & marginalization: negative moderate (medium agreement,*  
16 *medium evidence)*

17 Although coastal management infrastructure can provide universal protection, evidence shows poorer and  
18 more vulnerable communities typically face higher risks, and smaller communities are often unable to  
19 demonstrate cost effectiveness (Pelling and Garschagen, 2019; Clément et al., 2015; Fletcher et al., 2016).

21 *SM6.3.3.8.15 Inclusive & locally accountable: negative negligible (low agreement, medium evidence)*

22 Many large-scale schemes are reliant on central government funding and decision-making criteria, but  
23 participatory processes can better engage communities, provide local accountability and provide co-benefits  
24 (Matyas and Pelling, 2015; Triyanti et al., 2017; Rojas et al., 2014; Petzold and Ratter, 2015; Kothuis and  
25 Kok, 2017; Anvarifar et al., 2017).

27 *SM6.3.3.8.16 Social transformation: positive small (low agreement, limited evidence)*

28 No evidence found that adaptation of physical coastal management infrastructure supports social  
29 transformation unless part of a wider capacity building programme (Matyas and Pelling, 2015; Triyanti et al.,  
30 2017; Rojas et al., 2014; Petzold and Ratter, 2015).

32 *SM6.3.3.8.17 Ecological transformation: negative small (MA-LE)*

33 Physical coastal management infrastructure, unless part of a hybrid soft engineering or green infrastructure  
34 solution, does not usually offer opportunity to support ecological transformation (Schoonees et al., 2019;  
35 Grimm et al., 2016; Depietri and McPhearson, 2017; Morris et al., 2018).

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