

Chapter 2: Supplementary Materials

Table of Contents

Chapter 2:	Supplementary Materials.....	2-1
2.1.1	Overview	2-2
2.1.2	The Emissions Database for Global Atmospheric Research (EDGAR).....	2-9
2.1.3	Accounting for CO ₂ emissions Land Use, Land-Use Change and Forestry (CO ₂ -LULUCF)	2-10
2.2	Uncertainties in GHG emission estimates	2-12
2.2.1	CO ₂ emissions from fossil fuels and industrial processes (CO ₂ -FFI).....	2-16
2.2.2	Anthropogenic CO ₂ emissions from land use, land use change and forestry (CO ₂ -LULUCF)	2-19
2.2.3	Anthropogenic CH ₄ emissions.....	2-22
2.2.4	Anthropogenic N ₂ O emissions	2-26
2.2.5	Fluorinated gases	2-29
2.2.6	Aggregated GHG emissions	2-33
2.2.7	Uncertainties of GHG emissions metrics used to report aggregated emissions	2-33
2.3	GHG emissions metrics.....	2-35
2.3.1	Definition and scope	2-35
2.3.2	Key characteristics of pulse emission metrics GWP and GTP.....	2-36
2.3.3	Relationship of GWP and GTP to cost-benefit and cost-effectiveness frameworks ..	2-38
2.3.4	Global cost effectiveness of physical-based pulse emission metrics	2-39
2.3.5	Role of GHG emission metrics at the sectoral level including lifecycle assessment ..	2-40
2.3.6	Difference between marginal and additional warming and relationship to metrics ..	2-42
2.3.7	Influence of GHG emission metrics on the timing of reported net zero GHG emissions ..	2-43
2.4	Trade as a Driver of Global GHG Emissions	2-47
2.5	Supporting Figures	2-49
References	2-54

1 **Historic greenhouse gas emissions 1990-2019: Dataset description**

2 This section provides a brief description of the dataset on historic greenhouse gas (GHG) emissions
3 compiled for the IPCC Sixth Assessment (AR6) in Working Group III on climate change mitigation.
4 The dataset is publicly available (<https://zenodo.org/record/5566761>) and has undergone additional
5 peer-review (Minx et al., 2021). Sections 2.1 and 2.2 included in this Supplementary Material are taken
6 in most parts directly from Minx et al. (2021). It is included here solely to provide full transparency over
7 the data used in this report and enable easy access to all information.

8 **2.1.1 Overview**

9 The historic emissions dataset used in Chapter 2 provides a comprehensive, synthetic set of estimates
10 for global GHG emissions disaggregated by 27 economic sectors and 228 countries and territories. Its
11 focus is on anthropogenic GHG emissions: natural sources and sinks are not included. Five groups of
12 gases are distinguished: (1) CO₂ emissions from fossil fuel combustion and industry (CO₂-FFI); (2) CO₂
13 emissions from land use, land-use change and forestry (CO₂-LULUCF); (3) methane emissions (CH₄);
14 (4) nitrous oxide emissions (N₂O); (5) fluorinated gases (F-gases) comprising hydrofluorocarbons
15 (HFCs), perfluorocarbons (PFCs), sulphur hexafluoride (SF₆) as well as nitrogen trifluoride (NF₃). Other
16 F-gases that are internationally regulated as ozone depleting substances under the Montreal Protocol
17 such as chlorofluorocarbons (CFCs) and hydrochlorofluorocarbons (HCFCs) are not included. GHG
18 emissions data are analysed both in native units (except F-gases) as well as in CO₂-equivalents (CO₂eq)
19 as commonly done in wide parts of the climate change mitigation community using global warming
20 potentials with a 100 year time horizon from the IPCC Sixth Assessment Report (AR6) (Forster et al.,
21 2021a). The impact of using alternative metric choices in tracking aggregated GHG emissions is
22 discussed in Section 2.3 of this Supplementary Material.

23 The dataset is compiled from four sources: (1) the full EDGARv6 release for CO₂-FFI as well as non-
24 CO₂ GHGs covering the time period 1970–2018 (Crippa et al., 2021); (2) EDGARv6 fast-track data for
25 CO₂-FFI providing preliminary estimates for 2019 (and 2020) (Crippa et al., 2021); (3) CO₂-LULUCF
26 as the average of three bookkeeping models, consistent with the approach of the global carbon project
27 (Friedlingstein et al., 2020). (4) 2019 non-CO₂ emissions based on Olivier and Peters (Olivier and Peters,
28 2018). The resulting synthetic dataset presented here has undergone additional peer-review (Minx et
29 al., 2021).

30 As shown in Table 2 SM.1, sectoral detail is organised along five major economic sectors harmonized
31 with the sector chapters used in this report: energy supply (chapter 6), buildings (chapter 9), transport
32 (chapter 10), industry (chapter 11) as well as Agriculture, Forestry and Other Land-Use Changes
33 (AFOLU) (chapter 7). A further classification for assigning our 228 countries and territories to regions
34 is used, combining the standard Annex I/non-Annex I distinction with geographical location, as
35 documented in Annex II of this report. The dataset including the sector and region classification, and
36 100 year global warming potentials by gas can be found at <https://zenodo.org/record/5566761>.

38 **Table 2.SM.1 – Overview of the two-level sector aggregation with reference to assigned source/sink**
39 **categories conforming to the IPCC reporting guidelines (IPCC, 2019, 2006) as well as relevant GHGs.**

Sector	Sub-sector	IPCC (2006)	Gases
AFOLU (Agriculture, Forestry and	Biomass burning [agricultural waste burning on fields]	3.C.1.b (bio)	CH ₄ , N ₂ O

Other Land-Use Changes)	Enteric Fermentation	3.A.1.a.i (fossil), 3.A.1.a.ii (fossil), 3.A.1.b (fossil), 3.A.1.c (fossil), 3.A.1.d (fossil), 3.A.1.e (fossil), 3.A.1.f (fossil), 3.A.1.g (fossil), 3.A.1.h (fossil)	CH ₄
	Managed soils and pasture	3.C.4 (fossil), 3.C.5 (fossil), 3.C.6 (fossil), 3.C.3 (fossil), 3.C.2 (fossil)	CO ₂ , N ₂ O
	Manure management	3.A.2.a.i (fossil), 3.A.2.a.ii (fossil), 3.A.2.b (fossil), 3.A.2.c (fossil), 3.A.2.i (fossil), 3.A.2.d (fossil), 3.A.2.e (fossil), 3.A.2.f (fossil), 3.A.2.g (fossil), 3.A.2.h (fossil)	CH ₄ , N ₂ O
	Rice cultivation	3.C.7 (fossil)	CH ₄
	Synthetic fertilizer application	3.C.4 (fossil)	N ₂ O
	Land use, land-use change, and forestry		CO ₂
Buildings	Non-CO ₂ buildings (all)	2.F.3 (fossil), 2.F.4 (fossil), 2.G.2.c (fossil)	c-C ₄ F ₈ , C ₄ F ₁₀ , CF ₄ , HFC-125, HFC-227ea, HFC-23, HFC-236fa, HFC-134a, HFC-152a, SF ₆
	Non-residential	1.A.4.a (bio), 1.A.4.a (fossil)	CO ₂ , CH ₄ , N ₂ O
	Residential	1.A.4.b (bio), 1.A.4.b (fossil)	CO ₂ , CH ₄ , N ₂ O
Energy systems	Coal mining fugitive emissions	1.B.1.a (fossil), 1.B.1.c (fossil)	CO ₂ , CH ₄
	Electricity & heat	1.A.1.a.i (bio), 1.A.1.a.i (fossil), 1.A.1.a.ii (bio), 1.A.1.a.ii (fossil), 1.A.1.a.iii (bio), 1.A.1.a.iii (fossil)	CO ₂ , CH ₄ , N ₂ O
	Oil and gas fugitive emissions	1.B.2.a.iii.2 (bio), 1.B.2.a.iii.2 (fossil), 1.B.2.a.iii.3 (fossil), 1.B.2.a.iii.4 (fossil), 1.B.2.b.iii.2 (fossil), 1.B.2.b.iii.4 (fossil), 1.B.2.b.iii.5 (fossil), 1.B.2.b.iii.3 (fossil), 1.B.2.b.ii (fossil), 1.B.2.a.ii (fossil)	CO ₂ , CH ₄ , N ₂ O
	Other (energy systems)	1.A.1.c.ii (bio), 1.A.1.c.ii (fossil), 1.A.1.c.i (bio), 1.A.1.c.i (fossil), 1.A.4.c.i (bio), 1.A.4.c.i (fossil), 1.A.5.a (bio), 1.A.5.a (fossil), 1.B.1.c (bio), 2.G.1.b (fossil), 5.B (fossil), 5.A (fossil)	CO ₂ , CH ₄ , N ₂ O, SF ₆
	Petroleum refining	1.A.1.b (bio), 1.A.1.b (fossil)	CO ₂ , CH ₄ , N ₂ O
Industry	Cement	2.A.1 (fossil)	CO ₂
	Chemicals	1.A.2.c (bio), 1.A.2.c (fossil), 2.A.2 (fossil), 2.A.4.d (fossil), 2.A.4.b (fossil), 2.A.3 (fossil), 2.B.1 (fossil), 2.B.2 (fossil), 2.B.3 (fossil), 2.B.5 (fossil), 2.B.8.f (fossil), 2.B.8.b (fossil), 2.B.8.c (fossil), 2.B.8.a (fossil), 2.B.4 (fossil), 2.B.6 (fossil), 2.B.9.b (fossil), 2.D.3 (fossil), 2.G.3.a (fossil), 2.G.3.b (fossil)	CO ₂ , CH ₄ , N ₂ O, c-C ₄ F ₈ , C ₂ F ₆ , C ₃ F ₈ , C ₄ F ₁₀ , C ₅ F ₁₂ , C ₆ F ₁₄ , CF ₄ , HFC-125, HFC-134a, HFC-143a, HFC-152a, HFC-227ea, HFC-32, HFC-365mfc, NF ₃ , SF ₆ , HFC-23

	Metals	1.A.1.c.i (fossil), 1.A.1.c.ii (fossil), 1.A.2.a (bio), 1.A.2.a (fossil), 1.A.2.b (bio), 1.A.2.b (fossil), 1.B.1.c (fossil), 2.C.1 (fossil), 2.C.2 (fossil), 2.C.3 (fossil), 2.C.4 (fossil), 2.C.5 (fossil), 2.C.6 (fossil)	CO ₂ , CH ₄ , N ₂ O, C ₂ F ₆ , CF ₄ , SF ₆
	Other industry	1.A.2.d (bio), 1.A.2.d (fossil), 1.A.2.e (bio), 1.A.2.e (fossil), 1.A.2.f (bio), 1.A.2.f (fossil), 1.A.2.k (fossil), 1.A.2.i (fossil), 1.A.5.b.iii (fossil), 2.F.1.a (fossil), 2.F.2 (fossil), 2.F.5 (fossil), 2.E.1 (fossil), 2.E.2 (fossil), 2.E.3 (fossil), 2.G.1.a (fossil), 2.G.2.c (fossil), 2.G.2.b (fossil), 2.G.2.a (fossil), 2.D.1 (fossil), 5.A (fossil)	CO ₂ , CH ₄ , N ₂ O, HFC-125, HFC-134a, HFC-143a, HFC-152a, HFC-227ea, HFC-236fa, HFC-245fa, HFC-32, HFC-365mfc, C ₃ F ₈ , C ₆ F ₁₄ , CF ₄ , HFC-43-10-mee, HFC-134, HFC-143, HFC-23, HFC-41, c-C ₄ F ₈ , C ₂ F ₆ , NF ₃ , SF ₆ , HCFC-141b*, HCFC-142b*, C ₄ F ₁₀
	Waste	4.A.1 (fossil), 4.D.2 (fossil), 4.D.1 (fossil), 4.C.1 (fossil), 4.C.2 (bio), 4.C.2 (fossil), 4.B (fossil)	CO ₂ , CH ₄ , N ₂ O
Transport	Domestic Aviation	1.A.3.a.ii (fossil)	CO ₂ , CH ₄ , N ₂ O
	Inland Shipping	1.A.3.d.ii (bio), 1.A.3.d.ii (fossil)	CO ₂ , CH ₄ , N ₂ O
	International Aviation	1.A.3.a.i (fossil)	CO ₂ , CH ₄ , N ₂ O
	International Shipping	1.A.3.d.i (bio), 1.A.3.d.i (fossil)	CO ₂ , CH ₄ , N ₂ O
	Other (transport)	1.A.3.e.i (bio), 1.A.3.e.i (fossil), 1.A.4.c.ii (fossil), 1.A.4.c.iii (bio), 1.A.4.c.iii (fossil)	CO ₂ , CH ₄ , N ₂ O
	Rail	1.A.3.c (bio), 1.A.3.c (fossil)	CO ₂ , CH ₄ , N ₂ O
	Road	1.A.3.b (bio), 1.A.3.b (fossil)	CO ₂ , CH ₄ , N ₂ O

Note that EDGAR v6 distinguishes biogenic CO₂ and CH₄ sources with a “bio” label, with all other sectors “fossil” by default, even if that source is not related to fossil fuel activities. The fossil/bio label is hence not descriptive in nature. Two HCFC gases (denoted with *) are included in the dataset, despite being neither PFCs nor HFCs (and hence regulated under Montreal). This is to preserve consistency with current and previous versions of EDGAR, which include these gases. Their total warming effect is low (~10 MtCO₂eq in 2019) and the major HCFC sources are not included. Source: Minx et al. (2021)

While there is a growing number of global emissions inventories, only a few of them provide a wide coverage of gases, sectors, activities, and countries or regions that are sufficiently up-to-date to comprehensively track progress and thereby aid discussions in science and policy. Table 2.SM.2 provides an overview of global emission inventories. Many inventories focus on individual gases and subsets of activities. Few provide sectoral detail and particularly for non-CO₂ GHG emissions there is often a considerable time-lag in reporting. GHG emissions reporting under the United Nations Framework Convention on Climate Change (UNFCCC) provides reliable, comprehensive and up-to-date statistics for Annex I countries across all major GHGs. Non-Annex I countries – except least developed countries and small island state for which this is not mandatory – provide GHG emissions inventory information through biennial update reports (BURs), but with much less stringent reporting requirements in terms of sector, gas and time coverage (Gütschow et al., 2016; Deng et al., 2021). As a result, many still lack a well-developed statistical infrastructure to provide detailed and timely reports (Janssens-Maenhout et al., 2019).

1

Table 2.SM.2 – Overview of global inventories of GHG emissions. Source: Minx et al. (2021)

Dataset Name	Short Name	Version	Gases	Geographic coverage	Activity split	Time period	Reference	Link
Emissions Database for Global Atmospheric Research	EDGAR	6.0	CO ₂ -FFI, CH ₄ , N ₂ O, F-gases: HFCs, PFCs, SF ₆ , NF ₃ .	228 countries; global	4 main sectors, 24 subsectors	1970-2018	(Crippa et al., 2021)	https://edgar.jrc.ec.europa.eu/report_2021
Potsdam Real-time Integrated Model for probabilistic Assessment of emissions Paths	PRIMAP-hist	2.3.1	CO ₂ -FFI, CH ₄ , N ₂ O, F-gases: HFCs, PFCs, SF ₆ , NF ₃ .	All UNFCCC member states, most non-UNFCCC territories	4 sectors	1750-2019	Giutschow et al. (2021b)	https://www.pik-potsdam.de/paris-reality-check/primap-hist/
Community Emissions Data System	CEDS	v_2021_02_05	SO ₂ , NO _x , BC, OC, NH ₃ , NMVOC, CO, CO ₂ , CH ₄ , N ₂ O	221 countries	60 sector	1750-2019 (1970-2019 for CH ₄ and N ₂ O)	Hoesly et al. (2018); McDuffie et al. (2020); O'Rourke et al. (2021)	http://www.globalchange.umd.edu/ceds/
UNFCCC: Annex I Party GHG Inventory Submissions		2021	CO ₂ , CH ₄ , N ₂ O, NO _x , CO, NMVOC, SO ₂ , F-gases: HFCs, PFCs, SF ₆ , NF ₃	Parties included in Annex I to the Convention	Energy, industry, agriculture, LULUCF, waste	1990-2019		https://unfccc.int/ghg-inventories-annex-i-parties/2021
GCP: Global Carbon Budget	GCP-GCB	2020	CO ₂ -FFI, CO ₂ -LULUCF	Global, 259 countries for FFI	5 main sectors, 14 subsectors	CO ₂ -LULUCF: 1850-2019 CO ₂ -FFI: 1750-2019	Friedlingstein et al. (2020)	https://doi.org/10.18160/GC-P-2020

Global, Regional, and National Fossil-Fuel CO ₂ Emissions	CDIAC-FF	V2017	CO ₂ -FFI	259 countries, global	5 main categories	1751-2017	Gilfillan et al. (2020)	https://energy.appstate.edu/research/work-areas/cdiac-appstate
Energy Information Administration International Energy Statistics	EIA	2021	CO ₂ -FFI	230 countries, global	3 fuel types	1980- 2018; 1949-2018 (global)	EIA (EIA, 2019)	https://www.eia.gov/international/data/world
BP Statistical Review of World Energy	BP	2021 70th edition	CO ₂ -FFI	108 countries, 7 regions	8 activities, 3 fossil and 3 other fuel types	1965-2019	BP (BP, 2021)	https://www.bp.com/en/global/corporate/energy-economics/statistical-review-of-world-energy.html
International Energy Agency CO ₂ Emissions from Fuel Combustion	IEA	2021	CO ₂ -FFI	190 countries	3 fossil fuels, 6 sectors	1971-2020; OECD: 1960-2020	IEA (IEA, 2021a; b)	https://www.iea.org/data-and-statistics/data-product/greenhouse-gas-emissions-from-energy-highlights
PKU-FUEL			CO ₂ , CO, PM _{2.5} , PM ₁₀ , TSP, BC, OC, SO ₂ , NO _x , NH ₃ , PAHs	Global (0.1 degree grid cells)	6 sectors, 5 fuel types,	1960-2014		http://inventory.pku.edu.cn/
Carbon Monitor			CO ₂ -FFI	11 countries, global	6 sectors	2019-	Liu et al. (2020)	https://carbonmonitor.org/
Bookkeeping of land-use emissions	BLUE	2020	CO ₂ -LULUCF	Global (0.25 degree grid cells)	no split	1700-2019	Hansis et al. (2015), updated simulations described by	https://doi.org/10.18160/GC-P-2020

OSCAR – an Earth system compact model	OSCAR	2020	CO ₂ -LULUCF	Global (10 regions)	no split	1701-2019	Friedlingstein et al. (2020)	
Houghton and Nassikas Bookkeeping Model	H&N	2020	CO ₂ -LULUCF	Global (187 countries)	no split	1850-2019	Gasser et al. (2020); Friedlingstein et al. (2020)	https://doi.org/10.18160/GC-P-2020
The Greenhouse gas – Air pollution INteractions and Synergies Model	GAINS	2020	CO ₂ , CH ₄ , N ₂ O, F-gases	Global (172 regions)	3 main sectors, 16 subsectors	1990-2015	Höglund-Isaksson (2012; 2020), Winiwarter et al. (2018)	https://gains.iiasa.ac.at/models/index.html
EPA-Global Non-CO ₂ Greenhouse Gas Emissions	US-EPA	2019	CH ₄ , N ₂ O, F-gases: HFCs, PFCs, SF ₆	Global (195 countries)	4 major sectors	1990-2015	EPA (2021)	https://www.epa.gov/global-mitigation-non-co2-greenhouse-gases
GCP – global nitrous oxide budget	GCP/INI	2020	N ₂ O	10 land regions and 3 oceanic regions	21 natural and human sectors	1980-2016	Tian et al. (2020)	https://www.globalcarbonproject.org/nitrousoxidebudget/
FAOSTAT – Emissions Totals	FAOSTAT	2021	CO ₂ , CH ₄ , N ₂ O	Global (191 countries)	15 activities in AFOLU	1961-2019	Federici et al. (2015), Tubiello et al. (2013, 2021), Tubiello (2019)	http://www.fao.org/faostat/en/#data/GT
Fire Inventory from NCAR	FINN		CO ₂ , CH ₄ , N ₂ O	Global			Wiedinmyer et al. (2011)	

Global fire assimilation system	GFAS	CO ₂ , CH ₄ , N ₂ O	Global	Kaiser et al. (2012)	
Global fire emissions database	GFED	CO ₂ , CH ₄ , N ₂ O	Global	Van der Werf et al. (2017)	https://www.geo.vu.nl/~gwerf/GFED/GFED4/
Quick fire emissions dataset	QFED	CO ₂ -LULUCF, CH ₄ , N ₂ O	Global	Darmenov and da Silva (2013)	

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2.1.2 The Emissions Database for Global Atmospheric Research (EDGAR)

EDGAR emission estimates included in Chapter 2 emissions dataset are derived from the full version 6 release (Crippa et al., 2021). This includes CO₂ and non-CO₂ GHG emission estimates from 1970 to 2018 computed from stable international statistics, and fast-track estimates of fossil CO₂ emissions up to the year 2020. The following general EDGAR methodological description is largely taken from Janssens-Maenhout et al. (2019). EDGAR bottom-up emission inventory estimates are calculated from international activity data and emission factors following the 2006 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC, 2006) - updated according to the latest scientific knowledge. Emissions (*EMs*) from a given sector *i* in a country *C* accumulated during a year *t* for a chemical compound *x* are calculated with the country-specific activity data (*AD*), quantifying the activity in sector *i*, with the mix of *j* technologies (*TECH*) and with the mix of *k* (end-of-pipe) abatement measures (*EOP*) installed with the share *k* for each technology *j*, the emission rate with an uncontrolled emission factor (*EF*) for each sector *i* and technology *j* and relative reduction (*RED*) by abatement measure *k*, as summarised in the following formula:

Equation SM2.1

$$EM_i(C, t, x) = \sum_{j,k} [AD_i(C, t) \cdot TECH_{i,j}(C, t) \cdot EOP_{j,k}(C, t) \cdot EF_{i,j}(C, t, x) \cdot (1 - RED_{i,j,k}(C, t, x))]$$

The activity data are sector dependent and vary from fuel combustion in energy units of a particular fuel type, to the amount of products manufactured, or to the number of animals or the area or yield of cultivated crops. The technology mixes, (uncontrolled) emission factors and end-of-pipe measures are determined at different levels: country-specific, regional country group (e.g. Annex I/non-Annex I), or global. Technology-specific emission factors are used to enable an IPCC Tier-2 approach (see Box 2.SM.1), taking into account the different management and technology processes or infrastructures (e.g., different distribution networks) under specific “technologies”, and modelling explicitly abatements/ emission reductions, e.g. the CH₄ recovery from coal mine gas at country level under the “end-of-pipe measures”. As with national inventories, emissions are accounted over a period of one calendar year in the country or on the territory in which they took place (i.e. a territorial accounting principle) (IPCC, 2019, 2006). A more complete description of the data sources and methodology for EDGARv6 is provided in Crippa et al. (2021).

To compute emissions up to most recent years, a fast-track methodology is applied, as described in Oreggioni et al. (2021). The underlying principle is to extrapolate trends based on observed activity patterns in representative sectors. For CO₂-FFI emissions, the fast track estimates were based on the latest BP coal, oil and natural gas consumption data (BP, 2021). Emission updates for cement, lime, ammonia and ferroalloys production beyond 2018 are based on stable statistics. In particular these include US Geological Survey statistics, urea production and consumption statistics from the International Fertilizer Association, gas flaring statistics from the Global Gas Flaring Reduction Partnership, steel production statistics from the World Steel Association, and cement clinker production statistics from UNFCCC data. Fast-track extensions for non-CO₂ GHG emissions are based on Olivier and Peters (2018). For CH₄ and N₂O these are based on agricultural statistics from Food and Agricultural Organization (FAO) (CH₄ and N₂O) of the United Nations, fuel production and transmission statistics from IEA and BP (CH₄) as well as data from national greenhouse gas inventory reports on coal production (CH₄ recovery) and the production of chemicals (N₂O abatement) submitted by Annex-I countries to the UNFCCC following a Common Reporting Format (CRF) (e.g. UNFCCC, 2021). For F-gases the fast-track extension was based on the most recent national emission inventories, submitted under the UNFCCC (up to 2018). Given the absence of international statistics, for all remaining

countries and years a simple extrapolation using fast-track data by Olivier and Peters (2020) was used. Here the procedure was to calculate the county and sector specific emissions growth between 2018 and 2019 in Olivier and Peters (2020), then multiply each growth rate with the 2018 values in the Chapter 2 emissions data.

START BOX 2SM1 HERE

Box 2.SM.1 Methodological standards for compiling greenhouse gas inventories according to IPCC Guidelines

The 2006 Guidelines for National Greenhouse Gas Inventories and their 2019 refinements by the Intergovernmental Panel on Climate Change (IPCC) provide methodological guidance for compiling greenhouse gas emissions inventories at different levels of sophistication (IPCC, 2019, 2006). The levels of methodological complexity for estimating greenhouse gas emissions and removals are organized according to different *tiers*. *Tier 1* is the most basic method. It applies a simple default methodology as well as default emission factors and other parameters defined in the IPCC Guidelines. *Tier 2* methods replace those default values by country-specific data and can use more detailed calculations and activity data. *Tier 3* refers to methods that may apply country-specific equations for calculating emissions along with more details regarding activity data, technologies and practices, providing the most granular approach to estimation. *Tier 2* and *Tier 3* are also referred to as *higher tier methods* and are generally considered to be more accurate than a *Tier 1* method, especially when it comes to reporting changes in emissions over time (IPCC, 2006).

END BOX 2.SM.1 HERE

2.1.3 Accounting for CO₂ emissions Land Use, Land-Use Change and Forestry (CO₂-LULUCF)

All fluxes of CO₂ from land use, land-use change and forestry are considered. This includes CO₂ fluxes from the clearing of forests and other natural vegetation (by anthropogenic fire and/or clear-cut), afforestation, harvest activities, land use related forest degradation, shifting cultivation (cycles of forest clearing for agriculture, then abandonment), and regrowth of forests and other natural vegetation following wood harvest or abandonment of agriculture, and emissions from peat burning and drainage. Some of these activities lead to emissions of CO₂ to the atmosphere, while others lead to CO₂ sinks. CO₂-LULUCF therefore is the net sum of emissions and removals from all human-induced land use changes and land management. Note that CO₂-LULUCF is referred to as (net) land-use change emissions, E_{LUC} , in the context of the global carbon budget (Friedlingstein et al., 2020). Agriculture per se, apart from conversions between different agricultural types, does not lead to substantial CO₂ emissions as compared to land-use changes such as clearing or regrowth of natural vegetation. Therefore, CO₂ fluxes in the AFOLU sector refer almost exclusively to forestry and other land use (changes), while the agricultural part of the sector is mainly characterized by CH₄ and N₂O fluxes.

Since in reality anthropogenic CO₂-LULUCF emissions co-occur with natural CO₂ fluxes in the terrestrial biosphere, models have to be used to distinguish anthropogenic and natural fluxes (Friedlingstein et al., 2020). CO₂-LULUCF as reported here is calculated via a bookkeeping approach, as originally proposed by Houghton et al. (2003), tracking carbon stored in vegetation and soils before and after land-use change. Response curves are derived from the literature and observations to describe the temporal evolution of the decay and regrowth of vegetation and soil carbon pools for different ecosystems and land use transitions, including product pools of different lifetimes. These dynamics distinguish bookkeeping models from the common approach of estimating "committed emissions"

(assigning all present and future emissions to the time of the land use change event), which is frequently derived from remotely-sensed land use area or biomass observations (Ramankutty et al., 2007). Most bookkeeping models also represent the long-term degradation of primary forest as the reduction of standing vegetation and soil carbon stocks in secondary forests, and include forest management practices such as wood harvesting. Since the effects of environmental changes are excluded by the bookkeeping approach, bookkeeping CO₂-LULUCF emissions estimates isolate the effects of anthropogenic (land-use-related) drivers.

The definition of CO₂-LULUCF emissions by global carbon cycle models, as used here and in Canadell et al. (2021), differs from IPCC definitions (IPCC, 2006) applied in national greenhouse gas inventories (NGHGI) for reporting under the climate convention (Grassi et al., 2018) and, similarly, from FAO estimates of carbon fluxes on forest land (Tubiello et al., 2021). Concretely, this means that NGHGI data include natural terrestrial fluxes caused by changes in environmental conditions, e.g., effects of rising atmospheric CO₂ ("CO₂-fertilization"), climate change, and nitrogen deposition – sometimes called "indirect effects" as opposed to the direct anthropogenic effects of land-use change and management (see 2.2.2.1 and Chapter 7) (Houghton et al., 2012) – through adoption of the IPCC so-called land-use proxy approach when they occur on areas that countries declare as managed. Since environmental changes turned the terrestrial biosphere into a massive sink, removing about one third of annual anthropogenic emissions in the last decade (Friedlingstein et al., 2020), it is unsurprising that global emission estimates are smaller based on NGHGI than for global models' definitions (see Figure 2 SM.1). About 3.2 GtCO₂ yr⁻¹ (for the period 2005-2014) was found to be explicable by these conceptual differences in anthropogenic forest sink estimation related to the representation of environmental change impacts and the areas considered as managed (Grassi et al., 2018).

These two conceptually different approaches have different aims: The global models' approach separates natural from anthropogenic drivers, i.e., effects of changes in environmental conditions from effects of land-use change and land management. By contrast, the NGHGI approach separates fluxes based on areas, with all those occurring on managed land being declared anthropogenic. Given that observational data of carbon stocks or fluxes cannot distinguish the co-occurring effects of environmental changes and land use activities, an area-based approach that does not require this distinction can more consistently be implemented across countries. These conceptual differences between global models' and NGHGI approaches have been acknowledged (Petrescu et al., 2020a; Canadell et al., 2021) and approaches have been developed to map the two definitions to each other (Grassi et al., 2018, 2021). For non CO₂ GHGs, drivers and areas coincide, such that FAOSTAT data for CH₄ and N₂O is complementary to bookkeeping CO₂-LULUCF emissions.

Following the approach taken by the global carbon budget (Friedlingstein et al., 2020), the approach taken here is to use the average of estimates from three bookkeeping models: BLUE (Hansis et al., 2015), H&N (Houghton and Nassikas, 2017), and OSCAR (Gasser et al., 2020). Key differences across these estimates, including land-use forcing, are summarised in Table 2.SM.4. Since bookkeeping models do not include emissions from organic soils, emissions from peat fires and peat drainage are added from external datasets: Peat burning is based on the Global Fire Emission Database (GFED4s; van der Werf et al., 2017) and introduces large interannual variability to the CO₂-LULUCF emissions due to synergies of land-use and climate variability particularly in Southeast Asia, strongly noticeable during El-Niño events such as in 1997. Peat drainage is based on estimates by Hooijer et al. (2010) for Indonesia and Malaysia in H&N, and added to BLUE and OSCAR from the global FAO data on organic soils emissions from croplands and grasslands (Conchedda and Tubiello, 2020).

2.2 Uncertainties in GHG emission estimates

Estimates of historic GHG emissions – CO₂, CH₄, N₂O and F-gases (HFCs, PFCs, SF₆, NF₃) – are uncertain to different degrees. Assessing and reporting uncertainties is crucial in order to understand whether available estimates are sufficiently accurate to answer, for example, whether GHG emissions are still rising, or if a country has achieved an emission reduction goal (Marland, 2008). These uncertainties can be of a scientific nature, such as when a process is not sufficiently understood. They also arise from incomplete or unknown parameter information (activity data, emission factors etc.), as well as estimation uncertainties from imperfect modelling techniques. There are at least three major ways to examine uncertainties in emission estimates (Marland et al., 2009): 1) by comparing estimates made by independent methods and observations (e.g. comparing top-down vs bottom-up estimates; modelling against remote sensing data) (Petrescu et al., 2020a, 2021; Li et al., 2020; Saunio et al., 2020); 2) by comparing estimates from multiple sources and understanding sources of variation (Andrew, 2020; Macknick, 2011; Ciais et al., 2021; Andres et al., 2012); 3) by evaluating multiple estimates from a single source (Hoesly and Smith, 2018) including approaches such as uncertainty ranges estimated through statistical sampling across parameter values, applied for example at the country or sectoral level (Monni et al., 2007; Solazzo et al., 2021; e.g. Andres et al., 2014), or to spatially distributed emissions (Tian et al., 2019).

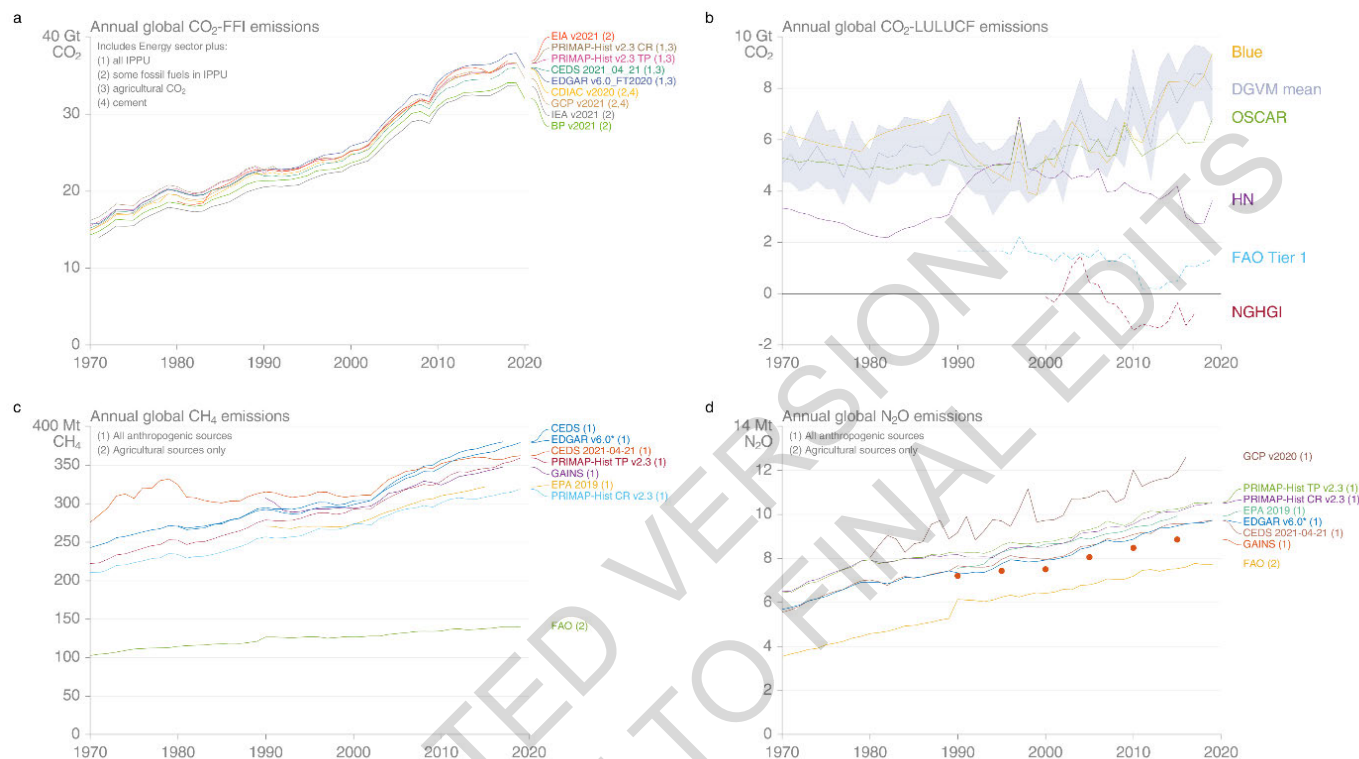


Figure 2 SM.1 - Estimates of global anthropogenic greenhouse gas emissions from different data sources 1970-2019. Top-left panel: CO₂ FFI emissions from: EDGAR - Emissions Database for Global Atmospheric Research (this dataset) (Crippa et al., 2021); GCP – Global Carbon Project (Friedlingstein et al., 2020; Andrew and Peters, 2021); CEDS - Community Emissions Data System (Hoesly et al., 2018; O'Rourke et al., 2021); CDIAC Global, Regional, and National Fossil-Fuel CO₂ Emissions (Gilfillan et al., 2020); PRIMAP-hist - Potsdam Real time Integrated Model for probabilistic Assessment of emissions Paths (Gütschow et al., 2016, 2021b); EIA - Energy Information Administration International Energy Statistics (EIA, 2019); BP - BP Statistical Review of World Energy (BP, 2021); IEA - International Energy Agency (IEA, 2021b; a); IPPU refers to emissions from industrial processes and product use. Top-right panel: Net anthropogenic CO₂-LULUCF emissions from: BLUE – Bookkeeping of land-use emissions (Hansis et al., 2015; Friedlingstein et al., 2020); DGVM-mean – Multi-model mean of CO₂-LULUCF emissions from dynamic global vegetation models (Friedlingstein et al., 2020); OSCAR – an earth system compact model (Gasser et al., 2020; Friedlingstein et al., 2020); HN – Houghton and Nassikas Bookkeeping Model (Houghton and Nassikas, 2017; Friedlingstein et al., 2020); for comparison, the net CO₂ flux from FAOSTAT (FAO Tier 1) is plotted, which comprises net emissions and removals on forest land and from net forest conversion (Tubiello et al., 2021; FAOSTAT, 2021), emissions from drained organic soils under cropland/grassland (Conchedda and Tubiello 2020), and fires in organic soils (Prosperi et al., 2020; Conchedda and Tubiello, 2020), as well

1 as a net CO₂ flux estimate from National Greenhouse Gas Inventories (NGHGI) based on country reports to the UNFCCC, which include land use change, and fluxes
2 in managed lands (Grassi et al., 2021). Bottom-left panel: Anthropogenic CH₄ emissions from: EDGAR (above); CEDS (above); PRIMAP-hist (above); GAINS - The
3 Greenhouse gas – Air pollution Interactions and Synergies Model (Höglund-Isaksson et al., 2020); EPA-2019: Greenhouse gas emission inventory (US-EPA, 2019);
4 FAO –FAOSTAT inventory emissions (Tubiello et al., 2013; Tubiello, 2018; FAOSTAT, 2021); Bottom-right panel: Anthropogenic N₂O emissions from: GCP – global
5 nitrous oxide budget (Tian et al., 2020); CEDS (above); EDGAR (above); PRIMAP-hist (above); GAINS (Winiwarter et al., 2018); EPA-2019 (above); FAO (above).
6 Differences in emissions across different versions of the EDGAR dataset are shown in the Supplementary Material (Fig. Figure 2 SM.2). Source: Minx et al. (2021)

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Uncertainty estimates can be rather different depending on the method chosen. For example, the range of estimates from multiple sources is bounded by their interdependency; they can be lower than true structural plus parameter uncertainty estimates or than estimates made by independent methods. In particular, it is important to account for potential bias in estimates, which can result from using common methodological or parameter assumptions across estimates, or from missing sources, which can result in a systemic bias in emission estimates (see N₂O discussion below). Independent top-down observational constraints are, therefore, particularly useful to bound total emission estimates (Petrescu et al., 2021).

Solazzo et al. (2021) evaluated the uncertainty of EDGAR's source categories and totals for the main GHGs (CO₂-FFI, CH₄, N₂O). This study is based on the propagation of the uncertainty associated with input parameters (activity data and emission factors) as estimated by expert judgement (Tier-1) and compiled by the IPCC (2019, 2006). A key methodological challenge is determining how well uncertain parameters are correlated between sectors, countries, and regions. The more highly correlated parameters (e.g. emission factors) are across scales, the higher the resulting overall uncertainty estimate. Solazzo et al. (2021) assume full covariance between the same source categories where similar assumptions are being used, and independence otherwise. For example, they assume full covariance where the same emission factor is used between countries or sectors, while assuming independence where country-specific emission factors are used. This strikes a balance between extreme assumptions (full independence or full covariance in all cases) that are likely unrealistic, but still leans towards higher uncertainty estimates. When aggregating emission sources, assuming full covariance increases the resulting uncertainty estimate. Uncertainties calculated with this methodology tend to be higher than the range of values from ensemble of dependent inventories (Saunois et al., 2016, 2020). The uncertainty of emission estimates derived from ensembles of gridded results from bio-physical models (Tian et al., 2018) adds an additional dimension of spatial variability, and is therefore not directly comparable with aggregate country or regional uncertainty, estimated with the methods discussed above.

This section provides an assessment of uncertainties in greenhouse gas emissions data at the global level. The uncertainties reported here combine statistical analysis, comparisons of global emissions inventories and expert judgement of the likelihood of results lying outside a defined confidence interval, rooted in an understanding gained from the relevant literature. At times, we also use a qualitative assessment of confidence levels to characterize the annual estimates from each term based on the type, amount, quality, and consistency of the evidence as defined by the IPCC (IPCC, 2014).

Such a comprehensive uncertainty assessment covering all major groups of greenhouse gases and considering multiple lines of evidence has been missing in the literature. The absence has provided a serious challenge for a transparent, scientific reporting of GHG emissions in climate change assessments like those by IPCC's Working Group III or the UN Emissions Gap Report that have only more recently started to even deal with the issue (Blanco et al., 2014; UNEP, 2020). Most of the available studies in the peer-reviewed literature using multiple lines of evidence for their assessment have focused on individual gases like in the Global Carbon Budget (Friedlingstein et al., 2020), the Global Methane Budget (Saunois et al., 2020) or the Global Nitrous Oxide Budget (Tian et al., 2020) or covered multiple gases, but mainly considered individual lines of evidence (Janssens-Maenhout et al., 2019; Solazzo et al., 2021).

We adopt a 90% confidence interval (5th-95th percentile) to report the uncertainties in our GHG emissions estimates, i.e., there is a 90 % likelihood that the true value will be within the provided range if the errors have a Gaussian distribution, and no bias is assumed. This is in line with previous reporting in IPCC AR5 (Ciais et al., 2014; Blanco et al., 2014). Note that national emissions inventories submitted to the UNFCCC are requested to report uncertainty using a 95% or 2 σ confidence interval. The use of this broader uncertainty interval implies, however, a relatively high degree of knowledge about the uncertainty structure of the associated data, particularly regarding the distribution of uncertainty in

the tails of the probability distributions. Such a high degree of knowledge is not present across all regions, emission sectors, and species considered here. Note that in some cases below we convert 1σ uncertainty results from the literature to a 90% confidence interval by implicitly assuming a normal distribution. While we do this as a necessary assumption to obtain a consistent estimate across all GHGs, we note that this itself is an assumption that may not be valid. We have made use of the best available information in the literature, but note that much more work on uncertainty quantification remains to be done. Using IPCC uncertainty language, we cannot assign *high confidence* to the robustness of most existing uncertainty estimates.

2.2.1 CO₂ emissions from fossil fuels and industrial processes (CO₂-FFI)

Several studies have compared estimates of annual CO₂-FFI emissions from different global inventories (Andres et al., 2012; Macknick, 2011; Gütschow et al., 2016; Janssens-Maenhout et al., 2019; Petrescu et al., 2020b; Andrew, 2020). However, estimates are not fully independent as they all ultimately rely on many of the same data sources. For example, all global inventories use one of four global energy datasets to estimate CO₂ emissions from energy use, and these energy datasets themselves all rely on the same national energy statistics, with few exceptions (Andrew, 2020). Some divergence between these estimates (see Figure 2 SM.2) are related to differences in the estimation methodology, conversion factors, emission coefficients, assumptions about combustion efficiency, and calculation errors (Marland et al., 2009; Andrew, 2020). Key differences for nine global datasets are highlighted in Table 2.SM.3 (see also Table 2.SM.2 for further information on the inventories). Another important source of divergence between datasets is differences in their respective system boundaries (Macknick, 2011; Andres et al., 2012; Andrew, 2020). Hence, differences across CO₂-FFI emissions estimates do not reflect full uncertainty due to source data dependencies. At the same time, the observed range across estimates from different databases exaggerates uncertainty, to the extent that they largely originate in system boundary differences (Macknick, 2011; Andrew, 2020).

Across global inventories, mean global annual CO₂-FFI emissions track at 34 ± 2 GtCO₂ in 2014, reflecting a variability of about $\pm 5.4\%$ (Figure 2 SM.1). However, this variability is almost halved when system boundaries are harmonised (Andrew, 2020). EDGAR CO₂-FFI emissions as used there track at the top of the range as shown in Figure 2 SM.1. This is partly due to the comprehensive system boundaries of EDGAR, but also due to the assumption of 100% oxidation of combusted fuels as per IPCC default assumptions. Once system boundaries are harmonised EDGAR continues to track at the upper end of the range, but no longer at the top. EDGAR CO₂-FFI estimates are further well-aligned with emission inventories submitted by Annex I countries to the UNFCCC – even though some variation can occur for individual countries (Andrew, 2020; Minx et al., 2021). Differences in FFI-CO₂ emissions across different version of the EDGAR dataset are shown in Figure 2 SM.2.

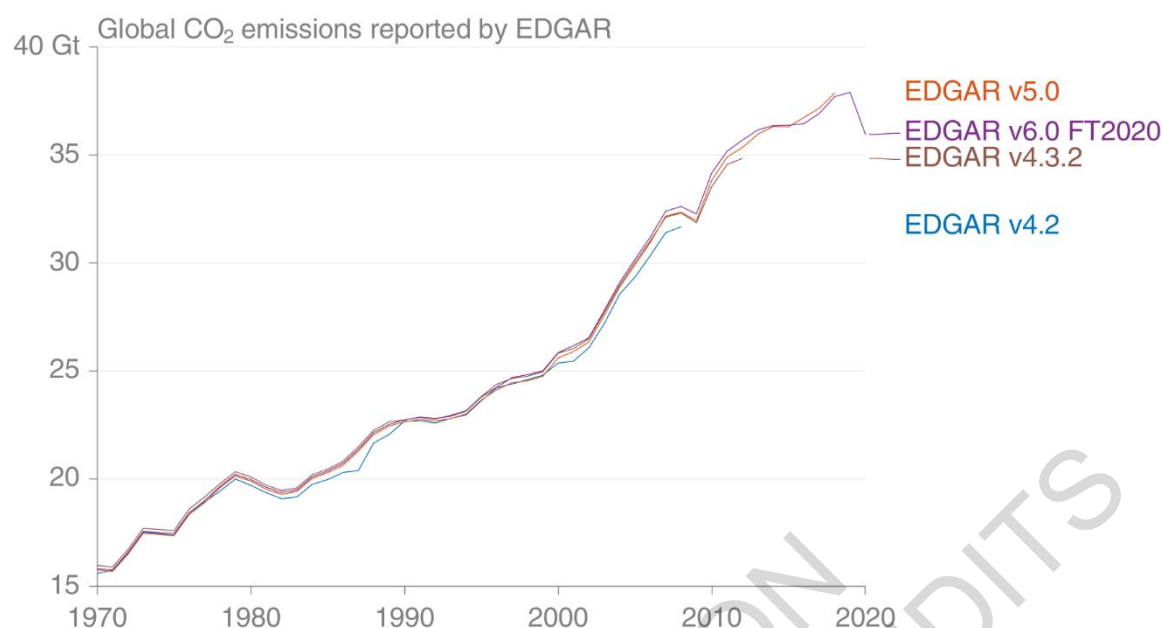


Figure 2 SM.2 - Comparison of estimates from different versions of the EDGAR database for CO₂ from fossil fuel combustion and industry. EDGAR v6.0 FT2020 refers to the Chapter 2 emissions dataset, as documented in this supplementary material and in Minx et al. (2021).

Uncertainties in CO₂-FFI emissions arise from the combination of uncertainty in activity data and uncertainties in emission factors including assumptions for combustion completeness and non-combustion uses. CO₂-FFI emissions estimates are largely derived from energy consumption activity data, where data uncertainties are comparatively small due to well established statistical monitoring systems, although there are larger uncertainties in some countries and time periods (Ballantyne et al., 2015; Macknick, 2011; Janssens-Maenhout et al., 2019; Andres et al., 2012; Andrew, 2020). Most of the underlying uncertainties are systematic and related to underlying biases in the energy statistics and accounting methods used (Friedlingstein et al., 2020). Uncertainties are lower for fuels with relatively uniform properties such as natural gas, oil or gasoline and higher for fuels with more diverse properties, such as coal (Blanco et al., 2014; IPCC, 2006). Uncertainties in CO₂ emissions estimates from industrial processes, i.e. non-combustive oxidation of fossil fuels and decomposition of carbonates, are higher than for fossil fuel combustion. At the same time, products such as cement also take up carbon over their life cycle, which are often not fully considered in carbon balances (Xi et al., 2016; Sanjuán et al., 2020; Guo et al., 2021). However recent versions of the global carbon budget include specific estimates for the cement carbonation sink and estimate average annual CO₂ uptake at 0.70 GtCO₂ for 2010-2019 (Friedlingstein et al., 2020).

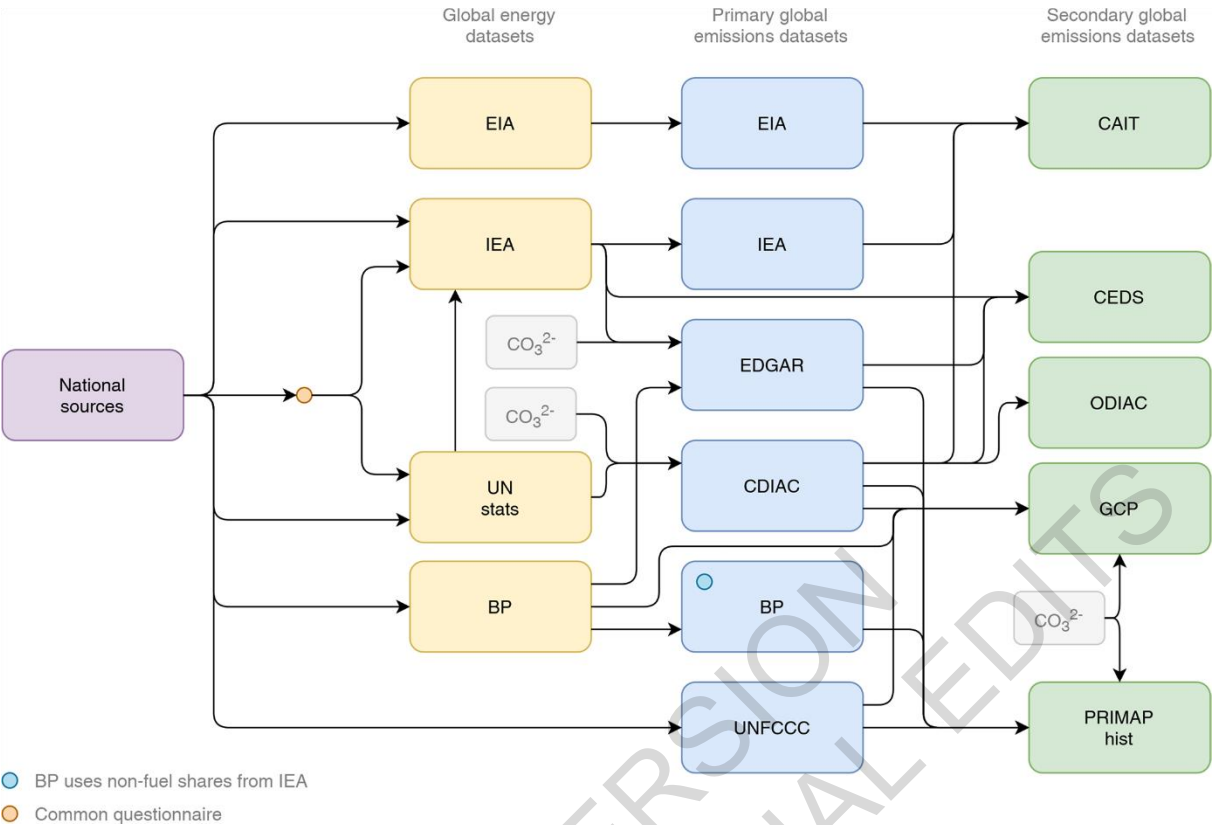


Figure 2 SM.3 - Dependencies of selected global energy and CO₂ emissions datasets. Here a “primary” emissions dataset is one that calculated emissions directly from energy data, rather than collating emissions estimates from other sources. In addition to energy data sources, some emissions datasets include emissions from carbonates, which rely on other data sources. Some national data are first collated by regional organisations. “UN stats” is the United Nations Statistics Office (not UNFCCC). Source: (Andrew, 2020)

Uncertainties for energy consumption data (and, therefore, CO₂-FFI emissions) are generally higher for the first year of their publication when less data is available to constrain estimates. In the BP energy statistics, 70% of data points are adjusted by an average of 1.3% of a country’s total fossil fuel use in the subsequent year with further more modest revisions later on (Hoesly and Smith, 2018). Uncertainties are also higher for developing countries, where statistical reporting systems do not have the same level of maturity as in many industrialised countries (Friedlingstein et al., 2019; Guan et al., 2012; Korsbakken et al., 2016; Gregg et al., 2008; Friedlingstein et al., 2020; Janssens-Maenhout et al., 2019; Marland 2008; Andres et al., 2012; Andrew, 2020). However, these customary country groupings do not always predict the extent to which a country’s energy data has undergone historical revisions (Hoesly and Smith, 2018). Uncertainties in CO₂-FFI emissions before the 1970s are higher than for more recent estimates. Over the last two to three decades uncertainties have increased again because of increased fuel production and consumption in some developing countries with less rigorous statistics and more uncertain fuel properties (Friedlingstein et al., 2020; Marland et al., 2009; Ballantyne et al., 2015).

Table 2.SM.3 - System boundaries and other key features of global FFI-CO₂ emissions datasets. Comparison of some important general characteristics of nine emissions datasets, with green indicating a characteristic that might be considered a strength. Columns four to six refer to CO₂ emission estimates for

industrial processes and product use. Since all datasets are under development, these details are subject to change. Based on Andrew (2020). Source: Minx et al. (2021).

	Primary source	Uses IPCC emission factors	Includes venting & flaring	Includes cement	Includes other carbonates	Non-fuel use based on	Reports bunkers separately	By fuel type	By sector	Includes official estimates
CDIAC	yes	no	yes	yes	no	national data	yes	yes	no	no
BP	yes	yes	no	no	no	national data	no	no	no	no
IEA	yes	yes	no	no	no	national data	yes	yes	yes	no
EDGAR	yes	yes	yes	yes	yes	national data	yes	no	yes	no
EIA	yes	no	yes	no	no	US data	no	yes	No	no
GCP	partial	no	yes	yes	partial	national data	yes	yes	no	yes
CEDS	mostly	no	yes	yes	yes	national data	yes	yes	yes	yes
PRIMAP-hist	no	no	yes	yes	yes	national data	yes	no	yes	yes
UNFCCC CRFs	yes	partial	yes	yes	yes	national data	yes	yes	yes	yes

The global carbon project (Le Quéré et al., 2018; Friedlingstein et al., 2020, 2019) assesses uncertainties in global anthropogenic CO₂-FFI emissions estimates within one standard deviation (1σ) as ±5% (±10% at 2σ). This is broadly consistent with the ±8.4% uncertainty estimate for CDIAC (Andres et al., 2014) as well as the ±7–±9% uncertainty estimate for EDGARv4.3.2 and v5 (Janssens-Maenhout et al., 2019; Solazzo et al., 2021) at 2σ. It remains at the higher end of the ±5%–±10% range provided by Ballantyne et al. (2015). Consistent with the above uncertainty assessments, we present uncertainties for global anthropogenic CO₂ emissions at ±8% for a 90% confidence interval in line with IPCC AR5 (Blanco et al., 2014).

2.2.2 Anthropogenic CO₂ emissions from land use, land use change and forestry (CO₂-LULUCF)

CO₂-LULUCF emissions are drawn from three global bookkeeping models. For 1990–2019, average net CO₂-LULUCF emissions are estimated at 6.1, 4.3, and 5.6 GtCO₂ yr⁻¹ for BLUE, H&N, and OSCAR (Friedlingstein et al., 2020). Gross emissions 1990–2019 for BLUE, H&N, OSCAR are 17, 9.6 and 19 GtCO₂ yr⁻¹, while gross removals are 11, 5.3, 13 GtCO₂ yr⁻¹, respectively. For 1990–2019 maximum average differences are 9.1 and 7.8 GtCO₂ yr⁻¹ for gross emissions and removals, respectively (Friedlingstein et al., 2020). Note that 2016–2019 is extrapolated in H&N and 2019 in OSCAR based on the anomalies of the net flux for the gross fluxes. Differences in the models underlying this observed variability are reported in Table 2 SM.4. In the longer term, a consistent general upward trend since

1850 across models is reversed during the second part of the 20th century. Since the 1980s, however, differing trends across models are related to, among other things, different land-use forcings (Gasser et al., 2020). Further differences between BLUE and H&N can be traced in particular to: (1) differences in carbon densities between natural and managed vegetation, or between primary and secondary vegetation; (2) a higher allocation of cleared and harvested material to fast turnover pools in BLUE compared to H&N; and (3) to the inclusion sub-grid scale transitions (Bastos et al., 2021).

Uncertainties in CO₂-LULUCF emissions can be more comprehensively assessed through comparisons across a suite of dynamic global vegetation models (DGVM) (Friedlingstein et al., 2020). DGVM models are not included in the CO₂-LULUCF mean estimate provided here, because the typical DGVM setup includes the loss of additional sink capacity. The loss of additional sink capacity arises because DGVMs isolate LULUCF emissions from natural fluxes caused by historical environmental changes by subtracting a counterfactual simulation without land-use change from one with land-use change (Pongratz et al., 2014). In particular, forests have increased their carbon density over time due to CO₂ and other environmental effects beneficial for plant growth. The "additional sink capacity" forests would have created at the unaltered pre-industrial extent is "lost" through land-use change and included in the DGVM estimates of CO₂-LULUCF, but excluded in bookkeeping estimates that disregard changes in carbon densities in response to environmental changes. The loss of additional sink capacity makes up about 40% of the DGVM estimate in recent years (Obermeier et al., 2021).

Nonetheless, a CO₂-LULUCF estimate from the DGVM multi model mean remains consistent with the average estimate from the bookkeeping models, as shown in Figure 2 SM 1. Variation across DGVMs is large with a standard deviation at around 1.8 GtCO₂ yr⁻¹, but is still smaller than the average difference between bookkeeping models at 2.6 GtCO₂ yr⁻¹ as well as the current estimate of H&N (Houghton and Nassikas, 2017) and its previous model versions (Houghton et al., 2012). DGVMs differ in methodology, input data and how comprehensively they represent land-use-related processes. In particular land management, such as crop harvesting, tillage, or grazing (all implicitly included in observation-based carbon densities of bookkeeping models) can alter CO₂ flux estimates substantially, but are included to varying extents in DGVMs thus increasing model spread (Arneeth et al., 2017). For all types of models, land-use forcing is a major determinant of emissions and removals, and its high uncertainty impacts CO₂-LULUCF estimates (Bastos et al., 2021). The reconstruction of land-use change of the historical past, which has to cover decades to centuries of legacy LULUCF fluxes, is based on sparse data or proxies (Goldewijk et al., 2017; Hurtt et al., 2020), while satellite-based products suffer from complications in distinguishing natural from anthropogenic drivers (Li et al., 2018; Hansen et al., 2013) or accounting for small-scale disturbances and degradation (Matricardi et al., 2020). Lastly, regional carbon budgets can be substantially over- or underestimated when the carbon embodied in trade products is not accounted for (Ciais et al., 2021).

Friedlingstein et al. (2020) is taken as the reference point for our uncertainty assessment. The Global Carbon Budget provides a best-value judgement for the $\pm 1\sigma$ absolute uncertainty range of CO₂-LULUCF emissions at ± 2.6 GtCO₂ yr⁻¹, constant over the last decades. This constant, absolute uncertainty estimate corresponds roughly to a relative uncertainty of about $\pm 50\%$ over 1970-2019, which is much higher than for most fossil-fuels related emission, but reflects the large model spread and large differences between the current estimate of H&N and its previous model versions (Houghton et al., 2012). This corresponds to a relative uncertainty of about $\pm 80\%$ for a 90% confidence interval (5th-95th percentile). However, here we opt for a slightly lower relative uncertainty estimate of about $\pm 70\%$ for a 90% confidence interval given that the mean of the CO₂-LULUCF estimates has been increasing over the last few decades. This provides absolute uncertainty estimates that are consistent in magnitude with the constant value in Friedlingstein et al. (2020) over time – slightly lower for earlier years and slightly higher for the most recent years. Compared to AR5 this is larger than the $\pm 50\%$ uncertainty estimate applied in the assessment, but still in line with the upper end of the broader relative uncertainty range

considered of $\pm 50\%$ - $\pm 75\%$ (Blanco et al., 2014). Finally note that much larger uncertainties in CO₂-LULUCF emissions have been identified across the literature, but were traced back to different definitions used in various modelling frameworks (Pongratz et al., 2014) as well as inventory data (Grassi et al., 2018).

Uncertainties can be much higher at a national level than at global level, since regional biases tend to cancel out. Land-use forcing has been identified as major driver of differences at regional and global level (Gasser et al., 2020; Hartung et al., 2021; Rosan et al., 2021), as have assumptions on carbon densities and the allocation of cleared or harvested material to slash or product pools of various lifetimes, for which accurate global data over long time periods is missing (Bastos et al., 2021). Although the bookkeeping models are conceptually similar, the bookkeeping estimates include country-specific information to different extents: for example, fire suppression (for the U.S.) is included in H&N (Houghton and Nassikas, 2017), but not the other estimates, and H&N includes peat drainage emissions only for Southeast Asia, while the FAO emissions estimates for organic soil drainage added to BLUE and OSCAR cover all countries (Friedlingstein et al., 2020). The effect of smoothing the FAO cropland and pasture information, which can be very variable in some countries, with a 5-year running mean in H&N, while the annual data is used for the recent decades in HYDE and rlying BLUE and OSCAR, must also be expected to contribute to the spread in estimates on a country level. Overall great care has to be taken when comparing estimates of individual countries across models to not over-interpret differences.

Finally, note that attempts to constrain the estimates of CO₂-LULUCF emissions from bookkeeping models and DGVMs by observed biomass densities have been undertaken, but were successful only in some non-tropical regions (Li et al., 2017). While providing valuable independent and observation-driven information, remote-sensing derived estimate of carbon stock changes have limited applicability for model evaluation for the total CO₂-LULUCF flux since they usually only quantify vegetation biomass changes and exclude legacy emissions from the pre-satellite era. Further, with the exception of the (pan-tropical) estimates by Baccini et al. (2012) they either track committed instead of actual emissions (e.g. Tyukavina et al., 2015), combine a static carbon density map with forest cover changes, or include the natural land sink (e.g. Baccini et al., 2017) to infer fluxes directly from the carbon stock time series. None of these approaches therefore fully distinguishes natural from anthropogenic disturbances for actual emissions as the CO₂-LULUCF emissions estimate provided here, based on bookkeeping models and DGVMs, do, such that a direct evaluation is hampered.

Table 2.SM 4 Key differences between global bookkeeping estimates for CO₂-LULUCF emissions.

	Bookkeeping model		
	BLUE ^a	H&N ^b	OSCAR ^c
Geographical scale of computation	0.25 degree gridscale	country	10 regions and 5 biomes
Carbon densities of soil and vegetation	literature-based	based on country reporting	calibrated to DGVMs
Land-use forcing	LUH2 ^{d,e}	FAO ^f	LUH2 and FAO ^{d,e, f}
Representation of processes (indicative effect on AFOLU CO₂ emissions)			

<i>Sub-grid scale ("gross") land-use transitions</i>	yes (↑)	no (↓)	yes (↑)
<i>Pasture conversion</i>	From all natural vegetation types proportionally (↑)	from grasslands first (↓)	from all natural vegetation types proportionally (↑)
<i>Distinction rangeland vs pasture</i>	yes (↓)	no (↑)	no (↑)
<i>Coverage peat drainage (as in Global Carbon Budget 2020)</i>	World (↑) ^g	South East Asia (↓) ^h	World (↑) ^g

Notes: DGVM – dynamic global vegetation model; LUH2 and FAO refer to land-use forcing datasets; arrows indicate tendency of process to increase or decrease emissions compared to the other estimates' choice. Source: Minx et al. (2021).

Literature: ^a (Hansis et al., 2015); ^b (Houghton and Nassikas, 2017); ^c (Gasser et al., 2020); ^d (Hurtt et al., 2020); ^e (Chini et al., 2021); ^f (FAO, 2015); ^g based on rangeland-pasture distinction of the HYDE dataset (Goldewijk et al., 2017) and forest cover map of Hurtt et al. (2020); see Friedlingstein et al. (2020) for details ^h (Conchedda and Tubiello, 2020); ⁱ (Hooijer et al., 2010)

2.2.3 Anthropogenic CH₄ emissions

About 60% of total global methane emissions come from anthropogenic sources, i.e. they are caused by direct human activities since pre-industrial times/pre-agricultural times (Saunois et al., 2020). Some studies suggest larger anthropogenic fossil emissions than currently estimated (e.g. Hmiel et al., 2020). Anthropogenic methane emissions cover a range of different sectors: livestock (enteric fermentation and manure management, rice cultivation), fossil fuel production, distribution, and use, waste handling (solid and water waste) as well as biomass and biofuel burning. About 90% of biomass burning events are thought to be triggered by human action (Andreae, 1991); as biomass burning contribute less than 5% to anthropogenic methane emissions, the misallocations of the natural fires is likely lower than the overall uncertainty. Methane emissions can be derived either using bottom-up (BU) estimates that rely on anthropogenic inventories such as EDGAR (Janssens-Maenhout et al., 2019), land surface models that infer part of natural emissions (Wania et al., 2013) or flux observation-based estimates for some specific sources such as geological sources (Etiope et al., 2019). Alternatively, top-down (TD) approaches can be used, such as atmospheric transport models that assimilate methane atmospheric observations to estimate past methane emissions (Houweling et al., 2017). These techniques are applied to infer emissions for a specific facility, sector, region or other aggregation, based on in-situ or satellite-based observations. Satellite observations have greatly improved the coverage of available data to better constrain TD approaches. Local or regional studies have proved important as independent estimate of inventories while being spared of the chemical sink uncertainty (e.g. Maasakkers et al., 2021). Some TD systems aim to optimize certain emission sectors based on differences in their spatial and temporal distributions (e.g. Bergamaschi et al., 2013), while other only solve for net emissions at the surface. Then the partitioning of TD posterior (output) fluxes between specific source sectors is carried out with various degrees of uncertainty depending of the methods and the degree of refinement of sectors, but often rely on ratios from the prior knowledge of fluxes. Comprehensive assessments of methane sources and sinks have been provided by Saunois et al. (2016, 2020) and Kirschke et al. (2013).

EDGAR (Crippa et al., 2019; Janssens-Maenhout et al., 2019; Crippa et al., 2021) is one of multiple global methane BU inventories available. Other inventories – namely GAINS (Höglund-Isaksson, 2012;

Höglund-Isaksson et al., 2020), US-EPA (EPA, 2011, 2021), CEDS (McDuffie et al., 2020; O'Rourke et al., 2020; Hoesly et al., 2018), PRIMAP-hist (Gütschow et al., 2021b, 2016) as well as FAOSTAT-CH₄ (Tubiello, 2018; Federici et al., 2015; Tubiello et al., 2013; Tubiello, 2019) – can differ in terms of their country and sector coverage as well as detail. EDGAR, CEDS, US-EPA and GAINS cover all major source sectors (fossil fuels, agriculture and waste, biofuel) – except large scale biomass burning – but this can be added from different databases such as FINN (Wiedinmyer et al., 2011), GFAS (Kaiser et al., 2012), GFED (Giglio et al., 2013) or QFED (Darmenov and da Silva, 2013). Much like CO₂-FFI, these inventories of anthropogenic emissions are not completely independent as they either follow the same IPCC methodology to derive emissions, rely on similar data sources (e.g., FAOSTAT activity data for agriculture, reported fossil fuel production), or draw on reported country inventory data (Petrescu et al., 2020a, e.g. Figure 4). However, they may differ in the assumptions and data used for the calculation and in the choice of IPCC Tier levels for the methodology (see, Box 2.SM.1). For example, while the US-EPA inventory uses the reported emissions by the countries to UNFCCC, other inventories produce their own estimates using a consistent approach for all countries, and country specific activity data, emission factor and technological abatement when available. FAOSTAT and EDGAR mostly apply a Tier 1 approach to estimate CH₄ emissions while GAINS uses a Tier 2 approach (see Box 2.SM.1). CEDS is based on pre-existing emission estimates from FAOSTAT and EDGAR, which are then scaled to match country-specific inventories, largely those reported to UNFCCC.

Global anthropogenic CH₄ emission estimates are compared in Figure 2 SM.4. EDGARv5 has revised total global CH₄ emissions by about 10 Mt CH₄ yr⁻¹ compared to the previous version due to a higher waste sector estimate for the waste sector (see Figure 2 SM.1). Subsequent revisions of the estimation methodology in EDGARv6 in alignment with the IPCC guidelines refinement (IPCC, 2019) lead to very substantial differences in total CH₄ emissions that are up to 50 MtCH₄yr⁻¹ lower before the 1990s compared to previous versions, but differences are smaller ranging from 1-13 MtCH₄yr⁻¹ since the 2000s (see Figure 2 SM.1). The cause of these differences is a new procedure to separately estimate the venting component for gas and oil in the venting and flaring sector (1B2a/b2). Differences across different versions of the EDGAR dataset are shown in Figure 2 SM.4. US-EPA show the lowest estimates probably due to missing estimates from a significant number of countries not reporting to UNFCCC (US-EPA2020 includes estimates from only 195 countries) and incomplete sectoral coverage. EDGARv6 estimates of anthropogenic CH₄ emissions, as used here, are in the upper range of the different inventories across most anthropogenic sources. However, none of these inventories cover CH₄ emissions from forest and grassland burning, which amount to about 10-12 Mt yr⁻¹ globally.

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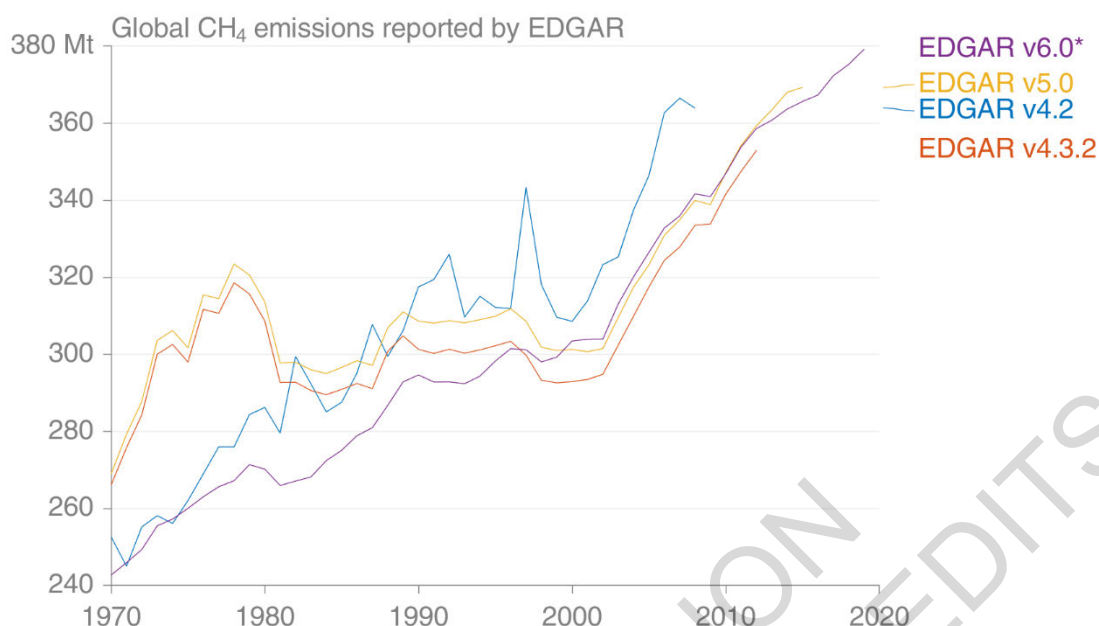


Figure 2 SM.4 - Comparison of estimates from different version of the EDGAR database for anthropogenic CH₄ emissions

Saunois et al. (2020) provide estimates of CH₄ sources and sinks based on bottom-up (BU) and top-down (TD) approaches associated with an uncertainty range based on the minimum and maximum values of available studies (because for many individual source and sink estimates the number of studies is often relatively small). Thus, they do not consider the uncertainty of the individual estimates. As shown in table Table 2.SM.5, uncertainties in total global CH₄ emissions across all anthropogenic and natural sources are comparatively small at $\pm 6\%$ - a range larger than errors in transport models only (Locatelli et al., 2015). However, this uncertainty on total emissions is probably underestimated as the uncertainty in the chemical sink was not fully considered in the TD estimates in Saunois et al. (2020). About 90% of the chemical sink of methane is due to the oxidation by the hydroxyl radical (OH). Uncertainty on the global burden of OH is about $\pm 5\%$, much lower than uncertainties derived from detailed analysis using EDGAR data by Janssens-Maenhout (2019) and Solazzo et al. (2021), reaching around $\pm 45\%$ at 2σ . Saunois et al. (2020) reported uncertainty of 10-15%, which translates to an uncertainty of about $\pm 10\%$ to $\pm 30\%$ depending on the category, with larger uncertainty in the fossil fuel sectors than in the agriculture and waste sector (Saunois et al., 2020). However, these uncertainties are also underestimated as they do not consider the uncertainty in each individual estimate, which includes potential uncertainties in activity data, emission factors, and equations used to estimate emissions.

Uncertainties in EDGAR CH₄ emissions using a Tier 1 approach are estimated at -33% to +46% at 2σ , but there is great variability across individual sectors ranging from $\pm 30\%$ (agriculture) to more than $\pm 100\%$ (fuel combustion), with high uncertainties in oil and gas sector ($\pm 93\%$) and coal fugitive emissions ($\pm 65\%$) (Solazzo et al., 2021). As an example of developed country with well-established emissions reporting, USA methane emissions also report large uncertainties depending on the sector (NASEM, 2018); although the activity data uncertainty may be lower than those for less developed countries. For example, global inventories, such as EDGAR, estimate uncertainties in national anthropogenic emissions of about $\pm 32\%$ for the 24 member countries of OECD, and up to $\pm 57\%$ for other countries, whose activity data are more uncertain (Janssens-Maenhout et al., 2019).

The 2020 UN emissions gap report (UNEP, 2020) gives an uncertainty range for global anthropogenic CH₄ emissions with one standard deviation of $\pm 30\%$ (i.e. $\pm 60\%$ for 2σ). On the other hand, IPCC AR5 provides a comparatively low estimate at $\pm 20\%$ for a 90% confidence interval. Overall, we apply a best value judgment of $\pm 30\%$ for global anthropogenic CH₄ emissions for a 90% confidence interval. This is justified by the larger uncertainties reported in studies on the EDGAR dataset (Janssens-Maenhout et al., 2019; Solazzo et al., 2021) as well as for FAO activity statistics by Tubiello et al. (Tubiello et al., 2015).

Table 2.SM.5 - Uncertainties estimated for CH₄ sources at the global scale: based on ensembles of bottom-up (BU) and top-down (TD) estimates, national reports and specific uncertainty assessments of EDGAR.

Note that this tables provides uncertainty estimates from some of the key literature based on different methodological approaches. It is not intended to be an exhaustive treatment of the literature. Source:

Minx et al. (2021)

	Estimated uncertainty in USA inventories ^a	Janssens-Maenhout et al. (2019) EDGARv4.3.2 uncertainty at 2σ	Solazzo et al. (2021) EDGARv5 uncertainty at 2σ	Global inventories uncertainty range ^b	Saunois et al (2020) BU uncertainty range ^c	Saunois et al. (2020) TD uncertainty range ^c
Total global anthropogenic sources (incl. Biomass burning)					$\pm 6\%$	$\pm 6\%$
Total global anthropogenic sources (excl. Biomass burning)		$\pm 47\%$	-33% to +46%	$\pm 8\%$	$\pm 5\%$	
Agriculture and Waste					$\pm 8\%$	$\pm 8\%$
Rice		$\pm 60\%$	31-38%	$\pm 22\%$	$\pm 20\%$	
Enteric fermentation	± 10 to 20%					
Manure management	$\pm 20\%$ and up to $\pm 65\%$			$\pm 5\%$	$\pm 8\%$	
Landfills and Waste	$\pm 10\%$ but likely much larger	$\pm 91\%$	78-79%	$\pm 17\%$	$\pm 7\%$	
Fossil fuel production & use					$\pm 20\%$	$\pm 25\%$

Coal	-15% to +20%	±75%	65%	±40%	±28%
Oil and gas	-20 % to +150%		93%	60-74% ±19%	±15%
Other		±100%	±100%	±64%	±130%*
Biomass and biofuel burning					±25% ±25%
Biomass burning					±35%
Biofuel burning		Included in “Other”	147%	+/-24%	±17%

^a Based on (NASEM, 2018)

^b Uncertainty calculated as ((min-max)/2)/mean*100 from the estimates of year 2017 of the six inventories plotted in Figure 2 SM.1. This does not consider uncertainty on each individual estimate.

^c Uncertainty calculated as ((min-max)/2)/mean*100 from individual estimates for the 2008-2017 decade. This does not consider uncertainty on each individual estimate, which is probably larger than the range presented here.

^d Based on EDGARv432 for year 2010 (Janssens-Maenhout et al., 2019).

^e Based on Solazzo et al. (Solazzo et al., 2021)

* Mainly due to difficulties in attributing emissions to small specific emission sector.

2.2.4 Anthropogenic N₂O emissions

Anthropogenic N₂O emissions occur in a number of sectors, namely agriculture, fossil fuel and industry, biomass burning, and waste. The emissions from the agriculture sector have four components: direct and indirect emissions from soil and water bodies (inland, coastal, and oceanic waters), manure left on pasture, manure management, and aquaculture. Besides these main sectors, a final ‘other’ category represents the sum of the effects of climate, elevated atmospheric CO₂, and land cover change. This is a new sector that was developed as part of the global nitrous oxide budget (Tian et al., 2020) – a recent assessment to quantify all sources and sinks of N₂O emissions updating previous work (Kroeze et al., 1999; Syakila and Kroeze, 2011; Mosier et al., 1998; Mosier and Kroeze, 2000). Estimates from the global nitrous oxide budget are referred to as GCP-N₂O since the assessment was facilitated by the Global Carbon Project (GCP). Overall, anthropogenic sources contributed just over 40% to total global N₂O emissions (Tian et al., 2020).

There are a variety of approaches for estimating N₂O emissions. These include inventories (Tubiello et al., 2013; Janssens-Maenhout et al., 2019; Tian et al., 2018), statistical extrapolations of flux measurements (Wang et al., 2020a), and process-based land and ocean modelling (Tian et al., 2019; Yang et al., 2020). There are at least five relevant global N₂O emissions inventories available: EDGAR (Janssens-Maenhout et al., 2019; Crippa et al., 2021, 2019), GAINS (Winiwarter et al., 2018), FAOSTAT-N₂O (Tubiello et al., 2013; Tubiello, 2018), CEDS (Hoesly et al., 2018; McDuffie et al., 2020; O’Rourke et al., 2020), PRIMAP-hist (Gütschow et al., 2021b, 2016), and GFED (van der Werf et al., 2017). While EDGAR and GAINS cover all sectors except biomass burning, FAOSTAT-N₂O is focused on agriculture and biomass burning and GFED on biomass burning only. As shown in Figure 2 SM.1, EDGAR, GAINS, CEDS and FAOSTAT emissions are consistent in magnitude and trend. Recent revisions in estimating indirect N₂O emissions in EDGARv6 lead to an average increase of 1.5% yr⁻¹ in total N₂O emissions estimates between 1999 and 2018 compared to the two previous versions

(differences before 1999 were negligible at less than 1% yr⁻¹). Differences across different versions of the EDGAR dataset are shown in Figure 2.SM.5. The main discrepancies across different global inventories are in agriculture, where emission estimates from the global nitrous oxide budget and FAOSTAT are on average 1.5 Mt N₂O yr⁻¹ higher than those from GAINS and EDGAR during 1990–2016, due to higher estimates of direct emissions from fertilised soils and manure left on pasture. GCP-N₂O provides the largest estimate (Figure 2 SM.1), because it synthesised from the other three inventories and further informed by additional bottom-up modelling estimates – and is as such more comprehensive in scope due to the new sector discussed above. EDGAR estimates of anthropogenic N₂O emissions as used in this dataset should therefore be considered as lower bound estimates (see also Table 2.SM.6).

Anthropogenic N₂O emissions estimates are subject to considerable uncertainty – larger than those from FFI-CO₂ or CH₄ emissions. N₂O inventories suffer from high uncertainty on input data, including fertiliser use, livestock manure availability, storage and applications (Galloway et al., 2010; Steinfeld et al., 2010) as well as nutrient, crops and soils management (Shcherbak et al., 2014; Ciais et al., 2014). Emission factors are also uncertain (Crutzen et al., 2008; Hu et al., 2012; Yuan et al., 2019; IPCC, 2019) and there remains several sources that are not yet well understood (e.g. peatland degradation, permafrost) (Wagner-Riddle et al., 2017; Elberling et al., 2010; Winiwarter et al., 2018). Model-based estimates face uncertainties associated with the specific model configuration as well as parametrisation (Buitenhuis et al., 2018; Tian et al., 2019, 2020). Total uncertainty is also large because N₂O emissions are dominated by emissions from soils, where our level of process understanding is rapidly changing.

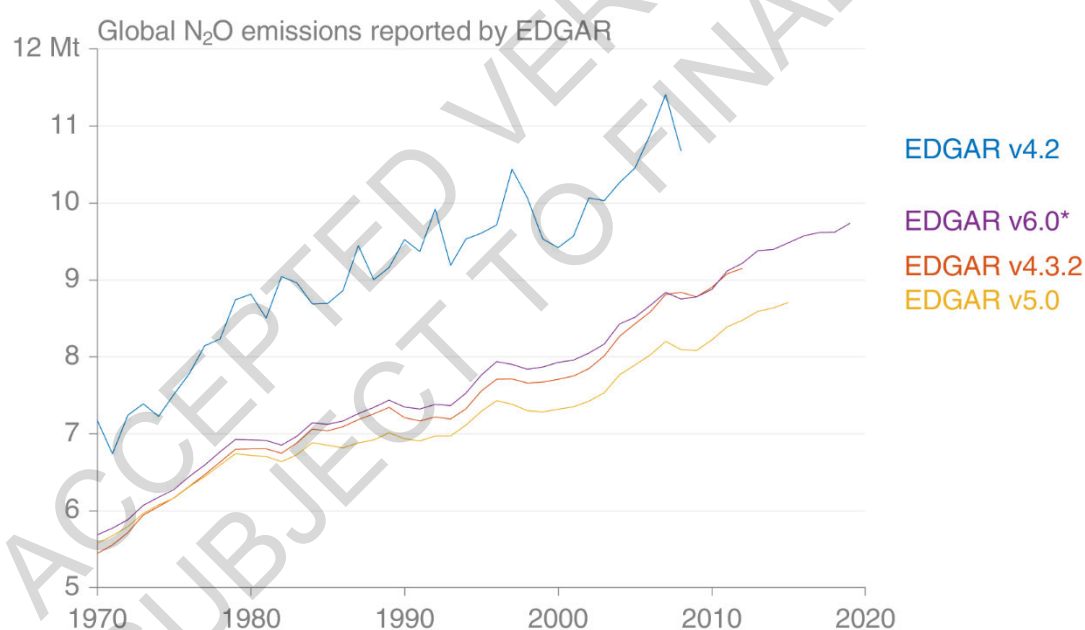


Figure 2 SM.5 - Comparison of estimates from different versions of the EDGAR database for anthropogenic N₂O emissions

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estimates face uncertainties associated with the specific model configuration as well as parametrisation (Buitenhuis et al., 2018; Tian et al., 2019, 2018). Total uncertainty is also large, because N₂O emissions are dominated by emissions from soils, where the level of process understanding is rapidly changing.

For EDGAR, uncertainties in N₂O emissions are estimated based on default values (IPCC, 2006) at $\pm 42\%$ for 24 OECD90 countries and at $\pm 93\%$ for other countries for a 95% confidence interval (Janssens-Maenhout et al., 2019). However, Solazzo et al. (2021) arrive at substantially larger values allowing for correlation of uncertainties between sectors, countries and regions. At a sector level, uncertainties are larger for agriculture (263%) than for energy (113%), waste (181%), industrial processes and product use (14%) and other (112%). In the recent Emissions Gap Report (UNEP, 2020) relative uncertainties for global anthropogenic N₂O emissions are estimated at $\pm 50\%$ for a 68% (1σ) confidence interval. This is larger than the $\pm 60\%$ uncertainties reported in IPCC AR5 for a 90% confidence interval (Blanco et al., 2014), but is comparable with the ranges for anthropogenic emissions in the global N₂O budget (Tian et al., 2020). Overall, we assess the relative uncertainty for global anthropogenic N₂O emissions at $\pm 60\%$ for a 90% confidence interval.

Table 2.SM.6 - Comparison of four global N₂O inventories: EDGAR (Janssens-Maenhout et al., 2019; Crippa et al., 2019); GCP (Tian et al., 2020); GAINS (Höglund-Isaksson et al. 2020; Winiwarer et al., 2018); FAOSTAT (Tubiello, 2018; Tubiello et al., 2013). Source: Minx et al. (2021)

Name	Time coverage	Geographic coverage	Activity split	IPCC	Reported emissions in 2015 (in MtN ₂ O)					
				emissions factors	agriculture	Fossil fuel and industry	Biomass burning	Waste and waste sector	other	Total
EDGAR	1970-2018	Global, 226 countries	4 main sectors, 24 sub-sectors	yes	6.2	2.3	0.05	0.4	-	8.9
GCP	1980-2016	Global, 10 regions	5 main sectors, 14 sub-sectors	no	8.4	1.6	1.1	0.6	0.3	11.9
GAINS	1990-2015 (every 5 years)	Global, 172 regions	3 main sectors, 16 sub-sectors	no	6.8	1.3	-	0.7	-	8.8
FAOSTAT	1961-2019	Global, 231 countries	2 main sectors, 9 sub-sectors	yes	8.3	-	0.9	-	-	9.2

2.2.5 Fluorinated gases

Fluorinated gases comprise over a dozen different species that are primarily used as refrigerants, solvents and aerosols. Here we compare global emissions of F-gases estimated in EDGAR to top-down estimates from the 2018 World Meteorological Organisation's (WMO) Scientific Assessment of Ozone Depletion (Engel and Rigby, 2018; Montzka and Velders, 2018). The top-down estimates were based on measurements by the Advanced Global Atmospheric Gases Experiment (AGAGE, Prinn et al., 2018) and National Oceanic and Atmospheric Administration (NOAA, Montzka et al., 2015), assimilated into a global box model (using the method described in Engel and Rigby, et al., (2018) and Rigby et al., (2014)). Uncertainties in the top-down estimates are due to measurement and transport model uncertainty. As F-gas emissions are almost entirely anthropogenic in nature, top-down estimates of anthropogenic fluxes are much better known than CO₂, CH₄, N₂O, where large natural fluxes contribute to the observed trends. For substances with relatively short lifetimes (~50 years or less), uncertainties are typically dominated by uncertainties in the atmospheric lifetimes. Comparisons between the EDGAR and WMO 2018 estimates were available for HFCs 125, 134a, 143a, 152a, 227ea, 23, 236a, 245fa, 32, 365mfc and 43-10-mee, PFCs CF₄, C₂F₆, C₃F₈ and c-C₄F₈, SF₆ and NF₃ (EDGAR v6 only). For the higher molecular weight PFCs (C₄F₁₀, C₅F₁₂, C₆F₁₄, C₇F₁₆), top-down estimates were not available in WMO (2018). Top-down estimates have previously been published for these compounds (e.g. Ivy et al., 2012), however, this comparison is not included here due to their very low emissions. For a small number of species, global top-down estimates are available for some years, based on an independent atmospheric model to that used in WMO (2018), although most of these inversions use similar measurement datasets; Fortems-Cheiney et al. (2015) for HFC-134a, Lunt et al. (2015) for HFC-134a, -125, -152a, -143a and -32 and Rigby et al. (2010) for SF₆.

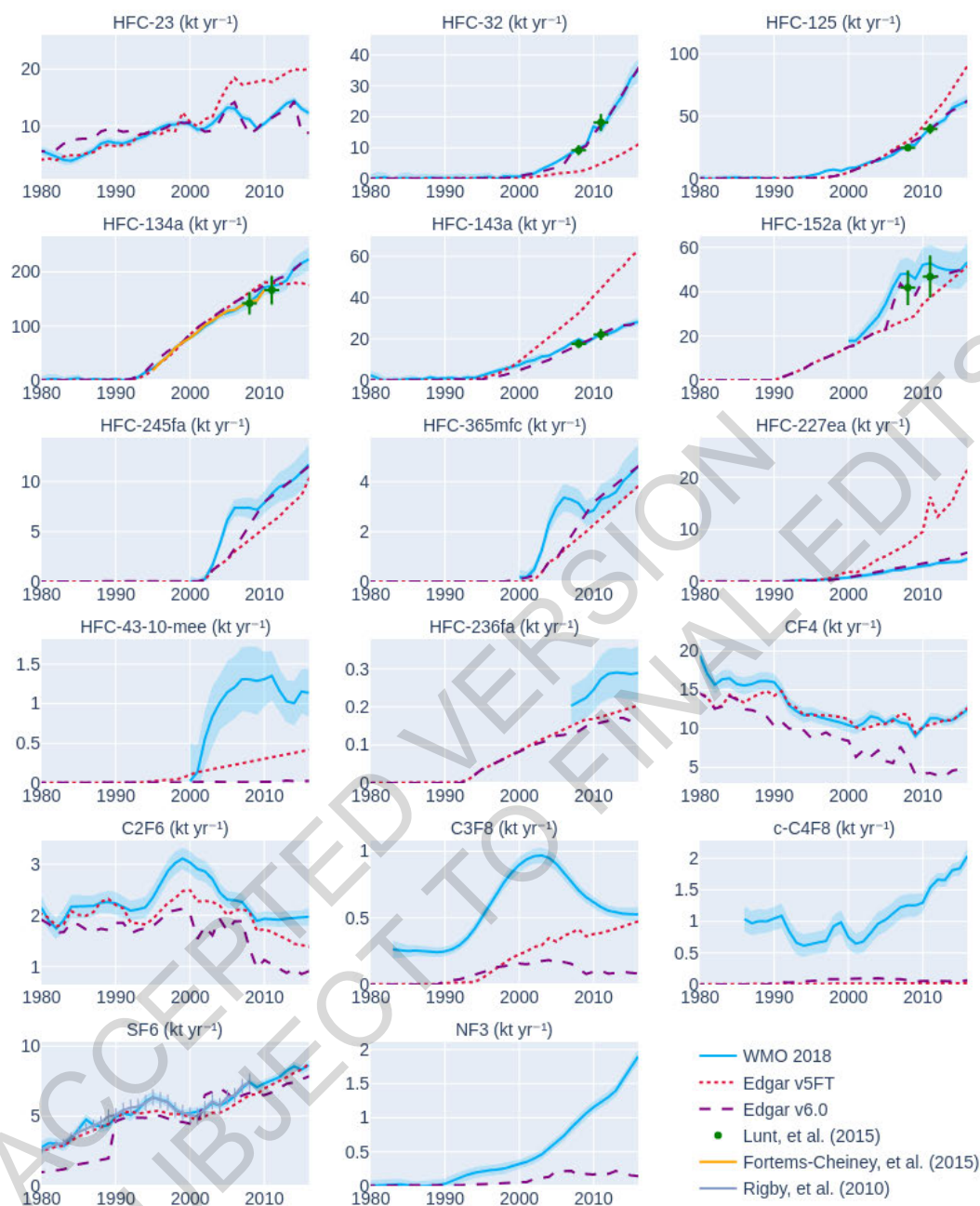


Figure 2 SM.6 Comparison of top-down and bottom-up estimates for individual species of fluorinated gases in Olivier and Peters (2020) [EDGARv5FT] and EDGARv6 for 1980-2016. C₄F₁₀, C₅F₁₂, C₆F₁₄ and C₇F₁₆ are excluded. Top-down estimates from WMO 2018 (Engel and Rigby, 2018; Montzka and Velders, 2018) are shown as blue lines with blue shading indicating 1 σ uncertainties. Bottom-up estimates from EDGARv5 and v6 (the emissions data used in Chapter 2) are shown in red dotted lines and purple dashed lines, respectively. Top-down estimates for some species are shown from Rigby et al. (2010), Lunt et al. (2015) and Fortems-Cheiney et al. (2015). Source: Minx et al. (2021)

1 The comparison of global top-down and bottom-up emissions for EDGARv6 and Olivier and Peters
2 (2020) (EDGARv5FT) F-gas species (excluding heavy PFCs) is shown in Figure 2 SM.6 for the years
3 1980 – 2016 (or a subset thereof, depending on the availability of the top-down estimates). Where
4 available, the various top-down estimates agree with each other within uncertainties. The magnitude of
5 the difference between the WMO (2018) and EDGAR estimates varies markedly between species, years
6 and versions of EDGAR; for several HFCs, the top-down and bottom-up estimates often agree within
7 uncertainties for EDGARv6 (but much less often in v5), whereas for $c\text{-C}_4\text{F}_8$, the top-down estimate is
8 more than 100 times the EDGAR estimates. Some similarities and differences have been previously
9 noted for earlier versions of EDGAR (Mühle et al., 2019, 2010; Rigby et al., 2010; Lunt et al., 2015).
10 For SF_6 , the relatively close agreement between EDGAR v4.0 and a top-down estimate has been
11 discussed in Rigby, et al. (2010). They estimated uncertainties in EDGAR v4.0 of $\pm 10\%$ to $\pm 15\%$,
12 depending on the year, and indeed, top-down values were consistent within these uncertainties.
13 However, the agreement is now poorer during the 1980s in EDGARv6. For some PFCs (e.g., CF_4 , C_2F_6),
14 it was previously noted that some assumptions within EDGAR v4.0 had been validated against
15 atmospheric observations, hence EDGAR might be considered a hybrid of top-down and bottom-up
16 methodologies for these species (Mühle et al., 2010). However, it is unclear for which other species
17 similar validation has taken place, or how these assumptions vary between versions of EDGAR.

18 When species are aggregated into F-gas total emissions, weighted by their current 100-year GWPs based
19 on IPCC AR6 (Forster et al., 2021a), we note that in the left panel of Figure 2 SM 7 the Olivier & Peters
20 (2020) (EDGARv5FT) estimates are around 10% lower than the WMO 2018 values in the 1980s.
21 Subsequently, EDGARv5FT estimates grow more rapidly than the top down values and are almost 30%
22 higher than WMO 2018 by the 2010s. EDGARv6 emissions are around 10% lower than the WMO 2018
23 values throughout. Given that detailed uncertainty estimates are not available for all EDGAR F-gas
24 species, we base our uncertainty estimate solely on this comparison with the top-down values (see Figure
25 2 SM.7, left panel), and therefore suggest a conservative uncertainty in aggregated F-gas emissions of
26 $\pm 30\%$ for a 90% confidence interval. For individual species, the magnitude of this discrepancy can be
27 orders of magnitude larger.

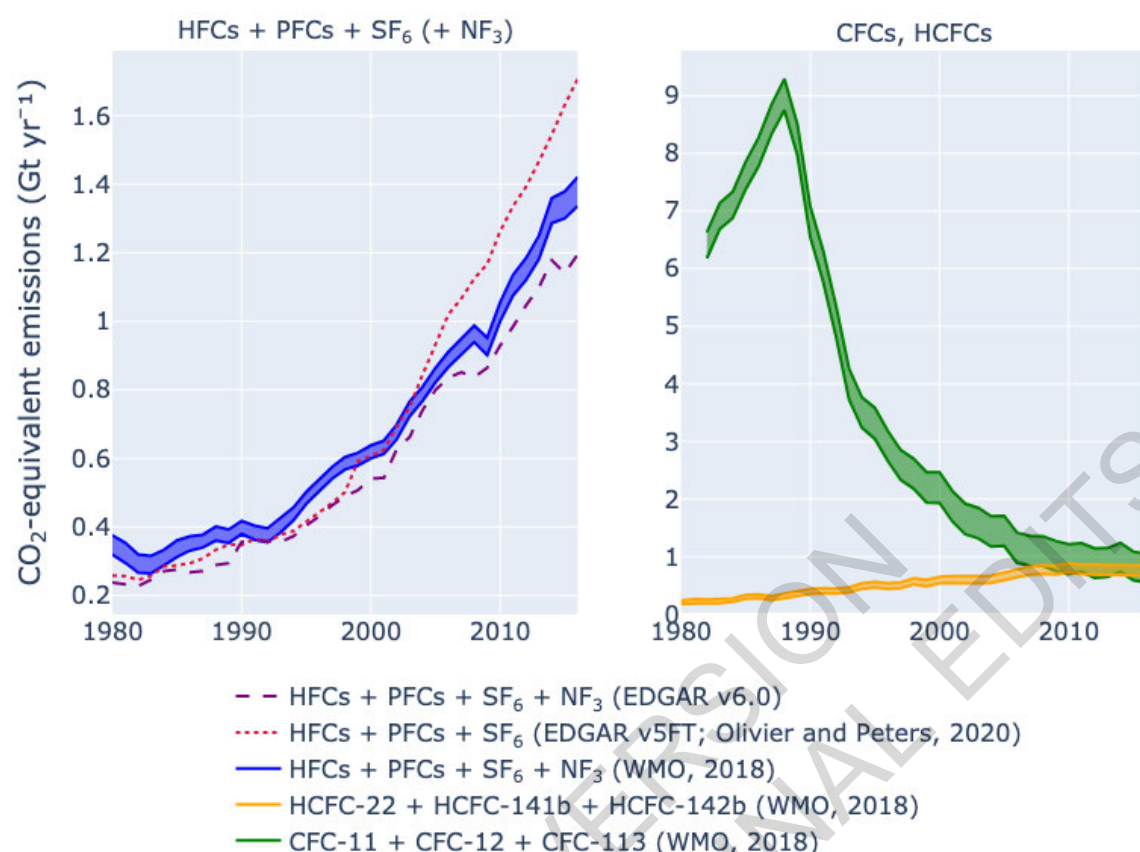


Figure 2 SM.7 Comparison between top-down estimates and bottom-up EDGAR inventory data on GHG emissions for 1980-2016.

Left panel: Total GWP-100-weighted emissions based on IPCC AR6 (Forster et al., 2021a) of F-gases in Olivier and Peters (2020) [EDGARv5FT] (red dashed line, excluding C₄F₁₀, C₅F₁₂, C₆F₁₄ and C₇F₁₆) and EDGARv6 (purple dashed line) (Crippa et al., 2021) compared to top-down estimates based on AGAGE and NOAA data from WMO (2018) (blue lines; Engel and Rigby, (2018); Montzka and Velders (2018)).

Right panel: Top-down aggregated emissions for the three most abundant CFCs (-11, -12 and -113) and HCFCs (-22, -141b, 142b) not covered in bottom-up emissions inventories are shown in green and orange.

For top-down estimates the shaded areas between two respective lines represent 1 σ uncertainties.

The F-gases in EDGAR exclude species such as chlorofluorocarbons (CFCs) and hydrochlorofluorocarbons (HCFCs), which are groups of substances regulated under the Montreal Protocol. Historically total CO₂eq F-gas emissions have been dominated by the CFCs (Engel and Rigby, 2018). In particular, during the 1980s, peak annual emissions due to CFCs reached 9.1 \pm 0.4 GtCO₂eqyr⁻¹ (Figure 2 SM.7), comparable to that of CH₄, and substantially larger than the 2019 emissions of the gases included in EDGARv5FT and v6 (1.4 GtCO₂eq). Subsequently, following the controls of the Montreal Protocol, emissions of CFCs declined substantially, while those of HCFCs and HFCs rose, such that CO₂eq emissions of the HFCs, HCFCs and CFCs were approximately equal by 2016, with a smaller contribution from PFCs, SF₆, NF₃ and some more minor F-gases. Therefore, the GWP-weighted F-gas emissions in EDGAR, which are dominated by the HFCs, represent less than half of the overall CO₂eq F-gas emissions in 2016.

2.2.6 Aggregated GHG emissions

Based on the assessment of relevant uncertainties above, constant, relative uncertainty estimates for GHGs are applied at a 90% confidence interval that range from relatively low for CO₂ FFI ($\pm 8\%$), to intermediate values for CH₄ and F-gases ($\pm 30\%$), to higher values for N₂O ($\pm 60\%$) and CO₂ from LULUCF ($\pm 70\%$). To aggregate these and estimate uncertainties for total GHGs in terms of CO₂eq emissions, the square root of the squared sums of absolute uncertainties for individual (groups of) gases are taken, using 100-year Global Warming Potentials (GWP-100) with values from IPCC AR6 (Forster et al., 2021a, Section 7.6 and Supplementary Material 7.SM.6) to weight emissions of non-CO₂ gases but excluding uncertainties in the metric itself. An estimate of this “metric uncertainty” is provided in the next section. Overall, this uncertainty assessment is broadly in line with IPCC AR5 (Blanco et al., 2014), but provides important adjustments in the evaluation of uncertainties of individual gases (CH₄, F-gases, CO₂-LULUCF) as well as the approach in reporting total uncertainties across GHGs.

2.2.7 Uncertainties of GHG emissions metrics used to report aggregated emissions

GHG emission metrics are necessary if emissions of non-CO₂ gases and CO₂ are to be aggregated into CO₂eq emissions (see Section 2.3). GWP-100 is the most common metric and has been adopted for emissions reporting under the transparency framework for the Paris Agreement (UNFCCC, 2019), but many alternative metrics exist in the scientific literature. The most appropriate choice of metric depends on the climate policy objective and the specific use of the metric to support that objective (i.e. why do we want to aggregate or compare emissions of different gases? What specific actions do we wish to inform?).

Different metric choices and time horizons can result in very different weightings of the emissions of Short-lived Climate Forcers (SLCF), such as CH₄. For example, 1t CH₄ represents as much as 81 tCO₂eq if a Global Warming Potential is used with a time horizon of 20 years, or as little as 5.4t CO₂eq if the Global Temperature change Potential (GTP) is used with a time horizon of 100 years (Forster et al., 2021a). More recent metric developments that compare emissions in new ways – e.g. the additional warming from sustained changes in SLCF emissions compared to pulse emissions of CO₂ – increase the range of metric values further and can even result in negative metric values for SLCF, if their emissions are falling rapidly (Cain et al., 2019; Collins et al., 2019; Lynch et al., 2020; Allen et al., 2018).

The contribution of SLCF emissions to total GHG emissions expressed in CO₂eq thus depends critically on the choice of GHG metric and its time horizon. However, even for a given choice, the metric value for each gas is also subject to uncertainties. For example, the GWP-100 for biogenic CH₄ has changed from 21 based on the IPCC Second Assessment Report (SAR) in 1995 to 28 or 34 based on the IPCC AR5 (excluding or including climate-carbon cycle feedbacks), and to 27 based on IPCC AR6. These changes and remaining uncertainties arise from parametric uncertainties, differences in methodological choices, and changes in metric values over time due to changing background conditions.

Parametric uncertainties arise from uncertainties in climate sensitivity, radiative efficacy and atmospheric lifetimes of CO₂ and non-CO₂ gases, etc. The WGI contribution to the AR6 assessed the parametric uncertainty of GWP for CH₄ as $\pm 32\%$ and $\pm 40\%$ for time horizons of 20 and 100 years, $\pm 43\%$ and $\pm 47\%$ for N₂O, and ± 26 – 31 and ± 33 – 38% for various F-gases (Forster et al., 2021a). The uncertainty of GTP-100 for CH₄ was estimated at $\pm 83\%$, which is larger than the uncertainty in a forcing-based metric due to uncertainties in climate responses to forcing (e.g., transient climate sensitivity).

Methodological choices introduce a different type of uncertainty, namely which indirect effects are included in the calculation of metric values and the strength of those feedbacks. For CH₄, indirect forcing caused by photochemical decay products (mainly tropospheric ozone and stratospheric water vapour)

1 contributes almost 40% of the total forcing from CH₄ emissions. More than half of the changes in GWP-
2 100 values for CH₄ in successive IPCC assessments from 1995 to 2013 are due to re-evaluations of these
3 indirect forcings. In addition, warming due to the emission of non-CO₂ gases extends the lifetime of
4 CO₂ already in the atmosphere through climate-carbon cycle feedbacks (Friedlingstein et al., 2013).
5 Including these feedbacks results in higher metric values for all non-CO₂ gases, but the magnitude of
6 this effect is uncertain; e.g. the IPCC AR5 found the GWP-100 value for CH₄ without climate-carbon
7 cycle feedbacks to be 28, whereas including this feedback would raise the value to between 31 and 34
8 (Stern and Johansson, 2017; Gasser et al., 2016; Myhre et al., 2013). The IPCC AR6 includes climate-
9 carbon cycle feedbacks (Forster et al., 2021a). These parametric uncertainties associated with different
10 feedbacks are incorporated into the above uncertainty estimates by WG1.

11 A third uncertainty arises from changes in metric values over time. Metric values depend on the radiative
12 efficacy of CO₂ and non-CO₂ emissions, which in turn depend on the changing atmospheric background
13 concentrations of those gases. Rising temperature can further affect the lifetime of some gases and hence
14 their contribution to forcing over time for different emission scenarios (Reisinger et al., 2011).
15 Successive IPCC assessments take changing starting-year background conditions into account, which
16 explains part of the changes in GWP-100 metric values in different reports. Applying a single metric
17 value to a multi-decadal historical time series of emissions is therefore only an approximation of the
18 correct metric value for any given emissions year, as e.g. the correct GWP-100 value for CH₄ emitted
19 in the year 1970 will be different to the GWP-100 value for an emission in the year 2018. However, the
20 literature does not offer a complete set of GWP-100 metric values for past concentrations and climate
21 conditions covered in our time series.

22 Overall, we estimate the uncertainty in GWP-100 metric values, if applied to an extended historical
23 emission time series, as $\pm 50\%$ for CH₄ and other SLCFs, and $\pm 40\%$ for non-CO₂ gases with longer
24 atmospheric lifetimes (specifically, those with lifetimes longer than 20 years). If uncertainties in GHG
25 metrics are considered and assumed to be independent for each gas (which may lead to an
26 underestimate), the overall uncertainty of total GHG emissions in 2019 increases from $\pm 11\%$ to $\pm 13\%$.
27 However, these GWP-related uncertainties are not included in the global, regional or sectoral emissions
28 estimates in the remainder of the assessment.

29 The WGIII assessment uses GWP-100 metric values from the WG1 contribution to the AR6 (Forster et
30 al., 2021a) as default metric when presenting aggregated emissions and removals of different GHGs (see
31 Cross-Chapter Box 2, Supplementary Material Section 2.3 below, and Annex II.8 for additional
32 information).

2.3 GHG emissions metrics

2.3.1 Definition and scope

GHG emission metrics are used to compare climate effects of different GHGs and to aggregate emissions and removals of different GHGs, such as for national inventory reporting and development of mitigation policies. GHG emission metrics provide simplified information about the effects that emissions of different gases GHGs have on global temperature or other aspects of climate, usually expressed relative to the effect of emitting CO₂.

The common glossary for the IPCC Sixth Assessment Report defines GHG emission metrics as follows:

A simplified relationship used to quantify the effect of emitting a unit mass of a given greenhouse gas (GHG) on a specified key measure of *climate change*. A relative GHG emission metric expresses the effect from one gas relative to the effect of emitting a unit mass of a reference GHG on the same measure of climate change. There are multiple emission metrics, and the most appropriate metric depends on the application. GHG emission metrics may differ with respect to (i) the key measure of climate change they consider, (ii) whether they consider climate outcomes for a specified point in time or integrated over a specified time horizon, (iii) the time horizon over which the metric is applied, (iv) whether they apply to a single emission pulse, emissions sustained over a period of time, or a combination of both, and (v) whether they consider the climate effect from an emission compared to the absence of that emission or compared to a reference emissions level or climate state.

Notes: Most relative GHG emission metrics (such as the *global warming potential (GWP)*, global temperature change potential (GTP), global damage potential, and GWP*), use carbon dioxide (CO₂) as the reference gas. Emissions of non-CO₂ gases, when expressed using such metrics, are often referred to as ‘carbon dioxide equivalent’ emissions. A metric that establishes equivalence regarding one key measure of the *climate system* response to emissions does not imply equivalence regarding other key measures. The choice of metric, including its time horizon, should reflect the policy objectives for which the metric is applied.

Emission metrics also exist for aerosols, but these are not commonly used in climate policy. This assessment focuses on GHG emission metrics only.

Parties to the Paris Agreement decided in the Paris Agreement Rulebook to report aggregated emissions based on the Global Warming Potential with a time horizon of 100 years (GWP₁₀₀) from the IPCC AR5, or to use GWP₁₀₀ values from a subsequent IPCC report as agreed upon by the CMA (UNFCCC 2019, 18/CMA.1), and to account for their second and subsequent NDCs in accordance with this approach (UNFCCC 2019, 4/CMA.1). However, Parties can report supplemental information about aggregate emissions and removals using other GHG emission metrics (e.g. global temperature change potential) expressed in CO₂-eq and assessed by the IPCC.

Apart from international reporting and accounting, countries or sectors might consider other GHG emission metrics to help achieve specific domestic policy objectives. A clear assessment of metrics can help decision-makers determine the consistency between policy goals and metrics and avoid potentially inadvertent consequences of alternative metric choices.

This Supplementary Material provides additional explanations, references and figures to the assessment of GHG emission metrics from a mitigation perspective in Cross-Chapter Box 2 on GHG emission metrics in Chapter 2. Both the Cross-Chapter Box and this Supplementary Material build on the physical science assessment of GHG emission metrics by WGI (Forster et al. 2021, Section 7.6).

2.3.2 Key characteristics of pulse emission metrics GWP and GTP

The Global Warming Potential (GWP) and the Global Temperature change Potential (GTP) were the main metrics assessed in the AR5 (Kolstad et al., 2014; Myhre et al., 2013; IPCC, 2014). GWP with a time horizon of 100 years (GWP₁₀₀) is the predominant metric used in literature assessed by WGIII.

These metrics compare the effect on climate of emitting a unit mass of a non-CO₂ gas over a chosen time horizon with the effect of emitting the same unit mass of CO₂. GWP compares CO₂ and non-CO₂ emissions based on the radiative forcing they would cause integrated over the entire time horizon, whereas GTP compares emissions based on the global mean surface temperature change they would cause only at the endpoint of the chosen time horizon.

The WGI contribution to the AR6 includes updated values for these metrics based on updated scientific understanding of the response of the climate system to emissions of different gases, including changing background concentrations (Forster et al., 2021a). It also assess new metrics published since AR5. Metric values in the AR6 include climate-carbon cycle feedbacks by default; this provides an important update and clarification from the AR5 which reported metric values both with and without such feedbacks (see Table 2 SM.7).

By far the most commonly used, static time horizon for GWP, including in reporting under the UNFCCC and the Paris Agreement, is 100 years, but other time horizons (e.g. GWP₂₀, GWP₅₀₀) have also been applied (e.g. Skytt et al. 2020; Tanaka et al. 2019, 2021 as recent examples).

For GTP, both static and dynamic time horizons are used in the literature. A static GTP evaluates warming due to an emissions pulse at the endpoint of the stated time horizon (Shine et al., 2005). For example, the static GTP₁₀₀ would evaluate emissions occurring in 2020 based on the warming they would cause in the year 2120, whereas emissions occurring in 2030 would be evaluated based on the warming they would cause in the year 2130. By contrast, the dynamic GTP (Shine et al., 2007) evaluates each emission based on its contribution to warming in a specified future target year. Depending on application, this can be the year in which global average temperature is expected to peak within a mitigation scenario, or any other time-bound temperature-related climate target. Policy-relevant time horizons and resulting metric values for the dynamic GTP therefore depend on the chosen temperature goal and implied target year.

The time horizon of a dynamic GTP shrinks for successive emissions as the target year is approached, which increases the weight given to emissions of short-lived climate forcers (SLCF) such as CH₄ over time. For example, for a climate policy goal of limiting warming to 1.5°C with no or limited overshoot (scenario Category C1 in Chapter 3), global average surface temperature would peak by around 2055. To compare the importance of abating non-CO₂ and CO₂ emissions in any given year relative to that policy goal, emissions occurring in the year 2020 would be evaluated using GTP₃₅, whereas emissions in 2030 would be evaluated using GTP₂₅, and so on (see Table 2 SM.7 for illustrative values).

Table 2 SM.7 Illustrative metric values for CH₄ under a range of metrics and time horizons. GWP and GTP compare pulse emissions of non-CO₂ gases with a pulse emission of CO₂. CGTP compares a

sustained step-change in non-CO₂ emissions with a pulse emission of CO₂. Values are based on Forster et al. (2021).

	GWP ₂₀	GWP ₁₀₀	GWP ₅₀₀	GTP ₂₀	GTP ₃₀	GTP ₅₀	GTP ₁₀₀	CGTP ₅₀ (years)	CGTP ₁₀₀ (years)
CH ₄ (fossil)	82.5	29.8	10	54.4	30.6	13.2	7.5	2,823	3,531
CH ₄ (biogenic)	80.8	27.0	7.3	51.7	27.9	10.3	4.7	2,701	3,254

A key limitation of pulse-emission metrics such as GWP and GTP, noted in the AR5 and emphasized in more recent literature (Allen et al. 2018; Cain et al. 2019; Allen et al. 2021; Collins et al. 2019; see Forster et al. 2021 for the WGI assessment), is that metric values depend strongly on the selected time horizon, given that warming from a CH₄ emission pulse declines over time, whereas warming from a pulse of CO₂ is nearly constant over centuries. Universal use of a single metric and time horizon can thus result in mismatches between policy goals and actual climate outcomes. Moreover, ‘CO₂ equivalence’ of pulse emissions based on GWP or GTP does not imply equivalent climate outcomes from cumulative emissions, nor at all times even from a single emissions pulse.

This is illustrated in Figure 2 SM.8, which shows that the warming from CH₄ emissions sustained at a constant rate is greater than the warming from an ‘equivalent’ (based on GWP₁₀₀) amount of sustained CO₂ emissions for the first 100 years, but the rate of warming from sustained CH₄ emissions declines over time and the total warming becomes less than that from sustained CO₂ emissions beyond the first century. The different cumulative behaviour of CO₂ and SLCF emissions is particularly relevant in mitigation scenarios: each ton of additional CO₂ emissions causes further warming until emissions reach net zero (Canadell et al., 2021). By contrast, declining SLCF emissions can result in a declining SLCF contribution to global temperature since the warming from past emissions does not persist and declines over time. This behaviour is well known and can be readily replicated with simple climate models (see Figure 2 SM.8) but cumulative SLCF emissions based on GWP₁₀₀ do not capture this decline (Lynch et al., 2020).

A more detailed discussion of recently developed step-change metrics GWP* (Smith et al., 2021; Allen et al., 2018; Cain et al., 2019) and CGTP (Collins et al., 2019) and their ability to reproduce temperature changes resulting from sustained changes in SLCF emissions is provided in Forster et al. (2021). These metrics indicate greater climate benefits from rapid and sustained CH₄ reductions compared to CO₂ over the next few decades than if such reductions are weighted by GWP₁₀₀, while conversely, sustained methane increases have greater adverse climate impacts (Brazzola et al., 2021; Collins et al., 2019; Lynch et al., 2020). However, as indicated in Figure 2 SM.8, the warming from CH₄ (or conversely, the benefits of CH₄ reduction) do not continue to accumulate at the initial rate.

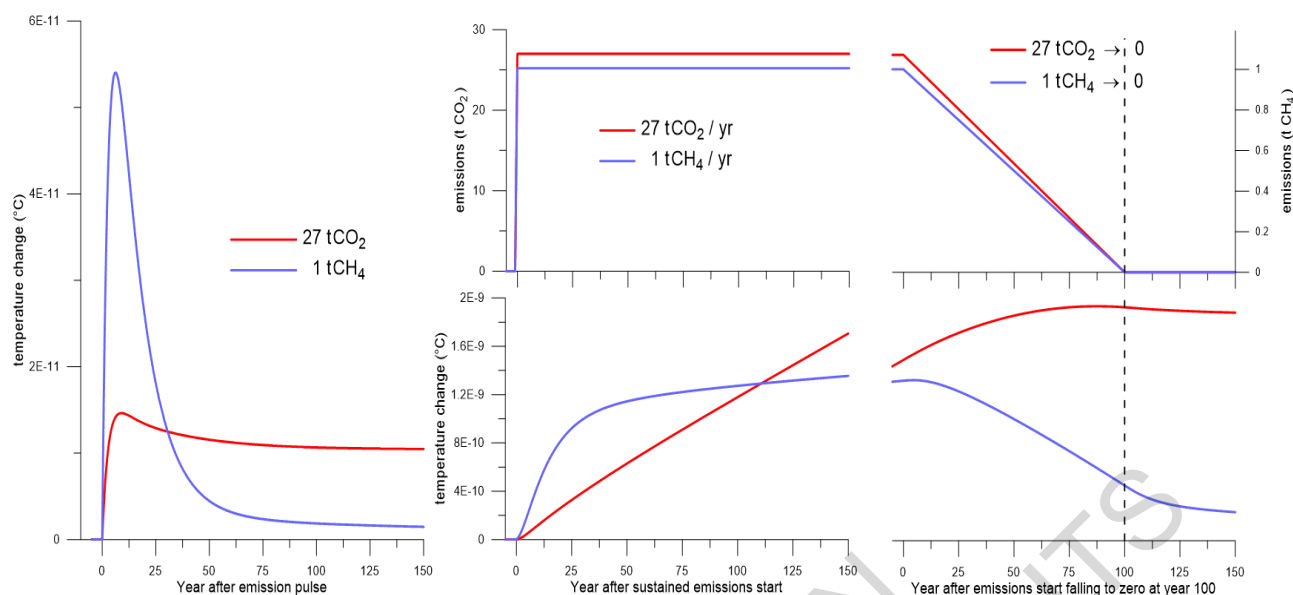


Figure 2 SM.8 Temperature responses over time to emission pulses and sustained and declining emissions of CO₂ and CH₄.

Left: single emissions pulse of 1 tCH₄ and 27 tCO₂. **Middle panels:** sustained annual emission (top) of 1 tCH₄ and 27 tCO₂, and temperature response (bottom). **Right:** emissions linearly declining from 1 tCH₄ and 27 tCO₂ in year zero, to zero emissions of both gases in year 100 (top), and temperature outcome (bottom). The amount of 27 tCO₂ is chosen for illustrative purposes as it represents the “CO₂-equivalent” emission of 1 tCH₄ based on GWP₁₀₀. Temperature responses are based on response functions from Forster et al. (2021).

2.3.3 Relationship of GWP and GTP to cost-benefit and cost-effectiveness frameworks

The GWP with a static time horizon approximates the Global Damage Potential, i.e. the notion that the emission of a non-CO₂ forcer at any point in time should be weighted by the marginal economic damages from this emission, relative to the marginal damages from emitting a unit mass of CO₂ (Reilly and Richards, 1993; Kandlikar, 1996; Kolstad et al., 2014).

The GWP time horizon can be linked to the social discount rate used in the Global Damage Potential to calculate the net present value of economic damages over time from each emission. Recent studies (Sarofim and Giordano, 2018; Mallapragada and Mignone, 2019) confirm earlier work (Boucher, 2012; Fuglestad et al., 2003) that for methane, GWP₁₀₀ is consistent with a discount rate of about 3%, with the specific value depending on the gas and other assumptions such as non-linearity of damages with warming. Detailed sensitivity analysis by Sarofim and Giordano (2018) gives an interquartile range of 2.7 to 4.1% for the implied discount rate for GWP₁₀₀ in the case of CH₄, depending on a range of assumptions about climate scenarios, shape of damage functions, climate feedbacks and global economic growth. GWP₂₀ would imply much higher discount rates of 11.1 to 14.6%, given the stronger weighting of near-term effects on climate. Use of a single discount rate based on pure time preference and future growth in wealth and its effects (known as the simple Ramsey rule) can be problematic (Drupp et al., 2018) but no studies so far have evaluated metrics with varying discount rates over time. In addition, the relationship between GWP time horizon and discount rate is not universal as it depends on the lifetime of the SLCF (Fuglestad et al., 2003).

Shindell et al. (2017) evaluated the social cost of methane emissions directly based on time-varying changes in climate and inferred economic damages, and found a wide range of possible values, reflecting the range of judgements in determining social costs of pollutants including non-climate effects. However, their results are broadly consistent with a GWP₁₀₀-based weighting of CH₄ relative to CO₂

when similar discount rates and consistent assumptions about climate-related damages and the temperature dependence of damage functions are chosen for both gases.

These studies indicate that even though the GWP_{100} was not designed to meet any economic objectives and was not designed as a damage potential, the discount rate implied in GWP_{100} for CH_4 is broadly similar to social discount rates of 3-5% that are used in integrated assessment models (see Chapter 3) and investments with multi-decadal lifetimes (Giglio et al., 2015; HM Treasury and Treasury, 2018).

In principle, GHG emission metrics focused on cost-effectiveness are better matched to the Paris Agreement's temperature goal than cost-benefit metrics, and are also supported by the UNFCCC principle that mitigation policies and measures should be cost-effective (Tol et al., 2012; Johansson, 2011; Tanaka et al., 2020). In cost-effectiveness metrics, metric values for SLCF emissions necessarily change over time since the closer SLCF emissions occur to the target year, the greater their contribution to climate change in that year (Aaheim and Mideksa, 2017). The dynamic GTP (Shine et al., 2007) reflects such a cost-effectiveness approach by providing information on the marginal contribution of SLCF emissions in any given year to the expected peak warming at a future date (Mallapragada and Mignone, 2017; Tol et al., 2012; Tanaka et al., 2020). However, the dynamic GTP does not fully match the optimal weighting of gases in least-cost mitigation pathways (also referred to as the Global Cost Potential; e.g. Michaelis 1992; Manne and Richels 2001) because overall mitigation costs and hence the economically optimal amount and timing of SLCF abatement also depends on the discount rate as well as treatment of uncertainties, not only their contribution to warming in the target year (Strefler et al., 2014; Ekholm, 2014; Johansson, 2011; Tanaka et al., 2020).

The GTP with any static time horizon (e.g. GTP_{50} or GTP_{100}) is not clearly matched to either a cost-benefit or a cost-effectiveness framework, as the year for which temperature outcomes are evaluated would shift forward each year and hence would not match the year when the global temperature limit is reached or the overall damages caused by each emission (Edwards and Trancik, 2014; Mallapragada and Mignone, 2017; Strefler et al., 2014; Tol et al., 2012). However, use of GTP with a static time horizon may be relevant where it is applied to emissions only in a given year or finite period, and if the time horizon matches a relevant climate policy goal (Fuglestad et al., 2010; Balcombe et al., 2018; Grewe and Dahmann, 2015).

2.3.4 Global cost-effectiveness of physical-based pulse emission metrics

A number of studies since the AR5 have evaluated the impact of different pulse GHG emission metrics and time horizons on the global economic costs of limiting global average temperature change to a pre-determined level, including to *likely* below 2°C and to 1.5°C (Deuber et al., 2014; Van Den Berg et al., 2015; Huntingford et al., 2015; Ekholm et al., 2013; Harmsen et al., 2016; Strefler et al., 2014; Tanaka et al., 2020). These studies show consistently, with very few exceptions, that global costs to achieve the same temperature target below 2°C in 2100, or the same peak temperature before 2100, are higher if CH_4 emissions are weighted consistently less than indicated by GWP_{100} (e.g. if using GTP_{100} or GWP_{500}). The increase in global mitigation costs ranges from a few percent to more than 30 percent in most studies, depending not only on the specific metric values used but also on the temperature limit, degree of overshoot, and abatement costs and potentials of different gases assumed in those studies. These studies also indicate, albeit less consistently and less significantly than for GTP_{100} , that global mitigation costs would also increase if CH_4 emissions are valued consistently more highly than in GWP_{100} (e.g. using GWP_{20}). Collectively, these studies indicate that even though GWP_{100} does not represent the most cost-effective metric and time horizon choice possible (Tanaka et al., 2020), it is more cost-effective than any of the other static metrics and time horizons that have been tested in economic models and are used most commonly in the scientific literature.

Studies available for the AR5 suggested that using a dynamic GTP or economic optimisation approaches, which defer high-cost CH₄ abatement until closer to the target year, could reduce global abatement costs compared to GWP₁₀₀ by a few percent (Reisinger et al., 2012; Johansson, 2011; Manne and Richels, 2001; Shine et al., 2007). More recent studies confirm this theoretical cost saving in principle. However, these studies also demonstrate that the extent to which this cost saving would be realised depends on a range of assumptions, including the stringency of the target, degree of policy foresight, the speed with which CH₄ emissions can be reduced as metric values increase, allowance for any temporary temperature overshoot for end-of-century targets, the shape of marginal abatement cost curves, and the treatment of uncertainty (Ekholm et al., 2013; Harmsen et al., 2016; Strefler et al., 2014; Tanaka et al., 2020; Huntingford et al., 2015; Van Den Berg et al., 2015).

One reason why the literature shows only a limited, if any, reduction in global mitigation costs from using dynamic GTP or economic optimisation compared to GWP₁₀₀ lies in the broad similarity of the metric values or exchange rates for CH₄ for temperature limits of *likely* below 2°C and lower. For such temperature limits, peak temperature would be reached between about 2050 and 2080 (see Chapter 3). This means that emissions occurring in the year 2030 would be weighted by GTP₀ to GTP₅₀, but emissions in the year 2040 by GTP₁₀ to GTP₄₀, and so on. Across such time horizons, the numerical values of the dynamic GTP for CH₄ (as the main short-lived GHG) over the next few decades are broadly comparable on average to GWP₁₀₀ (see Table 2 SM.7). Since a large fraction of the total abatement potential for CH₄ is assumed to be available at relatively low costs (Harmsen et al., 2019) or co-abated with fossil CO₂ (Rogelj et al., 2014), abatement choices based on GWP₁₀₀ differ little in such pathways from those based on the dynamic GTP or economic optimisation. For modelled mitigation pathways that *likely* limit warming to 2°C or below and with limited overshoot, GWP₁₀₀ therefore results in overall abatement levels and costs at the global scale that are not very different from those based on dynamic GTP or economic optimisation, even though GWP₁₀₀ reflects a cost-benefit rather than cost-effectiveness framework. However, differences can be more pronounced for individual sectors.

A common feature of virtually all GHG emission metrics studies to date is that they use a single emission metric (either static GWP or GTP, or dynamic GTP with predictably changing values) to inform abatement choices over the entire 21st century and beyond. This is not well matched to the new scenario logic proposed by Rogelj et al. (2019) for the Paris Agreement, which suggests separate policy choices exist regarding the timing and magnitude of the temperature peak and the post-peak rate of temperature decline. This new scenario logic has not yet been used to evaluate GHG metrics, but Tanaka et al. (2021) show that global cost reductions could be obtained by using GWP₁₀₀ as a starting metric and updating the GWP time horizon in discrete steps depending on when and by how much the temperature goal might be exceeded based on actual emissions. This approach could reduce mitigation costs by a few percent, relative to GWP₁₀₀ being used throughout the 21st century, in very high overshoot scenarios that reach the long-term temperature goal of 1.5 or 2°C only in the 22nd century. For such scenarios, the most cost-effective weighting of SLCF emissions is generally less than GWP₁₀₀ in the next few decades but two to three times higher than GWP₁₀₀ once temperature has peaked. These findings strengthen the conclusions by (Tanaka and O'Neill, 2018) and Fuglestad et al. (2018) that the choice of GHG metric is particularly important for the rate of temperature decline once net zero GHG emissions have been reached.

2.3.5 Role of GHG emission metrics at the sectoral level including lifecycle assessment

The AR5 noted that the choice of metric and time horizon could have significant implications for regions or sectors with high fractions of SLCF emissions (Brennan and Zaitchik, 2013; Myhre et al., 2013; Strefler et al., 2014; IPCC, 2014). The choice of GHG emission metric is therefore linked not only to cost-effectiveness but also to equity. Sectoral and national perspectives on mitigation pathways, including GHG emission metrics to inform such pathways, may therefore differ from a global least-cost perspective (Klinsky and Winkler, 2018), but the literature has not provided a consistent framework for assessing GHG emission metrics based on a wider set of equity principles.

1 The shifting of costs between emitters due to different metrics has been demonstrated for the case of
2 agriculture in New Zealand, which has a high fraction of enteric methane emissions. Even though global
3 mitigation costs to limit warming to below 2°C would be lower under GWP₁₀₀ than GTP₁₀₀, costs to
4 farmers would be greater under GWP₁₀₀ than GTP₁₀₀ if climate policy were to price all GHG emissions
5 and place the cost burden on emitters (Dorner and Kerr, 2017).

6 Various studies evaluated the extent to which cost-effective sectoral abatement strategies might change
7 under different climate metrics. In some instances (e.g. for transport and fuel choices), the choice of
8 metric can change abatement preferences and timing (Edwards MR, McNerney J et al., 2016; Edwards
9 et al., 2017; Edwards and Trancik, 2014). Similarly, the magnitude of the climate impact from aviation
10 when expressed in CO₂-equivalents depends strongly on the choice of emission metric and time horizon,
11 as SLCF emissions and contrails enhance warming significantly over days to decades, in addition to the
12 warming from CO₂ that occurs over centuries to millennia (Azar and Johansson, 2012; Deuber et al.,
13 2013; Lund et al., 2017; Lee et al., 2021; Fuglestvedt et al., 2010). For the energy sector, Tanaka et al.
14 (2019) show that switching from coal to gas (which has lower CO₂ but higher CH₄ emissions) for energy
15 supply offers consistent climate benefits regardless of metric and time horizon unless CH₄ leakage rates
16 are very high and a short-term metric (GWP₂₀) is selected. Lynch and Pierrehumbert (2019) show that
17 the climate impact of cultured meat (which they assume to have higher CO₂ but lower CH₄ emissions
18 than cattle meat and a lower GHG footprint based on GWP₁₀₀) increases over time, given the cumulative
19 warming from CO₂ emissions. Substituting cattle meat with cultured meat would result in lower
20 warming for at least the next several decades but could eventually result in higher warming than cattle
21 meat, if this substitution is sustained over centuries and if the carbon intensity of energy supply for the
22 manufacture of cultured meat does not decline.

23 For some sectors, mitigation strategies and the relative merit of specific technologies or practices
24 compared to others (such as intensive vs extensive agricultural production and mitigation options, or
25 choices to reduce air pollutants with a climate forcing effect) have been shown to be relatively robust
26 against the choice of metric (Ledgard and Reisinger, 2014; Reisinger and Ledgard, 2013; Reisinger et
27 al., 2017; Åström and Johansson, 2019). Clarke et al. (2020) show that current emission trends in the
28 global food system alone would be sufficient to exceed a 1.5°C temperature limit and associated global
29 emission targets even if GWP* is used to calculate CO₂-equivalent emissions. This indicates that the
30 importance of limiting food system emissions is not an artefact of using GWP₁₀₀ as GHG emission
31 metric, though it can change the quantification of CO₂-eq emissions over time. Even if the most effective
32 mitigation option does not depend strongly on the choice of GHG emission metric, the cost to emitters
33 (if emissions were priced based on their CO₂-equivalent values as part of national policies) can depend
34 strongly on the GHG metric (Dorner and Kerr, 2017).

35 The UNEP-SETAC (Society of Environmental Toxicology and Chemistry) task force on Lifecycle
36 Assessment (LCA) recommended that at least two, but potentially even three metrics with divergent
37 weightings for SLCFs (GWP₁₀₀ and GTP₁₀₀ and potentially also GWP₂₀) be used to better understand
38 the extent to which GHG metric choices may implicitly or inadvertently affect reported carbon footprints
39 (Jolliet et al., 2018; Levasseur et al., 2016; Cherubini et al., 2016). This matches recommendations by
40 other researchers for the use of multiple metrics (Ocko et al., 2017; Cooper et al., 2020; Grewe and
41 Dahlmann, 2015; Balcombe et al., 2018; Allen et al., 2021) especially where there is no unambiguous
42 policy goal for a sectoral or entity-level LCA. While there is a strong agreement in the literature that
43 using multiple metrics provides a more nuanced understanding of the climate effects of emissions, there
44 is no strong consensus specific pairs or sets metrics to use (e.g. GWP₂₀ and GWP₁₀₀, or GWP₁₀₀ and
45 GTP₁₀₀). GWP* has only had limited use in LCA so far, mainly to understand the impact of sustained
46 changes in CH₄ emissions resulting from system changes or lifetime dietary choices, consistent with its
47 focus on the effect of sustained emission changes (Barnsley et al., 2021; Clark et al., 2020).

Some studies use simple climate models or pulse-response functions to understand the climate impacts of emissions of different gases directly rather than relying on emission metrics (Mayfield et al., 2019; Reisinger and Clark, 2017; Reisinger et al., 2021; Berntsen and Fuglestvedt, 2008; Lynch and Pierrehumbert, 2019; Lee et al., 2021; Cooper et al., 2020). Treating GHGs with different lifetimes separately supports the targeted treatment of different pollutants and avoids embedding value judgements about the climate outcome of concern, time horizons and reference levels into GHG emission metrics. This does not avoid the need for such value judgements to be made but can allow them to be made more explicitly.

2.3.6 Difference between marginal and additional warming and relationship to metrics

Cross-Chapter Box 2 notes that GWP* can calculate negative CO₂-eq emissions while GWP or GTP calculate positive CO₂-eq emissions, for the same CH₄ emissions path.

Rapidly declining CH₄ emissions can have a negative CO₂-warming-equivalent value based on GWP* because SLCF emissions that decline at a sufficient rate result in declining temperature, relative to the warming at a previous point in time caused by past SLCF emissions from that same source. The rate at which SLCF emissions have to decline to result in a roughly constant contribution to warming depends on the emissions history, changing background concentrations, and lifetime of the gas; for global CH₄ emissions, this has been estimated at about 0.3% per year (Forster et al., 2021a).

GWP or GTP always assign a positive CO₂-equivalent value to SLCF emissions because every SLCF emission from any source results in increased future radiative forcing and higher global average temperature than would be the case without this emission, regardless of whether the rate of SLCF emissions is rising or declining over time. The amount of climate change (integrated radiative forcing, or temperature change at a given point in time) that occurs from these emissions, relative to the absence of these emissions (everything else being equal), has also been referred to as ‘*marginal warming*’ (Reisinger et al., 2021), in alignment with the concepts of *marginal* damages and *marginal* costs that underpin the economics literature on multi-pollutant problems (e.g. Michaelis 1992, 1999; Kandlikar 1996; Reilly and Richards 1993; Manne and Richels 2001; Tol et al. 2012).

Figure 2 SM.9 illustrates these different perspectives: in a mitigation pathway that limits warming to 1.5°C with no or limited overshoot (here, IMP-Ren15; see Chapter 3 and Annex III), the *marginal* warming from future CH₄ emissions is always positive and can be comparable to the *marginal* warming from future CO₂ emissions. That is, emissions of CH₄ and CO₂ from 2020 onwards (or any other specified reference year) both result in future global temperature being higher than it would be without those future emissions. Marginal warming is relevant for choices about the effort and costs that might be justified (from a damages cost-benefit or cost-effectiveness perspective) to mitigate future emissions of either gas. The specific policy objective can then help determine what specific metric and time horizon would be optimal to use, provided that metrics are applied in a way that captures this marginal warming from future emissions. Information about marginal warming by definition does not include warming from past emissions that may continue into the future.

Warming relative to a given reference point provides a different perspective: the contribution from CH₄ emissions to global warming declines with declining emissions, whereas the contribution from CO₂ emissions to global warming continues to rise even when its emissions decline, and this contribution keeps rising until CO₂ emissions are reduced to net zero. CO₂ therefore remains and becomes the increasingly dominant driver of anthropogenic warming in virtually all emission scenarios (see also WGI SPM, Figure SPM.4). This information is relevant for policies and perspectives that are concerned with the changing contribution of individual gases and sectors to global warming over multiple decades, including their historical emissions (e.g. Lynch et al. 2021). Figure 2 SM.9 shows that for CO₂, the marginal and additional warming from future emissions is virtually identical, whereas the marginal and

additional warming from future CH₄ emissions point in opposite directions in a mitigation pathway. Marginal metrics such as GWP and GTP, and step/pulse metrics such as GWP* (as applied in the literature so far) can differ substantially in the CO₂ emissions they calculate as ‘equivalent’ to CH₄ emissions, because they focus on different aspects of climate change. The specific policy objective (e.g. a focus on cost-effective abatement, a cost-benefit approach, or a focus on additional warming compared to a reference level) is therefore crucial for choosing and applying a metric that matches a given objective.

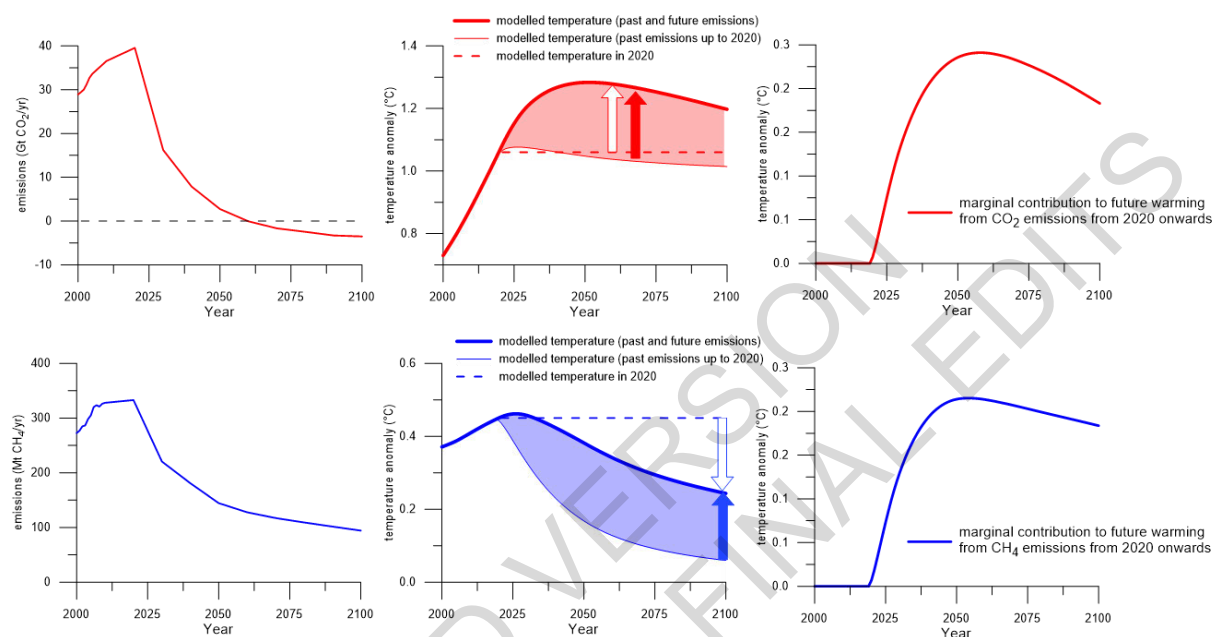


Figure 2 SM.9 CO₂ (top) and CH₄ (bottom) emissions (left) and simulated temperature response (middle and right), for an illustrative mitigation pathway (IMP-Ren15) that would limit *likely* warming to below 2°C. The middle panels show the modelled over 11 warming from the given CO₂ and CH₄ emissions trajectories (thick solid lines), the contribution to past and future warming from past emissions (up to 2020; thin solid lines), and the contribution to warming in the year 2020 from past emissions (dashed lines). The *marginal* warming from future CO₂ and CH₄ emissions (i.e. the difference between warming caused by emissions up to 2020, and warming caused by past and future emissions) are shown as shaded areas and solid arrows. The additional warming (i.e. the temperature change relative to the warming in 2020) is indicated by hollow arrows. The right panels show the marginal warming from CO₂ and CH₄ emissions from 2020 onwards (i.e. the increase in global average surface temperature that would occur with compared to without, those emissions). Figure adopted from (Reisinger et al., 2021); temperature responses are modelled using the pulse response functions used in the assessment of GHG emission metrics by Forster et al. (2021a).

2.3.7 Influence of GHG emission metrics on the timing of reported net zero GHG emissions

Cross-Chapter Box 2 in Chapter 2 notes that different metric choices can alter the reported quantity of CO₂-eq emissions and the time at which net zero GHG emissions are calculated to be reached, or whether net zero GHG emissions are reached at all. This is also an important conclusion from the assessment by WGI (Forster et al., 2021a) building on Fuglestad et al. (2018) and Tanaka and O'Neill (2018), and highlighted by Schleussner et al. (2019) in relation to Article 4.1 of the Paris Agreement.

The degree to which reported CO₂-eq emissions would differ under alternative metrics, for the same actual emissions of different gases is illustrated in Figure 2 SM.10. It shows calculated CO₂-eq emissions for four different illustrative mitigation pathways (IMP) from Chapter 3 (IMP-REN15, IMP-SP, IMP-REN2, and IMP-GS; see Chapter 3 for details on these pathways) for an illustrative range of metrics.

The following metrics and time horizons are used:

- GWP₁₀₀ (using values from the Second Assessment Report (SAR); Fifth Assessment Report with and without climate-carbon cycle feedbacks (AR5-ccfb, and AR5-nofb); and the Sixth Assessment Report (AR6))
- GTP₁₀₀ (using AR6 values)
- GWP₂₀ (using AR6 values)
- GWP* (using the formula in Lynch et al. 2020, using AR6 values for GWP₁₀₀).¹

Overall, differences in the timing of net zero GHG (CO₂-eq) emissions are smaller for different versions of GWP₁₀₀ than for fundamentally different choices of metric and/or time horizon (GWP₂₀ or GTP₁₀₀), and differ materially for GWP*.

Using GWP₁₀₀ values from different IPCC assessment reports has a relatively minor effect on CO₂-eq emissions. It shifts the timing of net zero emissions by up to 10 years for those pathways that reach net zero before 2100. For pathways that reach net zero GHG emissions only very late in the 21st century, this could result in net zero not being reached at all before 2100 under some versions of GWP₁₀₀. For example, IMP-GS reaches net zero GHG emissions in 2095 for GWP₁₀₀ (SAR) but remains (just) above zero until after 2100 for GWP₁₀₀ (AR5-ccfb) and for GWP₁₀₀ (AR6).

Using GTP₁₀₀ gives consistently lower weighting to SLCF emissions compared to GWP₁₀₀. This brings the year of net zero GHG emissions forward by 12-18 years compared to GWP₁₀₀ (AR6), since the remaining gross SLCF emissions would be aggregated into lower CO₂-eq emissions and hence would be compensated by a lower amount of net negative CO₂ emissions, which is reached earlier.

The difference in timing of net zero GHG emissions under GTP₁₀₀ compared to GWP₁₀₀ depends on the magnitude of SLCF (mostly CH₄) emissions at that point, as well as the slope of the emissions pathway when approaching net zero. IMP-SP has the largest reductions in CH₄ emissions and hence the difference between GTP₁₀₀ and GWP₁₀₀ is relatively smaller than for other pathways. Conversely, IMP-Ren2 has relatively high residual CH₄ emissions; expressing CO₂-equivalent emissions using GTP₁₀₀ therefore has a bigger impact on total CO₂-eq emissions compared to GWP₁₀₀.

Using GWP₂₀ gives consistently higher weighting to SLCF emissions compared to GWP₁₀₀. This shifts the year of net zero emissions back by more than 20 years, as more net negative CO₂ emissions are needed to balance residual SLCF emissions; again the extent to which timing shifts depends on the amount of CH₄ emissions in the different pathways. Under GWP₂₀, only IMP-REN2 reaches net zero in 2100 as it has the largest net-negative CO₂ emissions in 2100 of those four pathways; the three other pathways would remain at greater than net zero GHG emissions in 2100.

Using GWP* as metric results in a significant change not only in the timing of net zero emissions but also the overall shape of the CO₂-eq emissions pathway. In the two pathways consistent with limiting warming to 1.5°C with no or limited overshoot (IMP-Ren15 and IMP-SP), CO₂-equivalent emissions

FOOTNOTE¹ The GWP* formula was applied to the following gases: CH₄, HFC-134a, HFC-32, HFC-4310-mee, HFC-152a, HFC-365-mfc. The parameters used in the calculation are based on the atmospheric lifetime of CH₄ and are not necessarily matched to other short-lived gases. Results should therefore be seen as indicative only; the existing literature provides parameters only for CH₄. Using further updated parameters from (Smith et al., 2021) would not change the overall results substantially.

1 using GWP* drop well below net zero before 2040 but then rebound again. IMP-Ren15 returns to net-
2 positive GHG emissions before returning to net zero by 2100, while IMP-SP has emissions close to net
3 zero for most of the second half of the 21st century.

4 CO₂-equivalent emissions using GWP* for IMP-GS follow a similar shape but have higher overall
5 levels; net GHG emissions would briefly reach net zero in 2040 before returning to positive levels and
6 dropping to net zero by 2080. For IMP-Ren2, CO₂-equivalent emissions based on GWP* look more
7 similar to the emissions pathway based on other metrics but reach net zero GHG emissions about 20
8 years earlier than if using GWP₁₀₀.

9 The reason for those different shapes of CO₂-equivalent emission trajectories under GWP* is that this
10 metric translates rapid reductions of CH₄ emissions into negative CO₂-equivalent emissions. IMP-Ren2
11 pathway has less rapid reductions of CH₄ emissions in the near term than the three other pathways. The
12 rapid reduction of methane in these three pathways results in a significantly faster and greater reduction
13 of total CO₂-equivalent emissions under GWP*. As a result, net zero GHG emissions would be reached
14 well before 2050, although (depending on further reductions) only temporarily in some pathways as the
15 reduction of CH₄ emissions does not continue at the same rate.

16 Note that the different reported CO₂-equivalent emissions do not affect the climate outcome, as the
17 actual emissions of individual gases in these pathways are unchanged. What Figure 2 SM.10 shows is
18 only how the global aggregated emissions and removals would be reported for each pathway under
19 different metrics.

20 The significant differences in the timing of net zero GHG emissions imply, however, that alternative
21 emissions pathways that reach the same net zero GHG emissions target, but do so based on different
22 GHG metrics, would necessarily result in different climate outcomes and would imply different levels
23 of ambition to reach such an emissions target.

24 This is because depending on the GHG emission metric, a given amount of residual SLCF emissions in
25 mitigation pathways would require different amounts of carbon dioxide removal (CDR) to achieve net
26 zero GHG emissions. Emission metrics that give less weight to on-going SLCF emissions imply a lesser
27 rate of CO₂ removal and hence greater overall warming and/or lesser reduction in warming over time
28 after net zero GHG emissions have been reached. Conversely, a given amount of CDR would permit
29 different rates of SLCF emissions to achieve net zero GHG emissions under different metrics. This
30 would result in different amounts of warming contributed by SLCF emissions in addition to the warming
31 from CO₂.

32 For a given net zero target in a given year, using different metrics to monitor and verify achievement of
33 that target therefore results in different levels of peak warming and different contributions of individual
34 gases to this warming, and different rates of temperature change if net zero GHG emissions are sustained
35 after the peak (Fuglestad et al., 2018; Tanaka and O'Neill, 2018; Schleussner et al., 2019). This is
36 before taking into account how the use of different GHG emission metrics might shape abatement
37 choices leading up to an emission target.

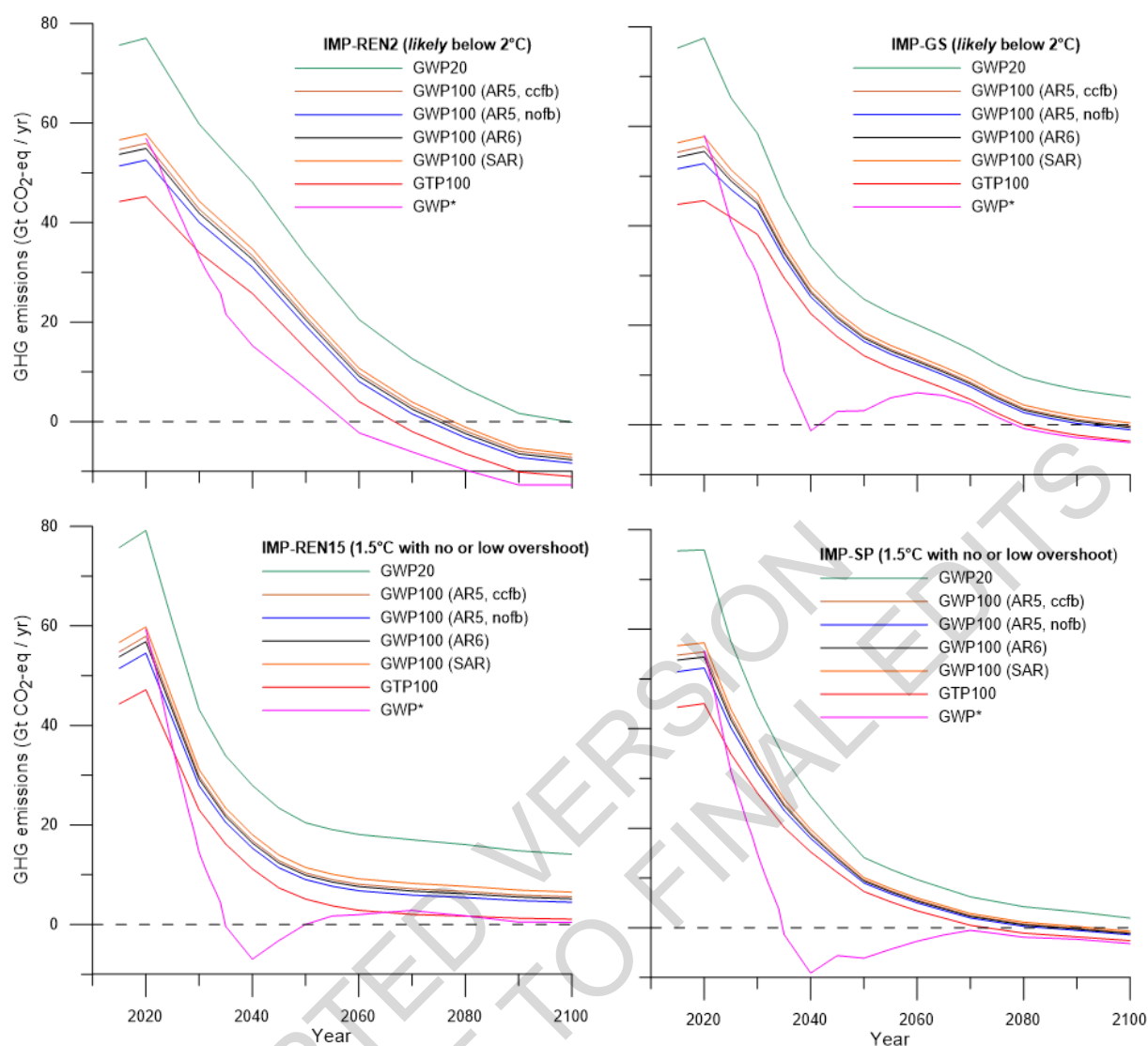


Figure 2 SM.10 GHG emissions expressed in CO₂-eq, for four illustrative mitigation pathways (IMPs) from Chapter 3, using a range of GHG emission metrics assessed in AR6 (for details, see text). Illustrative Mitigation Pathways explore different ways of achieving long-term temperature goals. The four IMPs shown here are: higher renewable energy (*IMP-Ren2* and *IMP-Ren15*) gradual strengthening of current policies (*IMP-GS*), and a sustainability pathway (*IMP-SP*). Each of these pathways can be implemented with different levels of ambition. The IMP-Ren2 and IMP-GS (top panels) are consistent with limiting warming *likely* below 2°C, while IMP-Ren15 and IMP-SP (bottom panels) are consistent with limiting warming to 1.5°C with no or limited overshoot. {Box 3.1, 3.2.5, Annex III}

2.4 Trade as a Driver of Global GHG Emissions

This section assesses how trade openness and liberalisation may have changed the *global level* of GHG emissions and complements Sections 2.3 and 2.4 in chapter 2. It does not describe whether trade *has shifted* emissions between countries (transfer of embodied emissions) or has changed the level of emissions in individual countries (this is described in Chapter 2 Section 2.3). The effect of international emissions trading schemes, mechanisms, and policies are described in Chapter 2 Sections 2.8 and 14.5, respectively.

The question of whether international trade drives increases or decreases in global GHG emissions is difficult to answer since it not only depends on the emissions intensity of traded products, but also on the synergistic influence of trade on economic growth, income, consumption patterns, and the diffusion of low-carbon technologies or practices. All of these in turn are drivers of GHG emissions and the counterfactual question to answer is (Jakob and Marschinski, 2013): What would happen without trade? Trade also affects emissions through enhancing innovation and exchanging technologies between trading partners. These complex interactions are currently not fully understood (*limited evidence, low agreement*) (Cherniwchan et al., 2017). Consumption-based accounting (Chapter 2 Section 2.3) alone is therefore not suited to assess whether or not trade is driving global GHG emissions (Jakob and Marschinski, 2013; Kander et al., 2015; Jiborn et al., 2018).

Only very few studies over the AR6 target time frame of 2010–2019 investigated the impacts of trade. Studies investigating global CO₂ emissions changes between 1995 and 2007/2008 found that the contribution of trade was moderately positive, whereas increases in overall and per capita consumption levels contributed much more strongly to the increase and improved technology had a significant decreasing effect (Arto and Dietzenbacher, 2014; Hoekstra et al. 2016). A recent study modelled that international trade in 2015 increased global GDP by 10% and global total GHG emissions by 2% compared to a scenario where there was no trade (Wu et al. 2021).

Lin et al. (2019) investigated different scenarios on trade restrictions and found that a scenario with significant trade barriers based on additional 25% of tariffs would reduce global CO₂ emissions by 6.3% and GDP by 9.0%. On the other hand, the free trade scenario would increase global export volume by 5.4% and global CO₂ emissions by 1.2% for the base year of 2014 because of enhanced global production, especially in developing regions with high emissions intensities (Lin et al., 2019). It seems, however, that increased global GHG emissions only occur when the free trade agreements are between developed and developing countries (Nemati et al., 2019) because emissions reductions in the former group are counteracted by higher increases in the latter group of countries (Yao et al., 2019).

In contrast, one study suggests that international trade avoided 15 GtCO₂ emissions globally between 1995 and 2009, when compared to a hypothetical situation without trade (López et al., 2018). Zhu and Jiang (2019) found that the recent slowdown in globalisation from 2012 to 2016 did not lower but instead increased global CO₂ emissions by 202 Mt. This is because the consumption of domestic intermediate and final products increased in many countries, in particular in China and India, leading to increased domestic and therefore global CO₂ emissions (Mi et al., 2017; Guan et al., 2018; Khochiani and Nademi, 2019; Liu et al., 2019; Wang and Jiang, 2019; Zheng et al., 2019; Wang et al., 2020c). Partly, this is due to the fact that non-OECD countries have a higher emissions intensity than OECD economies at the aggregate level (Zhu and Jiang, 2019; González-Torres et al., 2021). Scenario modelling of the USA-China trade war in 2018–2019 showed an increase in global CO₂ emissions, despite a decrease in global economic output (Lu et al., 2020). This was because the modelled change in trade patterns as a consequence of the trade war meant that increased emissions from land-use changes and higher production in some countries far exceeded the reductions through structural effects in other countries (Lu et al., 2020).

1 In summary, there is *low agreement* and *limited evidence* on how international trade influences global
2 GHG emissions. Since the pricing of energy resources and GHG emissions is inconsistent across
3 countries, the overall outcome of trade on global emissions is coincidental rather than by design. If shifts
4 in production are accompanied by large-scale transfers of and investment in low-carbon technologies in
5 carbon-intensive countries, the effects of trade on emissions can be mitigated (Jiang and Green, 2017;
6 Gozgor et al., 2020). While such investments and knowledge transfers are more likely to come from net
7 importing nations leading in low-carbon technology, net exporters can help by targeting carbon-
8 intensive export industries with additional mitigation measures (Ren et al., 2014; Liu et al., 2015b; Ji et
9 al., 2017). Section 13.7 of this report deals with international interactions of national mitigation policies.

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2.5 Supporting Figures

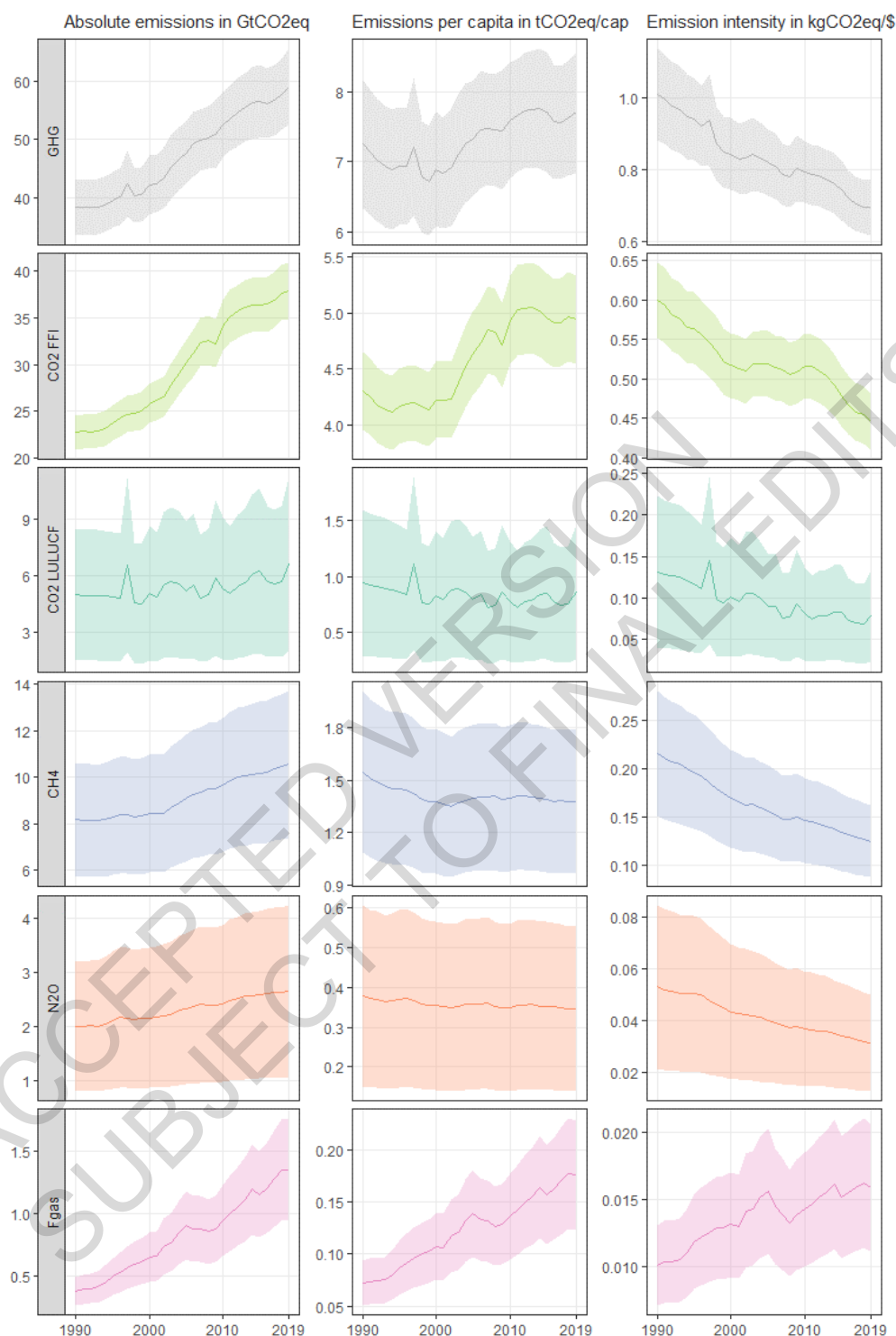


Figure 2 SM.11 Global GHG emissions trends 1990-2019 by individual (groups of) gases and in aggregate: GHGs (black); CO₂-FFI (light green); CO₂-LULUCF (dark green); CH₄ (blue); N₂O (orange); fluorinated gases (pink). Aggregate GHG emission trends by groups of gases reported in GtCO₂eq converted based on global warming potentials with a 100-year time horizon (GWP-100) from the IPCC AR5 (Myhre et al., 2013). Coloured shadings show the associated uncertainties at a 90 % confidence interval without considering uncertainties in GDP and population data (see below). First column shows emission trends in absolute levels (GtCO₂eq). Second column shows per capita emissions trends (tCO₂eq/cap) using UN

population data for normalization (World Bank and Bank, 2021). Third column shows emissions trends per unit of GDP (kgCO₂eq/\$) using GDP data in constant 2010 \$ from the World Bank for normalization (World Bank and Bank, 2021). Data: Minx et al. (2021).

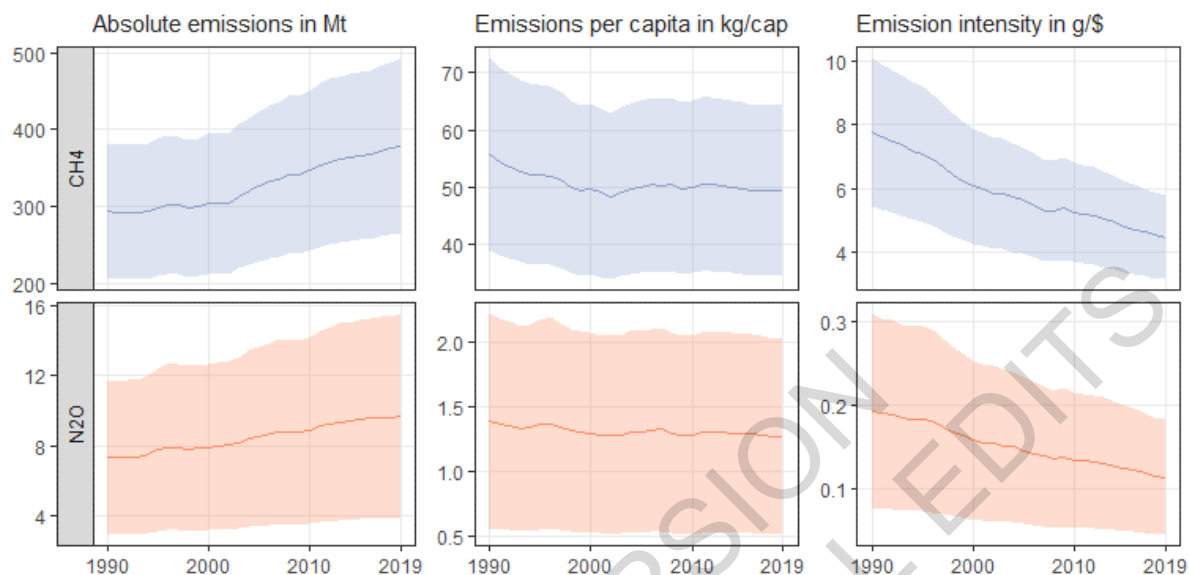


Figure 2 SM.12 Global GHG emissions trends 1990-2019: CH₄ (blue); N₂O (orange). Aggregate GHG emission trends by groups of gases reported in original mass units. Coloured shadings show the associated uncertainties at a 90 % confidence interval without considering uncertainties in GDP and population data (see below). First column shows emission trends in absolute levels (GtCO₂eq). Second column shows per capita emissions trends (kg/cap) using UN population data for normalization (World Bank and Bank, 2021). Third column shows emissions trends per unit of GDP (g/\$) using GDP data in constant 2010 \$ from the World Bank for normalization (World Bank and Bank, 2021). Data: Minx et al. (2021).

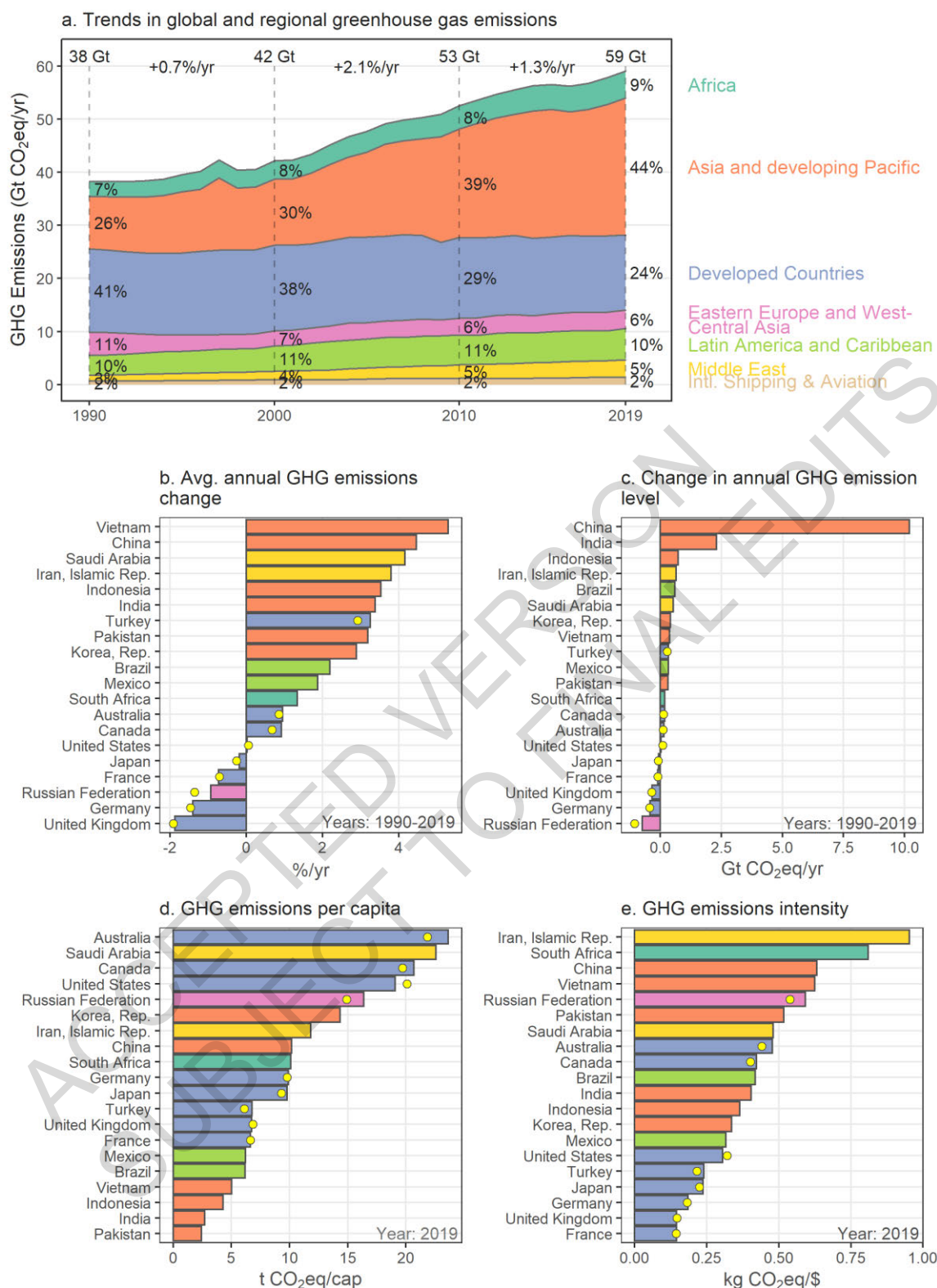
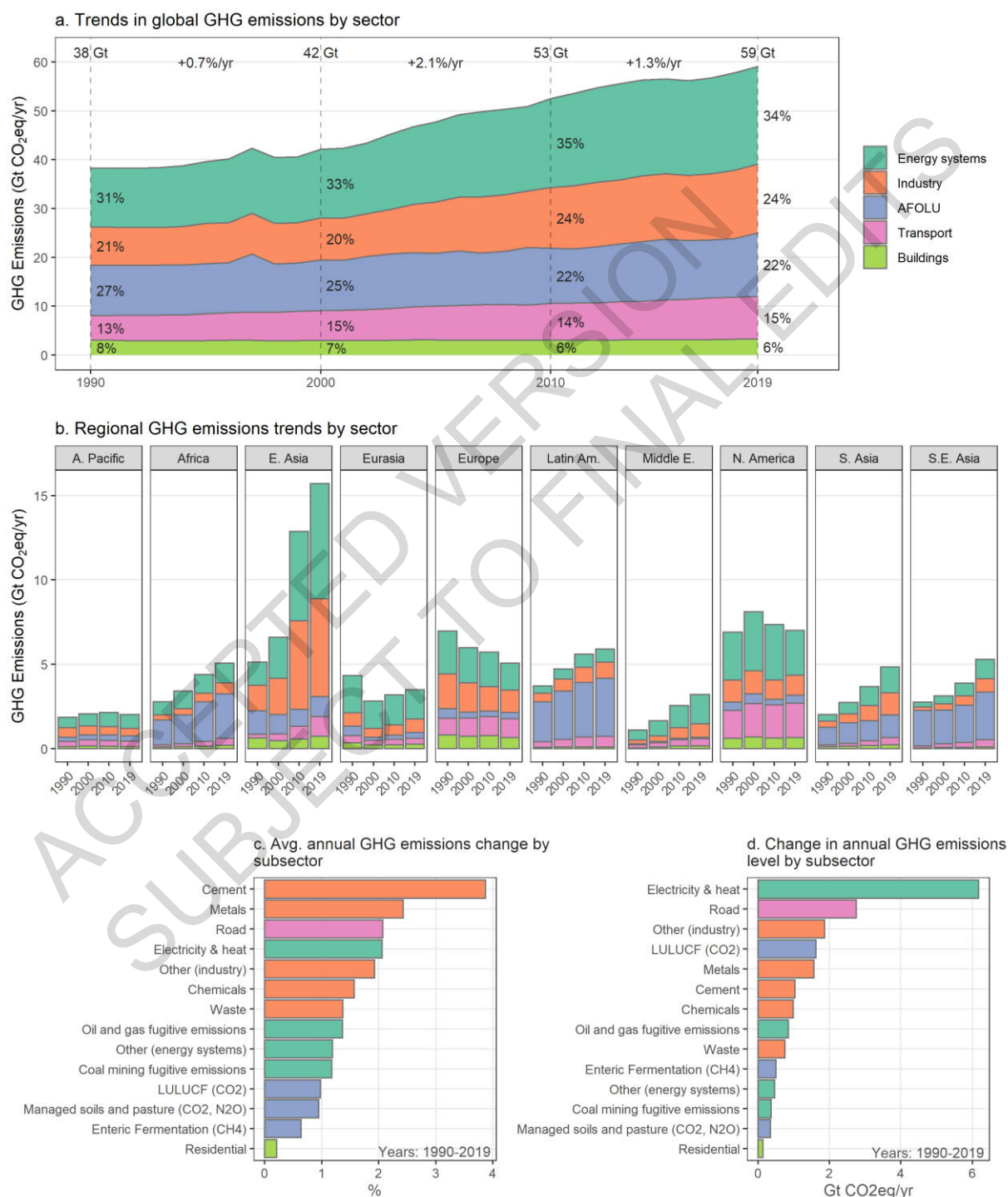


Figure 2 SM.13 Change in regional GHGs from multiple perspectives and their underlying drivers: Panel a: Regional GHG emission trends (in GtCO₂eq yr⁻¹) for the time period 1990-2019. GHG emissions from international aviation and shipping are not assigned to individual countries and shown separately. Panels b and c: Changes in GHG emissions for the 20 largest emitters (as of 2019) for 1990-2019 in relative (% annual change) and absolute terms (GtCO₂eq). Panels d and e: GHG emissions per capita and per GDP in

2019 for the 20 largest emitters (as of 2019). GDP estimated using constant international purchasing power parity (USD 2017). Emissions are converted into CO₂-equivalents based on global warming potentials with a 100 year time horizon (GWP-100) from the IPCC Sixth Assessment Report (Forster et al., 2021b). The yellow dots represent the emissions data from UNFCCC-CRFs (2021) that were accessed through Gütschow et al. (2021a). Net LULUCF CO₂ emissions are included in panel a, based on the average of three bookkeeping models (see Chapter 2 Section 2.2), but are excluded in panels b-e due to a lack of country resolution.



1 **Figure 2 SM.14 Total annual anthropogenic GHG emissions by major economic sector and their**
2 **underlying trends by region: Panel a: Trends in total annual anthropogenic GHG emissions (in GtCO₂eq**
3 **yr⁻¹) by major economic sector. Panel b: Trends in total annual anthropogenic GHG emissions (in**
4 **GtCO₂eq yr⁻¹) by major economic sector and region. Panels c and d: Largest sub-sectoral changes in GHG**
5 **emissions for the reporting period 1990-2019 in relative (% annual change) and absolute terms**
6 **(GtCO₂eq). Emissions are converted into CO₂-equivalents based on global warming potentials with a 100**
7 **year time horizon (GWP-100) from the IPCC Sixth Assessment Report. Based on Lamb et al. (2021);**
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