

# CR

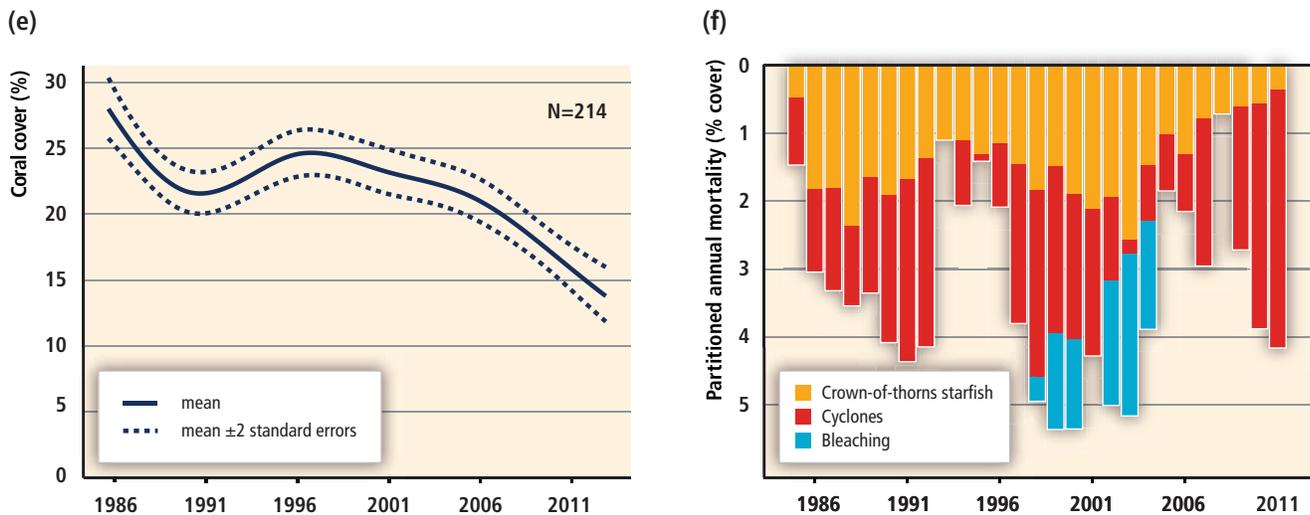
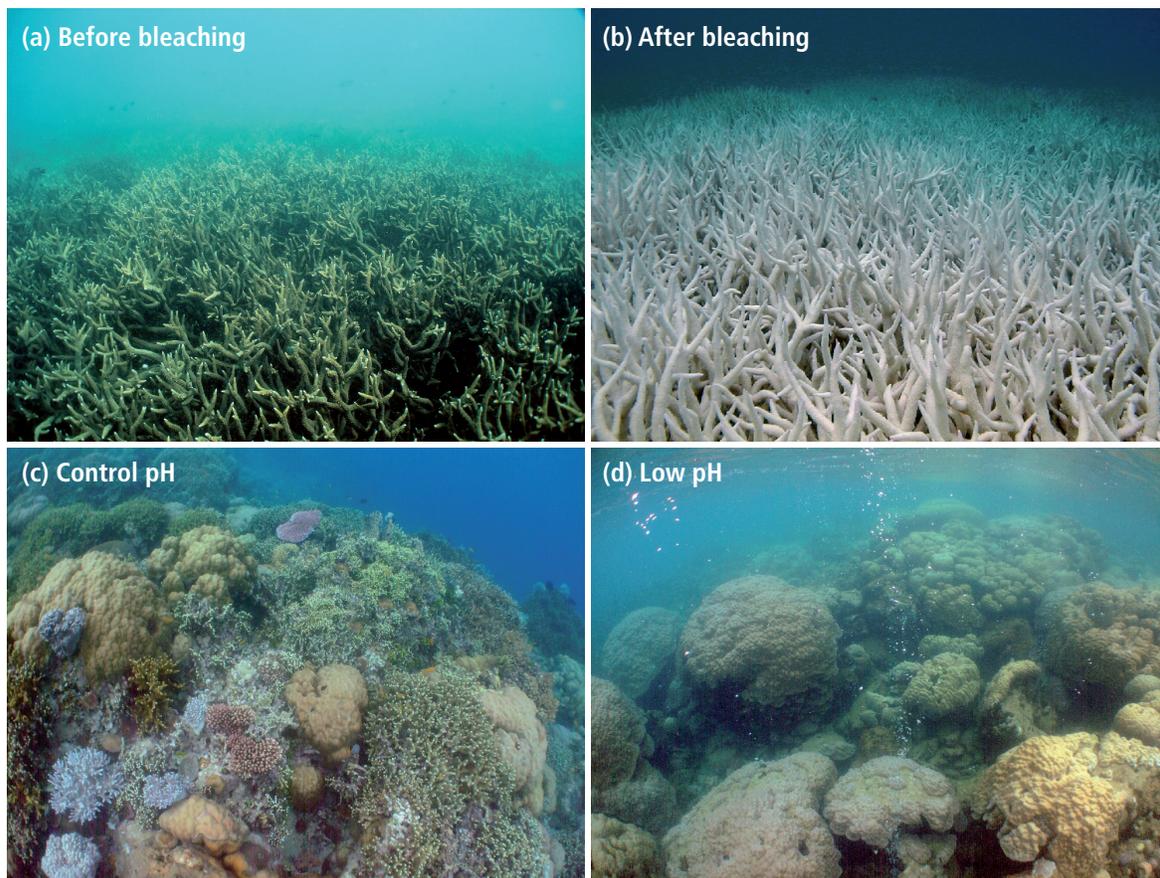
## Coral Reefs

Jean-Pierre Gattuso (France), Ove Hoegh-Guldberg (Australia), Hans-Otto Pörtner (Germany)

Coral reefs are shallow-water ecosystems that consist of reefs made of calcium carbonate which is mostly secreted by reef-building corals and encrusting macroalgae. They occupy less than 0.1% of the ocean floor yet play multiple important roles throughout the tropics, housing high levels of biological diversity as well as providing key ecosystem goods and services such as habitat for fisheries, coastal protection, and appealing environments for tourism (Wild et al., 2011). About 275 million people live within 30 km of a coral reef (Burke et al., 2011) and derive some benefits from the ecosystem services that coral reefs provide (Hoegh-Guldberg, 2011), including provisioning (food, livelihoods, construction material, medicine), regulating (shoreline protection, water quality), supporting (primary production, nutrient cycling), and cultural (religion, tourism) services. This is especially true for the many coastal and small island nations in the world's tropical regions (Section 29.3.3.1).

Coral reefs are one of the most vulnerable marine ecosystems (*high confidence*; Sections 5.4.2.4, 6.3.1, 6.3.2, 6.3.5, 25.6.2, and 30.5), and more than half of the world's reefs are under medium or high risk of degradation (Burke et al., 2011). Most human-induced disturbances to coral reefs were local until the early 1980s (e.g., unsustainable coastal development, pollution, nutrient enrichment, and overfishing) when disturbances from ocean warming (principally mass coral bleaching and mortality) began to become widespread (Glynn, 1984). Concern about the impact of ocean acidification on coral reefs developed over the same period, primarily over the implications of ocean acidification for the building and maintenance of the calcium carbonate reef framework (Box CC-OA).

A wide range of climatic and non-climatic drivers affect corals and coral reefs and negative impacts have already been observed (Sections 5.4.2.4, 6.3.1, 6.3.2, 25.6.2.1, 30.5.3, 30.5.6). Bleaching involves the breakdown and loss of endosymbiotic algae, which live in the coral tissues and play a key role in supplying the coral host with energy (see Section 6.3.1. for physiological details and Section 30.5 for a regional analysis). Mass coral bleaching and mortality, triggered by positive temperature anomalies (*high confidence*), is the most widespread and conspicuous impact of climate change (Figure CR-1A and B, Figure 5-3; Sections 5.4.2.4, 6.3.1, 6.3.5, 25.6.2.1, 30.5, and 30.8.2). For example, the level of thermal stress at most of the 47 reef sites where bleaching occurred during 1997–1998 was unmatched in the period 1903–1999 (Lough, 2000). Ocean acidification reduces biodiversity (Figure CR-1C and D) and the calcification rate of corals (*high confidence*; Sections 5.4.2.4, 6.3.2, 6.3.5) while at the same time increasing the rate of dissolution of the reef framework (*medium confidence*; Section 5.2.2.4) through stimulation of biological erosion and chemical dissolution. Taken together, these changes will tip the calcium carbonate balance of coral reefs toward net dissolution (*medium confidence*; Section 5.4.2.4).



**Figure CR-1** | (a, b) The same coral community before and after a bleaching event in February 2002 at 5 m depth, Halfway Island, Great Barrier Reef. Approximately 95% of the coral community was severely bleached in 2002 (Elvidge et al., 2004). Corals experience increasing mortality as the intensity of a heating event increases. A few coral species show the ability to shuffle symbiotic communities of dinoflagellates and appear to be more tolerant of warmer conditions (Berkelmans and van Oppen, 2006; Jones et al., 2008). (c, d) Three  $\text{CO}_2$  seeps in Milne Bay Province, Papua New Guinea show that prolonged exposure to high  $\text{CO}_2$  is related to fundamental changes in the ecology of coral reefs (Fabricius et al., 2011), including reduced coral diversity (−39%), severely reduced structural complexity (−67%), lower density of young corals (−66%), and fewer crustose coralline algae (−85%). At high  $\text{CO}_2$  sites (d; median  $\text{pH}_t \sim 7.8$ , where  $\text{pH}_t$  is pH on the total scale), reefs are dominated by massive corals while corals with high morphological complexity are underrepresented compared with control sites (c; median  $\text{pH}_t \sim 8.0$ ). Reef development ceases at  $\text{pH}_t$  values below 7.7. (e) Temporal trend in coral cover for the whole Great Barrier Reef over the period 1985–2012 ( $N$ =number of reefs, De'ath et al., 2012). (f) Composite bars indicate the estimated mean coral mortality for each year, and the sub-bars indicate the relative mortality due to crown-of-thorns starfish, cyclones, and bleaching for the whole Great Barrier Reef (De'ath et al., 2012). (Photo credit: R. Berkelmans (a and b) and K. Fabricius (c and d).)

Ocean warming and acidification have synergistic effects in several reef-builders (Section 5.2.4.2, 6.3.5). Taken together, these changes will erode habitats for reef-based fisheries, increase the exposure of coastlines to waves and storms, as well as degrading environmental features important to industries such as tourism (*high confidence*; Section 6.4.1.3, 25.6.2, 30.5).

A growing number of studies have reported regional scale changes in coral calcification and mortality that are consistent with the scale and impact of ocean warming and acidification when compared to local factors such as declining water quality and overfishing (Hoegh-Guldberg et al., 2007). The abundance of reef building corals is in rapid decline in many Pacific and Southeast Asian regions (*very high confidence*, 1 to 2% per year for 1968–2004; Bruno and Selig, 2007). Similarly, the abundance of reef-building corals has decreased by more than 80% on many Caribbean reefs (1977–2001; Gardner et al., 2003), with a dramatic phase shift from corals to seaweeds occurring on Jamaican reefs (Hughes, 1994). Tropical cyclones, coral predators, and thermal stress-related coral bleaching and mortality have led to a decline in coral cover on the Great Barrier Reef by about 51% between 1985 and 2012 (Figure CR-1E and F). Although less well documented, benthic invertebrates other than corals are also at risk (Przeslawski et al., 2008). Fish biodiversity is threatened by the permanent degradation of coral reefs, including in a marine reserve (Jones et al., 2004).

Future impacts of climate-related drivers (ocean warming, acidification, sea level rise as well as more intense tropical cyclones and rainfall events) will exacerbate the impacts of non-climate-related drivers (*high confidence*). Even under optimistic assumptions regarding corals being able to rapidly adapt to thermal stress, one-third (9 to 60%, 68% uncertainty range) of the world's coral reefs are projected to be subject to long-term degradation (next few decades) under the Representative Concentration Pathway (RCP)3-PD scenario (Frieler et al., 2013). Under the RCP4.5 scenario, this fraction increases to two-thirds (30 to 88%, 68% uncertainty range). If present-day corals have residual capacity to acclimate and/or adapt, half of the coral reefs may avoid high-frequency bleaching through 2100 (*limited evidence, limited agreement*; Logan et al., 2014). Evidence of corals adapting rapidly, however, to climate change is missing or equivocal (Hoegh-Guldberg, 2012).

Damage to coral reefs has implications for several key regional services:

- **Resources:** Coral reefs account for 10 to 12% of the fish caught in tropical countries, and 20 to 25% of the fish caught by developing nations (Garcia and de Leiva Moreno, 2003). More than half (55%) of the 49 island countries considered by Newton et al. (2007) are already exploiting their coral reef fisheries in an unsustainable way and the production of coral reef fish in the Pacific is projected to decrease 20% by 2050 under the Special Report on Emission Scenarios (SRES) A2 emissions scenario (Bell et al., 2013).
- **Coastal protection:** Coral reefs contribute to protecting the shoreline from the destructive action of storm surges and cyclones (Sheppard et al., 2005), sheltering the only habitable land for several island nations, habitats suitable for the establishment and maintenance of mangroves and wetlands, as well as areas for recreational activities. This role is threatened by future sea level rise, the decrease in coral cover, reduced rates of calcification, and higher rates of dissolution and bioerosion due to ocean warming and acidification (Sections 5.4.2.4, 6.4.1, 30.5).
- **Tourism:** More than 100 countries benefit from the recreational value provided by their coral reefs (Burke et al., 2011). For example, the Great Barrier Reef Marine Park attracts about 1.9 million visits each year and generates A\$5.4 billion to the Australian economy and 54,000 jobs (90% in the tourism sector; Biggs, 2011).

Coral reefs make a modest contribution to the global gross domestic product (GDP) but their economic importance can be high at the country and regional scales (Pratchett et al., 2008). For example, tourism and fisheries represent 5% of the GDP of South Pacific islands (average for 2001–2011; Laurans et al., 2013). At the local scale, these two services provided in 2009–2011 at least 25% of the annual income of villages in Vanuatu and Fiji (Pascal, 2011; Laurans et al., 2013).

Isolated reefs can recover from major disturbance, and the benefits of their isolation from chronic anthropogenic pressures can outweigh the costs of limited connectivity (Gilmour et al., 2013). Marine protected areas (MPAs) and fisheries management have the potential to increase ecosystem resilience and increase the recovery of coral reefs after climate change impacts such as mass coral bleaching (McLeod et al., 2009). Although they are key conservation and management tools, they are unable to protect corals directly from thermal stress (Selig et al., 2012), suggesting that they need to be complemented with additional and alternative strategies (Rau et al., 2012; Billé et al., 2013). While MPA networks are a critical management tool, they should be established considering other forms of resource management (e.g., fishery catch limits and gear restrictions) and integrated ocean and coastal management to control land-based threats such as pollution and sedimentation. There is *medium confidence* that networks of highly protected areas nested within a broader management framework can contribute to preserving coral reefs under increasing human pressure at local and global scales (Salm et al., 2006). Locally, controlling the input of nutrients and sediment from land is an important complementary management strategy (McLeod et al., 2009) because nutrient enrichment can increase the susceptibility of corals to bleaching (Wiedenmann et al., 2013) and coastal pollutants enriched with fertilizers can increase acidification (Kelly et al., 2011). In the long term, limiting the amount of ocean warming and acidification is central to ensuring the viability of coral reefs and dependent communities (*high confidence*; Section 5.2.4.4, 30.5).

## References

- Bell, J.D., A. Ganachaud, P.C. Gehrke, S.P. Griffiths, A.J. Hobday, O. Hoegh-Guldberg, J.E. Johnson, R. Le Borgne, P. Lehodey, J.M. Lough, R.J. Matear, T.D. Pickering, M.S. Pratchett, A. Sen Gupta, I. Senina and M. Waycott, 2013: Mixed responses of tropical Pacific fisheries and aquaculture to climate change. *Nature Climate Change*, **3**(6), 591-599.
- Berkelmans, R. and M.J.H. van Oppen, 2006: The role of zooxanthellae in the thermal tolerance of corals: a 'nugget of hope' for coral reefs in an era of climate change. *Proceedings of the Royal Society B: Biological Sciences*, **273**(1599), 2305-2312.

- Biggs, D., 2011: *Case study: the resilience of the nature-based tourism system on Australia's Great Barrier Reef*. Report prepared for the Australian Government Department of Sustainability Environment Water Population and Communities on behalf of the State of the Environment 2011 Committee, Canberra, 32 pp.
- Billé, R., R. Kelly, A. Biastoch, E. Harrould-Kolieb, D. Herr, F. Joos, K.J. Kroeker, D. Laffoley, A. Oschlies and J.-P. Gattuso, 2013: Taking action against ocean acidification: a review of management and policy options. *Environmental Management*, **52**, 761-779.
- Bruno, J.F. and E.R. Selig, 2007: Regional decline of coral cover in the Indo-Pacific: timing, extent, and subregional comparisons. *PLoS ONE*, **2**(8), e711. doi: 10.1371/journal.pone.0000711.
- Burke, L., K. Reynter, M. Spalding and A. Perry, 2011: *Reefs at risk revisited*. World Resources Institute, Washington D.C., 114 pp.
- De'ath, G., K.E. Fabricius, H. Sweatman and M. Puotinen, 2012: The 27-year decline of coral cover on the Great Barrier Reef and its causes. *Proceedings of the National Academy of Sciences of the United States of America*, **109**(44), 17995-17999.
- Elvidge, C.D., J.B. Dietz, R. Berkemans, S. Andréfouët, W. Skirving, A.E. Strong and B.T. Tuttle, 2004: Satellite observation of Keppel Islands (Great Barrier Reef) 2002 bleaching using IKONOS data. *Coral Reefs*, **23**(1), 123-132.
- Fabricius, K.E., C. Langdon, S. Utthike, C. Humphrey, S. Noonan, G. De'ath, R. Okazaki, N. Muehllehner, M.S. Glas and J.M. Lough, 2011: Losers and winners in coral reefs acclimatized to elevated carbon dioxide concentrations. *Nature Climate Change*, **1**(3), 165-169.
- Frieler, K., M. Meinshausen, A. Golly, M. Mengel, K. Lebek, S.D. Donner and O. Hoegh-Guldberg, 2013: Limiting global warming to 2°C is unlikely to save most coral reefs. *Nature Climate Change*, **3**(2), 165-170.
- Garcia, S.M. and I. de Leiva Moreno, 2003: Global overview of marine fisheries. In: *Responsible Fisheries in the Marine Ecosystem* [Sinclair, M. and G. Valdimarsson (eds.)]. Wallingford: CABI, pp. 1-24.
- Gardner, T.A., I.M. Côté, J.A. Gill, A. Grant and A.R. Watkinson, 2003: Long-term region-wide declines in Caribbean corals. *Science*, **301**(5635), 958-960.
- Gilmour, J.P., L.D. Smith, A.J. Heyward, A.H. Baird and M.S. Pratchett, 2013: Recovery of an isolated coral reef system following severe disturbance. *Science*, **340**(6128), 69-71.
- Glynn, P.W., 1984: Widespread coral mortality and the 1982-83 El Niño warming event. *Environmental Conservation*, **11**(2), 133-146.
- Hoegh-Guldberg, O., 2011: Coral reef ecosystems and anthropogenic climate change. *Regional Environmental Change*, **11**, 215-227.
- Hoegh-Guldberg, O., 2012: The adaptation of coral reefs to climate change: Is the Red Queen being outpaced? *Scientia Marina*, **76**(2), 403-408.
- Hoegh-Guldberg, O., P.J. Mumby, A.J. Hooten, R.S. Steneck, P. Greenfield, E. Gomez, C.D. Harvell, P.F. Sale, A.J. Edwards, K. Caldeira, N. Knowlton, C.M. Eakin, R. Iglesias-Prieto, N. Muthiga, R.H. Bradbury, A. Dubi and M.E. Hatzitolos, 2007: Coral reefs under rapid climate change and ocean acidification. *Science*, **318**(5857), 1737-1742.
- Hughes, T.P., 1994: Catastrophes, phase-shifts, and large-scale degradation of a Caribbean coral reef. *Science*, **265**(5178), 1547-1551.
- Jones, A.M., R. Berkemans, M.J.H. van Oppen, J.C. Mieog and W. Sinclair, 2008: A community change in the algal endosymbionts of a scleractinian coral following a natural bleaching event: field evidence of acclimatization. *Proceedings of the Royal Society B: Biological Sciences*, **275**(1641), 1359-1365.
- Jones, G.P., M.I. McCormick, M. Srinivasan and J.V. Eagle, 2004: Coral decline threatens fish biodiversity in marine reserves. *Proceedings of the National Academy of Sciences of the United States of America*, **101**(21), 8251-8253.
- Kelly, R.P., M.M. Foley, W.S. Fisher, R.A. Feely, B.S. Halpern, G.G. Waldbusser and M.R. Caldwell, 2011: Mitigating local causes of ocean acidification with existing laws. *Science*, **332**(6033), 1036-1037.
- Laurans, Y., N. Pascal, T. Binet, L. Brander, E. Clua, G. David, D. Rojat and A. Seidl, 2013: Economic valuation of ecosystem services from coral reefs in the South Pacific: taking stock of recent experience. *Journal of Environmental Management*, **116**, 135-144.
- Logan, C.A., J.P. Dunne, C.M. Eakin and S.D. Donner, 2014: Incorporating adaptive responses into future projections of coral bleaching. *Global Change Biology*, **20**(1), 125-139.
- Lough, J.M., 2000: 1997-98: Unprecedented thermal stress to coral reefs? *Geophysical Research Letters*, **27**(23), 3901-3904.
- McLeod, E., R. Salm, A. Green and J. Almany, 2009: Designing marine protected area networks to address the impacts of climate change. *Frontiers in Ecology and the Environment*, **7**(7), 362-370.
- Newton, K., I.M. Côté, G.M. Pilling, S. Jennings and N.K. Dulvy, 2007: Current and future sustainability of island coral reef fisheries. *Current Biology*, **17**(7), 655-658.
- Pascal, N., 2011: *Cost-benefit analysis of community-based marine protected areas: 5 case studies in Vanuatu, South Pacific*. CRISP Research Reports. CRILOBE (EPHE/CNRS). Insular Research Center and Environment Observatory, Moorea, French Polynesia, 107 pp.
- Pratchett, M.S., P.L. Munday, S.K. Wilson, N.A.J. Graham, J.E. Cinner, D.R. Bellwood, G.P. Jones, N.V.C. Polunin and T.R. McClanahan, 2008: Effects of climate-induced coral bleaching on coral-reef fishes - Ecological and economic consequences. *Oceanography and Marine Biology: An Annual Review*, **46**, 251-296.
- Przeslawski, R., S. Ahyong, M. Byrne, G. Wörheide and P. Hutchings, 2008: Beyond corals and fish: the effects of climate change on noncoral benthic invertebrates of tropical reefs. *Global Change Biology*, **14**(12), 2773-2795.
- Rau, G.H., E.L. McLeod and O. Hoegh-Guldberg, 2012: The need for new ocean conservation strategies in a high-carbon dioxide world. *Nature Climate Change*, **2**(10), 720-724.
- Salm, R.V., T. Done and E. McLeod, 2006: Marine Protected Area planning in a changing climate. In: *Coastal and Estuarine Studies 61. Coral Reefs and Climate Change: Science and Management*. [Phinney, J.T., O. Hoegh-Guldberg, J. Kleypas, W. Skirving and A. Strong (eds.)]. American Geophysical Union, pp. 207-221.
- Selig, E.R., K.S. Casey and J.F. Bruno, 2012: Temperature-driven coral decline: the role of marine protected areas. *Global Change Biology*, **18**(5), 1561-1570.
- Sheppard, C., D.J. Dixon, M. Gourlay, A. Sheppard and R. Payet, 2005: Coral mortality increases wave energy reaching shores protected by reef flats: Examples from the Seychelles. *Estuarine, Coastal and Shelf Science*, **64**(2-3), 223-234.
- Wiedenmann, J., C. D'Angelo, E.G. Smith, A.N. Hunt, F.-E. Legiret, A.D. Postle and E.P. Achterberg, 2013: Nutrient enrichment can increase the susceptibility of reef corals to bleaching. *Nature Climate Change*, **3**(2), 160-164.
- Wild, C., O. Hoegh-Guldberg, M.S. Naumann, M.F. Colombo-Pallotta, M. Ateweberhan, W.K. Fitt, R. Iglesias-Prieto, C. Palmer, J.C. Bythell, J.-C. Ortiz, Y. Loya and R. van Woesik, 2011: Climate change impedes scleractinian corals as primary reef ecosystem engineers. *Marine and Freshwater Research*, **62**(2), 205-215.

**This cross-chapter box should be cited as:**

Gattuso, J.-P., O. Hoegh-Guldberg, and H.-O. Pörtner, 2014: Cross-chapter box on coral reefs. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 97-100.

# Ecosystem-Based Approaches to Adaptation—Emerging Opportunities

Rebecca Shaw (USA), Jonathan Overpeck (USA), Guy Midgley (South Africa)

Ecosystem-based adaptation (EBA), defined as the use of biodiversity and ecosystem services as part of an overall adaptation strategy to help people to adapt to the adverse effects of climate change (CBD, 2009), integrates the use of biodiversity and ecosystem services into climate change adaptation strategies (e.g., CBD, 2009; Munroe et al., 2011; see IPCC AR5 WGII Chapters 3, 4, 5, 8, 9, 13, 14, 15, 16, 19, 22, 25, and 27). EBA is implemented through the sustainable management of natural resources and conservation and restoration of ecosystems, to provide and sustain services that facilitate adaptation both to climate variability and change (Colls et al., 2009). It also sets out to take into account the multiple social, economic, and cultural co-benefits for local communities (CBD COP 10 Decision X/33).

EBA can be combined with, or even serve as a substitute for, the use of engineered infrastructure or other technological approaches. Engineered defenses such as dams, sea walls, and levees adversely affect biodiversity, potentially resulting in maladaptation due to damage to ecosystem regulating services (Campbell et al., 2009; Munroe et al., 2011). There is some evidence that the restoration and use of ecosystem services may reduce or delay the need for these engineering solutions (CBD, 2009). EBA offers lower risk of maladaptation than engineering solutions in that their application is more flexible and responsive to unanticipated environmental changes. Well-integrated EBA can be more cost effective and sustainable than non-integrated physical engineering approaches (Jones et al., 2012), and may contribute to achieving sustainable development goals (e.g., poverty reduction, sustainable environmental management, and even mitigation objectives), especially when they are integrated with sound ecosystem management approaches (CBD, 2009). In addition, EBA yields economic, social, and environmental co-benefits in the form of ecosystem goods and services (World Bank, 2009).

EBA is applicable in both developed and developing countries. In developing countries where economies depend more directly on the provision of ecosystem services (Vignola et al., 2009), EBA may be a highly useful approach to reduce risks to climate change impacts and ensure that development proceeds on a pathways that are resilient to climate change (Munang et al., 2013). EBA projects may be developed by enhancing existing initiatives, such as community-based adaptation and natural resource management approaches (e.g., Khan et al., 2012, Midgley et al., 2012; Roberts et al., 2012).

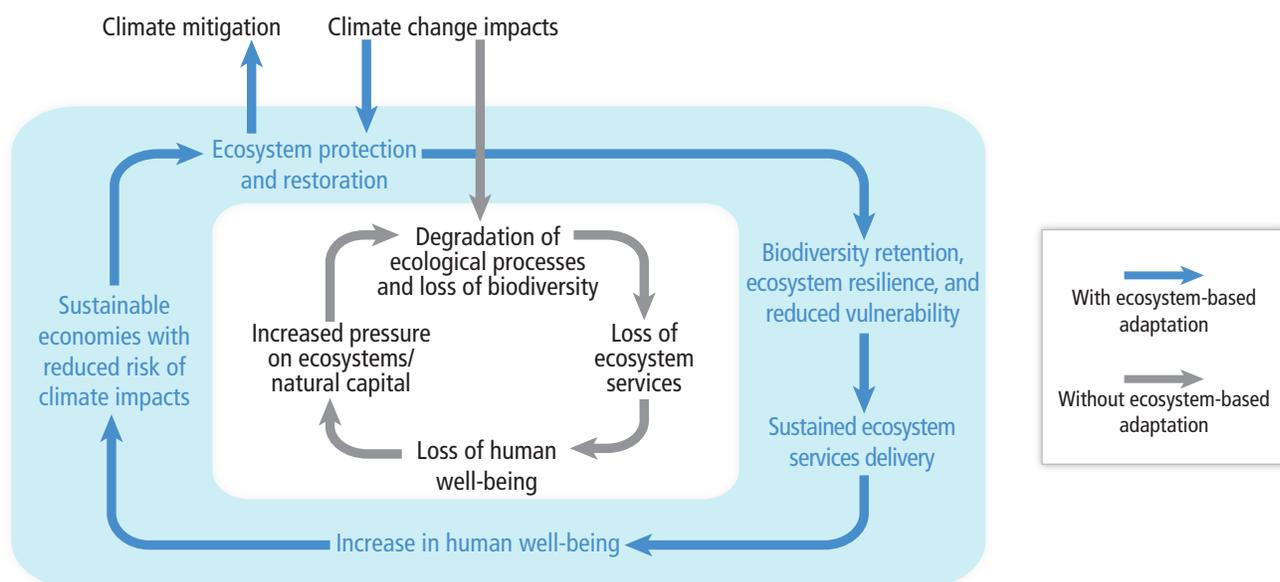
Examples of ecosystem based approaches to adaptation include:

- Sustainable water management, where river basins, aquifers, flood plains, and their associated vegetation are managed or restored to provide resilient water storage and

enhanced baseflows, flood regulation and protection services, reduction of erosion/siltation rates, and more ecosystem goods (e.g., Opperman et al., 2009; Midgley et al., 2012)

- Disaster risk reduction through the restoration of coastal habitats (e.g., mangroves, wetlands, and deltas) to provide effective measure against storm-surges, saline intrusion, and coastal erosion (Jonkman et al., 2013)
- Sustainable management of grasslands and rangelands to enhance pastoral livelihoods and increase resilience to drought and flooding
- Establishment of diverse and resilient agricultural systems, and adapting crop and livestock variety mixes to secure food provision. Traditional knowledge may contribute in this area through, for example, identifying indigenous crop and livestock genetic diversity, and water conservation techniques.
- Management of fire-prone ecosystems to achieve safer fire regimes while ensuring the maintenance of natural processes

Application of EBA, like other approaches, is not without risk, and risk/benefit assessments will allow better assessment of opportunities offered by the approach (CBD, 2009). The examples of EBA are too few and too recent to assess either the risks or the benefits comprehensively at this stage. EBA is still a developing concept but should be considered alongside adaptation options based more on engineering works or social change, and existing and new cases used to build understanding of when and where its use is appropriate.



**Figure EA-1** | Adapted from Munang et al. (2013). Ecosystem-based adaptation (EBA) uses the capacity of nature to buffer human systems from the adverse impacts of climate change. Without EBA, climate change may cause degradation of ecological processes (central white panel) leading to losses in human well-being. Implementing EBA (outer blue panel) may reduce or offset these adverse impacts resulting in a virtuous cycle that reduces climate-related risks to human communities, and may provide mitigation benefits.

## References

- Campbell, A., V. Kapos, J. Scharlemann, P. Bubb, A. Chenery, L. Coad, B. Dickson, N. Doswald, M. Khan, F. Kershaw, and M. Rashid, 2009: *Review of the Literature on the Links between Biodiversity and Climate Change: Impacts, Adaptation and Mitigation*. CBD Technical Series No. 42, Secretariat of the Convention on Biological Diversity (CBD), Montreal, QC, Canada, 124 pp.
- CBD, 2009: *Connecting Biodiversity and Climate Change Mitigation and Adaptation: Report of the Second Ad Hoc Technical Expert Group on Biodiversity and Climate Change*. CBD Technical Series No. 41, Secretariat of the Convention on Biological Diversity (CBD), Montreal, QC, Canada, 126 pp.
- Colls, A., N. Ash, and N. Ikkala, 2009: *Ecosystem-Based Adaptation: A Natural Response to Climate Change*. International Union for Conservation of Nature and Natural Resources (IUCN), Gland, Switzerland, 16 pp.
- Jones, H.P., D.G. Hole, and E.S. Zavaleta, 2012: Harnessing nature to help people adapt to climate change. *Nature Climate Change*, 2(7), 504-509.
- Jonkman, S.N., M.M. Hillen, R.J. Nicholls, W. Kanning, and M. van Ledden, 2013: Costs of adapting coastal defences to sea-level rise – new estimates and their implications. *Journal of Coastal Research*, 29(5), 1212-1226.
- Khan, A.S., A. Ramachandran, N. Usha, S. Punitha, and V. Selvam, 2012: Predicted impact of the sea-level rise at Vellar-Coleroon estuarine region of Tamil Nadu coast in India: mainstreaming adaptation as a coastal zone management option. *Ocean & Coastal Management*, 69, 327-339.
- Midgley, G.F., S. Marais, M. Barnett, and K. Wågsæther, 2012: *Biodiversity, Climate Change and Sustainable Development – Harnessing Synergies and Celebrating Successes*. Final Technical Report, The Adaptation Network Secretariat, hosted by Indigo Development & Change and The Environmental Monitoring Group, Nieuwoudtville, South Africa. 70 pp.
- Munang, R., I. Thiaw, K. Alverson, M. Mumba, J. Liu, and M. Rivington, 2013: Climate change and ecosystem-based adaptation: a new pragmatic approach to buffering climate change impacts. *Current Opinion in Environmental Sustainability*, 5(1), 67-71.
- Munroe, R., N. Doswald, D. Roe, H. Reid, A. Giuliani, I. Castelli, and I. Moller, 2011: *Does EbA Work? A Review of the Evidence on the Effectiveness of Ecosystem-Based Approaches to Adaptation*. Research collaboration between BirdLife International, United Nations Environment Programme-World Conservation Monitoring Centre (UNEP-WCMC), and the University of Cambridge, Cambridge, UK, and the International Institute for Environment and Development (IIED), London, UK, 4 pp.

- Opperman, J.J., G.E. Galloway, J. Fargione, J.F. Mount, B.D. Richter, and S. Secchi, 2009:** Sustainable floodplains through large-scale reconnection to rivers. *Science*, **326(5959)**, 1487-1488.
- Roberts, D., R. Boon, N. Diederichs, E. Douwes, N. Govender, A. McInnes, C. McLean, S. O'Donoghue, and M. Spires, 2012:** Exploring ecosystem-based adaptation in Durban, South Africa: "learning-by-doing" at the local government coal face. *Environment and Urbanization*, **24(1)**, 167-195.
- Vignola, R., B. Locatelli, C. Martinez, and P. Imbach, 2009:** Ecosystem-based adaptation to climate change: what role for policymakers, society and scientists? *Mitigation and Adaptation Strategies for Global Change*, **14(8)**, 691-696.

**This cross-chapter box should be cited as:**

**Shaw, M.R., J.T. Overpeck, and G.F. Midgley, 2014:** Cross-chapter box on ecosystem based approaches to adaptation—emerging opportunities. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 101-103.



## Gender and Climate Change

Katharine Vincent (South Africa), Petra Tschakert (U.S.A.), Jon Barnett (Australia), Marta G. Rivera-Ferre (Spain), Alistair Woodward (New Zealand)

Gender, along with sociodemographic factors of age, wealth, and class, is critical to the ways in which climate change is experienced. There are significant gender dimensions to impacts, adaptation, and vulnerability. This issue was raised in WGII AR4 and SREX reports (Adger et al., 2007; IPCC, 2012), but for the AR5 there are significant new findings, based on multiple lines of evidence on how climate change is differentiated by gender, and how climate change contributes to perpetuating existing gender inequalities. This new research has been undertaken in every region of the world (e.g. Brouwer et al., 2007; Buechler, 2009; Nelson and Stathers, 2009; Nightingale, 2009; Dankelman, 2010; MacGregor, 2010; Alston, 2011; Arora-Jonsson, 2011; Omolo, 2011; Resurreccion, 2011).

Gender dimensions of vulnerability derive from differential access to the social and environmental resources required for adaptation. In many rural economies and resource-based livelihood systems, it is well established that women have poorer access than men to financial resources, land, education, health, and other basic rights. Further drivers of gender inequality stem from social exclusion from decision-making processes and labor markets, making women in particular less able to cope with and adapt to climate change impacts (Paavola, 2008; Djoudi and Brockhaus, 2011; Rijkers and Costa, 2012). These gender inequalities manifest themselves in gendered livelihood impacts and feminisation of responsibilities: whereas both men and women experience increases in productive roles, only women experience increased reproductive roles (Resurreccion, 2011; Section 9.3.5.1.5, Box 13-1). A study in Australia, for example, showed how more regular occurrence of drought has put women under increasing pressure to earn off-farm income and contribute to more on-farm labor (Alston, 2011). Studies in Tanzania and Malawi demonstrate how women experience food and nutrition insecurity because food is preferentially distributed among other family members (Nelson and Stathers, 2009; Kakota et al., 2011).

AR4 assessed a body of literature that focused on women's relatively higher vulnerability to weather-related disasters in terms of number of deaths (Adger et al., 2007). Additional literature published since that time adds nuances by showing how socially constructed gender differences affect exposure to extreme events, leading to differential patterns of mortality for both men and women (*high confidence*; Section 11.3.3, Table 12-3). Statistical evidence of patterns of male and female mortality from recorded extreme events in 141 countries between 1981 and 2002 found that disasters kill women at an earlier age than men (Neumayer and Plümper, 2007; see also Box 13-1). Reasons for gendered differences in mortality include various socially and culturally determined gender roles. Studies in Bangladesh, for example, show that women do not learn to swim and so are vulnerable when exposed to flooding (Röhr, 2006) and that, in Nicaragua, the construction of gender roles means that middle-class women are expected to stay in the house,

even during floods and in risk-prone areas (Bradshaw, 2010). Although the differential vulnerability of women to extreme events has long been understood, there is now increasing evidence to show how gender roles for men can affect their vulnerability. In particular, men are often expected to be brave and heroic, and engage in risky life-saving behaviors that increase their likelihood of mortality (Box 13-1). In Hai Lang district, Vietnam, for example, more men died than women as a result of their involvement in search and rescue and protection of fields during flooding (Campbell et al., 2009). Women and girls are more likely to become victims of domestic violence after a disaster, particularly when they are living in emergency accommodation, which has been documented in the USA and Australia (Jenkins and Phillips, 2008; Anastario et al., 2009; Alston, 2011; Whittenbury, 2013; see also Box 13-1).

Heat stress exhibits gendered differences, reflecting both physiological and social factors (Section 11.3.3). The majority of studies in European countries show women to be more at risk, but their usually higher physiological vulnerability can be offset in some circumstances by relatively lower social vulnerability (if they are well connected in supportive social networks, for example). During the Paris heat wave, unmarried men were at greater risk than unmarried women, and in Chicago elderly men were at greatest risk, thought to reflect their lack of connectedness in social support networks which led to higher social vulnerability (Kovats and Hajat, 2008). A multi-city study showed geographical variations in the relationship between sex and mortality due to heat stress: in Mexico City, women had a higher risk of mortality than men, although the reverse was true in Santiago and São Paulo (Bell et al., 2008).

Recognizing gender differences in vulnerability and adaptation can enable gender-sensitive responses that reduce the vulnerability of women and men (Alston, 2013). Evaluations of adaptation investments demonstrate that those approaches that are not sensitive to gender dimensions and other drivers of social inequalities risk reinforcing existing vulnerabilities (Vincent et al., 2010; Arora-Jonsson, 2011; Figueiredo and Perkins, 2012). Government-supported interventions to improve production through cash-cropping and non-farm enterprises in rural economies, for example, typically advantage men over women because cash generation is seen as a male activity in rural areas (Gladwin et al., 2001; see also Section 13.3.1). In contrast, rainwater and conservation-based adaptation initiatives may require additional labor, which women cannot necessarily afford to provide (Baiphethi et al., 2008). Encouraging gender-equitable access to education and strengthening of social capital are among the best means of improving adaptation of rural women farmers (Goulden et al., 2009; Vincent et al., 2010; Below et al., 2012) and could be used to complement existing initiatives mentioned above that benefit men. Rights-based approaches to development can inform adaptation efforts as they focus on addressing the ways in which institutional practices shape access to resources and control over decision-making processes, including through the social construction of gender and its intersection with other factors that shape inequalities and vulnerabilities (Tschakert and Machado, 2012; Bee et al., 2013; Tschakert, 2013; see also Section 22.4.3 and Table 22-5).

## References

- Adger, W.N., S. Agrawala, M.M.Q. Mirza, C. Conde, K. O'Brien, J. Pulhin, R. Pulwarty, B. Smit, and K. Takahashi, 2007: Chapter 17: Assessment of adaptation practices, options, constraints and capacity. In: *Climate Change 2007: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change* [Parry, M.L., O.F. Canziani, J.P. Palutikof, P.J. van der Linden, and C.E. Hanson (ed.)]. IPCC, Geneva, Switzerland, pp. 719-743.
- Alston, M., 2011: Gender and climate change in Australia. *Journal of Sociology*, **47**(1), 53-70.
- Alston, M., 2013: Women and adaptation. *Wiley Interdisciplinary Reviews: Climate Change*, **(4)5**, 351-358.
- Anastario, M., N. Shebab, and L. Lawry, 2009: Increased gender-based violence among women internally displaced in Mississippi 2 years post-Hurricane Katrina. *Disaster Medicine and Public Health Preparedness*, **3**(1), 18-26.
- Arora-Jonsson, S., 2011: Virtue and vulnerability: discourses on women, gender and climate change. *Global Environmental Change*, **21**, 744-751.
- Baiphethi, M.N., M. Viljoen, and G. Kundhlande, 2008: Rural women and rainwater harvesting and conservation practices: anecdotal evidence from the Free State and Eastern Cape. *Agenda*, **22**(78), 163-171.
- Bee, B., M. Biermann, and P. Tschakert, 2013: Gender, development, and rights-based approaches: lessons for climate change adaptation and adaptive social protection. In: *Research, Action and Policy: Addressing the Gendered Impacts of Climate Change* [Alston, M. and K. Whittenbury (eds.)]. Springer, Dordrecht, Netherlands, pp. 95-108.
- Bell, M.L., M.S. O'Neill, N. Ranjit, V.H. Borja-Aburto, L.A. Cifuentes, and N.C. Gouveia, 2008: Vulnerability to heat-related mortality in Latin America: a case-crossover study in Sao Paulo, Brazil, Santiago, Chile and Mexico City, Mexico. *International Journal of Epidemiology*, **37**(4), 796-804.
- Below, T.B., K.D. Mutabazi, D. Kirschke, C. Franke, S. Sieber, R. Siebert, and K. Tscherning, 2012: Can farmers' adaptation to climate change be explained by socio-economic household-level variables? *Global Environmental Change*, **22**(1), 223-235.
- Bradshaw, S., 2010: Women, poverty, and disasters: exploring the links through Hurricane Mitch in Nicaragua. In: *The International Handbook of Gender and Poverty: Concepts, Research, Policy* [Chant, S. (ed.)]. Edward Elgar Publishing, Cheltenham, UK, pp. 627-632.
- Brouwer, R., S. Akter, L. Brander, and E. Haque, 2007: Socioeconomic vulnerability and adaptation to environmental risk: a case study of climate change and flooding in Bangladesh. *Risk Analysis*, **27**(2), 313-326.
- Campbell, B., S. Mitchell, and M. Blackett, 2009: *Responding to Climate Change in Vietnam. Opportunities for Improving Gender Equality*. A Policy Discussion Paper, Oxfam in Viet Nam and United Nations Development Programme-Viet Nam (UNDP-Viet Nam), Ha noi, Viet Nam, 62 pp.
- Dankelman, I., 2010: Introduction: exploring gender, environment, and climate change. In: *Gender and Climate Change: An Introduction* [Dankelman, I. (ed.)]. Earthscan, London, UK and Washington, DC, USA, pp. 1-18.
- Djoudi, H. and M. Brockhaus, 2011: Is adaptation to climate change gender neutral? Lessons from communities dependent on livestock and forests in northern Mali. *International Forestry Review*, **13**(2), 123-135.
- Figueiredo, P. and P.E. Perkins, 2012: Women and water management in times of climate change: participatory and inclusive processes. *Journal of Cleaner Production*, **60**(1), 188-194.

- Gladwin, C.H., A.M. Thomson, J.S. Peterson, and A.S. Anderson, 2001: Addressing food security in Africa via multiple livelihood strategies of women farmers. *Food Policy*, 26(2), 177-207.
- Goulden, M., L.O. Naess, K. Vincent, and W.N. Adger, 2009: Diversification, networks and traditional resource management as adaptations to climate extremes in rural Africa: opportunities and barriers. In: *Adapting to Climate Change: Thresholds, Values and Governance* [Adger, W.N., I. Lorenzoni, and K. O'Brien (eds.)]. Cambridge University Press, Cambridge, UK, pp. 448-464.
- IPCC, 2012: *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change* [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)], Cambridge University Press, Cambridge, UK and New York, NY, USA, 582 pp.
- Jenkins, P. and B. Phillips, 2008: Battered women, catastrophe, and the context of safety after Hurricane Katrina. *NWSA Journal*, 20(3), 49-68.
- Kakota, T., D. Nyariki, D. Mkwambisi, and W. Kogi-Makau, 2011: Gender vulnerability to climate variability and household food insecurity. *Climate and Development*, 3(4), 298-309.
- Kovats, R. and S. Hajat, 2008: Heat stress and public health: a critical review. *Public Health*, 29, 41-55.
- MacGregor, S., 2010: 'Gender and climate change': from impacts to discourses. *Journal of the Indian Ocean Region*, 6(2), 223-238.
- Nelson, V. and T. Stathers, 2009: Resilience, power, culture, and climate: a case study from semi-arid Tanzania, and new research directions. *Gender & Development*, 17(1), 81-94.
- Neumayer, E. and T. Plümper, 2007: The gendered nature of natural disasters: the impact of catastrophic events on the gender gap in life expectancy, 1981-2002. *Annals of the Association of American Geographers*, 97(3), 551-566.
- Nightingale, A., 2009: Warming up the climate change debate: a challenge to policy based on adaptation. *Journal of Forest and Livelihood*, 8(1), 84-89.
- Omolo, N., 2011: Gender and climate change-induced conflict in pastoral communities: case study of Turkana in northwestern Kenya. *African Journal on Conflict Resolution*, 10(2), 81-102.
- Paavola, J., 2008: Livelihoods, vulnerability and adaptation to climate change in Morogoro, Tanzania. *Environmental Science & Policy*, 11(7), 642-654.
- Resurreccion, B.P., 2011: *The Gender and Climate Debate: More of the Same or New Pathways of Thinking and Doing?* Asia Security Initiative Policy Series, Working Paper No. 10, RSIS Centre for Non-Traditional Security (NTS) Studies, Singapore, 19 pp.
- Rijkers, B. and R. Costa, 2012: *Gender and Rural Non-Farm Entrepreneurship*. Policy Research Working Paper 6066, Macroeconomics and Growth Team, Development Research Group, The World Bank, Washington, DC, USA, 68 pp.
- Röhr, U., 2006: Gender and climate change. *Tiempo*, 59, 3-7.
- Tschakert, P., 2013: From impacts to embodied experiences: tracing political ecology in climate change research. *Geografisk Tidsskrift/Danish Journal of Geography*, 112(2), 144-158.
- Tschakert, P. and M. Machado, 2012: Gender justice and rights in climate change adaptation: opportunities and pitfalls. *Ethics and Social Welfare*, 6(3), 275-289, doi: 10.1080/17496535.2012.704929.
- Vincent, K., T. Cull, and E. Archer, 2010: Gendered vulnerability to climate change in Limpopo province, South Africa. In: *Gender and Climate Change: An Introduction* [Dankelman, I. (ed.)]. Earthscan, London, UK and Washington, DC, USA, pp. 160-167.
- Whittenbury, K., 2013: Climate change, women's health, wellbeing and experiences of gender-based violence in Australia. In: *Research, Action and Policy: Addressing the Gendered Impacts of Climate Change* [Alston, M. and K. Whittenbury (eds.)]. Springer Science, Dordrecht, Netherlands, pp. 207-222.

**This cross-chapter box should be cited as:**

**K.E. Vincent**, P. Tschakert, Barnett, J., M.G. Rivera-Ferre, and A. Woodward, 2014: Cross-chapter box on gender and climate change. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 105-107.



## Heat Stress and Heat Waves

Lennart Olsson (Sweden), Dave Chadee (Trinidad and Tobago), Ove Hoegh-Guldberg (Australia), Michael Oppenheimer (USA), John Porter (Denmark), Hans-O. Pörtner (Germany), David Satterthwaite (UK), Kirk R. Smith (USA), Maria Isabel Travasso (Argentina), Petra Tschakert (USA)

According to WGI, it is *very likely* that the number and intensity of hot days have increased markedly in the last three decades and *virtually certain* that this increase will continue into the late 21st century. In addition, it is *likely (medium confidence)* that the occurrence of heat waves (multiple days of hot weather in a row) has more than doubled in some locations, but *very likely* that there will be more frequent heat waves over most land areas after mid-century. Under a medium warming scenario, Coumou et al. (2013) predicted that the number of monthly heat records will be more than 12 times more common by the 2040s compared to a non-warming world. In a longer time perspective, if the global mean temperature increases to +7°C or more, the habitability of parts of the tropics and mid-latitudes will be at risk (Sherwood and Huber, 2010). Heat waves affect natural and human systems directly, often with severe losses of lives and assets as a result, and may act as triggers of tipping points (Hughes et al., 2013). Consequently, heat stress plays an important role in several key risks noted in Chapter 19 and CC-KR.

### **Economy and Society (Chapters 10, 11, 12, 13)**

Environmental heat stress has already reduced the global labor capacity to 90% in peak months with a further predicted reduction to 80% in peak months by 2050. Under a high warming scenario (RCP8.5), labor capacity is expected to be less than 40% of present-day conditions in peak months by 2200 (Dunne et al., 2013). Adaptation costs for securing cooling capacities and emergency shelters during heat waves will be substantial.

Heat waves are associated with social predicaments such as increasing violence (Anderson, 2012) as well as overall health and psychological distress and low life satisfaction (Tawatsupa et al., 2012). Impacts are highly differential with disproportional burdens on poor people, elderly people, and those who are marginalized (Wilhelmi et al., 2012). Urban areas are expected to suffer more due to the combined effect of climate and the urban heat island effect (Fischer et al., 2012; see also Section 8.2.3.1). In low- and medium-income countries, adaptation to heat stress is severely restricted for most people in poverty and particularly those who are dependent on working outdoors in agriculture, fisheries, and construction. In small-scale agriculture, women and children are particularly at risk due to the gendered division of labor (Croppenstedt et al., 2013). The expected increase in wildfires as a result of heat waves (Pechony and Shindell, 2010) is a concern for human security, health, and ecosystems. Air pollution from wildfires already causes an estimated 339,000 premature deaths per year worldwide (Johnston et al., 2012).

## Human Health (Chapter 11)

Morbidity and mortality due to heat stress is now common all over the world (Barriopedro et al., 2011; Nitschke et al., 2011; Rahmstorf and Coumou, 2011; Diboulo et al., 2012; Hansen et al., 2012). Elderly people and people with circulatory and respiratory diseases are also vulnerable even in developed countries; they can become victims even inside their own houses (Honda et al., 2011). People in physical work are at particular risk as such work produces substantial heat within the body, which cannot be released if the outside temperature and humidity is above certain limits (Kjellstrom et al., 2009). The risk of non-melanoma skin cancer from exposure to UV radiation during summer months increases with temperature (van der Leun, et al., 2008). High temperatures are also associated with an increase in air-borne allergens acting as triggers for respiratory illnesses such as asthma, allergic rhinitis, conjunctivitis, and dermatitis (Beggs, 2010).

## Ecosystems (Chapters 4, 5, 6, 30)

Tree mortality is increasing globally (Williams et al., 2013) and can be linked to climate impacts, especially heat and drought (Reichstein et al., 2013), even though attribution to climate change is difficult owing to lack of time series and confounding factors. In the Mediterranean region, higher fire risk, longer fire season, and more frequent large, severe fires are expected as a result of increasing heat waves in combination with drought (Duguy et al., 2013; see also Box 4.2).

Marine ecosystem shifts attributed to climate change are often caused by temperature extremes rather than changes in the average (Pörtner and Knust, 2007). During heat exposure near biogeographical limits, even small (<0.5°C) shifts in temperature extremes can have large effects, often exacerbated by concomitant exposures to hypoxia and/or elevated CO<sub>2</sub> levels and associated acidification (*medium confidence*; Hoegh-Guldberg et al., 2007; see also Figure 6-5; Sections 6.3.1, 6.3.5, 30.4, 30.5; CC-MB).

Most coral reefs have experienced heat stress sufficient to cause frequent mass coral bleaching events in the last 30 years, sometimes followed by mass mortality (Baker et al., 2008). The interaction of acidification and warming exacerbates coral bleaching and mortality (*very high confidence*). Temperate seagrass and kelp ecosystems will decline with the increased frequency of heat waves and through the impact of invasive subtropical species (*high confidence*; Sections 5, 6, 30.4, 30.5, CC-CR, CC-MB).

## Agriculture (Chapter 7)

Excessive heat interacts with key physiological processes in crops. Negative yield impacts for all crops past +3°C of local warming without adaptation, even with benefits of higher CO<sub>2</sub> and rainfall, are expected even in cool environments (Teixeira et al., 2013). For tropical systems where moisture availability or extreme heat limits the length of the growing season, there is a high potential for a decline in the length of the growing season and suitability for crops (*medium evidence, medium agreement*; Jones and Thornton, 2009). For example, half of the wheat-growing area of the Indo-Gangetic Plains could become significantly heat-stressed by the 2050s.

There is *high confidence* that high temperatures reduce animal feeding and growth rates (Thornton et al., 2009). Heat stress reduces reproductive rates of livestock (Hansen, 2009), weakens their overall performance (Henry et al., 2012), and may cause mass mortality of animals in feedlots during heat waves (Polley et al., 2013). In the USA, current economic losses due to heat stress of livestock are estimated at several billion US\$ annually (St-Pierre et al., 2003).

## References

- Anderson, C.A., 2012: Climate change and violence. In: *The Encyclopedia of Peace Psychology* [Christie, D.J. (ed.)]. John Wiley & Sons/Blackwell, Chichester, UK, pp. 128-132.
- Baker, A.C., P.W. Glynn, and B. Riegl, 2008: Climate change and coral reef bleaching: an ecological assessment of long-term impacts, recovery trends and future outlook. *Estuarine, Coastal and Shelf Science*, **80**(4), 435-471.
- Barriopedro, D., E.M. Fischer, J. Luterbacher, R.M. Trigo, and R. García-Herrera, 2011: The hot summer of 2010: redrawing the temperature record map of Europe. *Science*, **332**(6026), 220-224.
- Beggs, P.J., 2010: Adaptation to impacts of climate change on aeroallergens and allergic respiratory diseases. *International Journal of Environmental Research and Public Health*, **7**(8), 3006-3021.
- Coumou, D., A. Robinson, and S. Rahmstorf, 2013: Global increase in record-breaking monthly-mean temperatures. *Climatic Change*, **118**(3-4), 771-782.
- Croppenstedt, A., M. Goldstein, and N. Rosas, 2013: Gender and agriculture: inefficiencies, segregation, and low productivity traps. *The World Bank Research Observer*, **28**(1), 79-109.
- Diboulo, E., A. Sie, J. Rocklöv, L. Niamba, M. Ye, C. Bagagnan, and R. Sauerborn, 2012: Weather and mortality: a 10 year retrospective analysis of the Nouna Health and Demographic Surveillance System, Burkina Faso. *Global Health Action*, **5**, 19078, doi:10.3402/gha.v5i0.19078.
- Duguy, B., S. Paula, J.G. Pausas, J.A. Alloza, T. Gimeno, and R.V. Vallejo, 2013: Effects of climate and extreme events on wildfire regime and their ecological impacts. In: *Regional Assessment of Climate Change in the Mediterranean, Volume 3: Case Studies* [Navarra, A. and L. Tubiana (eds.)]. Advances in Global Change Research Series: Vol. 52, Springer, Dordrecht, Netherlands, pp. 101-134.
- Dunne, J.P., R.J. Stouffer, and J.G. John, 2013: Reductions in labour capacity from heat stress under climate warming. *Nature Climate Change*, **3**, 563-566.
- Fischer, E., K. Oleson, and D. Lawrence, 2012: Contrasting urban and rural heat stress responses to climate change. *Geophysical Research Letters*, **39**(3), L03705, doi:10.1029/2011GL050576.
- Hansen, J., M. Sato, and R. Ruedy, 2012: Perception of climate change. *Proceedings of the National Academy of Sciences of the United States of America*, **109**(37), E2415-E2423.

- Hansen, P.J., 2009: Effects of heat stress on mammalian reproduction. *Philosophical Transactions of the Royal Society B*, **364**(1534), 3341-3350.
- Henry, B., R. Eckard, J.B. Gaughan, and R. Hegarty, 2012: Livestock production in a changing climate: adaptation and mitigation research in Australia. *Crop and Pasture Science*, **63**(3), 191-202.
- Hoegh-Guldberg, O., P. Mumby, A. Hooten, R. Steneck, P. Greenfield, E. Gomez, C. Harvell, P. Sale, A. Edwards, and K. Caldeira, 2007: Coral reefs under rapid climate change and ocean acidification. *Science*, **318**(5857), 1737-1742.
- Honda, Y., M. Ono, and K.L. Ebi, 2011: Adaptation to the heat-related health impact of climate change in Japan. In: *Climate Change Adaptation in Developed Nations: From Theory to Practice* [Ford, J.D. and L. Berrang-Ford (eds.)]. Springer, Dordrecht, Netherlands, pp. 189-203.
- Hughes, T.P., S. Carpenter, J. Rockström, M. Scheffer, and B. Walker, 2013: Multiscale regime shifts and planetary boundaries. *Trends in Ecology & Evolution*, **28**(7), 389-395.
- Johnston, F.H., S.B. Henderson, Y. Chen, J.T. Randerson, M. Marlier, R.S. DeFries, P. Kinney, D.M. Bowman, and M. Brauer, 2012: Estimated global mortality attributable to smoke from landscape fires. *Environmental Health Perspectives*, **120**(5), 695-701.
- Jones, P.G. and P.K. Thornton, 2009: Croppers to livestock keepers: livelihood transitions to 2050 in Africa due to climate change. *Environmental Science & Policy*, **12**(4), 427-437.
- Kjellstrom, T., R. Kovats, S. Lloyd, T. Holt, and R. Tol, 2009: The direct impact of climate change on regional labor productivity. *Archives of Environmental & Occupational Health*, **64**(4), 217-227.
- Nitschke, M., G.R. Tucker, A.L. Hansen, S. Williams, Y. Zhang, and P. Bi, 2011: Impact of two recent extreme heat episodes on morbidity and mortality in Adelaide, South Australia: a case-series analysis. *Environmental Health*, **10**, 42, doi:10.1186/1476-069X-10-42.
- Pechony, O. and D. Shindell, 2010: Driving forces of global wildfires over the past millennium and the forthcoming century. *Proceedings of the National Academy of Sciences of the United States of America*, **107**(45), 19167-19170.
- Polley, H.W., D.D. Briske, J.A. Morgan, K. Wolter, D.W. Bailey, and J.R. Brown, 2013: Climate change and North American rangelands: trends, projections, and implications. *Rangeland Ecology & Management*, **66**(5), 493-511.
- Pörtner, H.O. and R. Knust, 2007: Climate change affects marine fishes through the oxygen limitation of thermal tolerance. *Science*, **315**(5808), 95-97.
- Rahmstorf, S. and D. Coumou, 2011: Increase of extreme events in a warming world. *Proceedings of the National Academy of Sciences of the United States of America*, **108**(44), 17905-17909.
- Reichstein, M., M. Bahn, P. Ciais, D. Frank, M.D. Mahecha, S.I. Seneviratne, J. Zscheischler, C. Beer, N. Buchmann, and D.C. Frank, 2013: Climate extremes and the carbon cycle. *Nature*, **500**(7462), 287-295.
- Sherwood, S.C. and M. Huber, 2010: An adaptability limit to climate change due to heat stress. *Proceedings of the National Academy of Sciences of the United States of America*, **107**(21), 9552-9555.
- Smith, K.R., M. Jerrett, H.R. Anderson, R.T. Burnett, V. Stone, R. Derwent, R.W. Atkinson, A. Cohen, S.B. Shonkoff, and D. Krewski, 2010: Public health benefits of strategies to reduce greenhouse-gas emissions: health implications of short-lived greenhouse pollutants. *The Lancet*, **374**(9707), 2091-2103.
- St-Pierre, N., B. Cobanov, and G. Schnitkey, 2003: Economic losses from heat stress by US livestock industries. *Journal of Dairy Science*, **86**, E52-E77.
- Tawatsupa, B., V. Yiengprugsawan, T. Kjellstrom, and A. Sleight, 2012: Heat stress, health and well-being: findings from a large national cohort of Thai adults. *BMJ Open*, **2**(6), e001396, doi:10.1136/bmjopen-2012-001396.
- Teixeira, E.I., G. Fischer, H. van Velthuizen, C. Walter, and F. Ewert, 2013: Global hot-spots of heat stress on agricultural crops due to climate change. *Agricultural and Forest Meteorology*, **170**, 206-215.
- Thornton, P., J. Van de Steeg, A. Notenbaert, and M. Herrero, 2009: The impacts of climate change on livestock and livestock systems in developing countries: a review of what we know and what we need to know. *Agricultural Systems*, **101**(3), 113-127.
- van der Leun, J.C., R.D. Piacentini, and F.R. de Grujil, 2008: Climate change and human skin cancer. *Photochemical & Photobiological Sciences*, **7**(6), 730-733.
- Wilhelmi, O., A. de Sherbinin, and M. Hayden, 2012: Chapter 12. Exposure to heat stress in urban environments: current status and future prospects in a changing climate. In: *Ecologies and Politics of Health* [King, B. and K. Crews (eds.)]. Routledge Press, Abingdon, UK and New York, NY, USA, pp. 219-238.
- Williams, A.P., C.D. Allen, A.K. Macalady, D. Griffin, C.A. Woodhouse, D.M. Meko, T.W. Swetnam, S.A. Rauscher, R. Seager, and H.D. Grissino-Mayer, 2013: Temperature as a potent driver of regional forest drought stress and tree mortality. *Nature Climate Change*, **3**, 292-297.

**This cross-chapter box should be cited as:**

Olsson, L., D.D. Chadee, O. Hoegh-Guldberg, M. Oppenheimer, J.R. Porter, H.-O. Pörtner, D. Satterthwaite, K.R. Smith, M.I. Travasso, and P. Tschakert, 2014: Cross-chapter box on heat stress and heat waves. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 109-111.



# A Selection of the Hazards, Key Vulnerabilities, Key Risks, and Emergent Risks Identified in the WGII Contribution to the Fifth Assessment Report

Birkmann, Joern (Germany), Rachel Licker (USA), Michael Oppenheimer (USA), Maximiliano Campos (Costa Rica), Rachel Warren (UK), George Luber (USA), Brian O'Neill (USA), and Kiyoshi Takahashi (Japan)

The accompanying table provides a selection of the hazards, key vulnerabilities, key risks, and emergent risks identified in various chapters in this report (Chapters 4, 6, 7, 8, 9, 11, 13, 19, 22, 23, 24, 25, 26, 27, 28, 29, 30). Key risks are determined by hazards interacting with vulnerability and exposure of human systems, and ecosystems or species. The table underscores the complexity of risks determined by various climate-related hazards, non-climatic stressors, and multifaceted vulnerabilities. The examples show that underlying phenomena, such as poverty or insecure land-tenure arrangements, unsustainable and rapid urbanization, other demographic changes, failure in governance and inadequate governmental attention to risk reduction, and tolerance limits of species and ecosystems that often provide important services to vulnerable communities, generate the context in which climatic change related harm and loss can occur. The table illustrates that current global megatrends (e.g., urbanization and other demographic changes) in combination and in specific development context (e.g., in low-lying coastal zones), can generate new systemic risks in their interaction with climate hazards that exceed existing adaptation and risk management capacities, particularly in highly vulnerable regions, such as dense urban areas of low-lying deltas. A representative set of lines of sight is provided from across WGI and WGII. See Section 19.6.2.1 for a full description of the methods used to select these entries.

Table KR-1 | Examples of hazards/stressors, key vulnerabilities, key risks, and emergent risks.

	Hazard	Key vulnerabilities	Key risks	Emergent risks
Terrestrial and Inland Water Systems (Chapter 4)	Rising air, soil, and water temperature (Sections 4.2.4, 4.3.2, 4.3.3)	Exceedance of eco-physiological climate tolerance limits of species (limited coping and adaptive capacities), increased viability of alien organisms	Risk of loss of native biodiversity, increase in non-native organism dominance	Cascades of native species loss due to interdependencies
		Health response to spread of temperature-sensitive vectors (insects)	Risk of novel and/or much more severe pest and pathogen outbreaks	Interactions among pests, drought, and fire can lead to new risks and large negative impacts on ecosystems.
	Change in seasonality of rain (Section 4.3.3)	Increasing susceptibility of plants and ecosystem services, due to mismatch between plant life strategy and growth opportunities	Changes in plant functional type mix leading to biome change with respective risks for ecosystems and ecosystem services	Fire-promoting grasses grow in winter-rainfall areas and provide fuel in dry summers.
Ocean Systems (Chapter 6)	Rising water temperature, increase of (thermal and haline) stratification, and marine acidification (Section 6.1.1)	Tolerance limits of endemic species surpassed (limited coping and adaptive capacities), increased abundance of invasive organisms, high susceptibility and sensitivity of warm water coral reefs and respective ecosystem services for coastal communities (Sections 6.3.1, 6.4.1)	Risk of loss of endemic species, mixing of ecosystem types, increased dominance of invasive organisms.  Increasing risk of loss of coral cover and associated ecosystem with reduction of biodiversity and ecosystem services (Section 6.3.1)	Enhancement of risk as a result of interactions, e.g., acidification and warming on calcareous organisms (Section 6.3.5)
		New vulnerabilities can emerge as a result of shifted productivity zones and species distribution ranges, largely from low to high latitudes (Sections 6.3.4, 6.5.1), shifting fishery catch potential with species migration (Sections 6.3.1, 6.5.2, 6.5.3)	Risks due to unknown productivity and services of new ecosystem types (Sections 6.4.1, 6.5.3)	Enhancement of risk due to interactions of warming, hypoxia, acidification, new biotic interactions (Sections 6.3.5, 6.3.6)
	Expansion of oxygen minimum zones and coastal dead zones with stratification and eutrophication (Section 6.1.1)	Increasing susceptibility because hypoxia tolerance limits of larger animals surpassed, habitat contraction and loss for midwater fishes and benthic invertebrates (Section 6.3.3)	Risk of loss of larger animals and plants, shifts to hypoxia-adapted, largely microbial communities with reduced biodiversity (Section 6.3.3)	Enhancement of risk due to expanding hypoxia in warming and acidifying oceans (Section 6.3.5)
	Enhanced harmful algal blooms in coastal areas due to rising water temperature (Section 6.4.2.3)	Increasing susceptibility and limited adaptive capacities of important ecosystems and valuable services due to already existing multiple stresses (Sections 6.3.5, 6.4.1)	Increasing risk due to enhanced frequency of dinoflagellate blooms and respective potential losses and degradations of coastal ecosystems and ecosystem services (Section 6.4.2)	Disproportionate enhancement of risk due to interactions of various stresses (Section 6.3.5)
Food Security and Food Production Systems (Chapter 7)	Rising average temperatures and more frequent extreme temperatures (Sections 7.1, 7.2, 7.4, 7.5)	Susceptibility of all elements of the food system from production to consumption, particularly for key grain crops	Risk of crop failures, breakdown of food distribution and storage processes	Increase in the global population to about 9 billion combined with rising temperatures and other trace gases such as ozone affecting food production and quality. Upper temperature limit to the ability of some food systems to adapt
	Extreme precipitation and droughts (Section 7.4)	Crops, pasture, and husbandry are susceptible and sensitive to drought and extreme precipitation.	Risk of crop failure, risk of limited food access and quality	Flood and droughts affect crop yields and quality, and directly affect food access in most developing countries. (Section 7.4)
Urban Areas (Chapter 8)	Inland flooding (Sections 8.2.3, 8.2.4)	Large numbers of people exposed in urban areas to flood events. Particularly susceptible are people in low-income informal settlements with inadequate infrastructure (and often on flood plains or along river banks). These bring serious environmental health consequences from overwhelmed, aging, poorly maintained, and inadequate urban drainage infrastructure and widespread impermeable surfaces. Local governments are often unable or unwilling to give attention to needed flood-related disaster risk reduction. Much of the urban population unable to get or afford housing that protects against flooding, or insurance. Certain groups are more sensitive to ill health from flood impacts, which may include increased mosquito- and water-borne diseases.	Risks of deaths and injuries and disruptions to livelihoods/incomes, food supplies, and drinking water	In many urban areas, larger and more frequent flooding impacting much larger population. No insurance available or impacts reaching the limits of insurance. Shift in the burden of risk management from the state to those at risk, leading to greater inequality and property blight, abandonment of urban districts, and the creation of high-risk/high-poverty spatial traps
	Coastal flooding (including sea level rise and storm surge) (Sections 8.1.4, 8.2.3, 8.2.4)	High concentrations of people, businesses, and physical assets including critical infrastructure exposed in low-lying and unprotected coastal zones. Particularly susceptible is the urban population that is unable to get or afford housing that protects against flooding or insurance. The local government is unable or unwilling to give needed attention to disaster risk reduction.	Risks from deaths and injuries and disruptions to livelihoods/incomes, food supplies, and drinking water	Additional 2 billion or so urban dwellers expected over the next three decades  Sea level rise means increasing risks over time, yet with high and often increasing concentrations of population and economic activities on the coasts. No insurance available or reaching the limits of insurance; shift in the burden of risk management from the state to those at risk leading to greater inequality and property blight, abandonment of urban districts, and the creation of high-risk/high-poverty spatial traps

Continued next page →



Table KR-1 (continued)

	Hazard	Key vulnerabilities	Key risks	Emergent risks
Urban Areas (continued)  (Chapter 8)	Heat and cold (including urban heat island effect) (Section 8.2.3)	Particularly susceptible is a large and often increasing urban population of infants, young children, older age groups, expectant mothers, people with chronic diseases or compromised immune system in settlements exposed to higher temperatures (especially in heat islands) and unexpected cold spells. Inability of local organizations for health, emergency, and social services to adapt to new risk levels and set up needed initiatives for vulnerable groups	Risk of mortality and morbidity increasing, including shifts in seasonal patterns and concentrations due to hot days with higher or more prolonged high temperatures or unexpected cold spells. Avoiding risks often most difficult for low-income groups	Duration and variability of heat waves increasing risks over time for most locations owing to interactions with multiple stressors such as air pollution
	Water shortages and drought in urban regions (Sections 8.2.3, 8.2.4)	Lack of piped water to homes of hundreds of millions of urban dwellers. Many urban areas subject to water shortages and irregular supplies, with constraints on increasing supplies. Lack of capacity and resilience in water management regimes including rural–urban linkages. Dependence on water resources in energy production systems	Risks from constraints on urban water provision services to people and industry with human and economic impacts. Risk of damage and loss to urban ecology and its services including urban and peri-urban agriculture.	Cities' viability may be threatened by loss or depletion of freshwater sources—including for cities dependent on distant glacier melt water or on depleting groundwater resources.
	Changes in urban meteorological regimes lead to enhanced air pollution. (Section 8.2.3)	Increases in exposure and in pollution levels with impacts most serious among physiologically susceptible populations. Limited coping and adaptive capacities, due to lacking implementation of pollution control legislation of urban governments	Increasing risk of mortality and morbidity, lowered quality of life. These risks can also undermine the competitiveness of global cities to attract key workers and investment.	Complex and compounding health crises
	Geo-hydrological hazards (salt water intrusion, mud/land slides, subsidence) (Sections 8.2.3, 8.2.4)	Local structures and networked infrastructure (piped water, sanitation, drainage, communications, transport, electricity, gas) particularly susceptible. Inability of many low-income households to move to housing on safer sites.	Risk of damage to networked infrastructure. Risk of loss of human life and property	Potential for large local and aggregate impacts  Knock-on effects for urban activities and well-being
	Wind storms with higher intensity (Sections 8.1.4, 8.2.4)	Substandard buildings and physical infrastructure and the services and functions they support particularly susceptible. Old and difficult to retrofit buildings and infrastructure in cities  Local government unable or unwilling to give attention to disaster risk reduction (limited coping and adaptive capacities)	Risk of damage to dwellings, businesses, and public infrastructure. Risk of loss of function and services. Challenges to recovery, especially where insurance is absent	Challenges to individuals, businesses, and public agencies where the costs of retrofitting are high and other sectors or interests capture investment budgets; potential for tensions between development and risk reduction investments
	Changing hazard profile including novel hazards and new multi-hazard complexes (Sections 8.1.4, 8.2.4)	Newly exposed populations and infrastructure, especially those with limited capacity for multi-hazard risk forecasting and where risk reduction capacity is limited, e.g., where risk management planning is overly hazard specific including where physical infrastructure is predesigned in anticipation of other risks (e.g., geophysical rather than hydrometeorological)	Risks from failures within coupled systems, e.g., reliance of drainage systems on electric pumps, reliance of emergency services on roads and telecommunications. Potential of psychological shock from unanticipated risks	Loss of faith in risk management institutions. Potential for extreme impacts that are magnified by a lack of preparation and capacity in response
	Compound slow-onset hazards including rising temperatures and variability in temperature and water (Sections 8.2.2, 8.2.4)	Large sections of the urban population in low- and middle-income nations with livelihoods or food supplies dependent on urban and peri-urban agriculture are especially susceptible.	Risk of damage to or degradation of soils, water catchment capacity, fuel wood production, urban and peri-urban agriculture, and other productive or protective ecosystem services. Risk of knock-on impacts for urban and peri-urban livelihoods and urban health	Collapsing of peri-urban economies and ecosystem services with wider implications for urban food security, service provision, and disaster risk reduction
	Climate change–induced or intensified hazard of more diseases and exposure to disease vectors (Sections 8.2.3, 8.2.4)	Large urban population that is exposed to food-borne and water-borne diseases and to malaria, dengue, and other vector-borne diseases that are influenced by climate change	Risk due to increases in exposure to these diseases	Lack of capacity of public health system to simultaneously address these health risks with other climate-related risks such as flooding
Rural Areas (Chapter 9)	Drought in pastoral areas (Sections 9.3.3.1, 9.3.5.2)	Increasing vulnerability due to encroachment on pastoral rangelands, inappropriate land policy, misperception and undermining of pastoral livelihoods, conflict over natural resources, all driven by remoteness and lack of voice	Risk of famine  Risk of loss of revenues from livestock trade	Increasing risks for rural livelihoods through animal disease in pastoral areas combined with direct impacts of drought
	Effects of climate change on artisanal fisheries (Sections 9.3.3.1, 9.3.5.2)	Artisanal fisheries affected by pollution and mangrove loss, competition from aquaculture, and the neglect of the sector by governments and researchers as well as complex property rights	Risk of economic losses for artisanal fisherfolk, due to declining catches and incomes and damage to fishing gear and infrastructure	Reduced dietary protein for those consuming artisanally caught fish, combined with other climate-related risks



Continued next page →

Table KR-1 (continued)

	Hazard	Key vulnerabilities	Key risks	Emergent risks
Rural Areas (continued) (Chapter 9)	Water shortages and drought in rural areas (Section 9.3.5.1.1)	Rural people lacking access to drinking and irrigation water. High dependence of rural people on natural resource-related activities. Lack of capacity and resilience in water management regimes (institutionally driven). Increased water demand from population pressure	Risk of reduced agricultural productivity of rural people, including those dependent on rainfed or irrigated agriculture, or high-yield varieties, forestry, and inland fisheries. Risk of food insecurity and decrease in incomes. Decreases in household nutritional status (Section 9.3.5.1)	Impacts on livelihoods driven by interaction with other factors (water management institutions, water demand, water used by non-food crops), including potential conflicts for access to water. Water-related diseases
Human Health (Chapter 11)	Increasing frequency and intensity of extreme heat	Older people living in cities are most susceptible to hot days and heat waves, as well as people with preexisting health conditions. (Section 11.3)	Risk of increased mortality and morbidity during hot days and heat waves. (Section 11.4.1) Risk of mortality, morbidity, and productivity loss, particularly among manual workers in hot climates	The number of elderly people is projected to triple from 2010 to 2050. This can result in overloading of health and emergency services.
	Increasing temperatures, increased variability in precipitation	Poorer populations are particularly susceptible to climate-induced reductions in local crop yields. Food insecurity may lead to undernutrition. Children are particularly vulnerable. (Section 11.3)	Risk of a larger burden of disease and increased food insecurity for particular population groups. Increasing risk that progress in reducing mortality and morbidity from undernutrition may slow or reverse. (Section 11.6.1)	Combined effects of climate impacts, population growth, plateauing productivity gains, land demand for livestock, biofuels, persistent inequality, and ongoing food insecurity for the poor
	Increasing temperatures, changing patterns of precipitation	Non-immune populations who are exposed to water- and vector-borne diseases that are sensitive to meteorological conditions (Section 11.3)	Increasing health risks due to changing spatial and temporal distribution of diseases strains public health systems, especially if this occurs in combination with economic downturn. (Section 11.5.1)	Rapid climate and other environmental change may promote emergence of new pathogens.
	Increased variability in precipitation	People exposed to diarrhea aggravated by higher temperatures, and unusually high or low precipitation (Section 11.3)	Risk that the progress to date in reducing childhood deaths from diarrheal disease is compromised (Section 11.5.2)	Increased rate of failure of water and sanitation infrastructure due to climate change leading to higher diarrhea risk
Livelihoods and Poverty (Chapter 13)	Increasing frequency and severity of droughts, coupled with decreasing rainfall and/or increased unpredictability of rainfall (Sections 13.2.1.2, 13.2.1.4, 13.2.2.2)	Poorly endowed farmers (high and persistent poverty), particularly in drylands, are susceptible to these hazards, since they have a very limited ability to compensate for losses in water-dependent farming systems and/or livestock.	Risk of irreversible harm due to short time for recovery between droughts, approaching tipping point in rainfed farming system and/or pastoralism	Deteriorating livelihoods stuck in poverty traps, heightened food insecurity, decreased land productivity, outmigration, and new urban poor in LICs and MICs
	Floods and flash floods in informal urban settlements and mountain environments, destroying physical assets (e.g., homes, roads, terraces, irrigation canals) (Sections 13.2.1.1, 13.2.1.3, 13.2.1.4)	High exposure and susceptibility of people, particularly children and elderly, as well as disabled in flood-prone areas. Inadequate infrastructure, culturally imposed gender roles, and limited ability to cope and adapt due to political and institutional marginalization and high poverty adds to the susceptibility of these people in informal urban settlements; limited political interest in development and building adaptive capacity	Risk of high morbidity and mortality due to floods and flash floods. Factors that further increase risk may include a shift from transient to chronic poverty due to eroded human and economic assets (e.g., labor market) and economic losses due to infrastructure damage.	Exacerbated inequality between better-endowed households able to invest in flood-control measures and/or insurance and increasingly vulnerable populations prone to eviction, erosion of livelihoods, and outmigration
	Increased variability of precipitation; shifts in mean climate and extreme events (Sections 13.2.1.1, 13.2.1.4)	Limited ability to cope owing to exhaustion of social networks, especially among the elderly and female-headed households; mobilization of labor and food no longer possible	Hazard combines with vulnerability to shift populations from transient to chronic poverty due to persistent and irreversible socioeconomic and political marginalization. In addition, the lack of governmental support, as well as limited effectiveness of response options, increase the risk.	Increasing yet invisible multidimensional vulnerability and deprivation at the convergence of climatic hazards and socioeconomic stressors
	Successive and extreme events (floods, droughts) coupled with increasing temperatures and rising water demand (Sections 13.2.1.1, 13.2.1.5)	Rural communities are particularly susceptible, due to the marginalization of rural water users to the benefit of urban users, given political and economic priorities (e.g., Australia, Andes, Himalayas, Caribbean).	Risk of loss of rural livelihoods, severe economic losses in agriculture, and damage to cultural values and identity; mental health impacts (including increased rates of suicide)	Loss of rural livelihoods that have existed for generations, heightened outmigration to urban areas; emergence of new poverty in MICs and HICs
	Sea level rise (Sections 13.1.4, 13.2.1.1, 13.2.2.1, 13.2.2.3)	High number of people exposed in low-lying areas coupled with high susceptibility due to multidimensional poverty, limited alternative livelihood options among poor households, and exclusion from institutional decision-making structures	Risk of severe harm and loss of livelihoods. Potential loss of common-pool resources; of sense of place, belonging, and identity, especially among indigenous populations	Loss of livelihoods and mental health risks due to radical change in landscape, disappearance of natural resources, and potential relocation; increased migration
	Increasing temperatures and heat waves (Sections 13.2.1.5, 13.2.2.3, 13.2.2.4)	Agricultural wage laborers, small-scale farmers in areas with multidimensional poverty and economic marginalization, children in urban slums, and the elderly are particularly susceptible.	Risk of increased morbidity and mortality due to heat stress, among male and female workers, children, and the elderly, limited protection due to socioeconomic discrimination and inadequate governmental responses	Declining labor pool for agriculture coupled with new challenges for rural health care systems in LICs and MICs; aging and low-income populations without safety nets in HICs at risk

Continued next page →

Table KR-1 (continued)

	Hazard	Key vulnerabilities	Key risks	Emergent risks
Livelihoods and Poverty (continued) (Chapter 13)	Increased variability of rainfall and/or extreme events (floods, droughts, heat waves) (Sections 13.2.1.1, 13.2.1.3, 13.2.1.4, 13.2.1.5)	People highly dependent on rainfed agriculture are particularly at risk. Persistent poverty among subsistence farmers and urban wage laborers who are net buyers of food with limited coping mechanisms	Risk of crop failure, spikes in food prices, reduction in consumption to protect household assets, risk of food insecurity, shifts from transient to chronic poverty due to limited ability to reduce risks	Food riots, child food poverty, global food crises, limits of insurance and other risk-spreading strategies
	Changing rainfall patterns (temporally and spatially)	Households or people with a high dependence on rainfed agriculture and little access to alternative modes of income	Risks of crop failure, food shortage, severe famine	Coincidence of hazard with periods of high global food prices leads to risk of failure of coping strategies and adaptation mechanisms such as crop insurance (risk spreading).
	Stressor from soaring demand (and prices) for biofuel feedstocks due to climate policies	Farmers and groups that have unclear and/or insecure land tenure arrangements are exposed to the dispossession of land due to land grabbing in developing countries.	Risk of harm and loss of livelihoods for some rural residents due to soaring demand for biofuel feedstocks and insecure land tenure and land grabbing	Creation of large groups of landless farmers unable to support themselves. Social unrest due to disparities between intensive energy production and neglected food production
	Increasing frequency of extreme events (droughts, floods), e.g., if 1:20 year drought/flood becomes 1:5 year drought/flood	Pastoralists and small farmers subject to damage to their productive assets (e.g., herds of livestock; dykes, fences, terraces)	Risk of the loss of livelihoods and harm due to shorter time for recovery between extremes. Pastoralists restocking after a drought may take several years; in terraced agriculture, need to rebuild terraces after flood, which may take several years	Collapse of coping strategies with risk of collapsing livelihoods. Adaptation mechanisms such as insurance fail due to increasing frequency of claims.
Emergent Risks and Key Vulnerabilities (Chapter 19)	Warming and drying (precipitation changes of uncertain magnitude) (WGI AR5 TS 5.3; SPM; Sections 11.3, 12.4)	Limits to coping capacity to deal with reduced water availability; increasing exposure and demand due to population increase; conflicting demands for alternative water uses; sociocultural constraints on some adaptation options (Sections 19.2.2, 19.3.2.2, 19.6.1.1, 19.6.3.4)	Risk of harm and loss due to livelihood degradation from systematic constraints on water resource use that lead to supply falling far below demand. In addition, limited coping and adaptation options increase the risk of harm and loss. (Sections 19.3.2.2, 19.6.3.4)	Competition for water from diverse sectors (e.g., energy, agriculture, industry) interacts with climate changes to produce locally severe shortages. (Sections 19.3.2.2, 19.6.3.4)
	Changes in regional and seasonal temperature and precipitation over land (WGI AR5 TS 5.3; SPM; Sections 11.3, 12.4)	Communities highly dependent on ecosystem services (Sections 19.2.2.1, 19.3.2.1) which are negatively affected by changes in regional and seasonal temperature	Risk of large-scale species richness loss over most of the global land surface. 57 ± 6% of widespread and common plants and 34 ± 7% of widespread and common animals are expected to lose ≥50% of their current climatic range by the 2080s leading to loss of services. (Section 19.3.2.1)	Widespread loss of ecosystem services, including: provisioning, such as food and water; regulating, such as the control of climate and disease; supporting, such as nutrient cycles and crop pollination; and cultural, such as spiritual and recreational benefit (Sections 19.3.2.1, 19.6.3.4)
Africa (Chapter 22)	Increasing temperature	Children, pregnant women, and those with compromised health status are particularly at risk for temperature-related changes in diarrheal and vector-borne diseases, and for temperature-related reductions in crop yields. Outdoor workers, older adults, and young children are most susceptible to hot weather and heat waves. (Sections 22.3.5.2, 22.3.5.4)	Risk of changes in the geographic distribution, seasonality, and incidence of infectious diseases, leading to increases in the health burden. Risk of increased burdens of stunting in children. Risk of increase in morbidity and mortality during hot days and heat waves	Interactions among factors lead to emerging and re-emerging epidemics.
		Populations dependent on aquatic systems and aquatic ecosystem services that are sensitive to increased water temperatures	Loss of aquatic ecosystems and risks for people who might depend on these resources; reduction in freshwater fisheries production (Sections 22.3.2.2, 22.3.4.4)	Risk of loss of livelihoods due to interactions of loss of ecosystem services and other climate-related stressors on poor communities
		Rural and urban populations whose food and livelihood security is diminished	Risk of harm and loss due to increased heat stress on crops and livestock resulting in reduced productivity; increased food storage losses due to spoilage (Sections 22.3.4.1, 22.3.4.2)	Range expansion of crop pests and diseases to high-elevation agroecosystems (Section 22.3.4.3)
	Extreme events, e.g., floods and flash floods (and drought)	Population groups living in informal settlements in highly exposed urban areas; women and children often the most vulnerable to disaster risk (Sections 22.3.6, 22.4.3)	Increasing risk of mortality, harm and losses due to water logging triggered by heavy rainfall events	Compounded risk of epidemics including diarrheal diseases (e.g., cholera)
		Susceptible groups include those who experience diminished access to food resulting from reduced capacity to transport, store, and market food, such as the urban poor.	Risk of food shortages and of damages to the food system due to storms and flooding	Food price spikes due to convergence of climatic and non-climatic forces that reduce food access for the poor whose income is disproportionately spent on food (Section 22.3.4.5)
		Children, pregnant women, and those with compromised health status are particularly vulnerable to reduced access to safe water and improved sanitation and increasing food insecurity. (Sections 22.3.5.2, 22.3.5.3)	Risk of crop and livestock losses from drought  Risk of reduced water supply and quality for household use. (Sections 22.3.4.1, 22.3.4.2) Risk of increased incidence of food- and water-borne diseases (e.g., cholera) and undernutrition.  Risk of drinking water contamination due to heavy precipitation events and flooding (Section 22.3.5.2)	Compound effects of high temperature and changes in rainfall on human and natural systems. Increased incidence of stunting in children (Section 22.3.5.3)



Continued next page →

Table KR-1 (continued)

	Hazard	Key vulnerabilities	Key risks	Emergent risks
Europe (Chapter 23)	Extreme weather events (Section 23.9)	Sectors with limited coping and adaptive capacity as well as high sensitivity to these extreme events, such as transport, energy, and health, are particularly susceptible.	Risk of new systemic threats due to stress on multiple and interconnected sectors. Risk of failure of service provision of one or more sectors	Disproportionate intensification of risk due to increasing interdependencies
	Climate change increases the spatial distribution and seasonality of pests and diseases. (Section 23.4.1, 23.4.3, 23.4.4)	High susceptibility of plants and animals that are exposed to pests and diseases	Risk of increases in crop losses and animal diseases or even fatalities of livestock	Increasing risks due to limited response options and various feedback processes in agriculture, e.g., use of pesticides or antibiotics to protect plants and livestock increases resistance of disease vectors
	Extreme weather events and reduced water availability due to climate change (Section 23.3.4)	Low adaptive capacity of power systems might lead to limited energy supply as well as higher supply costs during such extreme events and conditions.	Increasing risk of power shortages due to limited energy supply, e.g., of nuclear power plants due to limited cooling water during heat stress	Continued underinvestment in adaptive energy systems might increase the risk of mismatches between limited energy supply during these events and increased demands, e.g., during a heat wave.
Asia (Chapter 24)	Rising average temperatures and more frequent extreme temperatures, as well as changing rainfall patterns (temporally and spatially)	Food systems and food production systems for key grain crops, particularly rice and other cereal crop farming systems, are highly susceptible. (Section 24.4.4.3)	Risk of crop failures and lower crop yield also can increase the risk of major losses for farmers and rural livelihoods. (Section 24.4.4.3)	Increase in Asian population combined with rising temperatures affecting food production. Upper temperature limit to the ability of some food systems to adapt could be reached.
	Rising sea level	Paddy fields and farmers near the coasts are particularly susceptible. (Section 24.4.4.3)	Risk of loss of arable areas due to submergence (Section 24.4.4.3)	Migration of farming communities to higher elevation areas entails risks for migrants and receiving regions.
	Projected increase in frequency of various extreme events (heat wave, floods, and droughts) and sea level rise	Increasing exposure due to convergence of livelihood and properties into coastal megacities. People in areas that are not sufficiently protected against natural hazards are particularly susceptible.	Risk of loss of life and assets due to coastal floods accompanied by increasing vulnerabilities.	Projected increase in disruptions of basic services such as water supply, sanitation, energy provision, and transportation systems, which themselves could increase vulnerabilities
Australasia (Chapter 25)	Rising air and sea surface temperatures, drying trends, reduced snow cover, increased intensity of severe cyclones, ocean acidification (Section 25.2; Table 25-1; Figure 25-4; WGI AR5 Chapter 14 and Atlas)	Species that live in a limited climatic range and that suffer from habitat fragmentation as well as from external stressors (pollution, runoff, fishing, tourism, introduced predators, and pests) are especially susceptible. (Sections 25.6.1, 25.6.2)	Risk of significant change in community composition and structure of coral reefs and montane ecosystems and risk of loss of some native species in Australia (Sections 25.6.1, 25.6.2, 25.10.2)	Increasing risk from compound extreme events across time and space, and cumulative adaptation needs, with recovery and risk reduction measures hampered further by impacts and responses reaching across different levels of government (Sections 25.10.2, 25.10.3; Box 25-9)
	Increased extreme rainfall related to flood risk in many locations (Section 25.2; Table 25-1)	Adaptation deficit of existing infrastructure and settlements to current flood risk; expansion and densification of urban areas; effective adaptation includes transformative changes such as land-use controls and retreat. (Sections 25.3, 25.10.2; Box 25-8)	Increased frequency and intensity of flood damage to infrastructure and settlements in Australia and New Zealand (Box 25-8; Section 25.10.2)	
	Continuing sea level rise, with projections spanning a particularly large range and continuing beyond 2100, even under mitigation scenarios (Section 25.2; Box 25-1; WGI AR5 Chapter 13)	Long-lived and high asset value coastal infrastructure and low-lying ecosystems are highly susceptible. Expansion of coastal populations and assets into coastal zones increases the exposure. Conflicting priorities constrain adaptation options and limit effective response strategies. (25.3, Box 25-1)	Increasing risks to coastal infrastructure and low-lying ecosystems in Australia and New Zealand, with widespread damages toward the upper end of projected ranges (Box 25-1; Sections 25.6.1, 25.6.2, 25.10.2)	
North America (Chapter 26)	Increases in frequency and/or intensity of extreme events, such as heavy precipitation, river and coastal floods, heat waves, and droughts (Sections 26.2.2, 26.3.1, 26.8.1)	Physical infrastructure in a declining state in urban areas particularly susceptible. Also increases in income disparities and limited institutional capacities might result in larger proportions of people susceptible to these stressors due to limited economic resources. (Sections 26.7, 26.8.2)	Risk of harm and loss in urban areas, particularly in coastal and dry environments due to enhanced vulnerabilities of social groups, physical systems, and institutional settings combined with the increases of extreme weather events (Section 26.8.1)	Inability to reduce vulnerability in many areas results in an increase in risk more so than change in physical hazard. (Section 26.8.3)
	Higher temperatures, decreases in runoff, and lower soil moisture due to climate change (Sections 26.2, 26.3)	Vulnerability of small rural landholders, particularly in Mexican agriculture, and of the poor in rural settlements (Sections 26.5, 26.8.2.2)	Risk of increased losses and decreases in agricultural production. Risk of food and job insecurity for small landholders and social groups in regions exposed to these phenomena (Sections 26.5, 26.8.2.2)	Increasing risks of social instability and local economic disruption due to internal migration (Sections 26.2.1, 26.8.3)

Continued next page →



Table KR-1 (continued)

	Hazard	Key vulnerabilities	Key risks	Emergent risks
North America (continued) (Chapter 26)	Wildfires and drought conditions (Box 26-2)	Indigenous groups, low-income residents in peri-urban areas, and forest systems (Box 26-2; Section 26.8.2)	Risk of loss of ecosystem integrity, property loss, human morbidity, and mortality due to wildfires (Box 26-2; Section 26.8.3)	
	Extreme storm and heat events, air pollution, pollen, and infectious diseases (Section 26.6.1)	Susceptibility of individuals is determined by factors such as economic status, preexisting illness, age, and access to assets. (Section 26.6.1)	Increasing risk of extreme temperature-, storm-, pollen-, and infectious diseases-related human morbidity or mortality (Section 26.6.2)	
	River and coastal floods, and sea level rise (Sections 26.2.2, 26.4.2, 26.8.1)	Increasing exposure of populations, property, as well as ecosystems, partly resulting from overwhelmed drainage networks. Groups and economic sectors that highly depend on the functioning of different supply chains, public health institutions that can be disrupted, and groups that have limited coping capacities to deal with supply chain interruptions and disruptions to their livelihoods are particularly susceptible. (Sections 26.7, 26.8.1)	Risk of property damage, supply chain disruption, public health, water quality impairment, ecosystem disruption, infrastructure damage, and social system disruption from urban flooding due to river and coastal floods and floods of drainage networks (Sections 26.4.2, 26.8.1)	Multiple risks from interacting hazards on populations' livelihoods, infrastructure, and services (Sections 26.7, 26.8.3)
Central and South America (Chapter 27)	Reduced water availability in semi-arid regions and regions dependent on glacier meltwater; flooding in urban areas due to extreme precipitation (Sections 27.2.1, 27.3.3)	Groups that cannot keep agricultural livelihoods and are forced to migrate are especially vulnerable. Limited infrastructure and planning capacity can further increase the lack of coping and adaptive capacities to rapid changes expected (precipitation), especially in large cities.	Risk of loss of human lives, livelihood, and property	Increase in infectious diseases. Economic impacts due to reallocation of populations
	Ocean acidification and warming (Section 27.3.3; Box CC-OA)	Sensitivity of coral reef systems to ocean acidification and warming	Risk of loss of biodiversity (species) and risk of a reduced fishing capacity with respective impacts for coastal livelihoods	Economic losses and impact on food (fishery) production in certain regions
	Extremes of drought/precipitation (Sections 27.2.1, 27.3.4)	Elevated CO <sub>2</sub> decreases nutrient contents in plants, especially nitrogen in relation to carbon in food products.	Risk of loss of (food) production and productivity in some regions where extreme events may occur. Need to adjust diet due to decrease in food quality (e.g., less protein due to lower nitrogen assimilation). Decrease in bioenergy production	Strong economic impacts related to the need to move crops to more suitable regions. Teleconnections (related to food quality) related to the intense exportation of food by the region. Impacts on energy system and carbon emissions with consequent increase in fossil fuel demand.
	Higher temperatures and humidity lead to a spread of vector-borne diseases in altitude and latitude. (Section 27.3.7)	People exposed and vulnerable to vector-borne diseases and an increase in mosquito biting rates that increase the probability of human infections	Risk of increase in morbidity and in disability-adjusted life years (DALYs); risk of loss of human lives; risk of decrease in school and labor productivity	High economic impacts owing to the necessity to increase the financing of health programs, as well as the costs of DALYs, increase in hospitals and medical infrastructure adequate to cope with increasing disease incidence rates, and the spread of diseases to newer regions
Polar Regions (Chapter 28)	Loss of multi-year ice and reductions in the spatial extent of summer sea ice (Sections 28.2.5, 28.3.2, 28.4.1)	Indigenous communities that depend on sea ice for traditional livelihoods are vulnerable to this hazard, particularly due to loss of breeding and foraging platforms for marine mammals.	Risk of loss of traditional livelihoods and food sources.	Top-down shifts in food webs
		Ecosystems are vulnerable owing to the shifts in the distribution and timing of ice algal and ocean phytoplankton blooms.	Risk of disruption of synchronized timing of zooplankton ontogeny and availability of prey. Increased variability in secondary production while zooplankton adapt to shifts in timing. Risks also to local marine food webs.	Bottom up shifts in food webs. Potential changes in pelagic and benthic coupling
	Ocean acidification (Sections 28.2.2, 28.3.2)	Tolerance limits of endemic species surpassed. Impacts on exoskeleton formation for some species and alteration of physiological and behavioral properties during larval development	Localized loss of endemic species, local impacts on marine food webs	Localized declines in commercial fisheries. Local declines in fish, shellfish, seabirds, and marine mammals
	Shifts in boundaries of marine eco-regions due to rising water temperature, shifts in mixed layer depth, changes in the distribution and intensity of ocean currents (Sections 28.2.2, 28.3.2)	Marine organisms that are susceptible to spatial shifts are particularly vulnerable.	Risk of changes in the structure and function of marine systems and potentially species invasions	Disputes over international fisheries and shared stocks

Continued next page →

Table KR-1 (continued)

	Hazard	Key vulnerabilities	Key risks	Emergent risks
Polar Regions (continued)  (Chapter 28)	Declining sea ice, changes in snow and ice timing and state, decreasing predictability of weather (Sections 28.1, 28.4.1)	Many traditional subsistence food sources—especially for indigenous peoples—such as Arctic marine and land mammals, fish, and waterfowl. Various traditional livelihoods are susceptible to these hazards.	Risk of loss of habitats and changes in migration patterns of marine species	Enhancement of risk to food security and basic nutrition—especially for indigenous peoples—from loss of subsistence foods and increased risk to subsistence hunters', herders', and fishers' health and safety in changing ice conditions
	Increased river and coastal flooding and erosion and thawing of permafrost (Sections 28.2.4, 28.3.1, 28.3.4)	Rural and remote communities as well as urban communities in low-lying Arctic areas are exposed. Susceptibility and limited coping capacity of community water supplies due to potential damages to infrastructure.	Community and public health infrastructure damaged resulting in disease from contamination and sea water intrusion	Reduced water quality and quantity may result in increased rates of infection, other medical problems, and hospitalizations.
	Extreme and rapidly changing weather, intense weather and precipitation events, rapid snow and ice melt, changing river and sea ice conditions, permafrost thaw (Section 28.2.4)	People living from subsistence travel and hunting, herding, and fishing, for example indigenous peoples in remote and isolated communities, are particularly susceptible.	Accidents, physical/mental injuries, death, and cold-related exposure, injuries, and diseases	Enhanced risks to safe travel or subsistence hunting, herding, fishing activities affect livelihoods and well-being.
	Diminished sea ice; earlier sea ice melt-out; faster sea ice retreat; thinner, less predictable ice in general; greater variability in snow melt/freeze; ice, weather, winds, temperatures, precipitation (Sections 28.2.5, 28.2.6, 28.4.1)	Livelihoods of many indigenous peoples (e.g., Inuit and Saami) depend upon subsistence hunting and access to and favorable conditions for animals. These livelihoods are susceptible. Also marine ecosystems are susceptible (e.g., marine mammals).	Risk of loss of livelihoods and damage due to, e.g., more difficult access to marine mammals associated with diminishing sea ice (a risk to the Inuit), and loss of access by reindeer to their forage under snow due to ice layers formed by warming winter temperatures and "rain on snow" (a risk to the Saami).	Enhanced risk of loss of livelihoods and culture of increasing numbers of indigenous peoples, exacerbated by increasing loss of lands and sea ice for hunting, herding, fishing due to enhanced petroleum and mineral exploration, and increased maritime traffic
Small Islands (Chapter 29)	Increases in intensity of tropical cyclones (WGI AR5 Sections 14.6, 14.8.4)	Various countries and communities are vulnerable to these hazards because of their high dependence on natural and ecological systems for security of settlements and tourism (Section 29.3.3.1), human health (Section 29.3.3.2), and water resources (Section 29.3.2).	Risk of loss of ecosystems, settlements, and infrastructure, as well as negative impacts on human health and island economies (Figure 29-4)	Increased risk of interactions of damages to ecosystems, settlements, island economies, and risks to human life (Section 29.6; Figure 29-4)
	Ocean warming and acidification leading to coral bleaching (Sections 29.3.1.2, 30.5.4.2, 30.5.6.1.1, 30.5.6.2)	Tropical island communities are highly dependent on coral reef ecosystems for subsistence life styles, food security, coastal protection and beach, and reef-based tourist economic activity, and hence are highly susceptible to the hazard of coral bleaching. (Sections 29.3.1.2, 30.6.2.1.2)	Risk of decline and possible loss of coral reef ecosystems through thermal stress. Risk of serious harm and loss of subsistence lifestyles. Risk of loss of coastal protection and beaches, risk of loss of tourist revenue (Sections 29.3.1.1, 29.3.1.2)	Impacts on human health and loss of subsistence lifestyles. Potential increase in internal migration/urbanization (Section 29.3.3.3; Chapter 9)
	Sea level rise (Sections 29.3.1.1, 30.3.1.2; WGI AR5 Section 3.7.1)	Many small island communities and associated settlements and infrastructure are in low-lying coastal zones (high exposure) and are also vulnerable to increasing inundation, erosion and wave incursion. (Sections 5.3.2, 29.3.1.1; Figure 29-2)	Risk of loss and harm due to sea level rise in small island communities. Global mean sea level is likely to increase by 0.35 to 0.70 m for Representative Concentration Pathway (RCP) 4.5 during the 21st century, threatening low-lying coastal areas and atoll islands. (Section 29.4.3, Table 29-1; WGI AR5 Section 13.5.1, Table 13.5)	Incremental upwards shift in sea-level baselines results in increased frequency and extent of marine flooding during high tides and episodic storm surges. These events could render soils and fresh groundwater resources unfit for human use before permanent inundation of low-lying areas. (Sections 29.3.1.1, 29.3.2, 29.3.3.1, 29.5.1)

Continued next page →

Table KR-1 (continued)

	Hazard	Key vulnerabilities	Key risks	Emergent risks
The Ocean (Chapter 30)	Increasing ocean temperatures. Increased frequency of thermal extremes	Corals and other organisms whose tolerance limits are exceeded are particularly susceptible (especially CBS, STG, SES, and EUS ocean regions). (Sections 6.2.2.1, 6.2.2.2, 30.5.2, 30.5.4, 30.5.5; Boxes CC-CR, 30.5.6, CC-OA)	Risk of increased mass coral bleaching and mortality (loss of coral cover) with severe risks for coastal fisheries, tourism, and coastal protection (Sections 6.3.2, 6.3.5, 5.4.2.4, 7.2.1.2, 6.4.1.4, 29.3.1.2, 30.5.2, 30.5.3, 30.5.4, 30.5.5; Box CC-CR)	Loss of coastal reef systems, risk of decreased food security and reduced livelihoods, and reduced coastal protection (Sections 7.2.1.2, 30.6.2.1, 30.6.5)
		Marine species and ecosystems as well as fisheries and coastal livelihoods and tourism that cannot cope or adapt to changing temperatures and changes in the distribution are particularly vulnerable, especially for HLSBS, CBS, STG, and EBUE. (Sections 6.3.2, 6.3.4, 7.3.2.6, 30.5; Box CC-BIO)	Risk for fishery and coastal livelihoods. Fishery opportunity changes as stock abundance may rise or fall; increased risk of disease and invading species impacting ecosystems and fisheries (Sections 6.3.5, 6.4.1.1, 6.5.3, 7.3.2.6, 7.4.2, 29.5.3, 29.5.4)	Significant risk of fishery collapse may develop as the capacity of fisheries to resist the following is exceeded: a) fundamental change to fishery composition, and b) the increased migration of disease and other organisms. (Sections 6.5.3, 7.5.1.1.3)
		Coastal ecosystems and communities that might be exposed to phenomena of elevated rates of microbial respiration leading to reduced oxygen at depth and increased spread of dead zones are particularly vulnerable (particularly for EBUE, SES, EUS).	Risk of loss of habitats and fishery resources as well as losses of key fisheries species. Oxygen levels decrease, leading to impacts on ecosystems (e.g., loss of habitat) and organisms (e.g., physiological performance of fish) resulting in reduced capture of key fisheries species.	Increasing risk of loss of livelihoods
		Deep sea life is sensitive to hazards and to change given the very constant conditions under which it has evolved. (30.1.3.1.3, 30.5.2, 30.5.5)	Risk of fundamental changes in conditions associated with deep sea (e.g., oxygen, pH, carbonate, CO <sub>2</sub> , temperature) drive fundamental changes that result in broad-scale changes throughout the ocean. (Sections 30.1.3.1.3, 30.5.2, 30.5.5; Boxes CC-UP, CC-NPP)	Changes in the deep ocean may be a prelude to ocean wide changes with planetary implications.
	Rising ocean acidification	Reef systems, corals, and coastal ecosystems that are exposed to a reduced rate of calcification and greater decalcification leading to potential loss of carbonate reef systems, corals, molluscs, and other calcifiers in key regions, such as the CBS, STG (Section 6.2.2.2)	Risk of the alteration of ecosystem services including risks to food provisioning with impacts on fisheries and aquaculture (Sections 6.2.5.3, 7.2.1.2, 7.3.2, 7.4.2.)	Income and livelihoods for communities are reduced as productivity of fisheries and aquaculture diminish. (Sections 7.5.1.1.3, 30.6)
		Marine organisms that are susceptible to changes in pH and carbonate chemistry imply a large number of changes to the physiology and ecology of marine organisms (particularly in CBS, STG, SES regions). (Sections 6.2.5, 6.3.4, 30.3.2.2)	Risk of fundamental shifts in ecosystems composition as well as organism function occur, leading to broad scale and fundamental change. Income and livelihoods from dependent communities are affected as ecosystem goods and services decline, with the prospect that recovery may take tens of thousands of years. (Section 6.1.1.2)	Risk to ecosystems and livelihoods is increased by the potential for interaction among ocean warming and acidification to create unknown impacts. (Section CC-OA)
		Coastal systems are increasingly exposed to upwelling in some areas, which results in periods of high CO <sub>2</sub> , low O <sub>2</sub> and pH. (Box CC-UP; Sections 6.2.2.2, 6.2.5.3)	Risk of loss and harm to fishery and aquaculture operations and respective livelihoods (e.g., oyster cultivation), especially those exposed periodically to harmful conditions during elevated upwelling, which trigger adaptation responses. (Section 30.6.2.1.4)	Background pH and carbonate chemistry are also such that harmful conditions are always present (avoiding impacts via adaptation not possible any more). (Section 30.6.2.1.4)
	Increased stratification as a result of ocean warming; reduced ventilation	Ocean ecosystems are vulnerable due to the reduced regeneration of nutrients as mixing between the ocean and its surface is reduced (EUS, STG, and EBUE). (Sections 6.2, 6.3, 6.5, 30.5.2, 30.5.4, 30.5.5)	Risk of productivity losses of oceans and respective negative impacts on fisheries. The concentration of inorganic nutrients in the upper layers of the ocean is reduced, leading to lower rates of primary productivity. (Box CC-NPP)	Reduced primary productivity of the ocean impacts fisheries productivity leading to lower catch rates and effects on livelihoods (Section 6.4.1.1; Box CC-NPP)
		Ecosystems and organisms that are sensitive to decreasing oxygen levels (Sections 30.5.2, 30.5.3, 30.5.5, 30.5.6, 30.5.7)	Increased risk of dead (hypoxic) zones reducing key ecosystems and fisheries habitat (Sections 6.1.1.3, 30.3.2.3)	
	Changes to wind, wave height, and storm intensity	Shipping and industrial infrastructure is vulnerable to wave and storm intensity. (Section 30.6.2)	Risk of increasing losses and damages to shipping and industrial infrastructure	Risk of accidents increases for enterprises such as shipping, as well as deep sea oil gas and mineral extraction.

CBS = Coastal Boundary Systems; EBUE = Eastern Boundary Upwelling Ecosystems; EUS = Equatorial Upwelling Systems; HIC, LIC, MIC = high-, low-, and medium-income countries; HLSBS = High-Latitude Spring Bloom Systems; SES = Semi-Enclosed Seas; STG = Sub-Tropical Gyres.

**This cross-chapter box should be cited as:**

**Birkmann, J., R. Licker, M. Oppenheimer, M. Campos, R. Warren, G. Luber, B.C. O’Neil, and K. Takahashi, 2014: Cross-chapter box on a selection of the hazards, key vulnerabilities, key risks, and emergent risks identified in the WGII contribution to the fifth assessment report. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 113-121.**



# Observed Global Responses of Marine Biogeography, Abundance, and Phenology to Climate Change

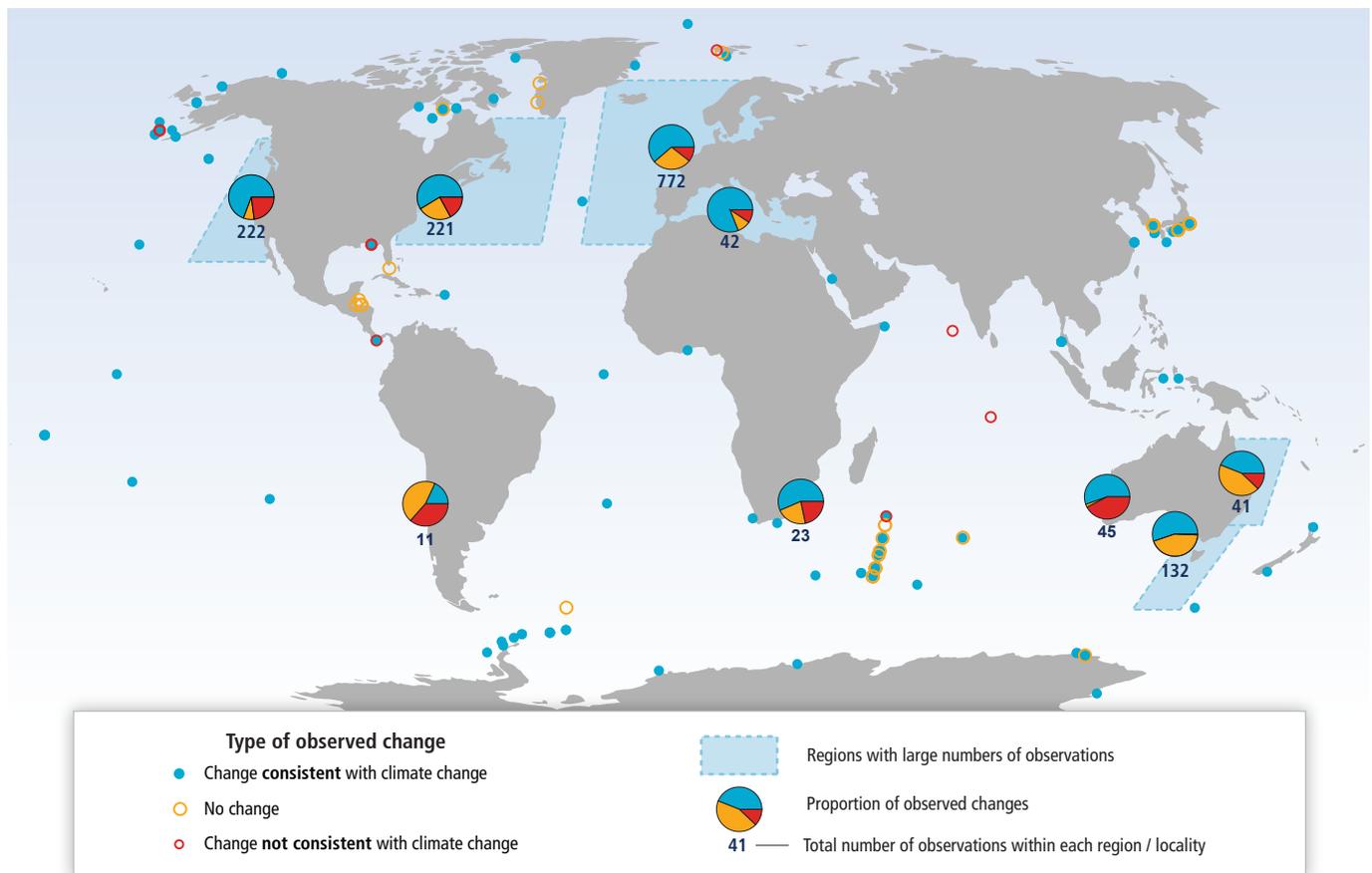
Elvira Poloczanska (Australia), Ove Hoegh-Guldberg (Australia), William Cheung (Canada), Hans-Otto Pörtner (Germany), Michael T. Burrows (UK)

IPCC WGII AR4 presented the detection of a global fingerprint on natural systems and its attribution to climate change (AR4, Chapter 1, SPM Figure 1), but studies from marine systems were mostly absent. Since AR4, there has been a rapid increase in studies that focus on climate change impacts on marine species, which represents an opportunity to move from more anecdotal evidence to examining and potentially attributing detected biological changes within the ocean to climate change (Section 6.3; Figure MB-1). Recent changes in populations of marine species and the associated shifts in diversity patterns are resulting, at least partly, from climate change-mediated biological responses across ocean regions (*robust evidence, high agreement, high confidence*; Sections 6.2, 30.5; Table 6-7).

Poloczanska et al. (2013) assess a potential pattern in responses of ocean life to recent climate change using a global database of 208 peer-reviewed papers. Observed responses ( $n = 1735$ ) were recorded from 857 species or assemblages across regions and taxonomic groups, from phytoplankton to marine reptiles and mammals (Figure MB-1). Observations were defined as those where the authors of a particular paper assessed the change in a biological parameter (including distribution, phenology, abundance, demography, or community composition) and, if change occurred, the consistency of the change with that expected under climate change. Studies from the peer-reviewed literature were selected using three criteria: (1) authors inferred or directly tested for trends in biological and climatic variables; (2) authors included data after 1990; and (3) observations spanned at least 19 years, to reduce bias resulting from biological responses to short-term climate variability.

The results of this meta-analysis show that climate change has already had widespread impacts on species' distribution, abundance, phenology, and subsequently, species richness and community composition across a broad range of taxonomic groups (plankton to top predators). Of the observations that showed a response in either direction, changes in phenology, distribution and abundance were overwhelmingly (81%) in a direction that was consistent with theoretical responses to climate change (Section 6.2). Knowledge gaps exist, especially in equatorial sub-regions and the Southern Hemisphere (Figure MB-1).

The timing of many biological events (phenology) had an earlier onset. For example, over the last 50 years, spring events shifted earlier for many species with an average advancement of  $4.4 \pm 0.7$  days per decade (mean  $\pm$  SE) and summer events by  $4.4 \pm 1.1$  days per decade (*robust evidence, high agreement, high confidence*) (Figure MB-2). Phenological observations included in the study range from shifts in peak abundance of phytoplankton and zooplankton, to reproduction and migration of invertebrates, fishes, and seabirds (Sections 6.3.2, 30.5).

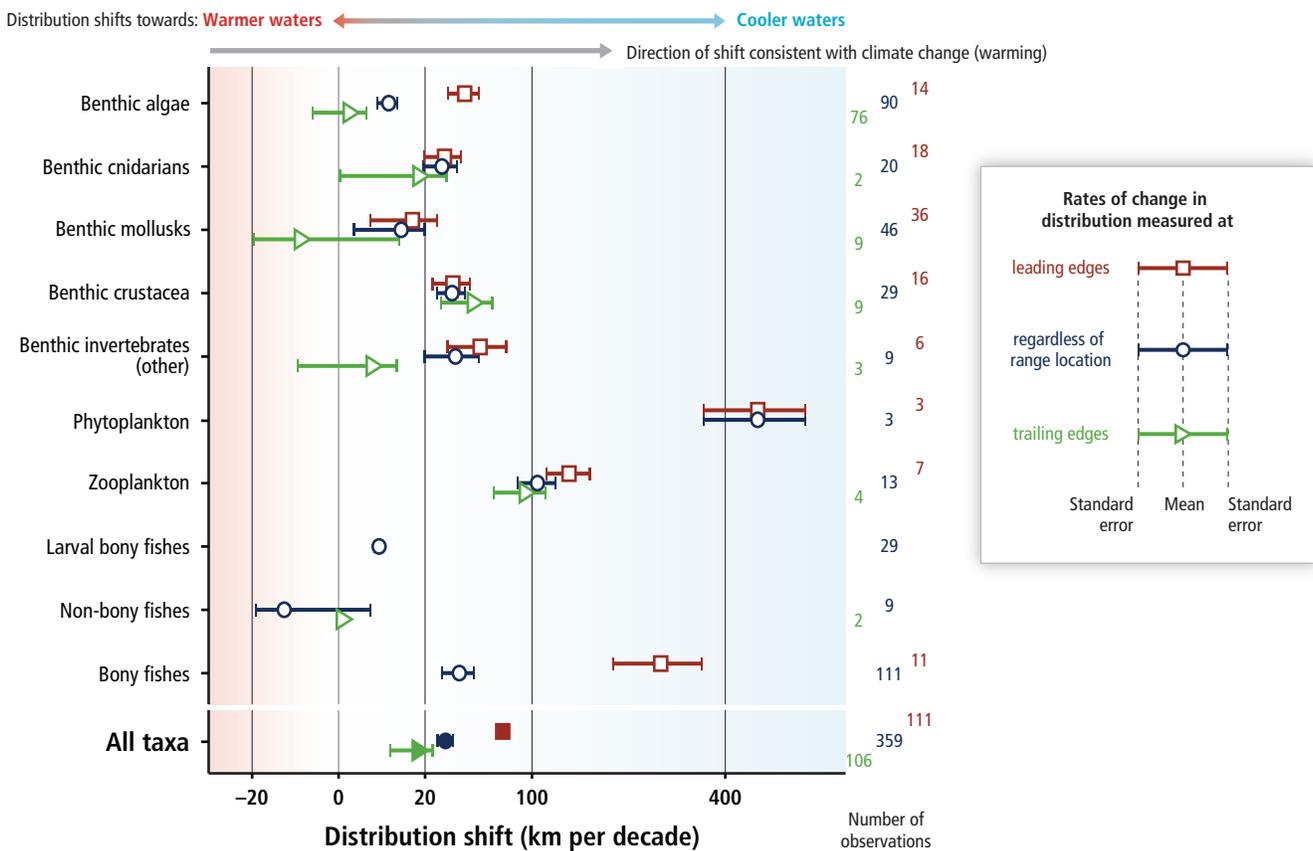


**Figure MB-1** | 1735 observed responses to climate change from 208 single- and multi-species studies. Data shown include changes that are attributed (at least partly) to climate change (blue), changes that are inconsistent with climate change (red), and no change (orange). Each circle represents the center of a study area. Where points fall on land, it is because they are centroids of distributions that surround an island or peninsula. Studies encompass areas from single sites (e.g., seabird breeding colony) to large ocean regions (e.g., continuous plankton recorder surveys in north-east Atlantic). For regions (indicated by blue shading) and localities with large numbers of observations, pie charts summarize the relative proportions of the three types of observed changes (consistent with climate change, inconsistent with climate change, and no change) in those regions or localities. The numbers indicate the total observations within each region or locality. Note: 57% of the studies included were published since AR4. (From Poloczanska et al., 2013).

The distributions of benthic, pelagic, and demersal species and communities have shifted by up to a thousand kilometers, although the range shifts have not been uniform across taxonomic groups or ocean regions (Sections 6.3.2, 30.5) (*robust evidence, high agreement, high confidence*). Overall, leading range edges expanded in a poleward direction at  $72.0 \pm 13.5$  km per decade and trailing edges contracted in a poleward direction at  $15.8 \pm 8.7$  km per decade (Figure MB-2), revealing much higher current rates of migration than the potential maximum rates reported for terrestrial species (Figure 4-6) despite slower warming of the ocean than land surface (WGI Section 3.2).

Poleward distribution shifts have resulted in increased species richness in mid- to high-latitude regions (Hiddink and ter Hofstede, 2008) and changing community structure (Simpson et al., 2011; see also Section 28.2.2). Increases in warm-water components of communities concurrent with regional warming have been observed in mid- to high-latitude ocean regions including the Bering Sea, Barents Sea, Nordic Sea, North Sea, and Tasman Sea (Box 6.1; Section 30.5). Observed changes in species composition of catches from 1970–2006 that are partly attributed to long-term ocean warming suggest increasing dominance of warmer water species in subtropical and higher latitude regions, and reduction in abundance of subtropical species in equatorial waters (Cheung et al., 2013), with implications for fisheries (Sections 6.5, 7.4.2, 30.6.2.1).

The magnitude and direction of distribution shifts can be related to temperature velocities (i.e., the speed and direction at which isotherms propagate across the ocean's surface (Section 30.3.1.1; Burrows et al., 2011). Pinsky et al. (2013) showed that shifts in both latitude and depth of benthic fish and crustaceans could be explained by climate velocity with remarkable accuracy, using a database of 128 million individuals across 360 marine taxa from surveys of North American coastal waters conducted over 1968–2011. Poloczanska et al. (2013) found that faster distribution shifts generally occur in regions of highest surface temperature velocity, such as the North Sea and sub-Arctic Pacific Ocean. Observed marine species shifts, since approximately the 1950s, have generally been able to track observed velocities (Figure MB-3), with phyto- and zooplankton distribution shifts vastly exceeding climate velocities observed over most of the ocean surface, but with considerable variability within and among taxonomic groups (Poloczanska et al., 2013).



**Figure MB-2** | Rates of change in distribution (kilometers per decade) for marine taxonomic groups, measured at the leading edges (red) and trailing edges (green). Average distribution shifts were calculated using all data, regardless of range location, and are in dark blue. Distribution shifts have been square-root transformed; standard errors may be asymmetric as a result. Positive distribution changes are consistent with warming (into previously cooler waters, generally poleward). Means ± standard error are shown, along with number of observations. Non-bony fishes include sharks, rays, lampreys, and hagfish. (From Poloczanska et al., 2013).

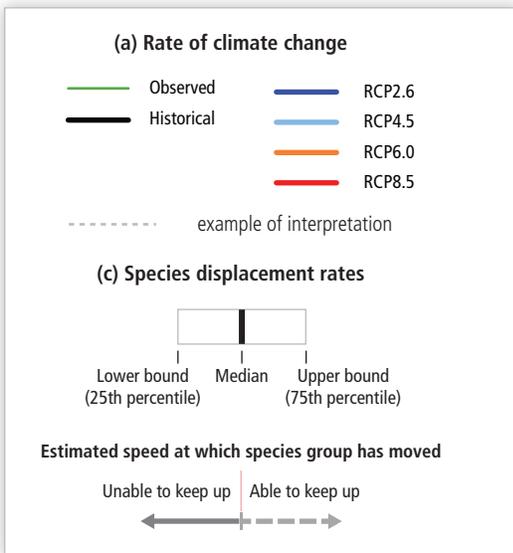
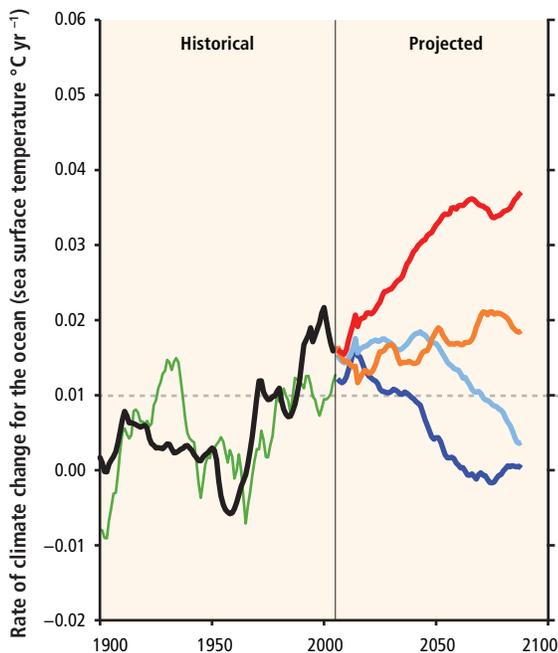
Biogeographic shifts are also influenced by other factors such as currents, nutrient and stratification changes, light levels, sea ice, species’ interactions, habitat availability and fishing, some of which can be independently influenced by climate change (Section 6.3). Rate and pattern of biogeographic shifts in sedentary organisms and benthic macroalgae are complicated by the influence of local dynamics and topographic features (islands, channels, coastal lagoons, e.g., of the Mediterranean (Bianchi, 2007), coastal upwelling e.g., (Lima et al., 2007)). Geographical barriers constrain range shifts and may cause a loss of endemic species (Ben Rais Lasram et al., 2010), with associated niches filled by alien species, either naturally migrating or artificially introduced (Philippart et al., 2011).

Whether marine species can continue to keep pace as rates of warming, hence climate velocities, increase (Figure MB-3b) is a key uncertainty. Climate velocities on land are expected to outpace the ability of many terrestrial species to track climate velocities this century (Section 4.3.2.5; Figure 4-6). For marine species, the observed rates of shift are generally much faster than those for land species, particularly for primary producers and lower trophic levels (Poloczanska et al., 2013). Phyto- and zooplankton communities (excluding larval fish) have extended distributions at remarkable rates (Figure MB-3b), such as in the Northeast Atlantic (Section 30.5.1) with implications for marine food webs.

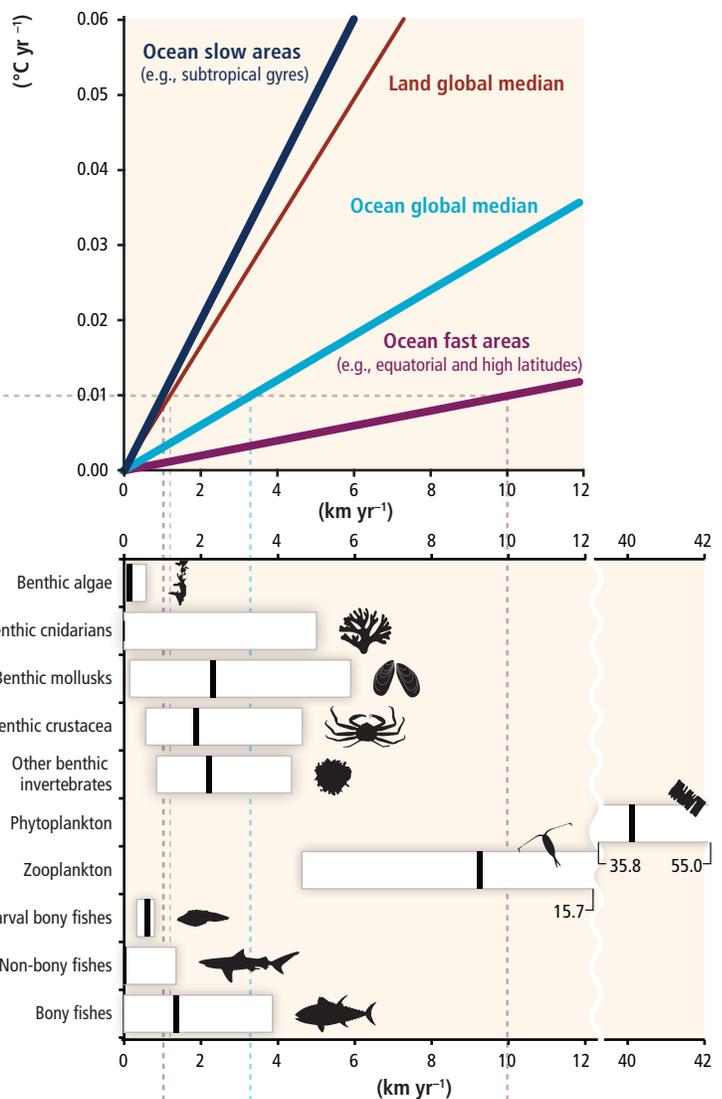
Geographical range shifts and depth distribution vary between coexisting marine species (Genner et al., 2004; Perry et al., 2005; Simpson et al., 2011) as a consequence of the width of species-specific thermal windows and associated vulnerabilities (Figure 6-5). Warming therefore causes differential changes in growth, reproductive success, larval output, early juvenile survival, and recruitment, implying shifts in the relative performance of animal species and, thus, their competitiveness (Pörtner and Farrell, 2008; Figure 6-7A). Such effects may underlie abundance losses or local extinctions, “regime shifts” between coexisting species, or critical mismatches between predator and prey organisms, resulting in changes in local and regional species richness, abundance, community composition, productivity, energy flows, and invasion resistance. Even among Antarctic stenotherms, differences in biological responses related to mode of life, phylogeny and associated metabolic capacities exist (Section 6.3.1.4). As a consequence, marine ecosystem functions may be substantially reorganized at the regional scale, potentially triggering a range of cascading effects (Hoegh-Guldberg and Bruno, 2010). A focus on understanding the mechanisms underpinning the nature and magnitude of responses of marine organisms to climate change can help forecast impacts and the associated costs to society as well as facilitate adaptive management strategies for mitigating these impacts (Sections 6.3, 6.4).



(a) Climate change scenarios



(b) Estimate of climate velocity to determine rate of displacement



(c) Species displacement rates (required to track climate velocity)

**Figure MB-3** | (a) Rate of climate change for the ocean (sea surface temperature (SST) °C yr<sup>-1</sup>). (b) Corresponding climate velocities for the ocean and median velocity from land (adapted from Burrows et al., 2011). (c) Observed rates of displacement of marine taxonomic groups based on observations over 1900–2010. The dotted bands give an example of interpretation. Rates of climate change of 0.01 °C yr<sup>-1</sup> correspond to approximately 3.3 km yr<sup>-1</sup> median climate velocity in the ocean. When compared to observed rates of displacement (c), many marine taxonomic groups have been able to track these velocities. For phytoplankton and zooplankton the rates of displacement greatly exceed median climate velocity for the ocean and, for phytoplankton exceed velocities in fast areas of the ocean approximately 10.0 km yr<sup>-1</sup>. All values are calculated for ocean surface with the exclusion of polar seas (Figure 30-1a). (a) Observed rates of climate change for ocean SST (green line) are derived from the Hadley Centre Interpolated SST 1.1 (HadISST1.1) data set, and all other rates are calculated based on the average of the Coupled Model Intercomparison Project Phase 5 (CMIP5) climate model ensembles (Table SM30-3) for the historical period and for the future based on the four Representative Concentration Pathway (RCP) scenarios. Data were smoothed using a 20-year sliding window. (b) Median climate velocity over the global ocean surface (light blue line; excluding polar seas) calculated from HadISST1.1 data set over 1960–2009 using the methods of Burrows et al. (2011). Median velocities representative of ocean regions of slow velocities such as the Pacific subtropical gyre (dark blue line) and of high velocities such as the Coral Triangle or the North Sea (purple line) shown. Median rates over global land surface (red line) over 1960–2009 calculated using Climate Research Unit data set CRU TS3.1. Figure 30-3 shows climate velocities over the ocean surface calculated over 1960–2009. (c) Rates of displacement for marine taxonomic groups estimated by Poloczanska et al. (2013) using published studies. Note the displacement rates for phytoplankton exceed the axis, so values are given.

MB

## References

- Ben Rais Lasram**, F., F. Guilhaumon, C. Albouy, S. Somot, W. Thuiller, and D. Mouillot, 2010: The Mediterranean Sea as a 'cul-de-sac' for endemic fishes facing climate change. *Global Change Biology*, **16**, 3233-3245.
- Bianchi**, C.N., 2007: Biodiversity issues for the forthcoming Mediterranean Sea. *Hydrobiologia*, **580**, 7-21.
- Burrows**, M.T., D. S. Schoeman, L.B. Buckley, P.J. Moore, E.S. Poloczanska, K. Brander, K. C.J. Brown, J.F. Bruno, C.M. Duarte, B.S. Halpern, J. Holding, C.V. Kappel, W. Kiessling, M.I. O'Connor, J.M. Pandolfi, C. Parmesan, F. Schwing, W.J. Sydeman, and A.J. Richardson, 2011: The pace of shifting climate in marine and terrestrial ecosystems. *Science*, **334**, 652-655.
- Cheung**, W.W.L., R. Watson, and D. Pauly, 2013: Signature of ocean warming in global fisheries catch. *Nature*, **497(7449)**, 365-368.
- Genner**, M.J., D.W. Sims, V.J. Wearmouth, E.J. Southall, A.J. Southward, P.A. Henderson, and S.J. Hawkins, 2004: Regional climatic warming drives long-term community changes of British marine fish. *Proceedings of the Royal Society B*, **271(1539)**, 655-661.
- Hiddink**, J.G. and R. ter Hofstede, 2008: Climate induced increases in species richness of marine fishes. *Global Change Biology*, **14**, 453-460.
- Hoegh-Guldberg**, O. and J.F. Bruno, 2010: The impact of climate change on the world's marine ecosystems. *Science*, **328**, 1523-1528.
- Lima**, F.P., P.A. Ribeiro, N. Queiroz, S.J. Hawkins, and A.M. Santos, 2007: Do distributional shifts of northern and southern species of algae match the warming pattern? *Global Change Biology*, **13**, 2592-2604.
- Perry**, A.L., P.J. Low, J.R. Ellis, and J.D. Reynolds, 2005: Climate change and distribution shifts in marine fishes. *Science*, **308(5730)**, 1912-1915.
- Philippart**, C.J.M., R. Anadon, R. Danovaro, J.W. Dippner, K.F. Drinkwater, S.J. Hawkins, T. Oguz, G. O'Sullivan, and P.C. Reid, 2011: Impacts of climate change on European marine ecosystems: observations, expectations and indicators. *Journal of Experimental Marine Biology and Ecology*, **400**, 52-69.
- Pinksey**, M.L., B. Worm, M.J. Fogarty, J.L. Sarmiento, and S.A. Levin, 2013: Marine taxa track local climate velocities. *Science*, **341**, 1239-1242.
- Pörtner**, H.O. and A.P. Farrell, 2008: Physiology and climate change. *Science*, **322(5902)**, 690-692.
- Poloczanska**, E.S., C.J. Brown, W.J. Sydeman, W. Kiessling, D.S. Schoeman, P.J. Moore, K. Brander, J.F. Bruno, L.B. Buckley, M.T. Burrows, C.M. Duarte, B.S. Halpern, J. Holding, C.V. Kappel, M.I. O'Connor, J.M. Pandolfi, C. Parmesan, F. Schwing, S.A. Thompson, and A.J. Richardson, 2013: Global imprint of climate change on marine life. *Nature Climate Change*, **3**, 919-925.
- Simpson**, S.D., S. Jennings, M.P. Johnson, J.L. Blanchard, P.J. Schon, D.W. Sims, and M.J. Genner, 2011: Continental shelf-wide response of a fish assemblage to rapid warming of the sea. *Current Biology*, **21**, 1565-1570.

### This cross-chapter box should be cited as:

**Poloczanska**, E.S., O. Hoegh-Guldberg, W. Cheung, H.-O. Pörtner, and M. Burrows, 2014: Cross-chapter box on observed global responses of marine biogeography, abundance, and phenology to climate change. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 123-127.



# OA

## Ocean Acidification

Jean-Pierre Gattuso (France), Peter G. Brewer (USA), Ove Hoegh-Guldberg (Australia), Joan A. Kleypas (USA), Hans-Otto Pörtner (Germany), Daniela N. Schmidt (UK)

Anthropogenic ocean acidification and global warming share the same primary cause, which is the increase of atmospheric CO<sub>2</sub> (Figure OA-1A; WGI, Section 2.2.1). Eutrophication, loss of sea ice, upwelling and deposition of atmospheric nitrogen and sulfur all exacerbate ocean acidification locally (Sections 5.3.3.6, 6.1.1, 30.3.2.2).

### Chemistry and Projections

The fundamental chemistry of ocean acidification is well understood (*robust evidence, high agreement*). Increasing atmospheric concentrations of CO<sub>2</sub> result in an increased flux of CO<sub>2</sub> into a mildly alkaline ocean, resulting in a reduction in pH, carbonate ion concentration, and the capacity of seawater to buffer changes in its chemistry (*very high confidence*). The changing chemistry of the surface layers of the open ocean can be projected at the global scale with high accuracy using projections of atmospheric CO<sub>2</sub> levels (Figure CC-OA-1B). Observations of changing upper ocean CO<sub>2</sub> chemistry over time support this linkage (WGI Table 3.2 and Figure 3.18; Figures 30-8, 30-9). Projected changes in open ocean, surface water chemistry for the year 2100 based on representative concentration pathways (WGI, Figure 6.28) compared to pre-industrial values range from a pH change of  $-0.14$  units with Representative Concentration Pathway (RCP)2.6 (421 ppm CO<sub>2</sub>,  $+1^{\circ}\text{C}$ , 22% reduction of carbonate ion concentration) to a pH change of  $-0.43$  units with RCP8.5 (936 ppm CO<sub>2</sub>,  $+3.7^{\circ}\text{C}$ , 56% reduction of carbonate ion concentration). Projections of regional changes, especially in the highly complex coastal systems (Sections 5.3.3.5, 30.3.2.2), in polar regions (WGI Section 6.4.4), and at depth are more difficult but generally follow similar trends.

### Biological, Ecological, and Biogeochemical Impacts

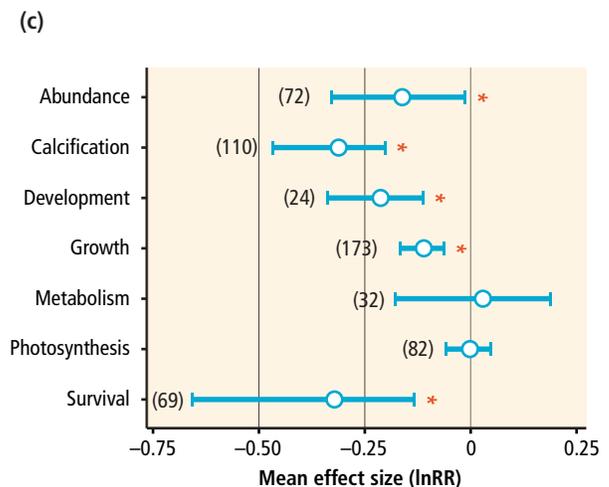
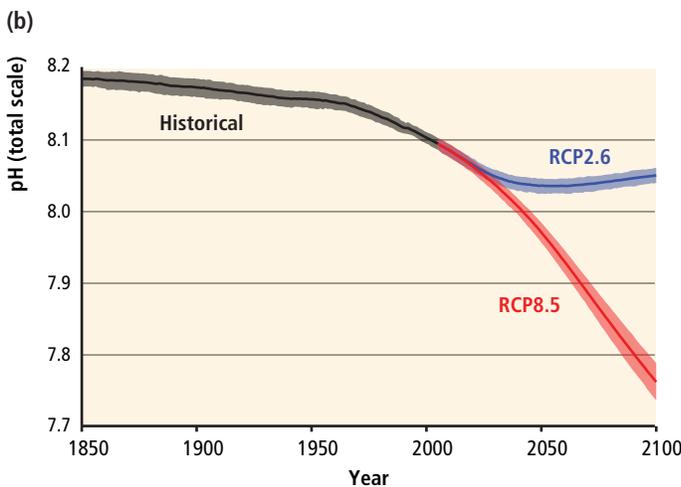
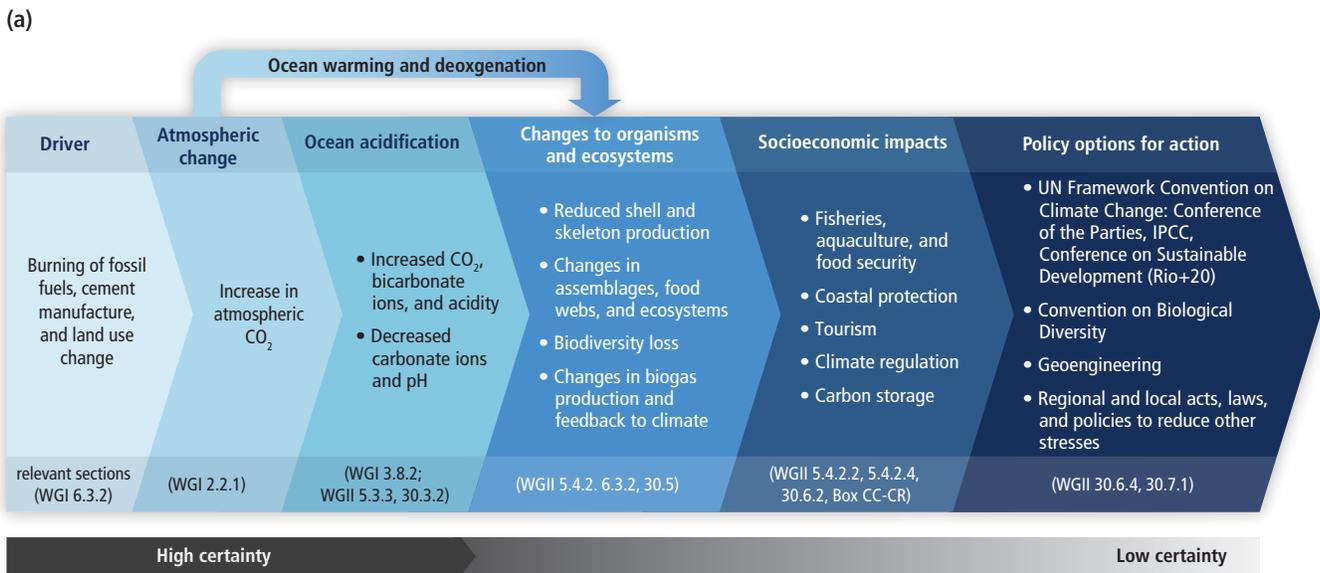
Investigations of the effect of ocean acidification on marine organisms and ecosystems have a relatively short history, recently analyzed in several meta-analyses (Sections 6.3.2.1, 6.3.5.1). A wide range of sensitivities to projected rates of ocean acidification exists within and across diverse groups of organisms, with a trend for greater sensitivity in early life stages (*high confidence*; Sections 5.4.2.2, 5.4.2.4, 6.3.2). A pattern of positive and negative impacts emerges (*high confidence*; Figure OA-1C) but key uncertainties remain in our understanding of the impacts on organisms, life histories, and ecosystems. Responses can be influenced, often exacerbated by other drivers, such as warming, hypoxia, nutrient concentration, and light availability (*high confidence*; Sections 5.4.2.4, 6.3.5).

Growth and primary production are stimulated in seagrass and some phytoplankton (*high confidence*; Sections 5.4.2.3, 6.3.2.2, 6.3.2.3, 30.5.6). Harmful algal blooms could become more frequent (*limited evidence, medium agreement*). Ocean acidification may stimulate nitrogen fixation (*limited evidence, low agreement*; 6.3.2.2). It decreases the rate of calcification of most, but not

all, sea floor calcifiers (*medium agreement, robust evidence*) such as reef-building corals (Box CC-CR), coralline algae, bivalves, and gastropods, reducing the competitiveness with non-calcifiers (Sections 5.4.2.2, 5.4.2.4, 6.3.2.5). Ocean warming and acidification promote higher rates of calcium carbonate dissolution resulting in the net dissolution of carbonate sediments and frameworks and loss of associated habitat (*medium confidence*; 5.4.2.4, 6.3.2.5, 6.3.5.4). Some corals and temperate fishes experience disturbances to behavior, navigation, and their ability to tell conspecifics from predators (Section 6.3.2.4). However, there is no evidence for these effects to persist on evolutionary time scales in the few groups analyzed (Section 6.3.2).

Some phytoplankton and molluscs displayed adaptation to ocean acidification in long-term experiments (*limited evidence, medium agreement*; Section 6.3.2.1), indicating that the long-term responses could be less than responses obtained in short-term experiments. However, mass extinctions in Earth history occurred during much slower rates of ocean acidification, combined with other drivers changing, suggesting that evolutionary rates are not fast enough for sensitive animals and plants to adapt to the projected rate of future change (*medium confidence*; Section 6.1.2).

Projections of ocean acidification effects at the ecosystem level are made difficult by the diversity of species-level responses. Differential sensitivities and associated shifts in performance and distribution will change predator–prey relationships and competitive interactions (Sections



**Figure OA-1** | (a) Overview of the chemical, biological, and socio-economic impacts of ocean acidification and of policy options (adapted from Turley and Gattuso, 2012). (b) Multi-model simulated time series of global mean ocean surface pH (on the total scale) from Coupled Model Intercomparison Project Phase 5 (CMIP5) climate model simulations from 1850 to 2100. Projections are shown for emission scenarios Representative Concentration Pathway (RCP)2.6 (blue) and RCP8.5 (red) for the multi-model mean (solid lines) and range across the distribution of individual model simulations (shading). Black (gray shading) is the modeled historical evolution using historical reconstructed forcings. The models that are included are those from CMIP5 that simulate the global carbon cycle while being driven by prescribed atmospheric CO<sub>2</sub> concentrations (WGI AR5 Figures SPM.7 and TS.20). (c) Effect of near-future acidification (seawater pH reduction of  $\leq 0.5$  units) on major response variables estimated using weighted random effects meta-analyses, with the exception of survival, which is not weighted (Kroeker et al., 2013). The log-transformed response ratio (lnRR) is the ratio of the mean effect in the acidification treatment to the mean effect in a control group. It indicates which process is most uniformly affected by ocean acidification, but large variability exists between species. Significance is determined when the 95% bootstrapped confidence interval does not cross zero. The number of experiments used in the analyses is shown in parentheses. The \* denotes a statistically significant effect.

6.3.2.5, 6.3.5, 6.3.6), which could impact food webs and higher trophic levels (*limited evidence, high agreement*). Natural analogues at CO<sub>2</sub> vents indicate decreased species diversity, biomass, and trophic complexity of communities (Box CC-CR; Sections 5.4.2.3, 6.3.2.5, 30.3.2.2, 30.5). Shifts in community structure have also been documented in regions with rapidly declining pH (Section 5.4.2.2).

Owing to an incomplete understanding of species-specific responses and trophic interactions, the effect of ocean acidification on global biogeochemical cycles is not well understood (*limited evidence, low agreement*) and represents an important knowledge gap. The additive, synergistic, or antagonistic interactions of factors such as temperature, concentrations of oxygen and nutrients, and light are not sufficiently investigated yet.

### Risks, Socioeconomic Impacts, and Costs

The risks of ocean acidification to marine organisms, ecosystems, and ultimately to human societies, include both the probability that ocean acidification will affect fundamental physiological and ecological processes of organisms (Section 6.3.2.1), and the magnitude of the resulting impacts on ecosystems and the ecosystem services they provide to society (Box 19-2). For example, ocean acidification under RCP4.5 to RCP8.5 will impact formation and maintenance of coral reefs (*high confidence*; Box CC-CR, Section 5.4.2.4) and the goods and services that they provide such as fisheries, tourism, and coastal protection (*limited evidence, high agreement*; Box CC-CR; Sections 6.4.1.1, 19.5.2, 27.3.3, 30.5, 30.6). Ocean acidification poses many other potential risks, but these cannot yet be quantitatively assessed because of the small number of studies available, particularly on the magnitude of the ecological and socioeconomic impacts (Section 19.5.2).

Global estimates of observed or projected economic costs of ocean acidification do not exist. The largest uncertainty is how the impacts on lower trophic levels will propagate through the food webs and to top predators. However, there are a number of instructive examples that illustrate the magnitude of potential impacts of ocean acidification. A decrease of the production of commercially exploited shelled molluscs (Section 6.4.1.1) would result in a reduction of USA production of 3 to 13% according to the Special Report on Emission Scenarios (SRES) A1FI emission scenario (*low confidence*). The global cost of production loss of molluscs could be more than US\$100 billion by 2100 (*limited evidence, medium agreement*). Models suggest that ocean acidification will generally reduce fish biomass and catch (*low confidence*) and that complex additive, antagonistic, and/or synergistic interactions will occur with other environmental (warming) and human (fisheries management) factors (Section 6.4.1.1). The annual economic damage of ocean-acidification-induced coral reef loss by 2100 has been estimated, in 2012, to be US\$870 and 528 billion, respectively for the A1 and B2 SRES emission scenarios (*low confidence*; Section 6.4.1). Although this number is small compared to global gross domestic product (GDP), it can represent a very large GDP loss for the economies of many coastal regions or small islands that rely on the ecological goods and services of coral reefs (Sections 25.7.5, 29.3.1.2).

### Mitigation and Adaptation

Successful management of the impacts of ocean acidification includes two approaches: mitigation of the source of the problem (i.e., reduce anthropogenic emissions of CO<sub>2</sub>) and/or adaptation by reducing the consequences of past and future ocean acidification (Section 6.4.2.1). Mitigation of ocean acidification through reduction of atmospheric CO<sub>2</sub> is the most effective and the least risky method to limit ocean acidification and its impacts (Section 6.4.2.1). Climate geoengineering techniques based on solar radiation management will not abate ocean acidification and could increase it under some circumstances (Section 6.4.2.2). Geoengineering techniques to remove CO<sub>2</sub> from the atmosphere could directly address the problem but are very costly and may be limited by the lack of CO<sub>2</sub> storage capacity (Section 6.4.2.2). In addition, some ocean-based approaches, such as iron fertilization, would only relocate ocean acidification from the upper ocean to the ocean interior, with potential ramifications on deep water oxygen levels (Sections 6.4.2.2, 30.3.2.3, 30.5.7). A low-regret approach, with relatively limited effectiveness, is to limit the number and the magnitude of drivers other than CO<sub>2</sub>, such as nutrient pollution (Section 6.4.2.1). Mitigation of ocean acidification at the local level could involve the reduction of anthropogenic inputs of nutrients and organic matter in the coastal ocean (Section 5.3.4.2). Some adaptation strategies include drawing water for aquaculture from local watersheds only when pH is in the right range, selecting for less sensitive species or strains, or relocating industries elsewhere (Section 6.4.2.1).

## References

- Kroeker, K., R.C. Kordas, A. Ryan, I. Hendriks, L. Ramajo, G. Singh, C. Duarte, and J.-P. Gattuso, 2013: Impacts of ocean acidification on marine organisms: quantifying sensitivities and interaction with warming. *Global Change Biology*, **19**, 1884-1896.
- Turley, C. and J.-P. Gattuso, 2012: Future biological and ecosystem impacts of ocean acidification and their socioeconomic-policy implications. *Current Opinion in Environmental Sustainability*, **4**, 278-286.

This cross-chapter box should be cited as:

Gattuso, J.-P., P.G. Brewer, O. Hoegh-Guldberg, J.A. Kleypas, H.-O. Pörtner, and D.N. Schmidt, 2014: Cross-chapter box on ocean acidification. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 129-131.



# PP

## Net Primary Production in the Ocean

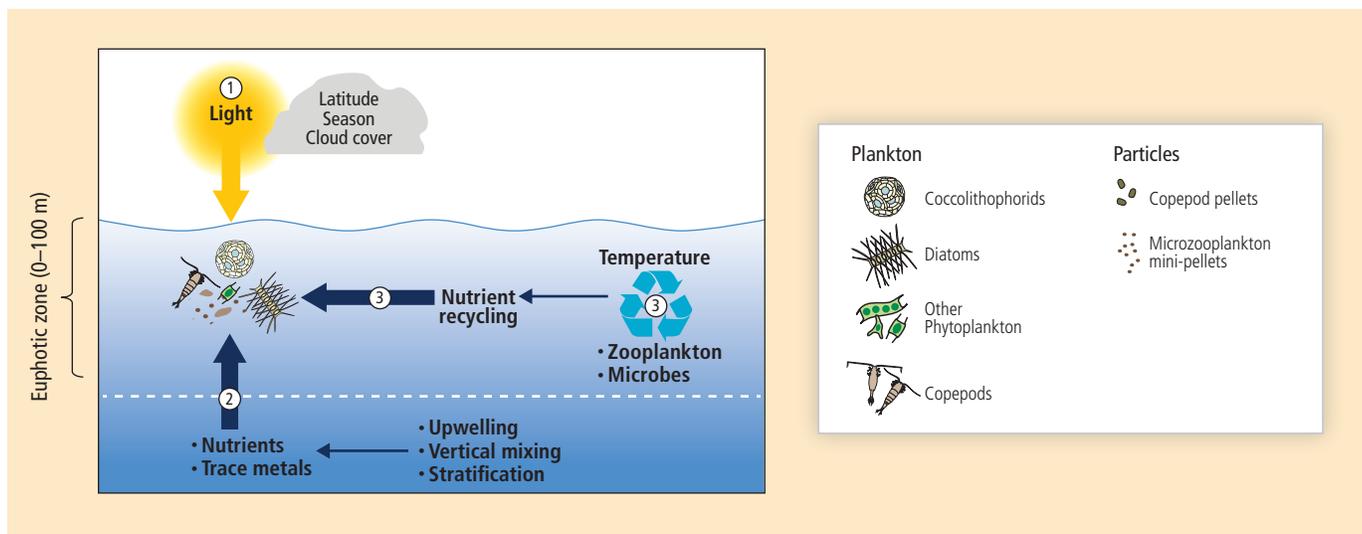
Philip W. Boyd (New Zealand), Svein Sundby (Norway), Hans-Otto Pörtner (Germany)

Net Primary Production (NPP) is the rate of photosynthetic carbon fixation minus the fraction of fixed carbon used for cellular respiration and maintenance by autotrophic planktonic microbes and benthic plants (Sections 6.2.1, 6.3.1). Environmental drivers of NPP include light, nutrients, micronutrients, CO<sub>2</sub>, and temperature (Figure PP-1a). These drivers, in turn, are influenced by oceanic and atmospheric processes, including cloud cover; sea ice extent; mixing by winds, waves, and currents; convection; density stratification; and various forms of upwelling induced by eddies, frontal activity, and boundary currents. Temperature has multiple roles as it influences rates of phytoplankton physiology and heterotrophic bacterial recycling of nutrients, in addition to stratification of the water column and sea ice extent (Figure PP-1a). Climate change is projected to strongly impact NPP through a multitude of ways that depend on the regional and local physical settings (WGI AR5, Chapter 3), and on ecosystem structure and functioning (*medium confidence*; Sections 6.3.4, 6.5.1). The influence of environmental drivers on NPP causes as much as a 10-fold variation in regional productivity with nutrient-poor subtropical waters and light-limited Arctic waters at the lower range and productive upwelling regions and highly eutrophic coastal regions at the upper range (Figure PP-1b).

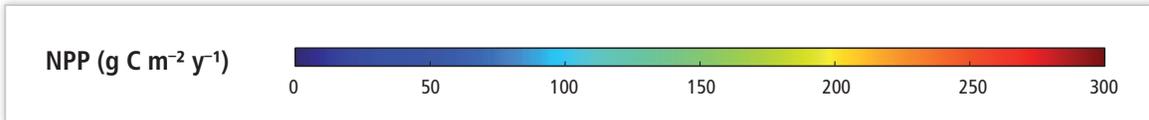
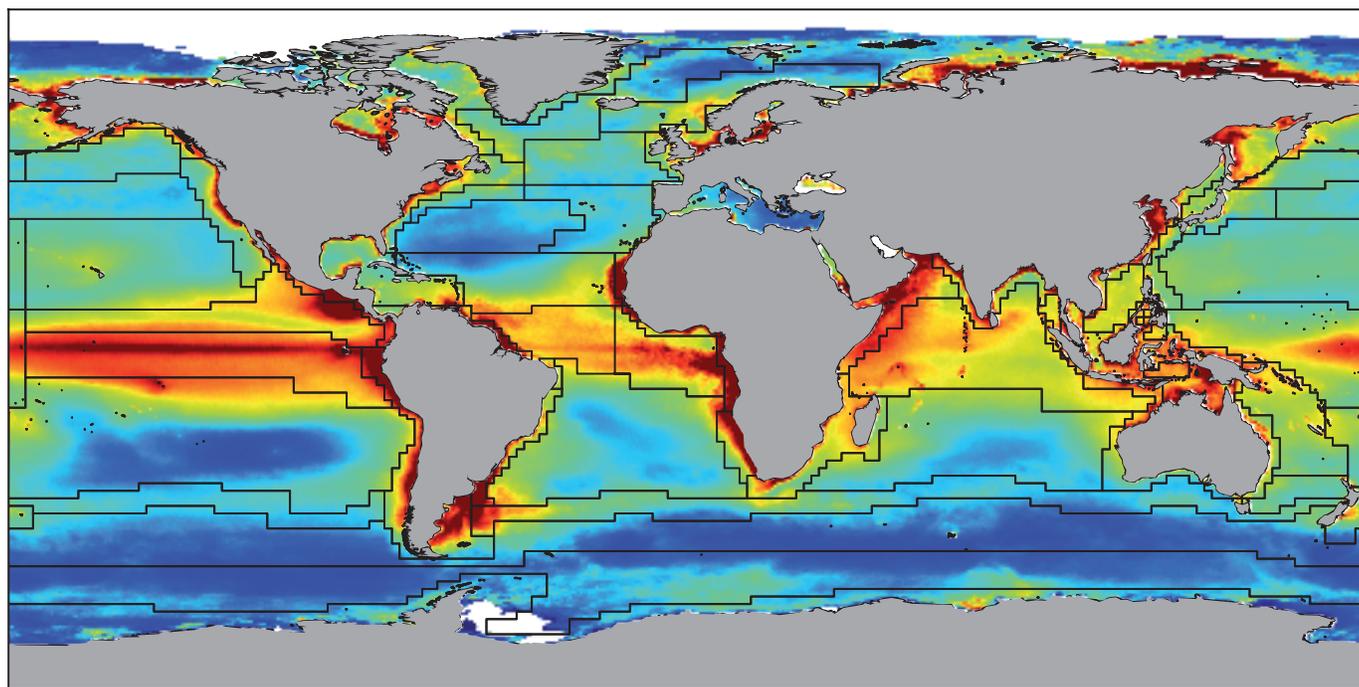
The oceans currently provide  $\sim 50 \times 10^{15}$  g C yr<sup>-1</sup>, or about half of global NPP (Field et al., 1998). Global estimates of NPP are obtained mainly from satellite remote sensing (Section 6.1.2), which provides unprecedented spatial and temporal coverage, and may be validated regionally against oceanic measurements. Observations reveal significant changes in rates of NPP when environmental controls are altered by episodic natural perturbations, such as volcanic eruptions enhancing iron supply, as observed in high-nitrate low-chlorophyll waters of the Northeast Pacific (Hamme et al., 2010). Climate variability can drive pronounced changes in NPP (Chavez et al., 2011), such as from El Niño to La Niña transitions in Equatorial Pacific, when vertical nutrient and trace element supply are enhanced (Chavez et al., 1999).

Multi-year time series records of NPP have been used to assess spatial trends in NPP in recent decades. Behrenfeld et al. (2006), using satellite data, reported a prolonged and sustained global NPP decrease of  $190 \times 10^{12}$  g C yr<sup>-1</sup>, for the period 1999–2005—an annual reduction of 0.57% of global NPP. In contrast, a time series of directly measured NPP between 1988 and 2007 by Saba et al. (2010) (i.e., *in situ* incubations using the radiotracer <sup>14</sup>C-bicarbonate) revealed an increase (2% yr<sup>-1</sup>) in NPP for two low-latitude open ocean sites. This discrepancy between *in situ* and remotely sensed NPP trends points to uncertainties in either the methodology used and/or the extent to which discrete sites are representative of oceanic provinces (Saba et al., 2010, 2011). Modeling studies have subsequently revealed that the <15-year archive of satellite-

(a)



(b)



**Figure PP-1** | (a) Environmental factors controlling Net Primary Production (NPP). NPP is controlled mainly by three basic processes: (1) light conditions in the surface ocean, that is, the photic zone where photosynthesis occurs; (2) upward flux of nutrients and micronutrients from underlying waters into the photic zone, and (3) regeneration of nutrients and micronutrients via the breakdown and recycling of organic material before it sinks out of the photic zone. All three processes are influenced by physical, chemical, and biological processes and vary across regional ecosystems. In addition, water temperature strongly influences the upper rate of photosynthesis for cells that are resource-replete. Predictions of alteration of primary productivity under climate change depend on correct parameterizations and simulations of each of these variables and processes for each region. (b) Annual composite map of global areal NPP rates (derived from Moderate Resolution Imaging Spectroradiometer (MODIS) Aqua satellite climatology from 2003–2012; NPP was calculated with the Carbon-based Productivity Model (CbPM; Westberry et al., 2008)). Overlaid is a grid of (thin black lines) that represent 51 distinct global ocean biogeographical provinces (after Longhurst, 1998 and based on Boyd and Doney, 2002). The characteristics and boundaries of each province are primarily set by the underlying regional ocean physics and chemistry. White areas = no data. (Figure courtesy of Toby Westberry (OSU) and Ivan Lima (WHOI), satellite data courtesy of NASA Ocean Biology Processing Group.)

derived NPP is insufficient to distinguish climate-change mediated shifts in NPP from those driven by natural climate variability (Henson et al., 2010; Beaulieu et al., 2013). Although multi-decadal, the available time series of oceanic NPP measurements are also not of sufficient duration relative to the time scales of longer-term climate variability modes as for example Atlantic Multi-decadal Oscillation (AMO), with periodicity of 60-70 years, Figure 6-1). Recent attempts to synthesize longer (i.e., centennial) records of chlorophyll as a proxy for phytoplankton stocks (e.g., Boyce et al., 2010) have been criticized for relying on questionable linkages between different proxies for chlorophyll over a century of records (e.g., Rykaczewski and Dunne, 2011).

Models in which projected climate change alters the environmental drivers of NPP provide estimates of spatial changes and of the rate of change of NPP. For example, four global coupled climate–ocean biogeochemical Earth System Models (WGI AR5 Chapter 6) projected an increase in NPP at high latitudes as a result of alleviation of light and temperature limitation of NPP, particularly in the high-latitude biomes (Steinacher et al., 2010). However, this regional increase in NPP was more than offset by decreases in NPP at lower latitudes and at mid-latitudes due to the reduced input of macronutrients into the photic zone. The reduced mixed-layer depth and reduced rate of circulation may cause a decrease in the flux of macronutrients to the euphotic zone (Figure 6-2). These changes to oceanic conditions result in a reduction in global mean NPP by 2 to 13% by 2100 relative to 2000 under a high emission scenario (Polovina et al., 2011; SRES (Special Report on Emission Scenarios) A2, between RCP6.0 and RCP8.5). This is consistent with a more recent analysis based on 10 Earth System Models (Bopp et al., 2013), which project decreases in global NPP by 8.6 ( $\pm 7.9$ ), 3.9 ( $\pm 5.7$ ), 3.6 ( $\pm 5.7$ ), and 2.0 ( $\pm 4.1$ ) % in the 2090s relative to the 1990s, under the scenarios RCP8.5, RCP6.0, RCP4.5, and RCP2.6, respectively. However, the magnitude of projected changes varies widely between models (e.g., from 0 to 20% decrease in NPP globally under RCP 8.5). The various models show very large differences in NPP at regional scales (i.e., provinces, see Figure PP-1b).

Model projections had predicted a range of changes in global NPP from an increase (relative to preindustrial rates) of up to 8.1% under an intermediate scenario (SRES A1B, similar to RCP6.0; Sarmiento et al., 2004; Schmittner et al., 2008) to a decrease of 2-20% under the SRES A2 emission scenario (Steinacher et al., 2010). These projections did not consider the potential contribution of primary production derived from atmospheric nitrogen fixation in tropical and subtropical regions, favoured by increasing stratification and reduced nutrient inputs from mixing. This mechanism is potentially important, although such episodic increases in nitrogen fixation are not sustainable without the presence of excess phosphate (e.g., Moore et al., 2009; Boyd et al., 2010). This may lead to an underestimation of NPP (Mohr et al., 2010; Mulholland et al., 2012; Wilson et al., 2012), however, the extent of such underestimation is unknown (Luo et al., 2012).

Care must be taken when comparing global, provincial (e.g., low-latitude waters, e.g., Behrenfeld et al., 2006) and regional trends in NPP derived from observations, as some regions have additional local environmental influences such as enhanced density stratification of the upper ocean from melting sea ice. For example, a longer phytoplankton growing season, due to more sea ice-free days, may have increased NPP (based on a regionally validated time-series of satellite NPP) in Arctic waters (Arrigo and van Dijken, 2011) by an average of  $8.1 \times 10^{12}$  g C yr<sup>-1</sup> between 1998 and 2009. Other regional trends in NPP are reported in Sections 30.5.1 to 30.5.6. In addition, although future model projections of global NPP from different models (Steinacher et al., 2010; Bopp et al., 2013) are comparable, regional projections from each of the models differ substantially. This raises concerns as to which aspect(s) of the different model NPP parameterizations are responsible for driving regional differences in NPP, and moreover, how accurate model projections are of global NPP.

From a global perspective, open ocean NPP will decrease moderately by 2100 under both low- (SRES B1 or RCP4.5) and high-emission scenarios (*medium confidence*; SRES A2 or RCPs 6.0, 8.5, Sections 6.3.4, 6.5.1), paralleled by an increase in NPP at high latitudes and a decrease in the tropics (*medium confidence*). However, there is *limited evidence* and *low agreement* on the direction, magnitude and differences of a change of NPP in various ocean regions and coastal waters projected by 2100 (*low confidence*).

## References

- Arrigo, K.R. and G.L. van Dijken, 2011: Secular trends in Arctic Ocean net primary production. *Journal of Geophysical Research*, **116**(C9), C09011, doi:10.1029/2011JC007151.
- Beaulieu, C., S.A. Henson, J.L. Sarmiento, J.P. Dunne, S.C. Doney, R.R. Rykaczewski, and L. Bopp, 2013: Factors challenging our ability to detect long-term trends in ocean chlorophyll. *Biogeosciences*, **10**(4), 2711-2724.
- Behrenfeld, M.J., R.T. O'Malley, D.A. Siegel, C.R. McClain, J.L. Sarmiento, G.C. Feldman, A.J. Milligan, P.G. Falkowski, R.M. Letelier, and E.S. Boss, 2006: Climate-driven trends in contemporary ocean productivity. *Nature*, **444**(7120), 752-755.
- Bopp, L., L. Resplandy, J.C. Orr, S.C. Doney, J.P. Dunne, M. Gehlen, P. Halloran, C. Heinze, T. Ilyina, R. Séférian, J. Tjiputra, and M. Vichi, 2013: Multiple stressors of ocean ecosystems in the 21st century: projections with CMIP5 models. *Biogeosciences*, **10**, 6225-6245.
- Boyce, D.G., M.R. Lewis, and B. Worm, 2010: Global phytoplankton decline over the past century. *Nature*, **466**(7306), 591-596.
- Boyd, P.W. and S.C. Doney, 2002: Modelling regional responses by marine pelagic ecosystems to global climate change. *Geophysical Research Letters*, **29**(16), 53-1-53-4, doi:10.1029/2001GL014130.
- Boyd, P.W., R. Strzepek, F.X. Fu, and D.A. Hutchins, 2010: Environmental control of open-ocean phytoplankton groups: now and in the future. *Limnology and Oceanography*, **55**(3), 1353-1376.
- Chavez, F.P., P.G. Strutton, C.E. Friederich, R.A. Feely, G.C. Feldman, D.C. Foley, and M.J. McPhaden, 1999: Biological and chemical response of the equatorial Pacific Ocean to the 1997-98 El Niño. *Science*, **286**(5447), 2126-2131.

- Chavez, F.P., M. Messié, and J.T. Pennington, 2011: Marine primary production in relation to climate variability and change. *Annual Review of Marine Science*, **3**(1), 227-260.
- Field, C.B., M.J. Behrenfeld, J.T. Randerson, and P. Falkowski, 1998: Primary production of the biosphere: integrating terrestrial and oceanic components. *Science*, **281**(5374), 237-240.
- Hamme, R.C., P.W. Webley, W.R. Crawford, F.A. Whitney, M.D. DeGrandpre, S.R. Emerson, C.C. Eriksen, K.E. Giesbrecht, J.F.R. Gower, M.T. Kavanaugh, M.A. Peña, C.L. Sabine, S.D. Batten, L.A. Coogan, D.S. Grundle, and D. Lockwood, 2010: Volcanic ash fuels anomalous plankton bloom in subarctic northeast Pacific. *Geophysical Research Letters*, **37**(19), L19604, doi:10.1029/2010GL044629.
- Henson, S.A., J.L. Sarmiento, J.P. Dunne, L. Bopp, I. Lima, S.C. Doney, J. John, and C. Beaulieu, 2010: Detection of anthropogenic climate change in satellite records of ocean chlorophyll and productivity. *Biogeosciences*, **7**(2), 621-640.
- Longhurst, A.R., 1998: *Ecological Geography of the Sea*. Academic Press, San Diego, CA, USA, 560 pp.
- Luo, Y.-W., S.C. Doney, L.A. Anderson, M. Benavides, I. Berman-Frank, A. Bode, S. Bonnet, K.H. Boström, D. Böttjer, D.G. Capone, E.J. Carpenter, Y.L. Chen, M.J. Church, J.E. Dore, L.I. Falcón, A. Fernández, R.A. Foster, K. Furuya, F. Gómez, K. Gundersen, A.M. Hynes, D.M. Karl, S. Kitajima, R.J. Langlois, J. LaRoche, R.M. Letelier, E. Marañón, D.J. McGillicuddy Jr., P.H. Moisander, C.M. Moore, B. Mouriño-Carballido, M.R. Mulholland, J.A. Needoba, K.M. Orcutt, A.J. Poulton, E. Rahav, P. Raimbault, A.P. Rees, L. Riemann, T. Shiozaki, A. Subramaniam, T. Tyrrell, K.A. Turk-Kubo, M. Varela, T.A. Villareal, E.A. Webb, A.E. White, J. Wu, and J.P. Zehr, 2012: Database of diazotrophs in global ocean: abundances, biomass and nitrogen fixation rates. *Earth System Science Data*, **4**, 47-73, doi:10.5194/essd-4-47-2012.
- Mohr, W., T. Großkopf, D.W.R. Wallace, and J. LaRoche, 2010: Methodological underestimation of oceanic nitrogen fixation rates. *PLoS ONE*, **5**(9), e12583, doi:10.1371/journal.pone.0012583.
- Moore, C.M., M.M. Mills, E.P. Achterberg, R.J. Geider, J. LaRoche, M.I. Lucas, E.L. McDonagh, X. Pan, A.J. Poulton, M.J.A. Rijkenberg, D.J. Suggett, S.J. Ussher, and E.M.S. Woodward, 2009: Large-scale distribution of Atlantic nitrogen fixation controlled by iron availability. *Nature Geoscience*, **2**(12), 867-871.
- Mulholland, M.R., P.W. Bernhardt, J.L. Blanco-García, A. Mannino, K. Hyde, E. Mondragon, K. Turk, P.H. Moisander, and J.P. Zehr, 2012: Rates of dinitrogen fixation and the abundance of diazotrophs in North American coastal waters between Cape Hatteras and Georges Bank. *Limnology and Oceanography*, **57**(4), 1067-1083.
- Polovina, J.J., J.P. Dunne, P.A. Woodworth, and E.A. Howell, 2011: Projected expansion of the subtropical biome and contraction of the temperate and equatorial upwelling biomes in the North Pacific under global warming. *ICES Journal of Marine Science*, **68**(6), 986-995.
- Rykaczewski, R.R. and J.P. Dunne, 2011: A measured look at ocean chlorophyll trends. *Nature*, **472**(7342), E5-E6, doi:10.1038/nature09952.
- Saba, V.S., M.A.M. Friedrichs, M.-E. Carr, D. Antoine, R.A. Armstrong, I. Asanuma, O. Aumont, N.R. Bates, M.J. Behrenfeld, V. Bennington, L. Bopp, J. Bruggeman, E.T. Buitenhuis, M.J. Church, A.M. Ciotti, S.C. Doney, M. Dowell, J. Dunne, S. Dutkiewicz, W. Gregg, N. Hoepffner, K.J.W. Hyde, J. Ishizaka, T. Kameda, D.M. Karl, I. Lima, M.W. Lomas, J. Marra, G.A. McKinley, F. Mélin, J.K. Moore, A. Morel, J. O'Reilly, B. Salihoglu, M. Scardi, T.J. Smyth, S.L. Tang, J. Tjiputra, J. Uitz, M. Vichi, K. Waters, T.K. Westberry, and A. Yool, 2010: Challenges of modeling depth-integrated marine primary productivity over multiple decades: a case study at BATS and HOT. *Global Biogeochemical Cycles*, **24**, GB3020, doi:10.1029/2009GB003655.
- Saba, V.S., M.A.M. Friedrichs, D. Antoine, R.A. Armstrong, I. Asanuma, M.J. Behrenfeld, A.M. Ciotti, M. Dowell, N. Hoepffner, K.J.W. Hyde, J. Ishizaka, T. Kameda, J. Marra, F. Mélin, A. Morel, J. O'Reilly, M. Scardi, W.O. Smith Jr., T.J. Smyth, S. Tang, J. Uitz, K. Waters, and T.K. Westberry, 2011: An evaluation of ocean color model estimates of marine primary productivity in coastal and pelagic regions across the globe. *Biogeosciences*, **8**(2), 489-503.
- Sarmiento, J.L., R. Slater, R. Barber, L. Bopp, S.C. Doney, A.C. Hirst, J. Kleypas, R. Matear, U. Mikolajewicz, P. Monfray, V. Soldatov, S.A. Spall, and R. Stouffer, 2004: Response of ocean ecosystems to climate warming. *Global Biogeochemical Cycles*, **18**(3), GB3003, doi:10.1029/2003GB002134.
- Schmittner, A., A. Oschlies, H.D. Matthews, and E.D. Galbraith, 2008: Future changes in climate, ocean circulation, ecosystems, and biogeochemical cycling simulated for a business-as-usual CO2 emission scenario until year 4000 AD. *Global Biogeochemical Cycles*, **22**(1), GB1013, doi:10.1029/2007GB002953.
- Steinacher, M., F. Joos, T.L. Frölicher, L. Bopp, P. Cadule, V. Cocco, S.C. Doney, M. Gehlen, K. Lindsay, J.K. Moore, B. Schneider, and J. Segschneider, 2010: Projected 21st century decrease in marine productivity: a multi-model analysis. *Biogeosciences*, **7**(3), 979-1005.
- Westberry, T., M.J. Behrenfeld, D.A. Siegel, and E. Boss, 2008: Carbon-based primary productivity modeling with vertically resolved photoacclimation. *Global Biogeochemical Cycles*, **22**(2), GB2024, doi:10.1029/2007GB003078.
- Wilson, S.T., D. Böttjer, M.J. Church, and D.M. Karl, 2012: Comparative assessment of nitrogen fixation methodologies, conducted in the oligotrophic North Pacific Ocean. *Applied and Environmental Microbiology*, **78**(18), 6516-6523.

**This cross-chapter box should be cited as:**

Boyd, P.W., S. Sundby, and H.-O. Pörtner, 2014: *Cross-chapter box on net primary production in the ocean. In: Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 133-136.

# Regional Climate Summary Figures

Noah Diffenbaugh (USA), Dáithí Stone (Canada/South Africa/USA), Peter Thorne (USA/Norway /UK, Filippo Giorgi (Italy), Bruce Hewitson (South Africa), Richard Jones (UK), Geert Jan van Oldenborgh (Netherlands)

Information about the likelihood of regional climate change, assessed by Working Group I (WGI), is foundational for the Working Group II assessment of climate-related risks. To help communicate this assessment, the regional chapters of WGII present a coordinated set of regional climate figures, which summarize observed and projected change in annual average temperature and precipitation during the near term and the longer term for RCP2.6 and RCP8.5. These WGII regional climate summary figures use the same temperature and precipitation fields that are assessed in WGI Chapter 2 and WGI Chapter 12, with spatial boundaries, uncertainty metrics, and data classes tuned to support the WGII assessment of climate-related risks and options for risk management. Additional details on regional climate and regional climate processes can be found in WGI Chapter 14 and WGI Annex 1.

The WGII maps of observed annual temperature and precipitation use the same source data, calculations of data sufficiency, and calculations of trend significance as WGI Chapter 2 and WGI Figures SPM.1 and SPM.2. (A full description of the observational data selection and significance testing can be found in WGI Box 2.2.) Observed trends are determined by linear regression over the 1901–2012 period of Merged Land–Ocean Surface Temperature (MLOST) for annual temperature, and over the 1951–2010 period of Global Precipitation Climatology Centre (GPCC) for annual precipitation. Data points on the maps are classified into three categories, reflecting the categories used in WGI Figures SPM.1 and SPM.2:

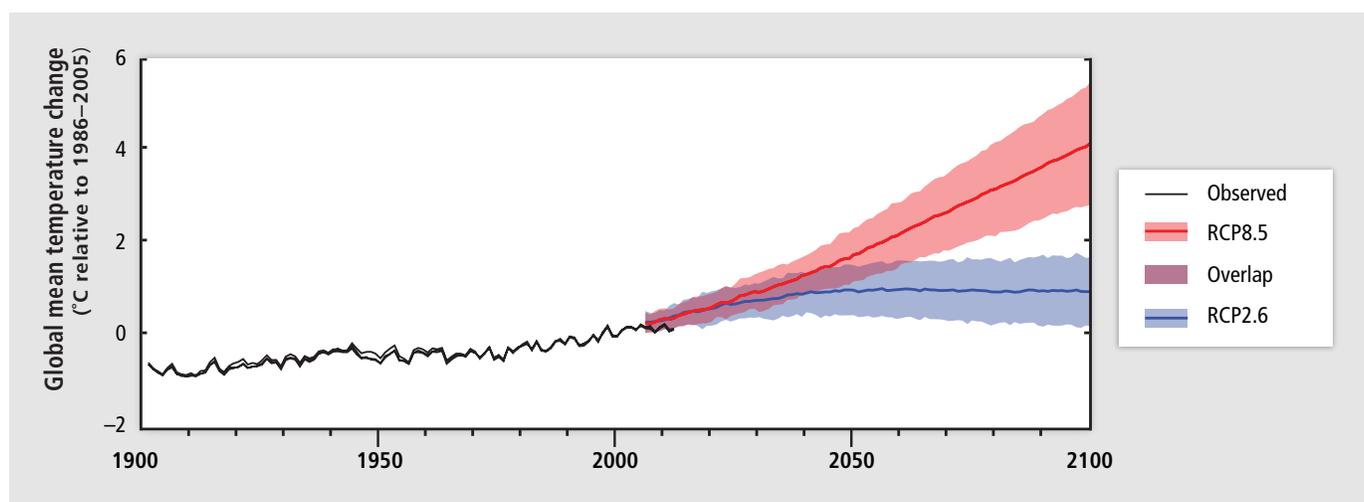
- 1) Solid colors indicate areas where (a) sufficient data exist to permit a robust estimate of the trend (i.e., only for grid boxes with greater than 70% complete records and more than 20% data availability in the first and last 10% of the time period), and (b) the trend is significant at the 10% level (after accounting for autocorrelation effects on significance testing).
- 2) Diagonal lines indicate areas where sufficient data exist to permit a robust estimate of the trend, but the trend is not significant at the 10% level.
- 3) White indicates areas where there are not sufficient data to permit a robust estimate of the trend.

The WGII maps of projected annual temperature and precipitation are based on the climate model simulations from the Coupled Model Intercomparison Project Phase 5 (CMIP5; Taylor et al., 2012), which also form the basis for the figures presented in WGI (including WGI Chapters 12, 14, and Annex I). The CMIP5 archive includes output from Atmosphere–Ocean General Circulation Models (AOGCMs), AOGCMs with coupled vegetation and/or carbon cycle components, and AOGCMs with coupled atmospheric chemistry components. The number of models from which output is available, and the number of realizations of each model, vary between the different CMIP5 experiments. The WGII regional climate maps use the same source data as WGI Chapter 12 (e.g., Box 12.1 Figure

1), including the WGI multi-model mean values; the WGI individual model values; the WGI measure of baseline (“internal”) variability; and the WGI time periods for the reference (1986–2005), mid-21st century (2046–2065), and late-21st century (2081–2100) periods. The full description of the selection of models, the selection of realizations, the definition of internal variability, and the interpolation to a common grid can be found in WGI Chapter 12 and Annex I.

In contrast to the Coupled Model Intercomparison Project Phase 3 (CMIP3) (Meehl et al., 2007), which used the IPCC Special Report on Emission Scenarios (SRES) emission scenarios (IPCC, 2000), CMIP5 uses the Representative Concentration Pathways (RCPs) (van Vuuren et al., 2011) to characterize possible trajectories of climate forcing over the 21st century. The WGII regional climate projection maps include RCP2.6 and RCP8.5, which represent the high and low end of the RCP range at the end of the 21st century. Projected changes in global mean temperature are similar across the RCPs over the next few decades (Figure RC-1; WGI Figure 12.5). During this near-term era of committed climate change, risks will evolve as socioeconomic trends interact with the changing climate. In addition, societal responses, particularly adaptations, will influence near-term outcomes. In the second half of the 21st century and beyond, the magnitude of global temperature increase diverges across the RCPs (Figure RC-1; WGI Figure 12.5). For this longer-term era of climate options, near-term and longer-term mitigation and adaptation, as well as development pathways, will determine the risks of climate change. The benefits of mitigation and adaptation thereby occur over different but overlapping time frames, and present-day choices thus affect the risks of climate change throughout the 21st century.

The projection maps plot differences in annual average temperature and precipitation between the future and reference periods (Figures RC-2 and RC-3), categorized into four classes. The classes are constructed based on the IPCC uncertainty guidance, providing a quantitative basis for assigning likelihood (Mastrandrea et al., 2010), with *likely* defined as 66 to 100% and *very likely* defined as 90 to 100%.

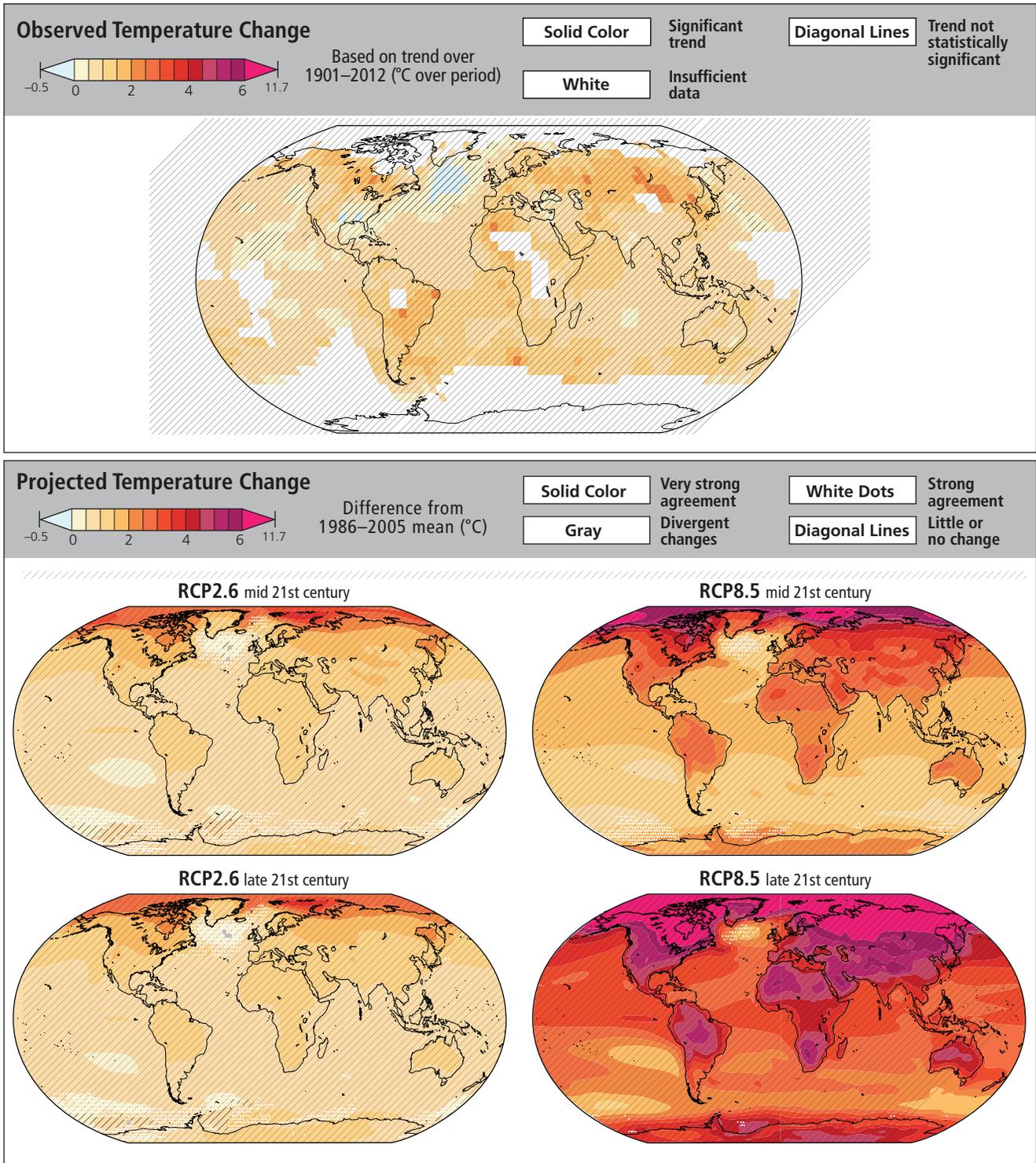


**Figure RC-1** | Observed and projected changes in global annual average temperature. Values are expressed relative to 1986–2005. Black lines show the Goddard Institute for Space Studies Surface Temperature Analysis (GISTEMP), National Climate Data Center Merged Land–Ocean Surface Temperature (NCDC-MLOST), and Hadley Centre/Climatic Research Unit gridded surface temperature data set 4.2 (HadCRUT4.2) estimates from observational measurements. Blue and red lines and shading denote the ensemble mean and  $\pm 1.64$  standard deviation range, based on Coupled Model Intercomparison Project Phase 5 (CMIP5) simulations from 32 models for Representative Concentration Pathway (RCP) 2.6 and 39 models for RCP8.5.

The classifications in the WGII regional climate projection figures are based on two aspects of likelihood (e.g., WGI Box 12.1 and Knutti et al., 2010). The first is the likelihood that projected changes exceed differences arising from internal climate variability (e.g., Tebaldi et al., 2011). The second is agreement among models on the sign of change (e.g., Christensen et al., 2007; and IPCC, 2012).

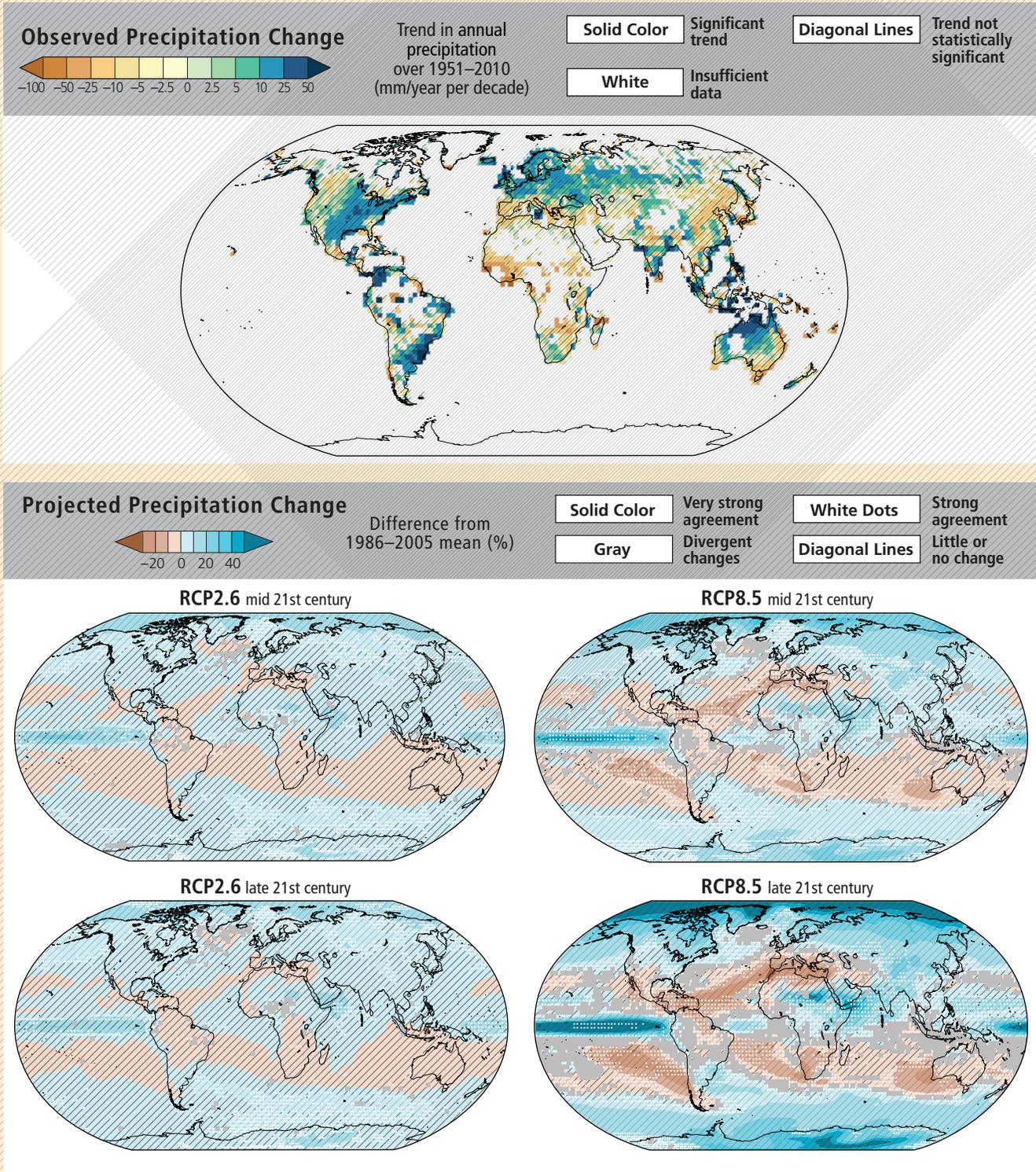
The four classifications of projected change depicted in the WGII regional climate maps are:

- 1) Solid colors indicate areas with very strong agreement, where the multi-model mean change is greater than twice the baseline variability (natural internal variability in 20-year means), and greater than or equal to 90% of models agree on sign of change. These criteria (and the areas that fall into this category) are identical to the highest confidence category in WGI Box 12.1. This category supersedes other categories in the WGII regional climate maps.
- 2) Colors with white dots indicate areas with strong agreement, where 66% or more of models show change greater than the baseline variability, and 66% or more of models agree on sign of change.
- 3) Gray indicates areas with divergent changes, where 66% or more of models show change greater than the baseline variability, but fewer than 66% agree on sign of change.
- 4) Colors with diagonal lines indicate areas with little or no change, where fewer than 66% of models show change greater than the baseline variability. It should be noted that areas that fall in this category for the annual average could still exhibit significant change at seasonal, monthly, and/or daily time scales.



RC

**Figure RC-2 |** Observed and projected changes in annual average surface temperature. (A) Map of observed annual average temperature change from 1901 to 2012, derived from a linear trend where sufficient data permit a robust estimate (i.e., only for grid boxes with greater than 70% complete records and more than 20% data availability in the first and last 10% of the time period); other areas are white. Solid colors indicate areas where trends are significant at the 10% level (after accounting for autocorrelation effects on significance testing). Diagonal lines indicate areas where trends are not significant. Observed data (range of grid-point values:  $-0.53$  to  $+2.50^{\circ}\text{C}$  over period) are from WGI AR5 Figures SPM.1 and 2.21. (B) Coupled Model Intercomparison Project Phase 5 (CMIP5) multi-model mean projections of annual average temperature changes for 2046–2065 and 2081–2100 under Representative Concentration Pathway (RCP) 2.6 and 8.5, relative to 1986–2005. Solid colors indicate areas with very strong agreement, where the multi-model mean change is greater than twice the baseline variability (natural internal variability in 20-year means) and  $\geq 90\%$  of models agree on sign of change. Colors with white dots indicate areas with strong agreement, where  $\geq 66\%$  of models show change greater than the baseline variability and  $\geq 66\%$  of models agree on sign of change. Gray indicates areas with divergent changes, where  $\geq 66\%$  of models show change greater than the baseline variability, but  $< 66\%$  agree on sign of change. Colors with diagonal lines indicate areas with little or no change, where  $< 66\%$  of models show change greater than the baseline variability, although there may be significant change at shorter timescales such as seasons, months, or days. Analysis uses model data from WGI AR5 Figure SPM.8, Box 12.1, and Annex I. The range of grid-point values for the multi-model mean is:  $+0.19$  to  $+4.08^{\circ}\text{C}$  for mid 21st century of RCP2.6;  $+0.06$  to  $+3.85^{\circ}\text{C}$  for late 21st century of RCP2.6;  $+0.70$  to  $+7.04^{\circ}\text{C}$  for mid 21st century of RCP8.5; and  $+1.38$  to  $+11.71^{\circ}\text{C}$  for late 21st century of RCP8.5.



**Figure RC-3** | Observed and projected changes in annual average precipitation. (A) Map of observed annual precipitation change from 1951–2010, derived from a linear trend where sufficient data permit a robust estimate (i.e., only for grid boxes with greater than 70% complete records and more than 20% data availability in the first and last 10% of the time period); other areas are white. Solid colors indicate areas where trends are significant at the 10% level (after accounting for autocorrelation effects on significance testing). Diagonal lines indicate areas where trends are not significant. Observed data (range of grid-point values: -185 to +111 mm/year per decade) are from WGI AR5 Figures SPM.2 and 2.29. (B) Coupled Model Intercomparison Project Phase 5 (CMIP5) multi-model average percent changes in annual mean precipitation for 2046–2065 and 2081–2100 under Representative Concentration Pathway (RCP) 2.6 and 8.5, relative to 1986–2005. Solid colors indicate areas with very strong agreement, where the multi-model mean change is greater than twice the baseline variability (natural internal variability in 20-yr means) and  $\geq 90\%$  of models agree on sign of change. Colors with white dots indicate areas with strong agreement, where  $\geq 66\%$  of models show change greater than the baseline variability and  $\geq 66\%$  of models agree on sign of change. Gray indicates areas with divergent changes, where  $\geq 66\%$  of models show change greater than the baseline variability, but  $< 66\%$  agree on sign of change. Colors with diagonal lines indicate areas with little or no change, where  $< 66\%$  of models show change greater than the baseline variability, although there may be significant change at shorter timescales such as seasons, months, or days. Analysis uses model data from WGI AR5 Figure SPM.8, Box 12.1, and Annex I. The range of grid-point values for the multi-model mean is: -10 to +24% for mid 21st century of RCP2.6; -9 to +22% for late 21st century of RCP2.6; -19 to +57% for mid 21st century of RCP8.5; and -34 to +112% for late 21st century of RCP8.5.

## References

- Christensen, J.H., B. Hewitson, A. Busuioc, A. Chen, X. Gao, I. Held, R. Jones, R.K. Kolli, W.-T. Kwon, R. Laprise, V. Magaña Rueda, L. Mearns, C.G. Menéndez, J. Räisänen, A. Rinke, A. Sarr, and P. Whetton, 2007:** Regional climate projections. *Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change* [Solomon, S., D. Qin, M. Manning, Z. Chen, M. Marquis, K.B. Averyt, M. Tignor, and H.L. Miller (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 847-940.
- IPCC, 2000:** *Special Report on Emissions Scenarios* [Nakicenovic, N. and R. Swart (eds.)]. Cambridge University Press, Cambridge, UK, 570 pp.
- IPCC, 2012:** *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change* [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, 582 pp.
- Knutti, R., R. Furrer, C. Tebaldi, J. Cermak, and G.A. Meehl, 2010:** Challenges in combining projections from multiple climate models. *Journal of Climate*, **23(10)**, 2739-2758.
- Mastrandrea, M.D., C.B. Field, T.F. Stocker, O. Edenhofer, K.L. Ebi, D.J. Frame, H. Held, E. Kriegler, K.J. Mach, P.R. Matschoss, G.-K. Plattner, G.W. Yohe, and F.W. Zwiers, 2010:** *Guidance Note for Lead Authors of the IPCC Fifth Assessment Report on Consistent Treatment of Uncertainties*. Intergovernmental Panel on Climate Change (IPCC), [www.ipcc.ch/pdf/supporting-material/uncertainty-guidance-note.pdf](http://www.ipcc.ch/pdf/supporting-material/uncertainty-guidance-note.pdf).
- Meehl, G.A., C. Covey, K.E. Taylor, T. Delworth, R.J. Stouffer, M. Latif, B. McAvaney, and J.F.B. Mitchell, 2007:** The WCRP CMIP3 multimodel dataset – a new era in climate change research. *Bulletin of the American Meteorological Society*, **88(9)**, 1383-1394.
- Taylor, K.E., R.J. Stouffer, and G.A. Meehl, 2012:** An overview of CMIP5 and the experiment design. *Bulletin of the American Meteorological Society*, **93(4)**, 485-498.
- Tebaldi, C., J.M. Arblaster, and Reto Knutti, 2011:** Mapping model agreement on future climate projections. *Geophysical Research Letters*, **38(23)**, L23701, doi:10.1029/2011GL049863.
- van Vuuren, D.P., J. Edmonds, M. Kainuma, K. Riahi, A. Thomson, K. Hibbard, G.C. Hurtt, T. Kram, V. Krey, J.-F. Lamarque, T. Masui, M. Meinshausen, N. Nakicenovic, S.J. Smith, and S.K. Rose 2011:** The representative concentration pathways: an overview. *Climatic Change*, **109(1-2)**, 5-31.

### This cross-chapter box should be cited as:

**Diffenbaugh, N.S., D.A. Stone, P. Thorne, F. Giorgi, B.C. Hewitson, R.G. Jones, and G.J. van Oldenborgh, 2014:** Cross-chapter box on the regional climate summary figures. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 137-141.



# Impact of Climate Change on Freshwater Ecosystems due to Altered River Flow Regimes

Petra Döll (Germany), Stuart E. Bunn (Australia)

It is widely acknowledged that the flow regime is a primary determinant of the structure and function of rivers and their associated floodplain wetlands, and flow alteration is considered to be a serious and continuing threat to freshwater ecosystems (Bunn and Arthington, 2002; Poff and Zimmerman, 2010; Poff et al., 2010). Most species distribution models do not consider the effect of changing flow regimes (i.e., changes to the frequency, magnitude, duration, and/or timing of key flow parameters) or they use precipitation as proxy for river flow (Heino et al., 2009).

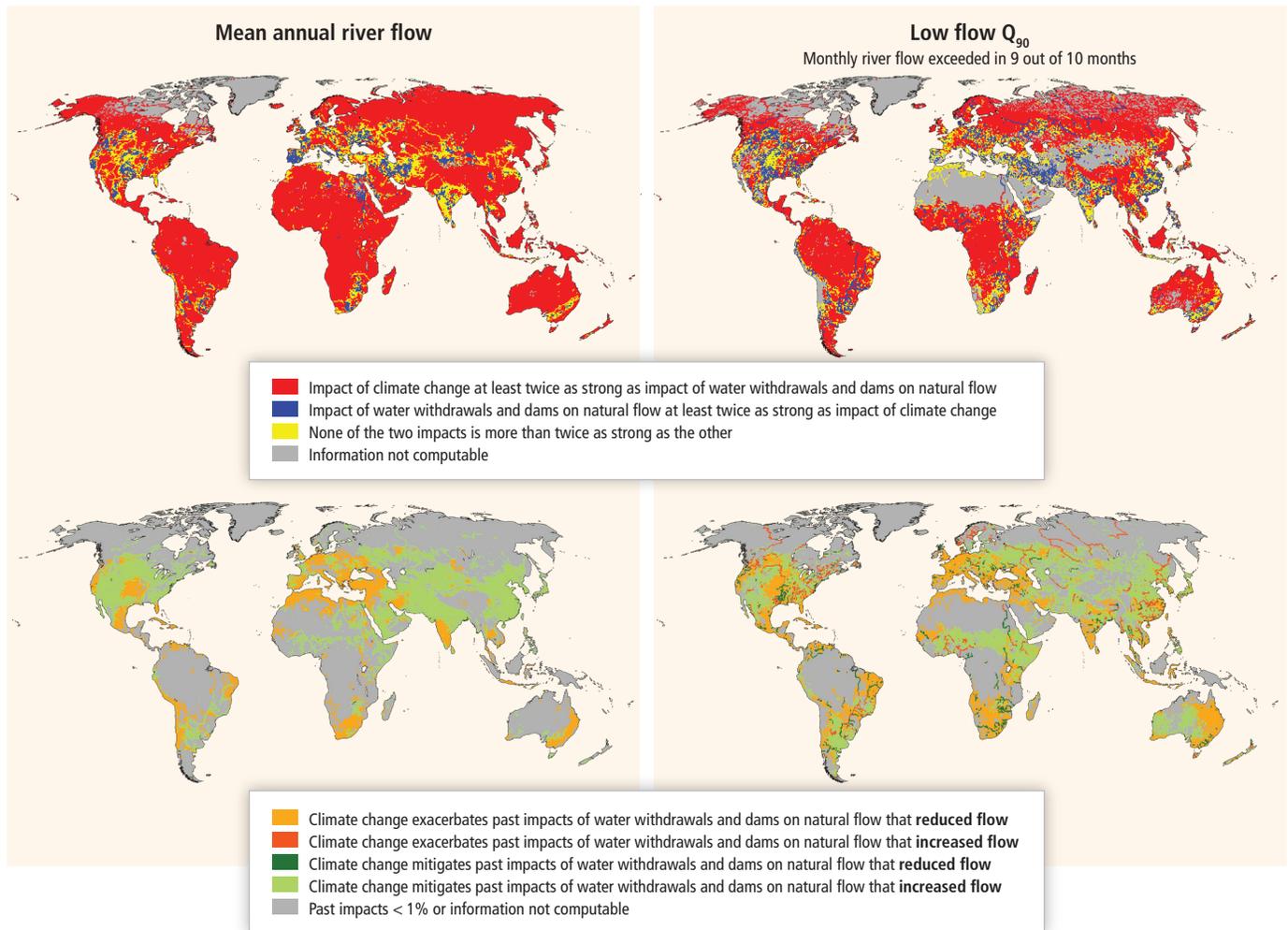
There is growing evidence that climate change will significantly alter ecologically important attributes of hydrologic regimes in rivers and wetlands, and exacerbate impacts from human water use in developed river basins (*medium confidence*; Xenopoulos et al., 2005; Aldous et al., 2011). By the 2050s, climate change is projected to impact river flow characteristics such as long-term average discharge, seasonality, and statistical high flows (but not statistical low flows) more strongly than dam construction and water withdrawals have done up to around the year 2000 (Figure RF-1; Döll and Zhang, 2010). For one climate scenario (Special Report on Emission Scenarios (SRES) A2 emissions, Met Office Hadley Centre climate prediction model 3 (HadCM3)), 15% of the global land area may be negatively affected, by the 2050s, by a decrease of fish species in the upstream basin of more than 10%, as compared to only 10% of the land area that has already suffered from such decreases due to water withdrawals and dams (Döll and Zhang, 2010). Climate change may exacerbate the negative impacts of dams for freshwater ecosystems but may also provide opportunities for operating dams and power stations to the benefit of riverine ecosystems. This is the case if total runoff increases and, as occurs in Sweden, the annual hydrograph becomes more similar to variation in electricity demand, that is, with a lower spring flood and increased runoff during winter months (Renofalt et al., 2010).

Because biota are often adapted to a certain level of river flow variability, the projected larger variability of river flows that is due to increased climate variability is *likely* to select for generalist or invasive species (Ficke et al., 2007). The relatively stable habitats of groundwater-fed streams in snow-dominated or glacierized basins may be altered by reduced recharge by meltwater and as a result experience more variable (possibly intermittent) flows (Hannah et al., 2007). A high-impact change of flow variability is a flow regime shift from intermittent to perennial or vice versa. It is projected that until the 2050s, river flow regime shifts may occur on 5 to 7% of the global land area, mainly in semiarid areas (Döll and Müller Schmied, 2012; see Table 3-2 in Chapter 3).

In Africa, one third of fish species and one fifth of the endemic fish species occur in eco-regions that may experience a change in discharge or runoff of more than 40% by the 2050s (Thieme et al., 2010). Eco-regions containing more than 80% of Africa's freshwater fish species and several

outstanding ecological and evolutionary phenomena are *likely* to experience hydrologic conditions substantially different from the present, with alterations in long-term average annual river discharge or runoff of more than 10% due to climate change and water use (Thieme et al., 2010).

As a result of increased winter temperatures, freshwater ecosystems in basins with significant snow storage are affected by higher river flows in winter, earlier spring peak flows, and possibly reduced summer low flows (Section 3.2.3). Strongly increased winter peak flows may lead to a decline in salmonid populations in the Pacific Northwest of the USA of 20 to 40% by the 2050s (depending on the climate model) due to scouring of the streambed during egg incubation, the relatively pristine high-elevation areas being affected most (Battin et al., 2007). Reductions in summer low flows will increase the competition for water between ecosystems and irrigation water users (Stewart et al., 2005). Ensuring environmental flows through purchasing or leasing water rights and altering reservoir release patterns will be an important adaptation strategy (Palmer et al., 2009).

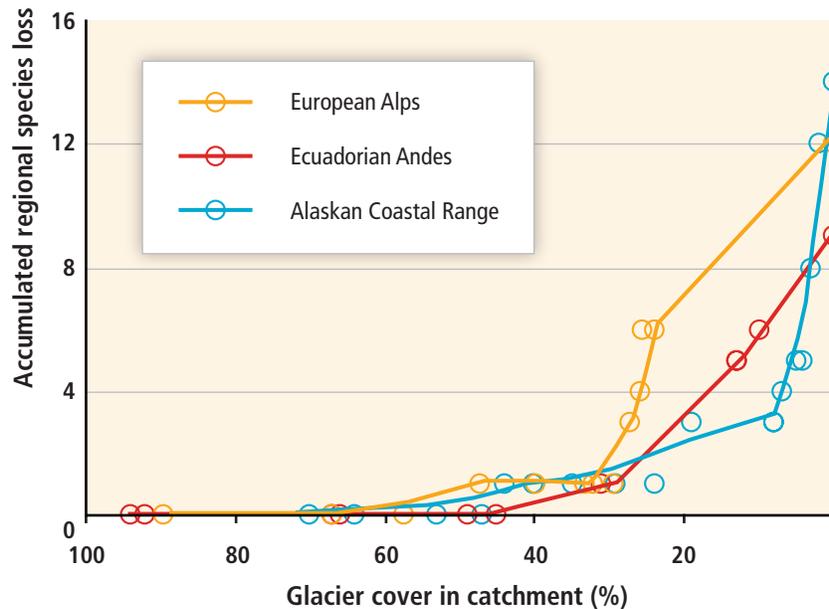


**Figure RF-1** | Impact of climate change relative to the impact of water withdrawals and dams on natural flows for two ecologically relevant river flow characteristics (mean annual river flow and monthly low flow  $Q_{90}$ ), computed by a global water model (Döll and Zhang, 2010). Impact of climate change is the percent change of flow between 1961–1990 and 2041–2070 according to the emissions scenario A2 as implemented by the global climate model Met Office Hadley Centre Coupled Model, version 3 (HadCM3). Impact of water withdrawals and reservoirs is computed by running the model with and without water withdrawals and dams that existed in 2002. Please note that the figure does not reflect spatial differences in the magnitude of change.

Observations and models suggest that global warming impacts on glacier and snow-fed streams and rivers will pass through two contrasting phases (Burkett et al., 2005; Vuille et al., 2008; Jacobsen et al., 2012). In the first phase, when river discharge is increased as a result of intensified melting, the overall diversity and abundance of species may increase. However, changes in water temperature and stream flow may have negative impacts on narrow range endemics (Jacobsen et al., 2012). In the second phase, when snowfields melt early and glaciers have shrunk to the point that late-summer stream flow is reduced, broad negative impacts are foreseen, with species diversity rapidly declining once a critical threshold of roughly 50% glacial cover is crossed (Figure RF-2).

River discharge also influences the response of river temperatures to increases of air temperature. Globally averaged, air temperature increases of 2°C, 4°C, and 6°C are estimated to lead to increases of annual mean river temperatures of 1.3°C, 2.6°C, and 3.8°C, respectively (van Vliet

et al., 2011). Discharge decreases of 20% and 40% are computed to result in additional increases of river water temperature of 0.3° C and 0.8° C on average (van Vliet et al., 2011). Therefore, where rivers will experience drought more frequently in the future, freshwater-dependent biota will suffer not only directly by changed flow conditions but also by drought-induced river temperature increases, as well as by related decreased oxygen and increased pollutant concentrations.



**Figure RF-2** | Accumulated loss of regional species richness (gamma diversity) of macroinvertebrates as a function of glacial cover in catchment. Obligate glacial river macroinvertebrates begin to disappear from assemblages when glacial cover in the catchment drops below approximately 50%, and 9 to 14 species are predicted to be lost with the complete disappearance of glaciers in each region, corresponding to 11, 16, and 38% of the total species richness in the three study regions in Ecuador, Europe, and Alaska. Data are derived from multiple river sites from the Ecuadorian Andes and Swiss and Italian Alps, and a temporal study of a river in the Coastal Range Mountains of southeast Alaska over nearly three decades of glacial shrinkage. Each data point represents a river site (Europe or Ecuador) or date (Alaska), and lines are Lowess fits. (Adapted by permission from Jacobsen et al., 2012.)

## References

- Aldous, A., J. Fitzsimons, B. Richter, and L. Bach, 2011: Droughts, floods and freshwater ecosystems: evaluating climate change impacts and developing adaptation strategies. *Marine and Freshwater Research*, **62**(3), 223-231.
- Battin, J., M.W. Wiley, M.H. Ruckelshaus, R.N. Palmer, E. Korb, K.K. Bartz, and H. Imaki, 2007: Projected impacts of climate change on salmon habitat restoration. *Proceedings of the National Academy of Sciences of the United States of America*, **104**(16), 6720-6725.
- Bunn, S.E. and A.H. Arthington, 2002: Basic principles and ecological consequences of altered flow regimes for aquatic biodiversity. *Environmental Management*, **30**(4), 492-507.
- Burkett, V., D. Wilcox, R. Stottlemeyer, W. Barrow, D. Fagre, J. Baron, J. Price, J. Nielsen, C. Allen, D. Peterson, G. Ruggerone, and T. Doyle, 2005: Nonlinear dynamics in ecosystem response to climatic change: case studies and policy implications. *Ecological Complexity*, **2**(4), 357-394.
- Döll, P. and H. Müller Schmied, 2012: How is the impact of climate change on river flow regimes related to the impact on mean annual runoff? A global-scale analysis. *Environmental Research Letters*, **7**(1), 014037, doi:10.1088/1748-9326/7/1/014037.
- Döll, P. and J. Zhang, 2010: Impact of climate change on freshwater ecosystems: a global-scale analysis of ecologically relevant river flow alterations. *Hydrology and Earth System Sciences*, **14**(5), 783-799.
- Ficke, A.D., C.A. Myrick, and L.J. Hansen, 2007: Potential impacts of global climate change on freshwater fisheries. *Reviews in Fish Biology and Fisheries*, **17**(4), 581-613.
- Hannah, D.M., L.E. Brown, A.M. Milner, A.M. Gurnell, G.R. McGregord, G.E. Petts, B.P.G. Smith, and D.L. Snook, 2007: Integrating climate-hydrology-ecology for alpine river systems. *Aquatic Conservation: Marine and Freshwater Ecosystems*, **17**(6), 636-656.
- Heino, J., R. Virkalla, and H. Toivonen, 2009: Climate change and freshwater biodiversity: detected patterns, future trends and adaptations in northern regions. *Biological Reviews*, **84**(1), 39-54.
- Jacobsen, D., A.M. Milner, L.E. Brown, and O. Dangles, 2012: Biodiversity under threat in glacier-fed river systems. *Nature Climate Change*, **2**(5), 361-364.
- Palmer, M.A., D.P. Lettenmaier, N.L. Poff, S.L. Postel, B. Richter, and R. Warner, 2009: Climate change and river ecosystems: protection and adaptation options. *Environmental Management*, **44**(6), 1053-1068.
- Poff, N.L. and J.K.H. Zimmerman, 2010: Ecological responses to altered flow regimes: a literature review to inform the science and management of environmental flows. *Freshwater Biology*, **55**(1), 194-205.
- Poff, N.L., B.D. Richter, A.H. Arthington, S.E. Bunn, R.J. Naiman, E. Kendy, M. Acreman, C. Apse, B.P. Bledsoe, M.C. Freeman, J. Henriksen, R.B. Jacobson, J.G. Kennen, D.M. Merritt, J.H. O'Keefe, J.D. Olden, K. Rogers, R.E. Tharme, and A. Warner, 2010: The ecological limits of hydrologic alteration (ELOHA): a new framework for developing regional environmental flow standards. *Freshwater Biology*, **55**(1), 147-170.

- Renofalt, B.M., R. Jansson, and C. Nilsson, 2010: Effects of hydropower generation and opportunities for environmental flow management in Swedish riverine ecosystems. *Freshwater Biology*, **55**(1), 49-67.
- Stewart, I., D. Cayan, and M. Dettinger, 2005: Changes toward earlier streamflow timing across western North America. *Journal of Climate*, **18**(8), 1136-1155.
- Thieme, M.L., B. Lehner, R. Abell, and J. Matthews, 2010: Exposure of Africa's freshwater biodiversity to a changing climate. *Conservation Letters*, **3**(5), 324-331.
- van Vliet, M.T.H., F. Ludwig, J.J.G. Zwolsman, G.P. Weedon, and P. Kabat, 2011: Global river temperatures and sensitivity to atmospheric warming and changes in river flow. *Water Resources Research*, **47**(2), W02544, doi:10.1029/2010WR009198.
- Vuille, M., B. Francou, P. Wagnon, I. Juen, G. Kaser, B.G. Mark, and R.S. Bradley, 2008: Climate change and tropical Andean glaciers: past, present and future. *Earth-Science Reviews*, **89**(3-4), 79-96.
- Xenopoulos, M., D. Lodge, J. Alcamo, M. Marker, K. Schulze, and D. Van Vuuren, 2005: Scenarios of freshwater fish extinctions from climate change and water withdrawal. *Global Change Biology*, **11**(10), 1557-1564.

**This cross-chapter box should be cited as:**

Döll, P. and S.E. Bunn, 2014: *Cross-chapter box on the impact of climate change on freshwater ecosystems due to altered river flow regimes*. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 143-146.

# TC

## Building Long-Term Resilience from Tropical Cyclone Disasters

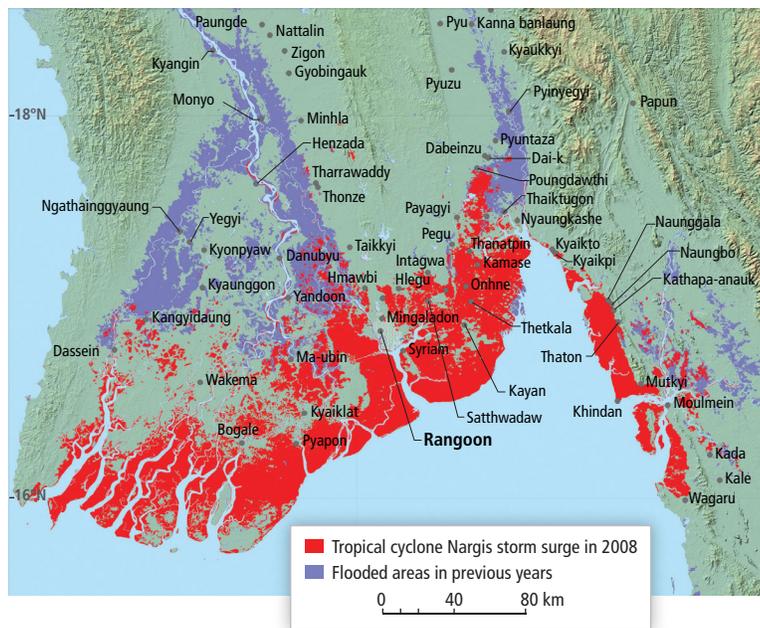
Yoshiki Saito (Japan), Kathleen McInnes (Australia)

Tropical cyclones (also referred to as hurricanes and typhoons in some regions) cause powerful winds, torrential rains, high waves, and storm surge, all of which can have major impacts on society and ecosystems. Bangladesh and India suffer 86% of mortality from tropical cyclones (Murray et al., 2012), which occurs mainly during the rarest and most severe storm categories (i.e., Categories 3, 4, and 5 on the Saffir–Simpson scale).

About 90 tropical cyclones occur globally each year (Seneviratne et al., 2012) although interannual variability is large. Changes in observing techniques, particularly after the introduction of satellites in the late 1970s, confounds the assessment of trends in tropical cyclone frequencies and intensities, which leads to *low confidence* that any observed long-term (i.e., 40 years or more) increases in tropical cyclone activity are robust, after accounting for past changes in observing capability (Seneviratne et al., 2012; Chapter 2). There is also *low confidence* in the detection and attribution of century scale trends in tropical cyclones. Future changes to tropical cyclones arising from climate change are *likely* to vary by region. This is because there is *medium confidence* that for certain regions, shorter-term forcing by natural and anthropogenic aerosols has had a measurable effect on tropical cyclones. Tropical cyclone frequency is *likely* to decrease or remain unchanged over the 21st century, while intensity (i.e., maximum wind speed and rainfall rates) is *likely* to increase (WGI AR5 Section 14.6). Regionally specific projections have *lower confidence* (see WGI AR5 Box 14.2).

Longer-term impacts from tropical cyclones include salinization of coastal soils and water supplies and subsequent food and water security issues from the associated storm surge and waves (Terry and Chui, 2012). However, preparation for extreme tropical cyclone events through improved governance and development to reduce their impacts provides an avenue for building resilience to longer-term changes associated with climate change.

Asian deltas are particularly vulnerable to tropical cyclones owing to their large population density in expanding urban areas (Nicholls et al., 2007). Extreme cyclones in Asia since 1970 caused more than 0.5 million fatalities (Murray et al., 2012), for example, cyclones Bhola in 1970, Gorky in 1991, Thelma in 1998, Gujarat in 1998, Orissa in 1999, Sidr in 2007, and Nargis in 2008. Tropical cyclone Nargis hit Myanmar on May 2, 2008 and caused more than 138,000 fatalities. Several-meter high storm surges widely flooded densely populated coastal areas of the Irrawaddy Delta and surrounding areas (Revenga et al., 2003; Brakenridge et al., 2013). The flooded areas were captured by a NASA Moderate Resolution Imaging Spectrometer (MODIS) image on May 5, 2008 (see Figure TC-1).



**Figure TC-1** | The intersection of inland and storm surge flooding. Red shows May 5, 2008 Moderate Resolution Imaging Spectrometer (MODIS) mapping of the tropical cyclone Nargis storm surge along the Irrawaddy Delta and to the east, Myanmar. The purple areas to the north were flooded by the river in prior years. (Source: Brakenridge et al., 2013.)

Murray et al. (2012) compared the response to cyclone Sidr in Bangladesh in 2007 and Nargis in Myanmar in 2008 and demonstrated how disaster risk reduction methods could be successfully applied to climate change adaptation. Sidr, despite being of similar strength to Nargis, caused far fewer fatalities (3400 compared to more than 138,000) and this was attributed to advancement in preparedness and response in Bangladesh through experience in previous cyclones such as Bhola and Gorky. The responses included the construction of multistoried cyclone shelters, improvement of forecasting and warning capacity, establishing a coastal volunteer network, and coastal reforestation of mangroves. Disaster risk management strategies for tropical cyclones in coastal areas create protective measures, anticipate and plan for extreme events, and increase the resilience of potentially exposed communities. The integration of activities relating to education, training, and awareness-raising into relevant ongoing processes and practices is important for the long-term success of disaster risk reduction and management (Murray et al., 2012). However, Birkmann and Teichman (2010) caution that while the combination of risk reduction and climate change adaptation strategies may be desirable, different spatial and temporal scales, norm systems, and knowledge types and sources between the two goals can confound their effective combination.

## References

- Birkman, J. and K. von Teichman, 2010: Integrating disaster risk reduction and climate change adaptation: key challenges – scales, knowledge and norms. *Sustainability Science*, 5, 171-184.
- Brakenridge, G.R., J.P.M. Syvitski, I. Overeem, S.A. Higgins, A.J. Kettner, J.A. Stewart-Moore, and R. Westerhoff, 2013: Global mapping of storm surges and the assessment of delta vulnerability. *Natural Hazards*, 66, 1295-1312, doi:10.1007/s11069-012-0317-z.
- Murray V., G. McBean, M. Bhatt, S. Borsch, T.S. Cheong, W.F. Erian, S. Llosa, F. Nadim, M. Nunez, R. Oyun, and A.G. Suarez, 2012: Case studies. In: *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change* [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 487-542.
- Nicholls, R.J., 2007: *Adaptation Options for Coastal Areas and Infrastructure: An Analysis for 2030*. Report to the United Nations Framework Convention on Climate Change (UNFCCC), UNFCCC Secretariat, Bonn, Germany, 35 pp.
- Revenga, C., J. Nackoney, E. Hoshino, Y. Kura, and J. Maidens, 2003: Watersheds of Asia and Oceania: AS 12 Irrawaddy. In: *Water Resources eAtlas: Watersheds of the World*. A collaborative product of the International Union for Conservation of Nature (IUCN), the International Water Management Institute (IWMI), the Ramsar Convention Bureau, and the World Resources Institute (WRI), WRI, Washington, DC, USA, pdf.wri.org/watersheds\_2003/as13.pdf.
- Seneviratne, S.I., N. Nicholls, D. Easterling, C.M. Goodess, S. Kanae, J. Kossin, Y. Luo, J. Marengo, K. McInnes, M. Rahimi, M. Reichstein, A. Sorteberg, C. Vera, and X. Zhang, 2012: Changes in climate extremes and their impacts on the natural physical environment. In: *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change* [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 109-230.
- Terry, J. and T.F.M. Chui, 2012: Evaluating the fate of freshwater lenses on atoll islands after eustatic sea level rise and cyclone driven inundation: a modelling approach. *Global and Planetary Change*, 88-89, 76-84.

### This cross-chapter box should be cited as:

Saito, Y. and K.L. McInnes, 2014: Cross-chapter box on building long-term resilience from tropical cyclone disasters. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 147-148.

# UP

## Uncertain Trends in Major Upwelling Ecosystems

Salvador E. Lluch-Cota (Mexico), Ove Hoegh-Guldberg (Australia), David Karl (USA), Hans O. Pörtner (Germany), Svein Sundby (Norway), Jean-Pierre Gattuso (France)

Upwelling is the vertical transport of cold, dense, nutrient-rich, relatively low-pH and often oxygen-poor waters to the euphotic zone where light is abundant. These conditions trigger high levels of primary production and a high biomass of benthic and pelagic organisms. The driving forces of upwelling include wind stress and the interaction of ocean currents with bottom topography. Upwelling intensity also depends on water column stratification. The major upwelling systems of the planet, the Equatorial Upwelling System (EUS; Section 30.5.2, Figure 30.1A) and the Eastern Boundary Upwelling Ecosystems (EBUE; Section 30.5.5, Figure 30.1A), represent only 10% of the ocean surface but contribute nearly 25% to global fish production (Figure 30.1B, Table SM30.1).

Marine ecosystems associated with upwelling systems can be influenced by a range of “bottom-up” trophic mechanisms, with upwelling, transport, and chlorophyll concentrations showing strong seasonal and interannual couplings and variability. These, in turn, influence trophic transfer up the food chain, affecting zooplankton, foraging fish, seabirds, and marine mammals.

There is considerable speculation as to how upwelling systems might change in a warming and acidifying ocean. Globally, the heat gain of the surface ocean has increased stratification by 4% (WGI Sections 3.2, 3.3, 3.8), which means that more wind energy is needed to bring deep waters to the surface. It is as yet unclear to what extent wind stress can offset the increased stratification, owing to the uncertainty in wind speed trends (WGI Section 3.4.4). In the tropics, observations of reductions in trade winds over several decades contrast more recent evidence indicating their strengthening since the late 1990s (WGI Section 3.4.4). Observations and modeling efforts in fact show diverging trends in coastal upwelling at the eastern boundaries of the Pacific and the Atlantic. Bakun (1990) proposed that the difference in rates of heat gain between land and ocean causes an increase in the pressure gradient, which results in increased alongshore winds and leads to intensified offshore transport of surface water through Ekman pumping and the upwelling of nutrient-rich, cold waters (Figure CC-UP). Some regional records support this hypothesis; others do not. There is considerable variability in warming and cooling trends over the past decades both within and among systems, making it difficult to predict changes in the intensity of all Eastern EBUEs (Section 30.5.5).

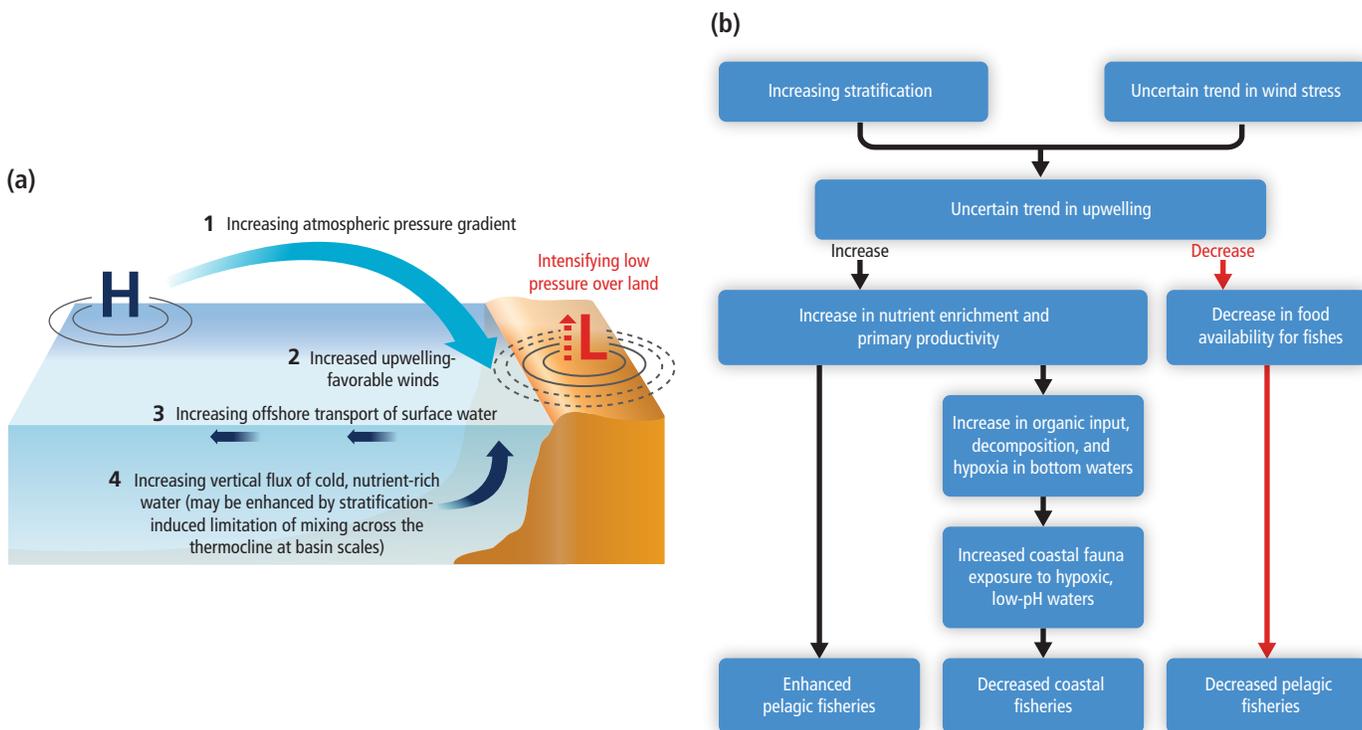
Understanding whether upwelling and climate change will impact resident biota in an additive, synergistic, or antagonistic manner is important for projections of how ecological goods and services provided for human society will change. Even though upwellings may prove more resilient to climate change than other ocean ecosystems because of their ability to function under extremely variable conditions (Capone and Hutchins, 2013), consequences of their shifts

are highly relevant because these systems provide a significant portion of global primary productivity and fishery catch (Figure 30.1 A, B; Table SM30.1). Increased upwelling would enhance fisheries yields. However, the export of organic material from surface to deeper layers of the ocean may increase and stimulate its decomposition by microbial activity, thereby enhancing oxygen depletion and CO<sub>2</sub> enrichment in deeper water layers. Once this water returns to the surface through upwelling, benthic and pelagic coastal communities will be exposed to acidified and deoxygenated water which may combine with anthropogenic impact to negatively affect marine biota and ecosystem structure of the upper ocean (*high confidence*; Sections 6.3.2, 6.3.3, 30.3.2.2, 30.3.2.3). Extreme hypoxia may result in abnormal mortalities of fishes and invertebrates (Keller et al., 2010), reduce fisheries' catch potential, and impact aquaculture in coastal areas (Barton et al., 2012; see also Sections 5.4.3.3, 6.3.3, 6.4.1, 30.5.1.1.2, 30.5.5.1.3). Shifts in upwelling also coincide with an apparent increase in the frequency of submarine eruptions of methane and hydrogen sulfide gas, caused by enhanced formation and sinking of phytoplankton biomass to the hypoxic or anoxic sea floor. This combination of factors has been implicated in the extensive mortality of coastal fishes and invertebrates (Bakun and Weeks, 2004; Bakun et al., 2010), resulting in significant reductions in fishing productivity, such as Cape hake (*Merluccius capensis*), Namibia's most valuable fishery (Hamukuaya et al., 1998).

Reduced upwelling would also reduce the productivity of important pelagic fisheries, such as for sardines, anchovies and mackerel, with major consequences for the economies of several countries (Section 6.4.1, Chapter 7, Figure 30.1A, B, Table S30.1). However, under projected scenarios of reduced upward supply of nutrients due to stratification of the open ocean, upwelling of both nutrients and trace elements may become increasingly important to maintaining upper ocean nutrient and trace metal inventories. It has been suggested that upwelling areas may also increase nutrient content and productivity under enhanced stratification, and that upwelled and partially denitrified waters containing excess phosphate may select for N<sub>2</sub>-fixing microorganisms (Deutsch et al., 2007; Deutsch and Weber, 2012), but field observations of N<sub>2</sub> fixation in these regions have not supported these predictions (Fernandez et al., 2011; Franz et al., 2012). The role of this process in global primary production thus needs to be validated (*low confidence*).

The central question therefore is whether or not upwelling will intensify, and if so, whether the effects of intensified upwelling on O<sub>2</sub> and CO<sub>2</sub> inventories will outweigh its benefits for primary production and associated fisheries and aquaculture (*low confidence*). In any case increasing atmospheric CO<sub>2</sub> concentrations will equilibrate with upwelling waters that may cause them to become more corrosive, depending on pCO<sub>2</sub> of the upwelled water, and potentially increasingly impact the biota of EBUEs.

UP



**Figure UP-1** | (a) Hypothetic mechanism of increasing coastal wind-driven upwelling at Equatorial and Eastern Boundary upwelling systems (EUS, EBUE, Figure 30-1), where differential warming rates between land and ocean results in increased land-ocean (1) pressure gradients that produce (2) stronger alongshore winds and (3) offshore movement of surface water through Ekman transport, and (4) increased upwelling of deep cold nutrient rich waters to replace it. (b) Potential consequences of climate change in upwelling systems. Increasing stratification and uncertainty in wind stress trends result in uncertain trends in upwelling. Increasing upwelling may result in higher input of nutrients to the euphotic zone, and increased primary production, which in turn may enhance pelagic fisheries, but also decrease coastal fisheries due to an increased exposure of coastal fauna to hypoxic, low pH waters. Decreased upwelling may result in lower primary production in these systems with direct impacts on pelagic fisheries productivity.

## References

- Bakun, A.**, 1990: Global climate change and intensification of coastal ocean upwelling. *Science*, **247(4939)**, 198-201.
- Bakun, A.** and S.J. Weeks, 2004: Greenhouse gas buildup, sardines, submarine eruptions and the possibility of abrupt degradation of intense marine upwelling ecosystems. *Ecology Letters*, **7(11)**, 1015-1023.
- Bakun, A.**, D. B. Field, A. N. A. Redondo-Rodriguez, and S. J. Weeks, 2010: Greenhouse gas, upwelling-favorable winds, and the future of coastal ocean upwelling ecosystems. *Global Change Biology* **16**:1213-1228.
- Barton, A.**, B. Hales, G.G. Waldbusser, C. Langdon, and R.A. Feely, 2012: The Pacific oyster, *Crassostrea gigas*, shows negative correlation to naturally elevated carbon dioxide levels: implications for near-term ocean acidification effects. *Limnology and Oceanography*, **57(3)**, 698-710.
- Capone, D.G.** and D.A. Hutchins, 2013: Microbial biogeochemistry of coastal upwelling regimes in a changing ocean. *Nature Geoscience*, **6(9)** 711-717.
- Deutsch, C.** and T. Weber, 2012: Nutrient ratios as a tracer and driver of ocean biogeochemistry. *Annual Review of Marine Science*, **4**, 113-141.
- Deutsch, C.**, J.L. Sarmiento, D.M. Sigman, N. Gruber, and J.P. Dunne, 2007: Spatial coupling of nitrogen inputs and losses in the ocean. *Nature*, **445(7124)**, 163-167.
- Fernandez, C.**, L. Fariás, and O. Ulloa, 2011: Nitrogen fixation in denitrified marine waters. *PLoS ONE*, **6(6)**, e20539, doi:10.1371/journal.pone.0020539.
- Franz, J.**, G. Krahnemann, G. Lavik, P. Grasse, T. Dittmar, and U. Riebesell, 2012: Dynamics and stoichiometry of nutrients and phytoplankton in waters influenced by the oxygen minimum zone in the eastern tropical Pacific. *Deep-Sea Research Part I: Oceanographic Research Papers*, **62**, 20-31.
- Hamukuaya, H.**, M.J. O'Toole, and P.M.J. Woodhead, 1998: Observations of severe hypoxia and offshore displacement of Cape hake over the Namibian shelf in 1994. *South African Journal of Marine Science*, **19(1)**, 57-59.
- Keller, A.A.**, V. Simon, F. Chan, W.W. Wakefield, M.E. Clarke, J.A. Barth, D. Kamikawa and E.L. Fruh, 2010: Demersal fish and invertebrate biomass in relation to an offshore hypoxic zone along the US West Coast. *Fisheries Oceanography*, **19**, 76-87.

### This cross-chapter box should be cited as:

**Lluch-Cota, S.E.**, O. Hoegh-Guldberg, D. Karl, H.-O. Pörtner, S. Sundby, and J.-P. Gattuso, 2014: *Cross-chapter box on uncertain trends in major upwelling ecosystems. In: Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 149-151.



# Urban–Rural Interactions – Context for Climate Change Vulnerability, Impacts, and Adaptation

John Morton (UK), William Solecki (USA), Purnamita Dasgupta (India), David Dodman (Jamaica), Marta G. Rivera-Ferre (Spain)

Rural areas and urban areas have always been interconnected and interdependent, but recent decades have seen new forms of these interconnections: a tendency for rural–urban boundaries to become less well defined, and new types of land use and economic activity on those boundaries. These conditions have important implications for understanding climate change impacts, vulnerabilities, and opportunities for adaptation. This box examines three critical implications of these interactions:

- 1) Climate extremes in rural areas resulting in urban impacts— teleconnections of resources and migration streams mean that climate extremes in non-urban locations with associated shifts in water supply, rural agricultural potential, and the habitability of rural areas will have downstream impacts in cities.
- 2) Events specific to the rural–urban interface— given the highly integrated nature of rural–urban interface areas and overarching demand to accommodate both rural and urban demands in these settings, there is a set of impacts, vulnerabilities, and opportunities for adaptation specific to these locations. These impacts include loss of local agricultural production, economic marginalization resulting from being neither rural or urban, and stress on human health.
- 3) Integrated infrastructure and service disruption—as urban demands often take preference, interdependent rural and urban resource systems place nearby rural areas at risk, because during conditions of climate stress, rural areas more often suffer resource shortages or other disruptions to sustain resources to cities. For example, under conditions of resource stress associated with climate risk (e.g., droughts) urban areas are at an advantage because of political, social, and economic requirements to maintain service supply to cities to the detriment of relatively marginal rural sites and settlements.

Urban areas historically have been dependent on the lands just beyond their boundaries for most of their critical resources including water, food, and energy. Although in many contexts, the connections between urban settlements and surrounding rural areas are still present, long distance, teleconnected, large-scale supply chains have been developed particularly with respect to energy resources and food supply (Güneralp et al., 2013). Extreme event disruptions in distant resource areas or to the supply chain and relevant infrastructure can negatively impact the urban areas dependent on these materials (Wilbanks et al., 2012). During the summer of 2012, for instance, an extended drought period in the central United States led to significantly reduced river levels on the Mississippi River that led to interruptions of barge traffic and delay of commodity flows to cities throughout the country. Urban water supply is also vulnerable to droughts in predominantly rural areas. In the case of Bulawayo, Zimbabwe, periodic urban water shortages over the last few decades have been triggered by rural droughts (Mkandla et al., 2005).

A further teleconnection between rural and urban areas is rural–urban migration. There have been cases where migration and urbanization patterns have been attributed to climate change or its proxies such as in parts of Africa (Morton, 1989; Barrios et al., 2006). However, as recognized by Black et al. (2011), life in rural areas across the world typically involves complex patterns of rural–urban and rural–rural migration, subject to economic, political, social, and demographic drivers, patterns that are modified or exacerbated by climate events and trends rather than solely caused by them.

Globally, an increased blending of urban and rural qualities has occurred. Simon et al. (2006, p. 4) assert that the simple dichotomy between “rural” and “urban” has “long ceased to have much meaning in practice or for policy-making purposes in many parts of the global South.” One approach to reconciling this is through the increasing application of the concept of “peri-urban areas” (Simon et al., 2006; Simon, 2008). These areas can be seen as rural locations that have “become more urban in character” (Webster, 2002, p. 5); as sites where households pursue a wider range of income-generating activities while still residing in what appear to be “largely rural landscapes” (Learner and Eakin, 2010, p. 1); or as locations in which rural and urban land uses coexist, whether in contiguous or fragmented units (Bowyer-Bower, 2006). The inhabitants of “core” urban areas within cities have also increasingly turned to agriculture, with production of staple foods, higher value crops and livestock (Bryld, 2003; Devendra et al., 2005; Lerner and Eakin, 2010; Lerner et al., 2013). Bryld (2003) sees this as driven by rural–urban migration and by structural adjustment (e.g., withdrawal of food price controls and food subsidies). Lerner and Eakin (2011; also Lerner et al., 2013) explored reasons why people produce food in urban environments, despite high opportunity costs of land and labor: buffering of risk from insecure urban labor markets; response to consumer demand; and the meeting of cultural needs.

Livelihoods and areas on the rural–urban interface suffer highly specific forms of vulnerability to disasters, including climate-related disasters. These may be summarized as specifically combining urban vulnerabilities of population concentration, dependence on infrastructure, and social diversity limiting social support with rural traits of distance, isolation, and invisibility to policymakers (Pelling and Mustafa, 2010). Increased connectivity can also encourage land expropriation to enable commercial land development (Pelling and Mustafa, 2010). Vulnerability may arise from the coexistence of rural and urban perspectives, which may give rise to conflicts between different social/interest groups and economic activities (Masuda and Garvin, 2008; Solona-Solona 2010; Darly and Torre, 2013).

Additional vulnerability of peri-urban areas is on account of the re-constituted institutional arrangements and their structural constraints (laquinta and Drescher, 2000). Rapid declines in traditional informal institutions and forms of collective action, and their imperfect replacement with formal state and market institutions, may also increase vulnerability (Pelling and Mustafa, 2010).

Peri-urban areas and livelihoods have low visibility to policymakers at both local and national levels, and may suffer from a lack of necessary services and inappropriate and uncoordinated policies. In Tanzania and Malawi, national policies of agricultural extension to farmer groups, for example, do not reach peri-urban farmers (Liwenga et al., 2012). In peri-urban areas around Mexico City (Eakin et al., 2013), management of the substantial risk of flooding is led *de facto* by agricultural and water agencies, in the absence of capacity within peri-urban municipalities and despite clear evidence that urban encroachment is a key driver of flood risk. In developed country contexts, suburban–exurban fringe areas often are overlooked in the policy arena that traditionally focuses on rural development and agricultural production, or urban growth and services (Hanlon et al., 2010). The environmental function of urban agriculture, in particular, in protection against flooding, will increase in the context of climate change (Aubry et al., 2012).

However, peri-urban areas and mixed livelihoods more generally on rural–urban interfaces, also exhibit specific factors that increase their resilience to climate shocks (Pelling and Mustafa, 2010). Increased transport connectivity in peri-urban areas can reduce disaster risk by providing a greater diversity of livelihood options and improving access to education. The expansion of local labor markets and wage labor in these areas can strengthen adaptive capacity through providing new livelihood opportunities (Pelling and Mustafa, 2010). Maintaining mixed portfolios of agricultural and non-agricultural livelihoods also spreads risk (Lerner et al., 2013).

In high-income countries, practices attempting to enhance the ecosystem services and localized agriculture more typically associated with lower density areas have been encouraged. In many situations these practices are focused increasingly on climate adaptation and mitigating the impacts of climate extremes such as those associated with heating and the urban heat island effect, or wetland restoration efforts to limit the impact of storm surge wave action (Verburg et al., 2012).

The dramatic growth of urban areas also implies that rural areas and communities are increasingly politically and economically marginalized within national contexts, resulting in potential infrastructure and service disruptions for such sites. Existing rural–urban conflicts for the management of natural resources (Castro and Nielsen, 2003) such as water (Celio et al., 2011) or land use conversion in rural areas, for example, wind farms in rural Catalonia (Zografos and Martínez-Alier, 2009); industrial coastal areas in Sweden (Stepanova and Bruckmeier, 2013); or conversion of rice land into industrial, residential, and recreational uses in the Philippines (Kelly, 1998) have been documented, and it is expected that stress from climate change impacts on land and natural resources will exacerbate these tensions. For instance, climate-induced reductions in water availability may be more of a concern than population growth or increased per capita use for securing continued supplies of water to large cities (Jenerette and Larsen, 2006), which requires an innovative approach to address such conflicts (Pearson et al., 2010).

## References

- Aubry, C., J. Ramamonjisoa, M.-H. Dabat, J. Rakotoarisoa, J. Rakotondraibe, and L. Rabeharisoa, 2012: Urban agriculture and land use in cities: an approach with the multi-functionality and sustainability concepts in the case of Antananarivo (Madagascar). *Land Use Policy*, **29**, 429-439.
- Barrios, S., L. Bertinelli, and E. Strobl, 2006: Climatic change and rural-urban migration: the case of sub-Saharan Africa. *Journal of Urban Economics*, **60**, 357-371.
- Black, R., W.N. Adger, N.W. Arnell, S. Dercon, A. Geddes, and D. Thomas, 2011: The effect of environmental change on human migration. *Global Environmental Change*, **21(Suppl. 1)**, S3-S11.
- Bowyer-Bower, T., 2006: The inevitable illusiveness of 'sustainability' in the peri-urban interface: the case of Harare. In: *The Peri-Urban Interface: Approaches to Sustainable Natural and Human Resource Use* [McGregor, D., D. Simon, and D. Thompson (eds.)]. Earthscan, London, UK and Sterling, VA, USA, pp. 151-164.
- Bryld, E., 2003: Potentials, problems, and policy implications for urban agriculture in developing countries. *Agriculture and Human Values*, **20**, 79-86.
- Castro, A.P. and E. Nielsen, 2003: *Natural Resource Conflict Management Case Studies: An Analysis of Power, Participation and Protected Areas*. Food and Agriculture Organization of the United Nations (FAO), Rome, Italy, 268 pp.
- Darby, S. and A. Torre, 2013: Conflicts over farmland uses and the dynamics of "agri-urban" localities in the Greater Paris Region: an empirical analysis based on daily regional press and field interviews. *Land Use Policy*, **30**, 90-99.
- Devendra, C., J. Morton, B. Rischowsky, and D. Thomas, 2005: Livestock systems. In: *Livestock and Wealth Creation: Improving the Husbandry of Livestock Kept by the Poor in Developing Countries* [Owen, E., A. Kitalyi, N. Jayasuriya, and T. Smith (eds.)]. Nottingham University Press, Nottingham, UK, pp. 29-52.
- Dixon, J.M., K.J. Donati, L.L. Pike, and L. Hattersley, 2009: Functional foods and urban agriculture: two responses to climate change-related food insecurity. *New South Wales Public Health Bulletin*, **20(2)**, 14-18.
- Eakin, H., A. Lerner, and F. Murtinho, 2013: Adaptive capacity in evolving peri-urban spaces; responses to flood risk in the Upper Lerma River Valley, Mexico. *Global Environmental Change*, **20(1)**, 14-22.
- Güneralp, B., K.C. Seto, and M. Ramchandran, 2013: Evidence of urban land teleconnections and impacts on hinterlands. *Current Opinion in Environmental Sustainability*, **5(5)**, 445-451.
- Hanlon, B., J.R. Short, and T.J. Vicino, 2010: *Cities and Suburbs: New Metropolitan Realities in the US*. Routledge, Oxford, UK and New York, NY, USA, 304 pp.
- Hoggart, K., 2005: *The City's Hinterland: Dynamism and Divergence in Europe's Peri-Urban Territories*. Ashgate Publishing, Ltd., Aldershot, UK and Ashgate Publishing Co., Burlington, VT, USA, 186 pp.
- Iaquinta, D.L. and A.W. Drescher, 2000: Defining the peri-urban: rural-urban linkages and institutional connections. *Land Reform: Land Settlement and Cooperatives*, **2000(2)**, 8-26, [www.fao.org/docrep/003/X8050T/X8050T00.HTM](http://www.fao.org/docrep/003/X8050T/X8050T00.HTM).
- Jenerette, GD and L. Larsen, 2006: A global perspective on changing sustainable urban water supplies. *Global and Planetary Change*, **50(3-4)**, 202-211.
- Kelly, P.F., 1998: The politics of urban-rural relations: land use conversion in the Philippines. *Environment and Urbanization*, **10(1)**, 35-54, doi:10.1177/095624789801000116.
- Lerner, A.M. and H. Eakin, 2010: An obsolete dichotomy? Rethinking the rural-urban interface in terms of food security and production in the global south. *Geographical Journal*, **177(4)**, 311-320.
- Lerner, A.M., H. Eakin, and S. Sweeney, 2013: Understanding peri-urban maize production through an examination of household livelihoods in the Toluca Metropolitan Area, Mexico. *Journal of Rural Studies*, **30**, 52-63.
- Liwenga, E., E. Swai, L. Nsemwa, A. Katunzi, B. Gwambene, M. Joshua, F. Chipungu, T. Stathers, and R. Lamboll, 2012: *Exploring Urban Rural Interdependence and the Impact of Climate Change in Tanzania and Malawi: Final Narrative Report*. Project Report, International Development Research Centre (IDRC), Ottawa, ON, Canada.
- Masuda, J. and T. Garvin, 2008: Whose heartland? The politics of place at the rural-urban interface. *Journal of Rural Studies*, **24**, 118-123.
- Mattia, C., C.A. Scott, and M. Giordano, 2010: Urban-agricultural water appropriation: the Hyderabad, India case. *Geographical Journal*, **176(1)**, 39-57.
- Mkandla, N., P. Van der Zaag, and P. Sibanda, 2005: Bulawayo water supplies: sustainable alternatives for the next decade. *Physics and Chemistry of the Earth, Parts A/B/C*, **30(11-16)**, 935-942.
- Morton, J., 1989: Ethnicity and politics in Red Sea Province, Sudan. *African Affairs*, **88(350)**, 63-76.
- Pearson, L.J., A. Coggan, W. Proctor, and T.F. Smith, 2010: A sustainable decision support framework for urban water management. *Water Resources Management*, **24(2)**, 363-376.
- Pelling, M. and D. Mustafa, 2010: *Vulnerability, Disasters and Poverty in Desakota Systems*. Political and Development Working Paper Series, No. 31, King's College London, London, UK, 26 pp.
- Simon, D., 2008: Urban environments: issues on the peri-urban fringe. *Annual Review of Environmental Resources*, **33**, 167-185.
- Simon, D., D. McGregor, and D. Thompson, 2006: Contemporary perspectives on the peri-urban zones of cities in developing countries. In: *The Peri-Urban Interface: Approaches to Sustainable Natural and Human Resource Use* [McGregor, D., D. Simon, and D. Thompson (eds.)]. Earthscan, London, UK and Sterling, VA, USA, pp. 3-17.
- Solana-Solana, M., 2010: Rural gentrification in Catalonia, Spain: a case study of migration, social change and conflicts in the Empordanet area. *Geoforum*, **41(3)**, 508-517.
- Stepanova, O. and K. Bruckmeier, 2013: Resource use conflicts and urban-rural resource use dynamics in Swedish coastal landscapes: comparison and synthesis. *Journal of Environmental Policy & Planning*, **15(4)**, 467-492, doi:10.1080/1523908X.2013.778173.
- Verburg, P.H., E. Koomen, M. Hilferink, M. Perez-Soba, and J.P. Lesschen, 2012: An assessment of the impact of climate adaptation measures to reduce flood risk on ecosystem services. *Landscape Ecology*, **27**, 473-486.
- Webster, D., 2002: *On the Edge: Shaping the Future of Peri-Urban East Asia*. Asia/Pacific Research Center (A/PARC), Stanford, CA, USA, 49 pp.
- Wilbanks, T., S. Fernandez, G. Backus, P. Garcia, K. Jonietz, P. Kirshen, M. Savonis, W. Solecki, and T. Toole, 2012: *Climate Change and Infrastructure, Urban systems and Vulnerabilities*. Technical Report prepared by the Oak Ridge National Laboratory (ORNL) for the US Department of Energy in support of the National Climate Assessment, ORNL, Oak Ridge, TN, 19 pp., [www.esd.ornl.gov/eess/Infrastructure.pdf](http://www.esd.ornl.gov/eess/Infrastructure.pdf).
- Zasada, I., 2011: Multifunctional peri-urban agriculture – a review of societal demands and the provision of goods and services by farming. *Land Use Policy*, **28(4)**, 639-648.
- Zografos, C. and J. Martínez-Alier, 2009: The politics of landscape value: a case study of wind farm conflict in rural Catalonia. *Environment and Planning A*, **41(7)**, 1726-1744.

## This cross-chapter box should be cited as:

Morton, J.F., W. Solecki, P. Dasgupta, D. Dodman, and M.G. Rivera-Ferre, 2014: Cross-chapter box on urban–rural interactions—context for climate change vulnerability, impacts, and adaptation. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 153-155.



# Active Role of Vegetation in Altering Water Flows under Climate Change

Dieter Gerten (Germany), Richard Betts (UK), Petra Döll (Germany)

Climate, vegetation, and carbon and water cycles are intimately coupled, in particular via the simultaneous transpiration and CO<sub>2</sub> uptake through plant stomata in the process of photosynthesis. Hence, water flows such as runoff and evapotranspiration are affected not only directly by anthropogenic climate change as such (i.e., by changes in climate variables such as temperature and precipitation), but also indirectly by plant responses to increased atmospheric CO<sub>2</sub> concentrations. In addition, effects of climate change (e.g., higher temperature or altered precipitation) on vegetation structure, biomass production, and plant distribution have an indirect influence on water flows. Rising CO<sub>2</sub> concentration affects vegetation and associated water flows in two contrasting ways, as suggested by ample evidence from Free Air CO<sub>2</sub> Enrichment (FACE), laboratory and modeling experiments (e.g., Leakey et al., 2009; Reddy et al., 2010; de Boer et al., 2011). On the one hand, a *physiological* effect leads to reduced opening of stomatal apertures, which is associated with lower water flow through the stomata, that is, lower leaf-level transpiration. On the other hand, a *structural* effect ("fertilization effect") stimulates photosynthesis and biomass production of C<sub>3</sub> plants including all tree species, which eventually leads to higher transpiration at regional scales. A key question is to what extent the climate- and CO<sub>2</sub>-induced changes in vegetation and transpiration translate into changes in regional and global runoff.

The physiological effect of CO<sub>2</sub> is associated with an increased intrinsic water use efficiency (WUE) of plants, which means that less water is transpired per unit of carbon assimilated. Records of stable carbon isotopes in woody plants (Peñuelas et al., 2011) verify this finding, suggesting an increase in WUE of mature trees by 20.5% between the early 1960s and the early 2000s. Increases since pre-industrial times have also been found for several forest sites (Andreu-Hayles et al., 2011; Gagen et al., 2011; Loader et al., 2011; Nock et al., 2011) and in a temperate semi-natural grassland (Koehler et al., 2010), although in one boreal tree species WUE ceased to increase after 1970 (Gagen et al., 2011). Analysis of long-term whole-ecosystem carbon and water flux measurements from 21 sites in North American temperate and boreal forests corroborates a notable increase in WUE over the two past decades (Keenan et al., 2013). An increase in global WUE over the past century is supported by ecosystem model results (Ito and Inatomi, 2012).

A key influence on the significance of increased WUE for large-scale transpiration is whether vegetation structure and production has remained approximately constant (as assumed in the global modeling study by Gedney et al., 2006) or has increased in some regions due to the structural CO<sub>2</sub> effect (as assumed in models by Piao et al., 2007; Gerten et al., 2008). While field-based results vary considerably among sites, tree ring studies suggest that tree growth did not increase globally since the 1970s in response to climate and CO<sub>2</sub> change (Andreu-Hayles et al.,

2011; Peñuelas et al., 2011). However, basal area measurements at more than 150 plots across the tropics suggest that biomass and growth rates in intact tropical forests have increased in recent decades (Lewis et al., 2009). This is also confirmed for 55 temperate forest plots, with a suspected contribution of CO<sub>2</sub> effects (McMahon et al., 2010). Satellite observations analyzed in Donohue et al. (2013) suggest that an increase in vegetation cover by 11% in warm drylands (1982–2010 period) is attributable to CO<sub>2</sub> fertilization. Owing to the interplay of physiological and structural effects, the net impact of CO<sub>2</sub> increase on global-scale transpiration and runoff remains rather poorly constrained. This is also true because nutrient limitation, often omitted in modeling studies, can suppress the CO<sub>2</sub> fertilization effect (see Rosenthal and Tomeo, 2013).

Therefore, there are conflicting views on whether the direct CO<sub>2</sub> effects on plants already have a significant influence on evapotranspiration and runoff at global scale. AR4 reported work by Gedney et al. (2006) that suggested that the physiological CO<sub>2</sub> effect (lower transpiration) contributed to a supposed increase in global runoff seen in reconstructions by Labat et al. (2004). However, a more recent analysis based on a more complete data set (Dai et al., 2009) suggested that river basins with decreasing runoff outnumber basins with increasing runoff, such that a small decline in global runoff is *likely* for the period 1948–2004. Hence, detection of vegetation contributions to changes in water flows critically depends on the availability and quality of hydrometeorological observations (Haddeland et al., 2011; Lorenz and Kunstmann, 2012). Overall, the evidence since AR4 suggests that climatic variations and trends have been the main driver of global runoff change in the past decades; both CO<sub>2</sub> increase and land use change have contributed less (Piao et al., 2007; Gerten et al., 2008; Alkama et al., 2011; Sterling et al., 2013). Oliveira et al. (2011) furthermore pointed to the importance of changes in incident solar radiation and the mediating role of vegetation; according to their global simulations, a higher diffuse radiation fraction during 1960–1990 may have increased evapotranspiration in the tropics by 3% due to higher photosynthesis from shaded leaves.

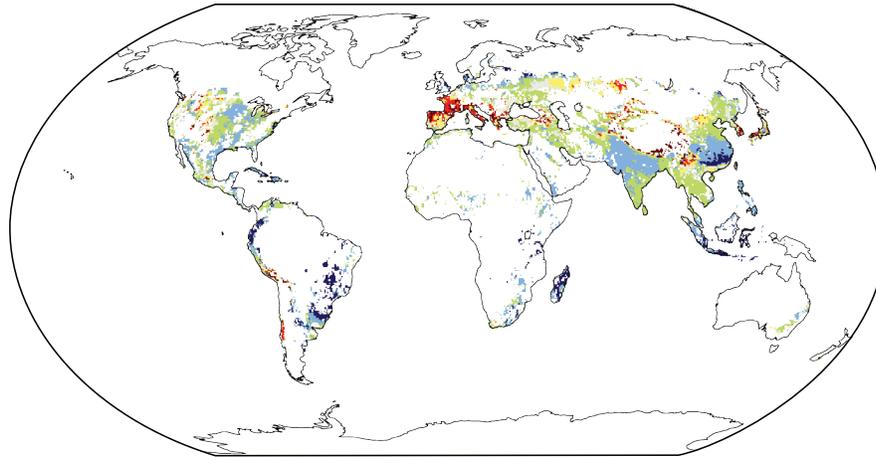
It is uncertain how vegetation responses to future increases in CO<sub>2</sub> and to climate change will modulate the impacts of climate change on freshwater flows. Twenty-first century continental- and basin-scale runoff is projected by some models to either increase more or decrease less when the physiological CO<sub>2</sub> effect is included in addition to climate change effects (Betts et al., 2007; Murray et al., 2012). This could somewhat ease the increase in water scarcity anticipated in response to future climate change and population growth (Gerten et al., 2011; Wiltshire et al., 2013). In absolute terms, the isolated effect of CO<sub>2</sub> has been modeled to increase future global runoff by 4 to 5% (Gerten et al., 2008) up to 13% (Nugent and Matthews, 2012) compared to the present, depending on the assumed CO<sub>2</sub> trajectory and whether feedbacks of changes in vegetation structure and distribution to the atmosphere are accounted for (they were in Nugent and Matthews, 2012). In a global model intercomparison study (Davie et al., 2013), two out of four models projected stronger increases and, respectively, weaker decreases in runoff when considering CO<sub>2</sub> effects compared to simulations with constant CO<sub>2</sub> concentration (consistent with the above findings, though magnitudes differed between the models), but two other models showed the reverse. Thus, the choice of models and the way they represent the coupling between CO<sub>2</sub>, stomatal closure, and plant growth is a source of uncertainty, as also suggested by Cao et al. (2009). Lower transpiration due to rising CO<sub>2</sub> concentration may also affect future regional climate change itself (Boucher et al., 2009) and enhance the contrast between land and ocean surface warming (Joshi et al., 2008). Overall, although physiological and structural effects will influence water flows in many regions, precipitation and temperature effects are *likely* to remain the prime influence on global runoff (Alkama et al., 2010).

An application of a soil–vegetation–atmosphere–transfer model indicates complex responses of groundwater recharge to vegetation-mediated changes in climate, with computed groundwater recharge being always larger than would be expected from just accounting for changes in rainfall (McCallum et al., 2010). Another study found that even if precipitation slightly decreased, groundwater recharge might increase as a net effect of vegetation responses to climate change and CO<sub>2</sub> rise, that is, increasing WUE and either increasing or decreasing leaf area (Crosbie et al., 2010). Depending on the type of grass in Australia, the same change in climate is suggested to lead to either increasing or decreasing groundwater recharge in this location (Green et al., 2007). For a site in the Netherlands, a biomass decrease was computed for each of eight climate scenarios indicating drier summers and wetter winters (A2 emissions scenario), using a fully coupled vegetation and variably saturated hydrological model. The resulting increase in groundwater recharge up-slope was simulated to lead to higher water tables and an extended habitat for down-slope moisture-adapted vegetation (Brolsma et al., 2010).

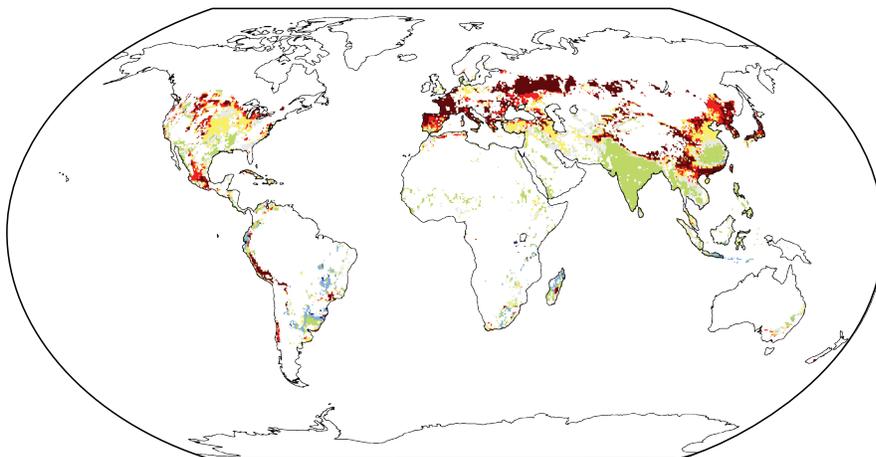
Using a large ensemble of climate change projections, Konzmann et al. (2013) put hydrological changes into an agricultural perspective and suggested that the net result of physiological and structural CO<sub>2</sub> effects on crop irrigation requirements would be a global reduction (Figure VW-1). Thus, adverse climate change impacts on irrigation requirements and crop yields might be partly buffered as WUE and crop production improve (Fader et al., 2010). However, substantial CO<sub>2</sub>-driven improvements will be realized only if proper management abates limitation of plant growth by nutrient availability or other factors.

Changes in vegetation coverage and structure due to long-term climate change or shorter-term extreme events such as droughts (Anderegg et al., 2013) also affect the partitioning of precipitation into evapotranspiration and runoff, sometimes involving complex feedbacks with the atmosphere such as in the Amazon region (Port et al., 2012; Saatchi et al., 2013). One model in the study by Davie et al. (2013) showed regionally diverse climate change effects on vegetation distribution and structure, which had a much weaker effect on global runoff than the structural and physiological CO<sub>2</sub> effects. As water, carbon, and vegetation dynamics evolve synchronously and interactively under climate change (Heyder et al., 2011; Gerten et al., 2013), it remains a challenge to disentangle the individual effects of climate, CO<sub>2</sub>, and land cover change on the water cycle.

(a) Impact of climate change including physiological and structural crop responses to increased atmospheric CO<sub>2</sub>



(b) Impact of climate change only



**Figure VW-1** | Percentage change in net irrigation requirements of 11 major crops from 1971–2000 to 2070–2099 on areas currently equipped for irrigation, assuming current management practices. (a) Impact of climate change including physiological and structural crop responses to increased atmospheric CO<sub>2</sub> concentration (co-limitation by nutrients not considered). (b) Impact of climate change only. Shown is the median change derived from climate change projections by 19 General Circulation Models (GCMs; based on the Special Report on Emission Scenarios (SRES) A2 emissions scenario) used to force a vegetation and hydrology model. (Modified after Konzmann et al., 2013.)

References

Alkama, R., M. Kageyama, and G. Ramstein, 2010: Relative contributions of climate change, stomatal closure, and leaf area index changes to 20th and 21st century runoff change: a modelling approach using the Organizing Carbon and Hydrology in Dynamic Ecosystems (ORCHIDEE) land surface model. *Journal of Geophysical Research: Atmospheres*, **115(D17)**, D17112, doi:10.1029/2009JD013408.

Alkama, R., B. Decharme, H. Douville, and A. Ribes, 2011: Trends in global and basin-scale runoff over the late twentieth century: methodological issues and sources of uncertainty. *Journal of Climate*, **24(12)**, 3000-3014.

Anderegg, W.R.L., J.M. Kane, and L.D.L. Anderegg, 2013: Consequences of widespread tree mortality triggered by drought and temperature stress. *Nature Climate Change*, **3**, 30-36.

Andreu-Hayles, L., O. Planells, E. Gutierrez, E. Muntan, G. Helle, K.J. Anchukaitis, and G.H. Schleser, 2011: Long tree-ring chronologies reveal 20th century increases in water-use efficiency but no enhancement of tree growth at five Iberian pine forests. *Global Change Biology*, **17(6)**, 2095-2112.

VW

- Betts, R.A., O. Boucher, M. Collins, P.M. Cox, P.D. Falloon, N. Gedney, D.L. Hemming, C. Huntingford, C.D. Jones, D.M.H. Sexton, and M.J. Webb, 2007:** Projected increase in continental runoff due to plant responses to increasing carbon dioxide. *Nature*, **448(7157)**, 1037-1041.
- Boucher, O., A. Jones, and R.A. Betts, 2009:** Climate response to the physiological impact of carbon dioxide on plants in the Met Office Unified Model HadCM3. *Climate Dynamics*, **32(2-3)**, 237-249.
- Brolsma, R.J., M.T.H. van Vliet, and M.F.P. Bierkens, 2010:** Climate change impact on a groundwater-influenced hillslope ecosystem. *Water Resources Research*, **46(11)**, W11503, doi:10.1029/2009WR008782.
- Cao, L., G. Bala, K. Caldeira, R. Nemani, and G. Ban-Weiss, 2009:** Climate response to physiological forcing of carbon dioxide simulated by the coupled Community Atmosphere Model (CAM3.1) and Community Land Model (CLM3.0). *Geophysical Research Letters*, **36(10)**, L10402, doi:10.1029/2009GL037724.
- Crosbie, R.S., J.L. McCallum, G.R. Walker, and F.H.S. Chiew, 2010:** Modelling climate-change impacts on groundwater recharge in the Murray-Darling Basin, Australia. *Hydrogeology Journal*, **18(7)**, 1639-1656.
- Dai, A., T. Qian, K.E. Trenberth, and J.D. Milliman, 2009:** Changes in continental freshwater discharge from 1948 to 2004. *Journal of Climate*, **22(10)**, 2773-2792.
- Davie, J.C.S., P.D. Falloon, R. Kahana, R. Dankers, R. Betts, F.T. Portmann, D.B. Clark, A. Itoh, Y. Masaki, K. Nishina, B. Fekete, Z. Tessler, X. Liu, Q. Tang, S. Hagemann, T. Stacke, R. Pavlick, S. Schaphoff, S.N. Gosling, W. Franssen, and N. Arnell, 2013:** Comparing projections of future changes in runoff and water resources from hydrological and ecosystem models in ISI-MIP. *Earth System Dynamics*, **4**, 359-374.
- de Boer, H.J., E.I. Lammertsma, F. Wagner-Cremer, D.L. Dilcher, M.J. Wassen, and S.C. Dekker, 2011:** Climate forcing due to optimization of maximal leaf conductance in subtropical vegetation under rising CO<sub>2</sub>. *Proceedings of the National Academy of Sciences of the United States of America*, **108(10)**, 4041-4046.
- Donohue, R.J., M.L. Roderick, T.R. McVicar, and G.D. Farquhar, 2013:** Impact of CO<sub>2</sub> fertilization on maximum foliage cover across the globe's warm, arid environments. *Geophysical Research Letters*, **40(12)**, 3031-3035.
- Fader, M., S. Rost, C. Müller, A. Bondeau, and D. Gerten, 2010:** Virtual water content of temperate cereals and maize: present and potential future patterns. *Journal of Hydrology*, **384(3-4)**, 218-231.
- Gagen, M., W. Finsinger, F. Wagner-Cremer, D. McCarroll, N.J. Loader, I. Robertson, R. Jalkanen, G. Young, and A. Kirchhefer, 2011:** Evidence of changing intrinsic water-use efficiency under rising atmospheric CO<sub>2</sub> concentrations in Boreal Fennoscandia from subfossil leaves and tree ring  $\delta^{13}\text{C}$  ratios. *Global Change Biology*, **17(2)**, 1064-1072.
- Gedney, N., P.M. Cox, R.A. Betts, O. Boucher, C. Huntingford, and P.A. Stott, 2006:** Detection of a direct carbon dioxide effect in continental river runoff records. *Nature*, **439(7078)**, 835-838.
- Gerten, D., S. Rost, W. von Bloh, and W. Lucht, 2008:** Causes of change in 20th century global river discharge. *Geophysical Research Letters*, **35(20)**, L20405, doi:10.1029/2008GL035258.
- Gerten, D., J. Heinke, H. Hoff, H. Biemans, M. Fader, and K. Waha, 2011:** Global water availability and requirements for future food production. *Journal of Hydrometeorology*, **12(5)**, 885-899.
- Gerten, D., W. Lucht, S. Ostberg, J. Heinke, M. Kowarsch, H. Kreft, Z.W. Kundzewicz, J. Rastgooy, R. Warren, and H.J. Schellnhuber, 2013:** Asynchronous exposure to global warming: freshwater resources and terrestrial ecosystems. *Environmental Research Letters*, **8**, 034032, doi:10.1088/1748-9326/8/3/034032.
- Green, T.R., B.C. Bates, S.P. Charles, and P.M. Fleming, 2007:** Physically based simulation of potential effects of carbon dioxide-altered climates on groundwater recharge. *Vadose Zone Journal*, **6(3)**, 597-609.
- Haddeland, I., D.B. Clark, W. Franssen, F. Ludwig, F. Voss, N.W. Arnell, N. Bertrand, M. Best, S. Folwell, D. Gerten, S. Gomes, S.N. Gosling, S. Hagemann, N. Hanasaki, R. Harding, J. Heinke, P. Kabat, S. Koiraal, T. Oki, J. Polcher, T. Stacke, P. Viterbo, G.P. Weedon, and P. Yeh, 2011:** Multimodel estimate of the global terrestrial water balance: setup and first results. *Journal of Hydrometeorology*, **12(5)**, 869-884.
- Heyder, U., S. Schaphoff, D. Gerten, and W. Lucht, 2011:** Risk of severe climate change impact on the terrestrial biosphere. *Environmental Research Letters*, **6(3)**, 034036, doi:10.1088/1748-9326/6/3/034036.
- Ito, A. and M. Inatomi, 2012:** Water-use efficiency of the terrestrial biosphere: a model analysis focusing on interactions between the global carbon and water cycles. *Journal of Hydrometeorology*, **13(2)**, 681-694.
- Joshi, M.M., J.M. Gregory, M.J. Webb, D.M.H. Sexton, and T.C. Johns, 2008:** Mechanisms for the land/sea warming contrast exhibited by simulations of climate change. *Climate Dynamics*, **30(5)**, 455-465.
- Keenan, T.F., D.Y. Hollinger, G. Bohrer, D. Dragoni, J.W. Munger, H.P. Schmid, and A.D. Richardson, 2013:** Increase in forest water-use efficiency as atmospheric carbon dioxide concentrations rise. *Nature*, **499(7458)**, 324-327.
- Koehler, I.H., P.R. Poulton, K. Auerswald, and H. Schnyder, 2010:** Intrinsic water-use efficiency of temperate seminatural grassland has increased since 1857: an analysis of carbon isotope discrimination of herbage from the Park Grass Experiment. *Global Change Biology*, **16(5)**, 1531-1541.
- Konzmann, M., D. Gerten, and J. Heinke, 2013:** Climate impacts on global irrigation requirements under 19 GCMs, simulated with a vegetation and hydrology model. *Hydrological Sciences Journal*, **58(1)**, 88-105.
- Labat, D., Y. Godderis, J. Probst, and J. Guyot, 2004:** Evidence for global runoff increase related to climate warming. *Advances in Water Resources*, **27(6)**, 631-642.
- Leakey, A.D.B., E.A. Ainsworth, C.J. Bernacchi, A. Rogers, S.P. Long, and D.R. Ort, 2009:** Elevated CO<sub>2</sub> effects on plant carbon, nitrogen, and water relations: six important lessons from FACE. *Journal of Experimental Botany*, **60(10)**, 2859-2876.
- Lewis, S.L., J. Lloyd, S. Sitth, E.T.A. Mitchard, and W.F. Laurance, 2009:** Changing ecology of tropical forests: evidence and drivers. *Annual Review of Ecology Evolution and Systematics*, **40**, 529-549.
- Loader, N.J., R.P.D. Walsh, I. Robertson, K. Bidin, R.C. Ong, G. Reynolds, D. McCarroll, M. Gagen, and G.H.F. Young, 2011:** Recent trends in the intrinsic water-use efficiency of ringless rainforest trees in Borneo. *Philosophical Transactions of the Royal Society B*, **366(1582)**, 3330-3339.
- Lorenz, C. and H. Kunstmann, 2012:** The hydrological cycle in three state-of-the-art reanalyses: intercomparison and performance analysis. *Journal of Hydrometeorology*, **13(5)**, 1397-1420.
- McCallum, J.L., R.S. Crosbie, G.R. Walker, and W.R. Dawes, 2010:** Impacts of climate change on groundwater in Australia: a sensitivity analysis of recharge. *Hydrogeology Journal*, **18(7)**, 1625-1638.
- McMahon, S.M., G.G. Parker, and D.R. Miller, 2010:** Evidence for a recent increase in forest growth. *Proceedings of the National Academy of Sciences of the United States of America*, **107(8)**, 3611-3615.
- Murray, S.J., P.N. Foster, and I.C. Prentice, 2012:** Future global water resources with respect to climate change and water withdrawals as estimated by a dynamic global vegetation model. *Journal of Hydrology*, **448-449**, 14-29.
- Nock, C.A., P.J. Baker, W. Wanek, A. Leis, M. Grabner, S. Bunyavejchewin, and P. Hietz, 2011:** Long-term increases in intrinsic water-use efficiency do not lead to increased stem growth in a tropical monsoon forest in western Thailand. *Global Change Biology*, **17(2)**, 1049-1063.
- Nugent, K.A. and H.D. Matthews, 2012:** Drivers of future northern latitude runoff change. *Atmosphere-Ocean*, **50(2)**, 197-206.
- Oliveira, P.J.C., E.L. Davin, S. Levis, and S.I. Seneviratne, 2011:** Vegetation-mediated impacts of trends in global radiation on land hydrology: a global sensitivity study. *Global Change Biology*, **17(11)**, 3453-3467.

- Peñuelas, J., J.G. Canadell, and R. Ogaya, 2011: Increased water-use efficiency during the 20th century did not translate into enhanced tree growth. *Global Ecology and Biogeography*, **20(4)**, 597-608.
- Piao, S., P. Friedlingstein, P. Ciais, N. de Noblet-Ducoudre, D. Labat, and S. Zaehle, 2007: Changes in climate and land use have a larger direct impact than rising CO<sub>2</sub> on global river runoff trends. *Proceedings of the National Academy of Sciences of the United States of America*, **104(39)**, 15242-15247.
- Port, U., V. Brovkin, and M. Claussen, 2012: The influence of vegetation dynamics on anthropogenic climate change. *Earth System Dynamics*, **3**, 233-243.
- Reddy, A.R., G.K. Rasineni, and A.S. Raghavendra, 2010: The impact of global elevated CO<sub>2</sub> concentration on photosynthesis and plant productivity. *Current Science*, **99(1)**, 46-57.
- Rosenthal, D.M. and N.J. Tomeo, 2013: Climate, crops and lacking data underlie regional disparities in the CO<sub>2</sub> fertilization effect. *Environmental Research Letters*, **8(3)**, 031001, doi:10.1088/1748-9326/8/3/031001.
- Saatchi, S., S. Asefi-Najafabady, Y. Malhi, L.E.O.C. Aragão, L.O. Anderson, R.B. Myneni, and R. Nemani, 2013: Persistent effects of a severe drought on Amazonian forest canopy. *Proceedings of the National Academy of Sciences of the United States of America*, **110(2)**, 565-570.
- Sterling, S.M., A. Ducharme, and J. Polcher, 2013: The impact of global land-cover change on the terrestrial water cycle. *Nature Climate Change*, **3**, 385-390.
- Wiltshire, A., J. Gornall, B. Booth, E. Dennis, P. Falloon, G. Kay, D. McNeall, C. McSweeney, and R. Betts, 2013 : The importance of population, climate change and CO<sub>2</sub> plant physiological forcing in determining future global water stress. *Global Environmental Change*, **23(5)**, 1083-1097.

**This cross-chapter box should be cited as:**

Gerten, D., R. Betts, and P. Döll, 2014: Cross-chapter box on the active role of vegetation in altering water flows under climate change. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 157-161.



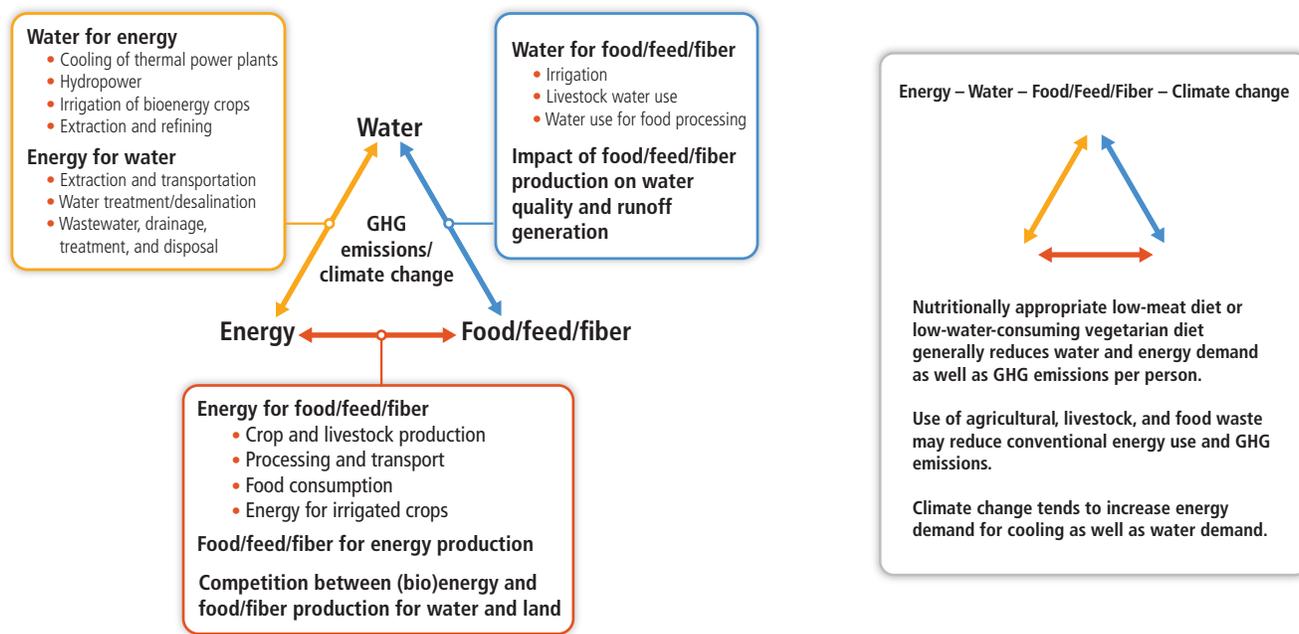
# WE

## The Water–Energy–Food/ Feed/Fiber Nexus as Linked to Climate Change

Douglas J. Arent (USA), Petra Döll (Germany), Kenneth M. Strzepek (USA), Blanca Elena Jiménez Cisneros (Mexico), Andy Reisinger (New Zealand), Ferenc Toth (Hungary), Taikan Oki (Japan)

Water, energy, and food/feed/fiber are linked through numerous interactive pathways and subject to a changing climate, as depicted in Figure CC-WE-1. The depth and intensity of those linkages vary enormously among countries, regions, and production systems. Energy technologies (e.g., biofuels, hydropower, thermal power plants), transportation fuels and modes, and food products (from irrigated crops, in particular animal protein produced by feeding irrigated crops and forages) may require significant amounts of water (Sections 3.7.2, 7.3.2, 10.2, 10.3.4, 22.3.3, 25.7.2; Allan, 2003; King and Weber, 2008; McMahon and Price, 2011; Macknick et al., 2012a). In irrigated agriculture, climate, irrigating procedure, crop choice, and yields determine water requirements per unit of produced crop. In areas where water (and wastewater) must be pumped and/or treated, energy must be provided (Metcalf & Eddy, Inc. et al., 2007; Khan and Hanjra, 2009; EPA, 2010; Gerten et al., 2011). While food production, refrigeration, transport, and processing require large amounts of energy (Pelletier et al., 2011), a major link between food and energy as related to climate change is the competition of bioenergy and food production for land and water (*robust evidence, high agreement*; Section 7.3.2, Box 25-10; Diffenbaugh et al., 2012; Skaggs et al., 2012). Food and crop wastes, and wastewater, may be used as sources of energy, saving not only the consumption of conventional nonrenewable fuels used in their traditional processes, but also the consumption of the water and energy employed for processing or treatment and disposal (Schievano et al., 2009; Oh et al., 2010; Olson, 2012). Examples of this can be found in several countries across all income ranges. For example, sugar cane byproducts are increasingly used to produce electricity or for cogeneration (McKendry, 2002; Kim and Dale, 2004) for economic benefits, and increasingly as an option for greenhouse gas mitigation.

Most energy production methods require significant amounts of water, either directly (e.g., crop-based energy sources and hydropower) or indirectly (e.g., cooling for thermal energy sources or other operations) (*robust evidence, high agreement*; Sections 10.2.2, 10.3.4, 25.7.4; and van Vliet et al., 2012; Davies et al., 2013). Water for biofuels, for example, under the International Energy Agency (IEA) Alternative Policy Scenario, which has biofuels production increasing to 71 EJ in 2030, has been reported by Gerbens-Leenes et al. (2012) to drive global consumptive irrigation water use from 0.5% of global renewable water resources in 2005 to 5.5% in 2030, resulting in increased pressure on freshwater resources, with potential negative impacts on freshwater ecosystems. Water is also required for mining (Section 25.7.3), processing, and residue disposal of fossil and nuclear fuels or their byproducts. Water for energy currently ranges from a few percent in most developing countries to more than 50% of freshwater withdrawals in some developed countries, depending on the country (Kenny et al., 2009; WEC, 2010). Future water requirements will depend on electricity demand growth, the portfolio of generation technologies and water management options employed (*medium evidence, high agreement*; WEC, 2010; Sattler et al.,



**Figure WE-1** | The water–energy–food nexus as related to climate change. The interlinkages of supply/demand, quality and quantity of water, and energy and food/feed/fiber with changing climatic conditions have implications for both adaptation and mitigation strategies.

2012). Future water availability for energy production will change due to climate change (*robust evidence, high agreement*; Sections 3.4, 3.5.1, 3.5.2.2).

Water may require significant amounts of energy for lifting, transport, and distribution and for its treatment either to use it or to depollute it. Wastewater and even excess rainfall in cities requires energy to be treated or disposed. Some non-conventional water sources (wastewater or seawater) are often highly energy intensive. Energy intensities per  $\text{m}^3$  of water vary by about a factor of 10 between different sources, for example, locally produced potable water from ground/surface water sources versus desalinated seawater (Box 25-2, Tables 25-6, 25-7; Macknick et al., 2012b; Plappally and Lienhard, 2012). Groundwater (35% of total global water withdrawals, with irrigated food production being the largest user; Döll et al., 2012) is generally more energy intensive than surface water. In India, for example, 19% of total electricity use in 2012 was for agricultural purposes (Central Statistics Office, 2013), with a large share for groundwater pumping. Pumping from greater depth increases energy demand significantly—electricity use ( $\text{kWh m}^{-3}$  of water) increases by a factor of 3 when going from 35 to 120 m depth (Plappally and Lienhard, 2012). The reuse of appropriate wastewater for irrigation (reclaiming both water and energy-intense nutrients) may increase agricultural yields, save energy, and prevent soil erosion (*medium confidence*; Smit and Nasr, 1992; Jiménez-Cisneros, 1996; Qadir et al., 2007; Raschid-Sally and Jayakody, 2008). More energy efficient treatment methods enable poor quality (“black”) wastewater to be treated to quality levels suitable for discharge into water courses, avoiding additional freshwater and associated energy demands (Keraita et al., 2008). If properly treated to retain nutrients, such treated water may increase soil productivity, contributing to increased crop yields/food security in regions unable to afford high power bills or expensive fertilizer (*high confidence*; Oron, 1996; Lazarova and Bahri, 2005; Redwood and Huibers, 2008; Jiménez-Cisneros, 2009).

Linkages among water, energy, food/feed/fiber, and climate are also strongly related to land use and management (*robust evidence, high agreement*; Section 4.4.4, Box 25-10). Land degradation often reduces efficiency of water and energy use (e.g., resulting in higher fertilizer demand and surface runoff), and compromises food security (Sections 3.7.2, 4.4.4). On the other hand, afforestation activities to sequester carbon have important co-benefits of reducing soil erosion and providing additional (even if only temporary) habitat (see Box 25-10) but may reduce renewable water resources. Water abstraction for energy, food, or biofuel production or carbon sequestration can also compete with minimal environmental flows needed to maintain riverine habitats and wetlands, implying a potential conflict between economic and other valuations and uses of water (*medium evidence, high agreement*; Sections 25.4.3, 25.6.2, Box 25-10). Only a few reports have begun to evaluate the multiple interactions among energy, food, land, and water and climate (McCornick et al., 2008; Bazilian et al., 2011; Bierbaum and Matson, 2013), addressing the issues from a security standpoint and describing early integrated modeling approaches. The interaction among each of these factors is influenced by the changing climate, which in turn impacts energy and water demand, bioproductivity, and other factors (see Figure CC-WE-1 and Wise et al., 2009), and has implications for security of supplies of energy, food, and water; adaptation and mitigation pathways; and air pollution reduction, as well as the implications for health and economic impacts as described throughout this Assessment Report.

The interconnectivity of food/fiber, water, land use, energy, and climate change, including the perhaps not yet well understood cross-sector impacts, are increasingly important in assessing the implications for adaptation/mitigation policy decisions. Fuel–food–land use–water–greenhouse gas (GHG) mitigation strategy interactions, particularly related to bioresources for food/feed, power, or fuel, suggest that combined assessment of water, land type, and use requirements, energy requirements, and potential uses and GHG impacts often epitomize the interlinkages. For example, mitigation scenarios described in the IPCC Special Report on Renewable Energy Sources and Climate Change Mitigation (IPCC, 2011) indicate up to 300 EJ of biomass primary energy by 2050 under increasingly stringent mitigation scenarios. Such high levels of biomass production, in the absence of technology and process/management/operations change, would have significant implications for land use, water, and energy, as well as food production and pricing. Consideration of the interlinkages of energy, food/feed/fiber, water, land use, and climate change is increasingly recognized as critical to effective climate resilient pathway decision making (*medium evidence, high agreement*), although tools to support local- and regional-scale assessments and decision support remain very limited.

## References

- Allan, T., 2003: Virtual water – the water, food, and trade nexus: useful concept or misleading metaphor? *Water International*, **28(1)**, 4-10.
- Bazilian, M., H. Rogner, M. Howells, S. Hermann, D. Arent, D. Gielen, P. Steduto, A. Mueller, P. Komor, R.S.J. Tol, and K. Yumkella, 2011: Considering the energy, water and food nexus: towards an integrated modelling approach. *Energy Policy*, **39(12)**, 7896-7906.
- Bierbaum, R. and P. Matson, 2013: Energy in the context of sustainability. *Daedalus*, **142(1)**, 146-161.
- Davies, E., K. Page, and J.A. Edmonds, 2013: An integrated assessment of global and regional water demands for electricity generation to 2095. *Advances in Water Resources*, **52**, 296-313, doi:10.1016/j.advwatres.2012.11.020.
- Diffenbaugh, N., T. Hertel, M. Scherer, and M. Verma, 2012: Response of corn markets to climate volatility under alternative energy futures. *Nature Climate Change*, **2**, 514-518.
- Döll, P., H. Hoffmann-Dobrev, F.T. Portmann, S. Siebert, A. Eicker, M. Rodell, G. Strassberg, and B. Scanlon, 2012: Impact of water withdrawals from groundwater and surface water on continental water storage variations. *Journal of Geodynamics*, **59-60**, 143-156, doi:10.1016/j.jog.2011.05.001.
- EPA, 2010: *Evaluation of Energy Conservation Measures for Wastewater Treatment Facilities*. EPA 832-R-10-005, U.S. Environmental Protection Agency (EPA), Office of Wastewater Management, Washington, DC, USA, 222 pp., [water.epa.gov/scitech/wastetech/upload/Evaluation-of-Energy-Conservation-Measures-for-Wastewater-Treatment-Facilities.pdf](http://water.epa.gov/scitech/wastetech/upload/Evaluation-of-Energy-Conservation-Measures-for-Wastewater-Treatment-Facilities.pdf).
- Gerbens-Leenes, P.W., A.R. van Lienden, A.Y. Hoekstra, and Th.H. van der Meer, 2012: Biofuel scenarios in a water perspective: the global blue and green water footprint of road transport in 2030. *Global Environmental Change*, **22(3)**, 764-775.
- Gerber, N., M. van Eckert, and T. Breuer, 2008: *The Impacts of Biofuel Production on Food Prices: A Review*. ZEF – Discussion Papers on Development Policy, No. 127, Center for Development Research [Zentrum für Entwicklungsforschung (ZEF)], Bonn, Germany, 19 pp.
- Gerten, D., H. Heinke, H. Hoff, H. Biemans, M. Fader, and K. Waha, 2011: Global water availability and requirements for future food production. *Journal of Hydrometeorology*, **12**, 885-899.
- IPCC, 2011: Summary for Policymakers. In: *IPCC Special Report on Renewable Energy Sources and Climate Change Mitigation. Special Report of Working Group III of the Intergovernmental Panel on Climate Change* [Edenhofer, O., R. Pichs-Madruga, Y. Sokona, K. Seyboth, P. Matschoss, S. Kadner, T. Zwickel, P. Eickemeier, G. Hansen, S. Schlmer, and C. von Stechow (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 3-26.
- Jiménez-Cisneros, B., 1996: Wastewater reuse to increase soil productivity. *Water Science and Technology*, **32(12)**, 173-180.
- Jiménez-Cisneros, B., 2009: 4.06 – Safe sanitation in low economic development areas. In: *Treatise on Water Science, Volume 4: Water-Quality Engineering* [Wilderer, P.A. (ed.)]. Reference Module in Earth Systems and Environmental Sciences, Academic Press, Oxford, UK, pp.147-200.
- Kenny, J.F., N.L. Barber, S.S. Hutson, K.S. Linsey, J.K. Lovelace, and M.A. Maupin, 2009: *Estimated Use of Water in the United States in 2005*. U.S. Department of the Interior, U.S. Geological Survey (USGS) Circular 1344, USGS, Reston, VA, USA, 53 pp.
- Keraita, B., B. Jiménez, and P. Drechsel, 2008: Extent and implications of agricultural reuse of untreated, partly treated and diluted wastewater in developing countries. *CAB Reviews: Perspectives in Agriculture, Veterinary Science, Nutrition and Natural Resources*, **3(58)**, 15-27.
- Khan, S. and M.A. Hanjra, 2009: Footprints of water and energy inputs in food production – global perspectives. *Food Policy*, **34**, 130-140.
- Kim, S. and B. Dale, 2004: Global potential bioethanol production from wasted crops and crop residues. *Biomass and Bioenergy*, **26(4)**, 361-375.
- King, C. and M.E. Webber, 2008: Water intensity of transportation. *Environmental Science and Technology*, **42(21)**, 7866-7872.
- Lazarova, V. and A. Bahri, 2005: *Water Reuse for Irrigation: Agriculture, Landscapes, and Turf Grass*. CRC Press, Boca Raton, FL, USA, 408 pp.
- McKendry, P., 2002: Energy production from biomass (part 1): overview of biomass. *Bioresource Technology*, **83(1)**, 37-46.
- Macknick, J., R. Newmark, G. Heath, K.C. Hallett, J. Meldrum, and S. Nettles-Anderson, 2012a: Operational water consumption and withdrawal factors for electricity generating technologies: a review of existing literature. *Environmental Research Letters*, **7(4)**, 045802, doi:10.1088/1748-9326/7/4/045802.
- Macknick, J., S. Sattler, K. Averyt, S. Clemmer, and J. Rogers, 2012b: Water implications of generating electricity: water use across the United States based on different electricity pathways through 2050. *Environmental Research Letters*, **7(4)**, 045803, doi:10.1088/1748-9326/7/4/045803.
- McCornick, P.G., S.B. Awulachew, and M. Abebe, 2008: Water-food-energy-environment synergies and tradeoffs: major issues and case studies. *Water Policy*, **10**, 23-36.
- McMahon, J.E. and S.K. Price, 2011: Water and energy interactions. *Annual Review of Environment and Resources*, **36**, 163-191.
- Metcalf & Eddy, Inc. an AECOM Company, T. Asano, F. Burton, H. Leverenz, R. Tsuchihashi, and G. Tchobanoglous, 2007: *Water Reuse: Issues, Technologies, and Applications*. McGraw-Hill Professional, New York, NY, USA, 1570 pp.
- Oh, S.T., J.R. Kim, G.C. Premier, T.H. Lee, C. Kim, and W.T. Sloan, 2010: Sustainable wastewater treatment: how might microbial fuel cells contribute. *Biotechnology Advances*, **28(6)**, 871-881.
- Olson, G., 2012: *Water and Energy Nexus: Threats and Opportunities*. IWA Publishing, London, UK, 294 pp.
- Oron, G., 1996: Soil as a complementary treatment component for simultaneous wastewater disposal and reuse. *Water Science and Technology*, **34(11)**, 243-252.
- Pelletier, N., E. Audsley, S. Brodt, T. Garnett, P. Henriksson, A. Kendall, K.J. Kramer, D. Murphy, T. Nemeck, and M. Troell, 2011: Energy intensity of agriculture and food systems. *Annual Review of Environment and Resources*, **36**, 223-246.
- Plappally, A.K. and J.H. Lienhard V, 2012: Energy requirements for water production, treatment, end use, reclamation, and disposal. *Renewable and Sustainable Energy Reviews*, **16(7)**, 4818-4848.
- Qadir, M., D. Wichelns, L. Raschid-Sally, P. Singh Minhas, P. Drechsel, A. Bahri, P. McCornick, R. Abaidoo, F. Attia, S. El-Guindy, J.H.J. Ensink, B. Jiménez, J.W. Kijne, S. Koo-Oshima, J.D. Oster, L. Oyebande, J.A. Sagardoy, and W. van der Hoek, 2007: Agricultural use of marginal-quality water – opportunities and challenges. In: *Water for Food, Water for Life: A Comprehensive Assessment of Water Management in Agriculture* [Molden, D. (ed.)]. Earthscan Publications, Ltd., London, UK, pp. 425-458.

- Raschid-Sally**, L. and P. Jayakody, 2008: *Drivers and Characteristics of Wastewater Agriculture in Developing Countries: Results from a Global Assessment*. IWMI Research Report 127, International Water Management Institute (IWMI), Colombo, Sri Lanka, 29 pp.
- Redwood**, M. and F. Huijbers, 2008: Wastewater irrigation in urban agriculture. In: *Water Reuse: An International Survey of Current Practice, Issues and Needs* [Jiménez, B. and T. Asano (ed.)]. IWA Publishing, London, UK, pp. 228-240.
- Sattler**, S., J. Macknick, D. Yates, F. Flores-Lopez, A. Lopez, and J. Rogers, 2012: Linking electricity and water models to assess electricity choices at water-relevant scales. *Environmental Research Letters*, **7(4)**, 045804, doi:10.1088/1748-9326/7/4/045804.
- Schievano** A., G. D'Imporzano, and F. Adani, 2009: Substituting energy crops with organic wastes and agro-industrial residues for biogas production. *Journal of Environmental Management*, **90(8)**, 2537-2541.
- Skaggs**, R., K. Hibbard, P. Frumhoff, T. Lowry, R. Middleton, R. Pate, V. Tidwell, J. Arnold, K. Averyt, A. Janetos, C. Izaurralde, J. Rice, and S. Rose, 2012: *Climate and Energy-Water-Land System Interactions*. PNNL 21185, Technical Report to the US Department of Energy in support of the National Climate Assessment, Pacific Northwest National Laboratory (PNNL), Richland, WA, USA, 152 pp.
- Smit**, J. and J. Nasr, 1992: Urban agriculture for sustainable cities: using wastes and idle land and water bodies as resources. *Environment and Urbanization*, **4(2)**, 141-152.
- van Vliet**, M.T.H., J.R. Yearsley, F. Ludwig, S. Vögele, D.P. Lettenmaier, and P. Kabat, 2012: Vulnerability of US and European electricity supply to climate change. *Nature Climate Change*, **2**, 676-681.
- Wise**, M., K. Calvin, A. Thomson, L. Clarke, B. Bond-Lamberty, R. Sands, S.J. Smith, A. Janetos, and J. Edmonds, 2009: Implications of limiting CO<sub>2</sub> concentrations for land use and energy. *Science*, **324**, 1183-1186.
- WEC**, 2010: *Water for Energy*. World Energy Council (WEC), London, UK, 51 pp.

**This cross-chapter box should be cited as:**

**Arent**, D.J., P. Döll, K.M. Strzepek, B.E. Jiménez Cisneros, A. Reisinger, F.L. Tóth, and T. Oki, 2014: Cross-chapter box on the water–energy–food/feed/fiber nexus as linked to climate change. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Field, C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Billir, M. Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N. Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, pp. 163-166.