

**FORTY-FOURTH SESSION OF THE IPCC**  
**Bangkok, Thailand, 17-20 October 2016**

IPCC-XLIV/INF. 10  
(12.X.2016)  
Agenda Item: 1  
ENGLISH ONLY

**INTRODUCTORY NOTES TO DOCUMENTS**

(Submitted by the Secretary of the IPCC)

**AGENDA ITEM 2: IPCC-XLIV/Doc. 3 – APPROVAL OF THE DRAFT REPORT OF THE 43<sup>rd</sup> SESSION**

**INTRODUCTORY NOTE**

**REFERENCES:**

None

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/Doc. 3](#) constitutes the procedural report of the 43<sup>rd</sup> Session of the IPCC and includes in its Annex 1 decisions taken by the Panel at that session.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to adopt the report.

**AGENDA ITEM 3: IPCC PROGRAMME AND BUDGET**

**AGENDA ITEM 3.1: IPCC-XLIV/INF.1 – FINANCIAL STATEMENT – AUDIT REPORT**

### **INTRODUCTORY NOTE**

#### **REFERENCES:**

None

#### **CONTENTS OF THE DOCUMENT:**

The audit covered the IPCC financial statements for the year ended 31 December 2015. These consist of the Statement of Financial Position (financial statement I), the Statement of Financial Performance (financial statement II), the Statement of Changes in Net Assets/Equity (financial statement III), the Statement of Cash Flow (financial statement IV), and the Statement of Comparison of Budget and Actual Amounts for the General Fund (financial statement V), as a summary of the main accounting methods and other explanatory notes.

The Swiss Federal Audit Office issued their opinion indicating that the financial statements present fairly, in all material aspects, the financial position of IPCC as at 31 December 2015, as well as its financial performance and cash flow for the year then ended, in accordance with the International Public Sector Accounting Standards (IPSAS) and the WMO Financial Regulations.

#### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the document [IPCC-XLIV/INF.1](#).

**AGENDA ITEM 3: IPCC PROGRAMME AND BUDGET**

**AGENDA ITEM 3.2: IPCC-XLIV/Doc.2 – BUDGET FOR THE YEARS 2016, 2017, 2018 and 2019**

### **INTRODUCTORY NOTE**

#### **REFERENCES:**

None

#### **CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/Doc.2](#) introduces the following annexes:

Annex 1 - Provides an update as of 31 July 2016 of the annual rate of voluntary cash contributions since inception.

Annex 2 – presents the monthly breakdown of contributions received as of 31 July 2016

Annex 3 - presents the interim statement of comparison of budget and actual amount as at 31 July 2016.

Annex 4 – shows a list of in-kind contributions/activities for the period January-July 2016.

Annexes 5-8 – present the 2016, 2017, 2018 and 2019 budget tables

#### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to urge member governments to make their 2016 contribution, if not already done so, and where possible invite member governments to make a multi-year pledge covering 2016-2019.

The Panel will be invited to consider the document and adopt the decision approving the 2017 proposed budget and note the budgets for 2018 and 2019.

**AGENDA ITEM 3: IPCC PROGRAMME AND BUDGET**

**AGENDA ITEM 3.3: IPCC-XLIV/INF.9 – RESOURCE MOBILIZATION**

## **INTRODUCTORY NOTE**

### **REFERENCES:**

Paragraphs 7, 8 and 9 of Decision IPCC-XLIII-1

### **CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/INF.9](#) introduces the following:

1. The resource mobilization campaign and its activities for Phase I (2016-2019).
2. The target for the AR6 budget: CHF 58 million.
3. Annex 1 shows the financial status of the IPCC as of 31 July 2016.
4. Annex 2 presents the projection of income/savings/expenditure for the AR6 cycle.
5. The Resource Mobilization Strategy is presented in Appendix 1.
6. Highlights the need to meet the annual budget targets for AR6.

### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the document and the additional funding required to implement the activities outlined in the Action Plan of the Resource Mobilization Strategy.

**AGENDA ITEM 4: IPCC-XLIV/Doc. 4 - ADMISSION OF OBSERVER ORGANIZATIONS**

**INTRODUCTORY NOTE**

**REFERENCES:**

None

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/Doc. 4](#) introduces 10 new requests for IPCC observer status which have been submitted in accordance with the IPCC Policy and Process for Admitting Observer Organizations Since the 43<sup>rd</sup> Session of the IPCC (Nairobi, Kenya, 11-13 April 2016). Upon recommendation by the Secretariat, the IPCC Bureau at its 52<sup>nd</sup> Session (Geneva, Switzerland, 18-19 August 2016) positively reviewed the applications for acceptance by the Panel.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to admit the following 10 new observer organizations:

1. Climate Alliance
2. C40 Cities Climate Leadership Group
3. Climate and Clean Air Coalition (CCAC)
4. World Climate Research Programme (WCRP)
5. Consortium of International Agricultural Research Centers (CGIAR)
6. Friends World Committee for Consultation (FCWW)
7. Mary Robinson Foundation – Climate Justice
8. Intergovernmental Technical Panel on Soils (ITPS)
9. Université catholique de Louvain
10. Pacific Community (SPC)

**AGENDA ITEM 5:                      REPORTS**

**AGENDA ITEM 5.1:                      IPCC-XLIV/INF. 2 – COMMUNICATION AND OUTREACH  
ACTIVITIES**

**INTRODUCTORY NOTE**

**REFERENCES:**

None

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/INF. 2](#) is a brief report on communication activities since the last report to the 43rd Session of the IPCC which was held in Nairobi, Kenya, on 11-13 April 2016. It includes a list of selected outreach activities that have taken place during this period, as well as some of the planned outreach activities until the end of 2016. This document also contains information on other communications activities, including the meetings of the Communications Action Team (CAT), the request for proposals to refresh the IPCC website, media interviews, press releases issued during this period, etc.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the report.

**AGENDA ITEM 5:                      REPORTS**

**AGENDA ITEM 5.3:                      IPCC-XLIV/INF. 4 – PARTICIPATION OF DEVELOPING COUNTRIES IN IPCC ACTIVITIES**

### **INTRODUCTORY NOTE**

### **REFERENCES**

Decision IPCC/XLI-4, paragraphs 24-27

### **CONTENTS OF THE DOCUMENT:**

Document [IPCC-XLIV/INF. 4](#) presents an assessment of the progress made in enhancing the participation of developing country experts in IPCC activities from the second until the fifth assessment cycles. The results show that there is no convincing evidence available which indicates an improvement in developing country participation in IPCC Sessions, in author teams and as expert and government reviewers of IPCC Assessment Reports. The Secretariat and the Working Groups intend to undertake five actions towards the implementation of Decision IPCC/XLI-4.

### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the five actions and the budgetary implications associated with Action 3.

**AGENDA ITEM 5:                   REPORTS**

**AGENDA ITEM 5.4:               IPCC-XLIV/INF. 5 - IPCC CARBON FOOTPRINT**

## **INTRODUCTORY NOTE**

### **REFERENCES**

At its 37<sup>th</sup> Session (Batumi, Georgia, 14-18 October 2013), the Panel considered the matter of reducing the carbon footprint of IPCC activities. Subsequently the Secretariat, continue studying and mapping out the options and alternative models to reduce the carbon footprint of IPCC activities.

### **CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/INF. 5](#) summarizes salient progress made in an endeavor to reduce the IPCC carbon footprint in recent years and possible next steps. It is noteworthy that travel-related emissions and the environmental impact of meetings are assumed to be major contributors to the IPCC carbon footprint.

### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the information document IPCC-XLIV/INF. 5.

**AGENDA ITEM 6: PROCEDURAL MATTERS**

**AGENDA ITEM 6.1: IPCC-XLIV/Doc. 13 - REVIEW OF THE IPCC CONFLICT OF INTEREST POLICY**

**INTRODUCTORY NOTE**

**REFERENCES:**

None

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/Doc. 13](#) refers to the 43<sup>rd</sup> Session of the IPCC (Nairobi, Kenya, 11-13 April 2016) where the Panel decided to establish a sub-committee of the Conflict of Interest (COI) Committee with the mandate to review the COI Policy and its implementation arrangements. The sub-committee met several times and received advice from the 52<sup>nd</sup> Session of the IPCC Bureau (Geneva, Switzerland, 18-19 August 2016).

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to:

1. Adopt and replace Annex B to the COI Policy by the revised COI disclosure form as contained in Annex 1 of Doc 13, which includes the request to add a CV.
2. Amend paragraphs 3 and 19 of the Implementation Procedures, as well as paragraph (a) of the Methods of Work of the COI Committee, to reflect that the COI Committee will consist of a Chair and Vice-Chair, one Co-Chair from each of the Working Groups and the Task Force on Inventories, as well as two additional members with appropriate legal expertise from UNEP and WMO, appointed by those organizations.
3. Delete paragraphs 23 and 24 of the Implementation Procedures related to the COI Expert Advisory Group, as well as the reference to the EAG in paragraphs 3, 4, 10, and 17 of the Implementation Procedures.
4. Replace the words “IPCC Bureau” in paragraph 7 of the Implementation Procedures by “COI Committee”.

**AGENDA ITEM 7: SIXTH ASSESSMENT REPORTS (AR6) PRODUCTS**

**AGENDA ITEM 7.1: IPCC-XLIV/Doc. 11- Outline of the Special Report on the impacts of global warming of 1.5°C above pre-industrial levels and related global greenhouse gas emissions pathways in the context of strengthening the global response to the threat of climate change, sustainable development and efforts to eradicate poverty**

**INTRODUCTORY NOTE**

**REFERENCES:**

- Paragraph 21 of Decision 1/CP.21 of the Conference of the Parties to the United Nations Framework Convention on Climate Change (UNFCCC)
- Paragraph 2 of Decision IPCC/XLIII-6 of the IPCC
- Paragraph 1 of Decision IPCC/XLIII-7 of the IPCC

**CONTENTS OF THE DOCUMENT:**

Document [IPCC-XLIV/Doc. 11](#) introduces in its Annex 1 a “Proposed outline of the special report in 2018 on the impacts of global warming of 1.5°C above pre-industrial levels and related global greenhouse gas emission pathways, and decides to prepare a Special report on this topic in the context of strengthening the global response to the threat of climate change, sustainable development and efforts to eradicate poverty”.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to adopt Annex1 of Document IPCC-XLIV/Doc. 11.

**AGENDA ITEM 7:                   SIXTH ASSESSMENT REPORTS (AR6) PRODUCTS**

**AGENDA ITEM 7.1:               IPCC-XLIV/INF.6 - Outline of the Special Report on the impacts of global warming of 1.5°C above pre-industrial levels and related global greenhouse gas emissions pathways in the context of strengthening the global response to the threat of climate change, sustainable development and efforts to eradicate poverty**

**Information note on the organization of the scoping meeting**

**INTRODUCTORY NOTE**

**REFERENCES:**

Paragraph 21 of Decision 1/CP.21 of the Conference of the Parties to the United Nations Framework Convention on Climate Change (UNFCCC)  
Paragraph 2 of Decision IPCC/XLIII-6 of the IPCC  
Paragraph 1 of Decision IPCC/XLIII-7 of the IPCC

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/INF. 6](#) constitutes an information note on the preparations for and the organization of the scoping meeting.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of document of Document IPCC-XLIV/INF. 6.

- AGENDA ITEM 7:                   SIXTH ASSESSMENT REPORTS (AR6) PRODUCTS**
- AGENDA ITEM 7.2:               IPCC-XLIV/Doc. 12 - OUTLINE OF THE METHODOLOGY  
REPORT(S) TO REFINE THE 2006 IPCC GUIDELINES FOR THE  
NATIONAL GREENHOUSE GAS INVENTORIES**

## **INTRODUCTORY NOTE**

## **REFERENCES**

Paragraph 4 of Decision IPCC/XLIII-7  
Paragraph 4 of Decision IPCC/XLIII-8

## **CONTENTS OF THE DOCUMENT:**

Document [IPCC-XLIV/Doc. 12](#) introduces three Annexes:

- Annex 1 includes the Draft Terms of Reference for the production of a Methodology Report to refine the 2006 IPCC Guidelines for National Greenhouse Gas Inventories.
- Annex 2 includes the Draft Table of Contents for 2019 Refinement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories
- Annex 3 includes a revised budget for production of the Methodology Report for 2017-2019 (proposal)

## **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to adopt Annex 1 of document IPCC-XLIV/Doc. 12.

The Panel will be invited to adopt Annex 2 of document IPCC-XLIV/Doc. 12.

The Panel will be invited to consider Annex 1 of document IPCC-XLIV/Doc. 12 under agenda Item 3.2 on the IPCC Trust Fund Programme and Budget -Budget for the years 2016, 2017, 2018 and 2019.

- AGENDA ITEM 7:                   SIXTH ASSESSMENT REPORTS (AR6) PRODUCTS**
- AGENDA ITEM 7.2:               IPCC-XLIV/INF.7 - OUTLINE OF THE METHODOLOGY  
REPORT(S) TO REFINE THE 2006 IPCC GUIDELINES FOR THE  
NATIONAL GREENHOUSE GAS INVENTORIES**

#### **INTRODUCTORY NOTE**

#### **REFERENCES**

Paragraph 4 of Decision IPCC/XLIII-7  
Paragraph 4 of Decision IPCC/XLIII-8

#### **CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/INF. 7](#) constitutes a procedural report on the preparations of the soping meeting.

#### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of document IPCC-XLIV/INF. 7.

**AGENDA ITEM 7: SIXTH ASSESSMENT REPORTS (AR6) PRODUCTS**

**AGENDA ITEM 7.3: IPCC-XLIV/Doc. 9 - WORKSHOP ON CLIMATE CHANGE AND CITIES**

## **INTRODUCTORY NOTE**

### **REFERENCES**

Paragraph 7 of Decision IPCC/XLIII-6

### **CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/Doc. 9](#) presents a proposal for an International Conference on Climate Change and Cities was prepared by a number of partnering organizations (Cities Alliance, C-40, ICLEI-Local Governments for Sustainability, Future Earth, Sustainable Development Solutions Network (SDSN), United Cities and Local Governments (UCLG), UN-Habitat, the United Nations Environment Programme (UNEP) and the World Climate Research Programme (WCRP)) in consultation with the Co-Chair of Working Group II.

The proposal was considered and endorsed by the IPCC Executive Committee after discussion at its 44<sup>th</sup> meeting on 7 September 2016.

It is expected that workshop will be held sometime early in 2018.

### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to consider the proposal presented in document IPCC-XLIV/Doc. 9 and confirm the budget line.

- AGENDA ITEM 7:                      SIXTH ASSESSMENT REPORTS (AR6) PRODUCTS**
- AGENDA ITEM 7.4:                IPCC-XLIV/Doc. 7 - EXPERT MEETING ON MITIGATION,  
SUSTAINABILITY AND CLIMATE STABILIZATION SCENARIOS**

## **INTRODUCTORY NOTE**

### **REFERENCES**

IPCC-XLII/INF.13, Corr.1, Add.2  
IPCC-XLII/INF.13, Corr.1

### **CONTENTS OF THE DOCUMENT:**

The context, motivation, aims and target participants of the expert meeting are presented in document [IPCC-XLIV/Doc. 7](#). The Expert Meeting, which is expected to take place in March 2017, will engage stakeholders concerned with mitigation and also involve representatives of the Working Group I and II.

### **DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to consider the proposal presented in document IPCC-XLIV/Doc.7 and authorize the funding of the Expert Meeting.

**AGENDA ITEM 8: IPCC-XLIV/Doc. 8 - FUTURE THE TASK GROUP ON DATA AND SCENARIO SUPPORT FOR IMPACT AND CLIMATE ANALYSIS (TGICA)**

**INTRODUCTORY NOTE**

**REFERENCES**

Decision IPCC/XLIII-9

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/Doc. 8](#) presents a brief background of recent discussions by the Panel and the Bureau on the future of TGICA. Annex 1 a draft decision for the consideration of the Panel on a process for the transformation of TGICA functions in preparation of a decision to be taken at the 46<sup>th</sup> Session of the IPCC.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to consider the draft decision points.

**AGENDA ITEM 9: COMMUNICATIONS FOR THE SIXTH ASSESSMENT REPORT**

**AGENDA ITEM 9.1: IPCC-XLIV/Doc. 5 - COMMUNICATIONS AND SCOPING PROCESSES**

**INTRODUCTORY NOTE**

**REFERENCES:**

Decision IPCC/XLIII-10

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/Doc. 5](#) is on the communications and scoping process of AR6. It is a follow up to decision IPCC/XLIII-10 which also invited “the IPCC Bureau to clarify the scoping process for the Sixth Assessment Report and suggest a process for consideration at the 44th Session of the IPCC.” This document contains a draft decision in Annex 1 prepared in the light of comments made by the IPCC Bureau at its 52<sup>nd</sup> Session on a number of initiatives that may be undertaken prior to scoping meetings.

**DECISIONS/ACTIONS EXPECTED:**

The IPCC will be invited to adopt the draft Decision contained in Annex 1 of IPCC/XLIV/Doc.5.

**AGENDA ITEM 9: COMMUNICATIONS FOR THE SIXTH ASSESSMENT REPORT**

**AGENDA ITEM 9.2: IPCC/XLIV/Doc.6 - REVIEW OF THE IPCC COMMUNICATIONS STRATEGY**

### **INTRODUCTORY NOTE**

#### **REFERENCES:**

Decision IPCC/XLIII-10

#### **CONTENTS OF THE DOCUMENT:**

The document [IPCC/XLIV/Doc.6](#) is on the update of the IPCC Communications Strategy requested by the Panel in paragraph 5 of Decision IPCC/XLIII-10. This draft updated Communications Strategy retains the structure of the original and reflects comments made at the 52nd Session of the IPCC Bureau. Most of the changes bring the Communications Strategy into line with existing practice and lessons learned during the Fifth Assessment cycle and recommendation of the IPCC Expert Meeting on Communications held in February 2016 in Oslo, Norway. There are no budgetary implications to the changes proposed. Following the decision by the Panel, the IPCC Executive Committee will update the implementation Plan drawing on advice from the Communications Action Team.

#### **DECISIONS/ACTIONS EXPECTED:**

The Panel is invited to adopt the draft decision in Annex 1 of IPCC/XLIV/Doc. 6 - including the updated IPCC Communications Strategy in Appendix 1.

**INTRODUCTORY NOTE**

**REFERENCES**

Decision IPCC/XLIII-12

**CONTENTS OF THE DOCUMENT:**

The Science Board and the Secretariat have undertaken a comprehensive review of the programme since the 1<sup>st</sup> round of awards (2011-2013) and have identified several challenges which are presented in [IPCC-XLIV/Doc.10](#). Three options as a way forward for the IPCC Scholarship Programme are presented for the Panel's consideration.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the suggested options and provide guidance to the Science Board and the Secretariat.

**AGENDA ITEM 11: ROLES OF MEMBERS OF THE BUREAU**

**IPCC-XLIV/INF. 3 – PARTICIPATION OF MEMBERS OF THE BUREAU IN INTERSESSIONAL ACTIVITIES**

**INTRODUCTORY NOTE**

**REFERENCES**

Terms of reference of the IPCC Bureau (33<sup>rd</sup> Session of the IPCC, Abu Dhabi, United Arab Emirates, 10-13 May 2011)

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/INF. 3](#) describes rules and practices regarding the participation of members of the IPCC Bureau in intersessional activities, in particular in relation to the use of the Trust Fund for these purposes.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the information document.

**AGENDA ITEM 12:****ANY OTHER BUSINESS**

**IPCC-XLIV/INF. 8 - Report of the workshop on “Integrated research on climate risk and sustainable solutions across IPCC working groups: Lessons learnt from the AR5 to support the AR6”, 29-31 August 2016, Stockholm, Sweden**

**INTRODUCTORY NOTE****REFERENCES**

Document IPCC-XL/Doc. 5

**CONTENTS OF THE DOCUMENT:**

The document [IPCC-XLIV/INF. 8](#) presents a report of the workshop which was jointly hosted by Future Earth and the Stockholm Resilience Centre, which was co-sponsored by the IPCC. The two sets of recommendations presented (i.e. to the IPCC and to the scientific community) are expected to inform the IPCC’s AR6 scoping process.

**DECISIONS/ACTIONS EXPECTED:**

The Panel will be invited to take note of the report.